

Creation Account Application Form

We, the undersigned, representing,

hereby request Clearstream
Europe AG ("CEU") to open a 6-series
account¹ in our name with the following
specifications:

Registered Company name (in full)

Name of 6-series account²

Field to be completed by CEU

Account type

(please select one account type only)

Published Unpublished

☐ Main account

☐ default option ☐

☐ Account in favour of Eurex Clearing AG³

Accounts pledged/fiduciary assigned in favour of European Commodity
Clearing AG (ECC)⁴

CEU Pledged-Securities Account for

☐ Provision of margin collateral on a:

- Standard Collateral Clearing Account;
- General Omnibus Collateral Clearing Account (GOS); or
- Simple Omnibus Collateral Clearing Account (SOS).

Free-text field for account name (max. 18 characters)⁵

☐ Default Fund contribution

☐ Company capital (securities for fulfilment of liable equity capital
requirements)

Individually Segregated CEU Pledged-Securities Account for

☐ Provision of margin collateral on an Individually Segregated Collateral
Clearing Account (ISA)

5-digit ECC member ID of the ISA Non-Clearing Member

We acknowledge that CEU makes no statement nor provides any advice as to the
applicability, effectiveness or validity of any clearing model of ECC or its
terminology. We agree that CEU shall not be held liable, in this respect.

1. 6-series accounts are accounts on the Creation platform, which are technically and legally independent and governed by German law.
2. For specific account types, CEU will provide the account name.
3. a: Accounts in favour of Eurex Clearing AG can in general be in the name of the Clearing Member, Clearing Agent, ISA Direct Clearing Member or an entitled Third Party Account Holder. For further information please contact your Eurex Clearing Key Account Manager.
b: We hereby instruct you to disclose to Eurex Clearing AG upon their request any information on any of our accounts that we have notified to you as pledged in favour of Eurex Clearing AG or as containing assets that should be considered belonging to Eurex Clearing AG due to a title transfer arrangement.
4. Accounts in favour of ECC can only be in the name of the Institution Clearing Member
5. Depending on the chosen purpose of the CEU Pledged-Securities Account, CEU assigns a predefined account name to the account. To differentiate between multiple CEU Pledged-Securities Accounts for the provision of margin collateral, the Clearing Member can fill in a free-text field. The information provided in the free-text field will be reflected in the account name.

Account type

- | | | |
|--|--------------------------|---|
| <input type="checkbox"/> Trustee account | <input type="checkbox"/> | <input type="checkbox"/> default option |
| <input type="checkbox"/> Pledge account | <input type="checkbox"/> | <input type="checkbox"/> default option |
| <input type="checkbox"/> Other account | <input type="checkbox"/> | <input type="checkbox"/> default option |

Reason for opening the other account

Corresponding CASCADE account or Creation main account

VestimaPRIME

The account will be used in VestimaPrime.

- ☐ Yes (please complete the VestimaPrime Service Application Form)
- ☐ No

Usage for assets

(please select the appropriate category)

- ☐ For proprietary assets
- ☐ For third party assets – Segregated⁶:

For segregated third party assets, please indicate the details of the underlying client (to be recorded in the CEU business partner register):

Underlying client name

Country

- ☐ For third party assets – Omnibus⁷:

The Omnibus account is used to co-mingle the interests of several underlying clients with no single entity/individual having a predominant and continuing interest in the assets portfolio.

Reports setup

CEU reports should show:

- ☐ Common Codes (default option) ☐ ISIN

Settlement⁸

(please tick one box only per option)

The transactions for all accounts are to be settled according to the following criteria:

Settlement sequence option

- | | |
|---|--|
| <input type="checkbox"/> Option 1 by transaction reference number in increasing order (default option) | <input type="checkbox"/> Option 2 by settlement date (flexible - D) |
| <input type="checkbox"/> Option 3 by settlement date (strict - L) | <input type="checkbox"/> Option 4 by nominal amount (flexible - S) |

6. Subject to prior approval by CEU.

7. Omnibus accounts for third party assets are intended to facilitate the operations of professional securities intermediaries. CEU may require disclosure of the identities of any underlying clients having a continuing interest of 25% or more in the value of the assets deposited. In cases where there is such a predominant interest, the assets may be deposited on a separate, segregated client account.

8. Please refer to the Settlement Procedures chapter of the CBL Client Handbook available on our website www.clearstream.com under Key Documents / ICSD / Client Handbook.

Settlement⁸

Settlement option

☐ Option 1

Include transactions in the mandatory settlement period only. (default option)

☐ Option 2

Include against payment transactions in both mandatory and optional settlement periods.

