

Order form

File Transfer/MQ/SWIFT

52 CEU Statement of Pending Transactions (MT537)

Tel.: +49-(0)69-211-11590

Fax: +49-(0)69-211-611590

Email: connect@clearstream.com

Please choose:

Please choose:

Client (account holder)

Company name

Functional contact person (first name and surname)

Telephone

Fax

Email

Technical contact person (first name and surname)

Telephone

Fax

Email

Client's CEU account no.

Client's additional CEU account numbers¹

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Technical receiver's CEU account no.

T2S Party BIC

Connectivity tool used

☐ Connect: Direct

☐ NJE

☐ WebzOS

☐ MQ

☐

SWIFT

Address of the technical receiver

¹ No separate reporting for branches (Reg-Über Filiale) possible.

Please define the required selection criteria in the following tables. In table 1, the reporting can be freely defined. Table 2 uses predefined selection criteria following the recommended setup. Possible values for the fields Query type/Reporting type/Selection and Business type are described in the attached Appendix. It is mandatory to define a Creation time of the wanted query information by marking the concerning column(s) of the according row.

Table 1 – Free report setup

Query type	Reporting type	Selection	Reported days	Creation time															
Activity Flag																			
(Receipt of empty files yes/no)																			
In MT537: :70E::TRDE//QRYR Q02-1A (sample: query type 02 – reporting type 1A)			Not older than x business days	05:00 (QY0500) J%64LC7%	06:00 (QY0600) J%64MC7%	07:30 (QY0730) J%640C7%	08:00 (QY0800) J%641C7%	09:00 (QY0900) J%645C7%	10:30 (QY1030) J%642C7%	11:15 (QY1115) J%646C7%	12:00 (QY1200) J%64EC7%	12:45 (QY1245) J%64NC7%	13:30 (QY1330) J%648C7%	15:30 (QY1530) J%64PC7%	16:00 (QY1600) J%649C7%	17:00 (QY1700) J%64FC7%	18:00 (QY1800) J%643C7%	21:30 (QY1945) J%644C7%	02:00 (QY2300) J%647C7%
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Table 2 – Recommended report setup

Business type	Selection	Reported days	Creation time (Activity Flag always yes)															
		Not older than x business days	05:00 (QY0500) J%64LC7%	06:00 (QY0600) J%64MC7%	07:30 (QY0730) J%640C7%	08:00 (QY0800) J%641C7%	09:00 (QY0900) J%645C7%	10:30 (QY1030) J%642C7%	11:15 (QY1115) J%646C7%	12:00 (QY1200) J%64EC7%	12:45 (QY1245) J%64NC7%	13:30 (QY1330) J%648C7%	15:30 (QY1530) J%64PC7%	16:00 (QY1600) J%649C7%	17:00 (QY1700) J%64FC7%	18:00 (QY1800) J%643C7%	21:30 (QY1945) J%644C7%	02:00 (QY2300) J%647C7%
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Validity

The message configuration defined here shall be valid from

(at the earliest one week after submission of this order)

Date

Two signatures of the client and names in printed characters

Appendix

Option Lists

In general, please choose the Selection and the Reported days for the report setup to determine in which case and how long instructions should be included.

If you define your query using **Table 1**, an additional choice of the Query type and the Reporting type is necessary. The selected values are shown in 70E::TRDE//QRYR.

Query type		Reporting type	Selection	Reported days		
02	Instructions according to a certain instruction type	01	Deliver free and against payment – OTC	001	All instructions (new and already reported)	Instructions with a settlement date older than x business days in the past are excluded from the reporting in the MT537 (voluntary option). If not specified, <u>all</u> pending instructions are reported.
		1A	Deliver free and against payment – all			
05	Instructions with open matching*	1G	Only deliver against payment – OTC	002	All new inserted instructions since the last inquiry	
		10	Only deliver free of payment – OTC			
06	Instructions with rejected matching*	1S	Deliver free and against payment – non-CCP SE	010	All instructions where the match or settlement status has changed or that have been inserted since the last inquiry	
		02	Receive payment – OTC			
		2A	Receive payment – all			
		2G	Receive against payment – OTC			
		20	Receive free of payment – OTC			
		2S	Receive free and against payment – non-CCP SE			
		03	Debt administration transfer			

* Reporting type 03 and Selection 010 are only available for Query type 02

If you define your query using **Table 2**, the chosen Business type automatically includes the related deliver and receive instructions. The Query and Reporting types which are used for every respective Business type can be seen in the table “Recommended report setups” and are shown in 70E::TRDE//QRYR. The usage of Business type “AL” is strongly recommended by Clearstream.

Business types	Selection	Reported days
AL All instructions (OTC and SE)	001 All instructions (new and already reported)	Instructions with a settlement date older than x business days in the past are excluded from the reporting in the MT537 (voluntary option).
	002 All new inserted instructions since the last inquiry	
OT OTC instructions only	010 All instructions where the match or settlement status has changed or that have been inserted since the last inquiry	If not specified, <u>all</u> pending instructions are reported.
SE Non-CCP SE instructions only		

Recommended report setups

Name	001 - Complete Reporting			002 - Delta Reporting Only new instructions			010 - Delta Reporting Modified instructions		
	Query type	Reporting type	Selection	Query type	Reporting type	Selection	Query type	Reporting type	Selection
AL - All instructions	02	1A	001	02	1A	002	02	1A	010
	02	2A	001	02	2A	002	02	2A	010
OT - OTC instructions only	02	01	001	02	01	002	02	01	010
	02	02	001	02	02	002	02	02	010
SE - Non-CPP SE instructions only	02	1S	001	02	1S	002	02	1S	010
	02	2S	001	02	2S	002	02	2S	010