

CreationDirect via VPN Subscription Form

Please mail the completed original form to:
Connectivity Customer Services, Clearstream Banking,
L-2967 Luxembourg and send a copy
by fax to +352-243-638110

Name of institution: _____ (“the customer” or “you”)

Department: _____

Address: _____

Address: _____

City: _____ Post code: _____

Country: _____

Main Clearstream Banking account number: _____

Introduction

The purpose of this CreationDirect via VPN Subscription Form is to enable you to order the installation of CreationDirect via VPN.

The items to be specified on this CreationDirect via VPN Subscription Form are necessary for Clearstream Banking to begin to prepare the installation of CreationDirect via VPN.

If you have connectivity requirements that go beyond the provisions of this CreationDirect via VPN Subscription Form, please contact Clearstream Banking.

CreationDirect via VPN implementation approach

The implementation of CreationDirect via VPN goes through seven sequential phases.

The implementation is deemed to start with the signing of this CreationDirect via VPN Subscription Form by you.

The **procurement phase** allows you to purchase any infrastructure items (server, router and ISDN lines) that may not yet be available.

During the **customer profile set-up phase**, Clearstream Banking creates your new CreationDirect via VPN address and implements your account profile on the Clearstream Banking CreationDirect via VPN server.

The **router installation phase** comprises the set-up and a functional ping test of your router.

During the **customer environment simulation phase**, Clearstream Banking simulates internally your CreationDirect via VPN interface. In this environment, your business data will be made available to enable you to perform an end-to-end test of the CreationDirect via VPN interface.

During the **on-site installation phase**, Clearstream Banking will implement the final customer configuration on your site. At the end of this phase, you confirm that the new CreationDirect via VPN channel is operational for sending and receiving files to and from Clearstream Banking. The confirmation is recorded on the **on-site installation sign-off form**. Finally, during the **internal business application test phase**, you may conduct end-to-end tests to confirm correct receipt of business reports in your internal business applications and successful forwarding of instruction files to the Clearstream Banking production environment.

Training

During the on-site installation of your CreationDirect via VPN server, the Clearstream Banking technician provides hands-on training to your connectivity support personnel. It is highly recommended that you nominate at least two representatives to ensure sufficient connectivity competence.

During the implementation, Clearstream Banking provides connectivity support through the Clearstream Banking Connectivity Help Desk (contact information on our web site, www.clearstream.com) including first-level support for aspects related to the embedded CONNECT:Direct software.

It is your responsibility to ensure that you have sufficient proficiency in any underlying technologies on your site, for example, operating systems, networking and routers. Clearstream Banking has designed the CreationDirect via VPN User Manual to provide sufficient insights into the use of CONNECT:Direct within CreationDirect via VPN. Should you require training in the base product to a level that goes beyond both the CreationDirect via VPN User Manual and the on-site hands-on training, then this can be obtained from Sterling Commerce Inc. at your expense.

Prices and charges

The charges for the business that you conduct using CreationDirect via VPN, including but not limited to charges for reports received and instructions submitted, are defined in the Clearstream Banking Fee Schedule as published on the Clearstream Banking web site and amended from time to time.

The date from which business and data transport charges commence will be determined as follows:

You confirm, on the **on-site installation sign-off form**, the date on which the CreationDirect via VPN connectivity channel became operational for the exchange of files, business reports and/or instruction files between your CreationDirect via VPN server and the Clearstream Banking CreationDirect via VPN server. From this date, the complimentary grace period commences. This grace period is to allow you to develop and implement the interfaces between your CreationDirect via VPN server and its internal business applications.

Location access

Please specify the location where your CreationDirect via VPN infrastructure is to be installed:

Name of institution: _____

Address: _____

Address: _____

City: _____ Post code: _____

Country: _____

Availability of CreationOnline

The use of CreationOnline is recommended so that you have full control over your subscription of business reports scheduled for delivery via CreationConnect, namely CreationDirect via SWIFTNet, CreationDirect via VPN and/or Creation via SWIFT.

If CreationOnline is not available for this purpose, any requests for modification of report subscriptions have to be addressed to Clearstream Connectivity Customer Services via authenticated means.

Please specify whether you have access to CreationOnline.

Is CreationOnline already available?

Yes

No

If CreationOnline is available, we request Clearstream Banking to set up our CreationOnline access to enable management of CreationDirect reports.

If CreationOnline is not available, please enter the date by when you intend to subscribe to CreationOnline (dd/mm/yyyy):

Operating system

The minimum hardware specifications for each operating system platform are available on the Clearstream Banking web site under Product Documentation.

Please indicate on which operating system you would like to have CreationDirect via VPN installed.

