

Clearstream Release November 2020

Release Information

August 2020

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Foreword

On Monday 23 November 2020, Clearstream¹ will implement a number of changes related to the SWIFT Standard MT Release 2020. Impacted media channels include Xact via SWIFT, Xact File Transfer, Xact Web Portal and CreationOnline.

This document consolidates and updates the details of these changes to enable customers to make any required changes.

The respective documentation and guides associated with the impacted products and services will be updated to reflect the enhancements in due course.

Note: In some cases, this document will not contain the details of enhancements where a separate announcement has been published to address the needs of a particular product or service.

Further information

For further information about our products and services, please visit www.clearstream.com or contact Clearstream Client Services or your Relationship Officer.

You will find the relevant contact details at www.clearstream.com, under Contacts & Client Services.

¹ Clearstream refers collectively to Clearstream Banking, S.A. (CBL), Clearstream Banking AG (CBF) and LuxCSD S.A. (LuxCSD).

Clearstream Release November 2020

Executive summary	1
SWIFT Standards release 2020	1
Cash Instructions	1
Asset services	1
Settlement and reconciliation	1
Securities Statement	1
SWIFT Standards release 2020	2
Cash Instructions	
MT103 - Single customer credit transfer	2
Asset services	
MT564 - Corporate Action Notification	3
MT564 - IPAR	3
MT565 - Corporate Action Instruction	3
MT566 - Corporate Action Confirmation	3
Settlement and reconciliation	
MT548 - Settlement Status and Processing Advice	4
MT548 - Relocation Requests Status	4
Securities Statement	
MT536 - Statement of transactions report	5
MT537 - Statement of pending transactions report	5
MT537 Statement of Pending Relocation Requests Report	5

1. Executive summary

On Monday 23 November 2020, as part of Clearstream's ongoing commitment to the timeliness and quality of our services to customers, Clearstream will implement quality enhancements to our existing services. The services impacted and a summary of the enhancements can be found below.

SWIFT Standards release 2020

The enhancements include new and changed information for:

Cash Instructions

- MT103 - Single customer credit transfer

Asset services

- MT564 - Corporate Action Notification
- MT564 - IPAR
- MT565 - Corporate Action Instruction
- MT566 - Corporate Action Confirmation

Settlement and reconciliation

- MT548 - Settlement Status and Processing Advice
- MT548 - Relocation Requests Status

Securities Statement

- MT536 - Statement of transactions report
- MT537 - Statement of pending transactions report
- MT537 Statement of Pending Relocation Requests Report

2. SWIFT Standards release 2020

Changes to the messages are described in more detail below.

Changes apply to all formats (ISO, PDF, XLS and XML) across all connectivity channels (Xact via SWIFT, Xact File Transfer, Xact Web Portal and CreationOnline).

This section describes the changes to the ISO messages. All these changes are reflected as relevant in all corresponding reports in PDF, as well as Xact Web Portal and CreationOnline. When displayed in PDF reports or Xact Web Portal and CreationOnline lists and detailed views, the ISO fields are expressed as decodes.

Where relevant, this section distinguishes between different scenarios depending on the services used by customers, these are defined as follows:

If no usage type is defined, it is applicable to CBL accounts, CBF accounts using the OneClearstream service and LuxCSD accounts.

CBL usage: Applicable to CBL, CBF 6-series accounts and LuxCSD 43xxx accounts.

CBF usage: Applicable to CBF accounts using the OneClearstream service.

CBL/LuxCSD usage: Applicable to CBL, CBF 6-series accounts and all LuxCSD accounts.

CBF/LuxCSD usage: Applicable to CBF, CBF 6-series accounts and all LuxCSD accounts.

Cash Instructions

MT103 - Single Customer Credit Transfer

CBL will be compliant with SWIFT's Universal Confirmations for MT103 processing and provide information to the Tracker when receipt of an MT103 results in rejection of the message.

For more information about SWIFT's Tracker, please refer to SWIFT's website.

Asset Services

MT564 - Meeting Event Notification

Field	Description	Usage
D Optional Sequence – Corporate Action Details		
:17B::SRDC (Qualifier)(Flag)	New qualifier SRDC Shareholder Rights Directive: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.	Option B :4!c//1!a This reference is used to confirm the announcement is made under SRD II obligations. The Flag must contain one of the following codes: Y (Yes) or N (No)

MT565 – Corporate Action Instruction

Field	Description	Usage
C Optional Repetitive Sequence – Beneficial Owner’s details		
:70a::CETI (Qualifier)(Narrative)	New additional option G for qualifier CETI Certification/Breakdown Narrative.	Option G :4!c//10*35z This field contains additional information for the certification/breakdown.

MT566 – Corporate Action Confirmation

Field	Description	Usage
A1 Optional Sequence – Linkages		
:20C::MITI// (Qualifier)(Reference)	New qualifier MITI Market Infrastructure Transaction Identification: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, TARGET2-Securities.	Option C :4!c//16x CBF/LuxCSD This field contains the T2S instruction reference

Settlement and reconciliation

MT548 – Settlement Status and Processing Advice and

MT548 – Vestima Transfer Service Status Advice

Field	Description	Usage
B Optional Sequence - Transaction Details		
:98a: Date/Time (Qualifier)(Date)(Time)	New qualifiers (Qualifier)(Date)(Time): ASTS Acknowledged Status Timestamp: the timestamp of acceptance or acknowledgement of the instruction by the account servicer MTCH Matched Status Timestamp: the timestamp of matching of the instruction by the account servicer	Option C :4!c//8!n6!n

Securities Statements

MT536 – Settlement of Transactions Report

Field	Description	Usage
B1a2 Optional Subsequence - Transaction Details		
:98a: Date/Time (Qualifier)(Date)(Time)	New qualifiers (Qualifier)(Date)(Time): ASTS Acknowledged Status Timestamp: the timestamp of acceptance or acknowledgement of the instruction by the account servicer MTCH Matched Status Timestamp: the timestamp of matching of the instruction by the account servicer	Option C :4!c//8!n6!n

MT537 – Settlement of Transactions Report

and

MT537 Vestima Transfer Service Statement of Pending Transactions

Field	Description	Usage
B2b Mandatory in Optional Subsequence - Transaction Details		
:98a: Date/Time (Qualifier)(Date)(Time)	New qualifiers (Qualifier)(Date)(Time): ASTS Acknowledged Status Timestamp: the timestamp of acceptance or acknowledgement of the instruction by the account servicer MTCH Matched Status Timestamp: the timestamp of matching of the instruction by the account servicer	Option C :4!c//8!n6!n

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