

Clearstream Fund Centre – Distribution Support Services

Product information
for distribution partners

Complementing the Vestima One-stop shop for funds

Key benefits

A solid infrastructure

Benefit from a single agreement covering access to a global fund universe with centralised commission management

Focus on your core business

Maximise your strategy and effort in distribution through the externalisation of operational functions

Streamlined processes

Rely on streamlined processes around fund data and documents provision, agreement maintenance and commission payment

Reduction of legal, compliance and operational risks

Our value-added services support you in minimising the risk of product mis-selling and complying with the latest market requirements

Focus on strategic decision-making and client outcomes

Access an extensive fund database, highly sophisticated analysis, research and assessment methods from independent research partners



Driven by market demand, Clearstream has expanded its range of investment fund services with the Fund Centre distribution support platform addressing fund distributors' needs for streamlined processes around distribution agreement and commission management.

At a glance, the Fund Centre offering covers access to a wide range of funds, distribution agreement

and commission management, fund research, compliance and regulatory support, as well as enhanced data and documents exchange between fund providers and fund distributors.

Fund Centre complements the Vestima One-stop shop for funds - the trusted partner for all operational aspects of fund processing – thereby adding further value to Clearstream's full range of investment fund services.

Suite of Fund Distribution Support Services

Fund Centre offers a range of fund distribution support services built on its strong expertise and on a global network of fund providers.

Fund Distribution Support Services

Fund provider agreement & Commission management	Fund data & Documents	Fund eligibility & Compliance	Fund Compass	Vestima Fund execution and custody services
<ul style="list-style-type: none"> - Access to a global funds range and fund providers - Maintaining and monitoring agreements regarding regulatory requirements - Commission collection and payment forwarded to distributors - Dedicated, local and active relationship management - Continuous coverage extension 	<ul style="list-style-type: none"> - Static, dynamic and regulatory fund data - Fund documentation - Up-to-date fund database - Market insights and trends based on the Fund Centre platform data (e.g. asset flows, product trends) - Sustainability information at share class level 	<ul style="list-style-type: none"> - Digital and standardised KYD questionnaire to ease the KYD exercise for distributors - Facilitating the exchange of AML KYD documents between distributors and Fund Providers - Fund matching to client profile 	<ul style="list-style-type: none"> - Intuitive and user-friendly web-based application - Investment advisory support tools - Fund recommendation and analysis - Fund comparison 	<ul style="list-style-type: none"> - Vestima One-stop-shop for all fund orders, from mutual funds to hedge funds - Order routing and settlement - Asset safekeeping and servicing

Distribution agreement maintenance

Fund Centre maintains a single distribution agreement with leading large scale and boutique fund providers, in more than 25 markets globally. The constant expansion of the distribution agreement network following distributors demand, ensures a vast and competitive coverage. As one of the largest fund platforms and infrastructures in the world, Clearstream's negotiation position is unique, unlocking attractive commission rates and service terms for its customers.

Fund data and documents

Distributors using the Fund Centre platform have access to more than 950 fund data fields as well as documents from more than 74,000 different funds in 25 different jurisdictions. The fund documentation offering includes prospectus, KIID, annual/semi-annual report, monthly report and Fact sheet. Fund Centre's fund library is always up to date with static, dynamic and regulatory data thanks to the close and efficient collaboration with fund data providers.

Commission management

Fund Centre shields distributors from the market complexities of commission management. The calculation and collection

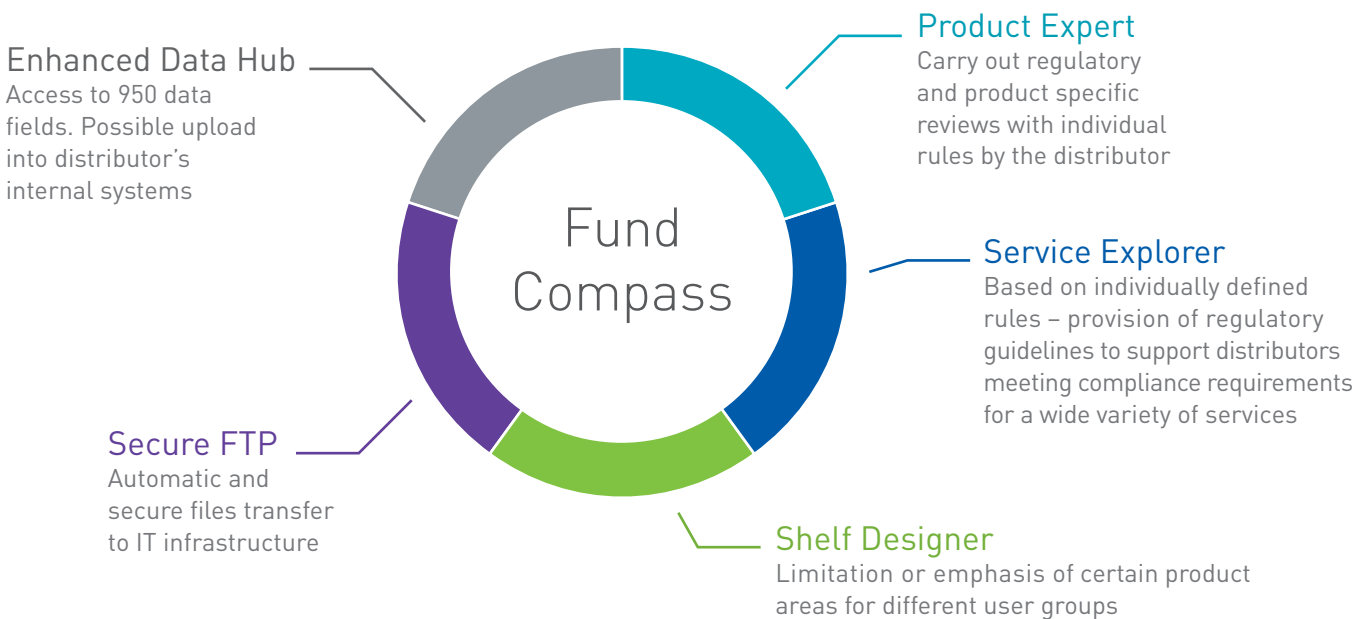
of commissions from fund providers is centralised and Fund Centre executes a single payment to distributors. Distribution commissions received from fund providers are paid on a quarterly basis to distributors – accompanied by comprehensive and transparent reporting.