Operating system platform for customer's CreationDirect via VPN server	Please tick (✓) one option only
Windows NT (server):	<input type="checkbox"/>
UNIX variants: Please specify UNIX variant::	<input type="checkbox"/> _____

Report delivery mode

For the delivery of reports to its CreationDirect via VPN server, you have a choice of establishing connections to Clearstream Banking at regular intervals, for instance every hour, or at fixed points in time, for example at 8 PM.

In addition, whenever you connect to Clearstream Banking in order to forward an instruction file, any reports already available will be automatically delivered to your CreationDirect via VPN server during the same session.

Please specify the details of your required connection pattern.

	Please tick, if required	Connection frequency	Please state connection timings
Example:	<input checked="" type="checkbox"/>	regular intervals	every 30 minutes
Example:	<input checked="" type="checkbox"/>	fixed points in time	8AM, 7PM
	<input type="checkbox"/>	regular intervals	
	<input type="checkbox"/>	fixed points in time	
	<input type="checkbox"/>	upon instruction file submission	

File name formats

The file name formats for business reports, instruction files and instruction feedback files are specified in the document entitled Clearstream Banking Data Interchange Specifications SWIFT ISO formats for use with CreationDirect available on the Clearstream Banking web site.

Integration of your business applications

With the installation of CreationDirect via VPN on your site and the completion of pertinent tests on your site, Clearstream Banking ensures that the exchange of files from your CreationDirect via VPN server to Clearstream Banking and vice-versa is fully operational.

The extension of the file exchange from your CreationDirect via VPN server to your internal business applications is entirely your responsibility.

Contact persons

Throughout the implementation project, a **single point of contact** is required within your institution to manage all pertinent co-ordination and planning activities on your side. Although there may be a separate project manager, in most cases the single point of contact will also assume responsibility for project managing the implementation activities at your location(s).

The single point of contact is hereby nominated and authorised to confirm on your behalf a complete and accurate statement of the installation details for the implementation of CreationDirect via VPN.

The role of the single point of contact is related to the implementation of CreationDirect via VPN. Consequently, this project responsibility will end once the implementation project has fulfilled its objective. Thereafter, on a long-term basis, a **business contact** and a **technical contact** will continue to serve as contacts for related business and technical matters, respectively.

Please nominate the contact persons required, by specifying their names, titles and contact details in the space provided.

Single point of contact:

Name (Print): _____

Name (Print): _____

Title: _____

Title: _____

Tel.: _____

Tel.: _____

E-mail: _____

e-Mail: _____

Business contact person:

Technical contact person:

Name (Print): _____

Name (Print): _____

Title: _____

Title: _____

Tel.: _____

Tel.: _____

E-mail: _____

e-Mail: _____

Acceptance of the CreationDirect via VPN Subscription

To accept to have CreationDirect via VPN installed, you must return the duly completed and signed CreationDirect via VPN Subscription Form to Clearstream Banking.

Following the receipt of the CreationDirect via VPN Subscription Form, you and Clearstream Banking will jointly commence the execution of the installation project.

If the CreationDirect via VPN Subscription Form is signed on behalf of more than one customer location, Clearstream Banking requires you to provide written evidence that the authorised signatories signing the CreationDirect via VPN Subscription Form are duly authorised to enter into commitments on behalf of all locations concerned.

Payment details

The fees and charges detailed in this CreationDirect via VPN Subscription Form and referenced in the CreationConnect Connectivity Agreement will be deducted from your account as agreed with Clearstream Banking.

Please state your Clearstream Banking account number to be invoiced: _____

Authorisation of purchase

(the signatories on this form must be recorded with Clearstream Banking as Authorised Signatories)

I understand that the validity of this subscription for CreationDirect via VPN is conditional upon adherence to the terms and conditions as set out in the CreationConnect Connectivity Agreement and to the specifications detailed on this CreationDirect via VPN Subscription Form.

The CreationDirect via VPN installation will be executed once the CreationConnect Connectivity Agreement and this CreationDirect via VPN Subscription Form have been duly accepted and enacted by signature of this CreationDirect via VPN Subscription Form.

In accordance with Article 1135-1 of the Luxembourg Civil Code, we hereby expressly accept the provisions set out in this CreationDirect via VPN Subscription Form.

Made in two originals.

Authorised signature(s):

For and on behalf of the customer:

Signature: _____ Signature: _____
Name (Print): _____ Name (Print): _____
Title: _____ Title: _____
Date: _____ Date: _____

For and on behalf of the Clearstream Banking Luxembourg:

Signature: _____ Signature: _____
Name (Print): _____ Name (Print): _____
Title: _____ Title: _____
Date: _____ Date: _____