BICs linked to the account:

Main BIC for the account

Matching BIC for the account

Matching BIC: CEU will include the BIC flagged as “matching BIC” in the settlement party details of external settlement instructions as follows:

- Receipt instructions :95P::BUYR//matching BIC
- Delivery instructions :95P::SELL//matching BIC

If the client does not provide a “matching BIC” for the account, CEU will use the main BIC as the default “matching BIC”. Clients who either do not wish the main BIC to be defaulted as “matching BIC”, or would like to define a different BIC as “matching BIC” at a later stage are requested to send a Swift MT599 or free-format message via Xact Web Portal for the attention of PRGconnect. If the client does not provide a “matching BIC”, CEU will not be held responsible for any cross matching of settlement instructions that might occur during the external settlement process.

For TARGET2-Securities (T2S) markets, if the client has populated these fields (BUYR/SELL) in their instruction to CEU, CEU will not overwrite this information with the “matching BIC”.

For accounts linked to Vestima activity, that is, the client is a Vestima Order Issuer (OI) placing orders in investment funds that settle in a T2S market through this account, the “matching BIC” is mandatory.

Note: Unless otherwise explicitly communicated by the client, for any additional account created, that is not a new “main account” type, CEU will default the same main BIC and “matching BIC” as the ones applied to the main account.

Partial settlement

☐ PART: Instructions eligible for partial settlement

☐ NPAR: Instructions not eligible for partial settlement (default option)

Note: Your preference will apply for all internal, Bridge and external settlement instructions.

8. Please refer to the Settlement Procedures chapter of the CBL Client Handbook available on our website www.clearstream.com under Resource Library /Key Documents / ICSD / Client Handbook.

Communications

- ☐ **Existing** Communication links that are currently in place for our account _____ (if applicable).
- ☐ **Xact File Transfer** Xact File Transfer address _____
Please complete a separate application form for ClearstreamXact products and services, available from www.clearstream.com under Resource Library / Connectivity and Data Solutions / ClearstreamXact / Xact File Transfer / Xact File Transfer Forms or specify existing Xact File Transfer address.
- ☐ **Xact via Swift** Swift address _____
Please complete the below section with the delivery details for general custody Swift reporting. In addition, please complete a separate application form for ClearstreamXact products and services, available from www.clearstream.com under Resource Library / Connectivity and Data Solutions / ClearstreamXact / Xact via Swift / Xact via Swift Forms.
- ☐ **Xact Web Portal**
Please complete a separate application form for ClearstreamXact products and services, available from www.clearstream.com under Resource Library / Connectivity and Data Solutions / ClearstreamXact / Xact Web Portal / Xact Web Portal application form - CEU.

General custody reporting

(please tick at least one box only per option)

We will use one or more of the following communications facilities.

- ☐ **Existing**
Same custody profile as account _____
(custody profile of the account, at the time request is processed by CEU, will be replicated in the new account)
- ☐ **Xact File Transfer** Xact File Transfer address _____
Following reports will be scheduled:
– MT564/568 Corporate Action Notifications
Please specify frequency. (tick boxes as appropriate) ☐ **Continuous**
or ☐ **Intraday** ☐ 03:00
☐ 08:00
☐ 21:30
☐ 22:30
– MT566 Corporate Action Confirmations Frequency: Continuous
- ☐ **Xact via Swift** Swift address _____
– MT564/568 Corporate Action Notifications Frequency: Real Time
– MT566 Corporate Action Confirmations Frequency: Real Time
– MT567 Corporate Action Status and Processing Frequency: Real Time
- ☐ **Xact Web Portal**
Custody information will be retrieved directly on Xact Web Portal.
CEU provides an elective Proxy Voting Service with different service levels. For details on this offering please refer to our website under Securities Services / Asset Services / Proxy Voting and the current fee schedule.
By default your account will be set up for the Basic Direct Clearstream service.

Settlement fails penalties

According to the European Regulation on Central Securities Depositories ("CSDR"), CEU will process fails penalties payments on a monthly basis.

"CCP KONTO"

Only for clients that are CCPs: We confirm that the CEU main account will be used exclusively for the settlement of transactions of CCP clearing members.

☐ Yes

☐ No

Standard rate of duty

The Stamp Duty Reserve Tax (SDRT) rate of 1.5% automatically applies to a client account if not yet certified giving only limited access to settlement services until completion of certification. In order to take advantage of the full settlement service under a 1.5% regime, client must complete the [Request for Application of the 1.5% SDRT Regime \(form number 3240e/3240d\)](#).

However, if the 0.5% SDRT rate is to apply to the main account to be opened/the main account already existing/a further (main) account to be opened, the [Request for Application of the 0.5% SDRT Regime \(form number 3241e/3241d\)](#) must be completed and sent to Client Onboarding & Due Diligence.

Please contact your Relationship Officer if you wish to fill in these two forms.

Authorised specimen signatures

☐ Please find enclosed a specimen signature form that is valid for this new account.

☐ The specimen signature forms provided to you at an earlier date are valid for all our accounts with you, including the above mentioned new account.

Acknowledgement

We/I, the undersigned, acknowledge receipt of CEU's General Terms and Conditions as amended from time to time and hereby confirm our/my acceptance to be bound by its terms and conditions.

Authorised signature(s)

Signature

Signature

Name

Name

Title

Title

Place

Place

Date

Date