Compliance and regulatory support

Fund Centre handles fund providers' queries and also supports fund distributors by conducting mandatory checks on the distributors and collecting required documents from them.

Fund Compass – web-based distribution and fund information application tailored to your needs

Fund Compass, the new web-based distribution and fund information application, offers distributors an intuitive and user-friendly access to fund data, documents and added value services. Fund Compass is a modular tool that distributors can customise based on individual needs.



	Efficient and user-friendly fund search engine		Data hub with over 950 data fields per unit class
	Static, dynamic and regulatory data as well as documents		Modular structure
	Fund comparison and analysis		Hotline and personal on-site advice

Fund Spotlight – research tailored to your needs

Fund Spotlight guides distributors through Fund Centre's extensive fund universe and helps them to make a more informed investment decision. Fund Spotlight is delivered in collaboration with Morningstar and allows distributors to benefit from Morningstar's independent investment research and fund selection experience.

Based on customers' needs, Morningstar generates a list of eligible funds, considering whether there are any advantages from an active or passive product in each segment. Sustainability criteria can also play a role in this selection process.

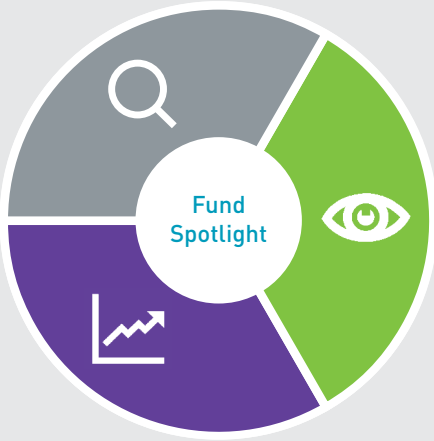
Fund Spotlight features

Tailor-made fund investment offer
Comprehensive lists of funds – evaluated on a quarterly basis – that can be customised around the following criteria:

- asset allocation
- portfolio construction
- investment objectives

Independent research partner
To support distributors in finding the best investment solution, Fund Spotlight provides access to

- an extensive fund database,
- highly sophisticated analysis,
- research and assessment methods,
- contacts with fund providers around the world.



Added value for end clients
Distributors receive a clear description of what to expect from a fund.

This information is key to decide which share class to select and whether a passive/index-tracking solution or an active fund is the best fit for the respective investor profile.

Clarity AI – covering all sustainability aspects of a fund

Clarity AI is an end-to-end technology solution that helps optimise the societal impact of investment portfolios. Clarity AI “scores” the underlying assets of a fund according to its proprietary methodologies and then calculates an aggregated score at share class level. Clarity AI offers six modules to cover all sustainability perspectives of an investment fund. These modules are fully integrated into Fund Compass.

Clarity AI solution offering at a glance

ESG risk	ESG impacts	Exposures	Climate module	UN Sustainable Development Goals	Impact highlights
Assesses the financial materiality of environmental, social and governance (ESG) issues related to how companies and governments operate; and how these ESG issues could potentially affect future financial performance	Assesses how a company’s operations create positive or negative impacts in our environment and society	Analyses and monitors organisations’ revenue exposure to 10 controversial activities such as tobacco, alcohol and gambling	Deep dives into climate related issues, analysing organisations’ and mixed asset portfolios’ carbon footprint and scores such as current score and reduction commitment score	Contributes to maximising sustainable growth by understanding how your investments have the potential to create measurable impact for people and the planet	Reports the environmental as well as social impact footprint of your portfolio in a simple and intuitive way and compares it to a benchmark

Contact information

For further information, please contact your Relationship Manager or our Investment Fund Services team:

clientservice@fc.clearstream.com
www.clearstream.com