Xact via Swift

**Principal Subscription Form for
Clearstream Banking S.A. clients**

Clearstream | DEUTSCHE BÖRSE GROUP

> **Please return your subscription form, completed and signed to the following address:**
> **Clearstream Banking, Account Administration, L-2967 Luxembourg**

Name of institution: _____
Department: _____
Address: _____
City: _____ Post code: _____ Country: _____
Main Clearstream Banking account number: _____

Contact details

Business contact person: Technical contact person:
Name: _____   Name: _____
Telephone: _____   Telephone: _____
Email: _____   Email: _____

Swift Key Administrator: Other (optional):
Name: _____   Name: _____
Telephone: _____   Telephone: _____
Email: _____   Email: _____

Authorised signatures

Signature: _____   Signature: _____
Name: _____   Name: _____
Title: _____   Title: _____
Date: _____   Date: _____

If you need assistance or if you require changes to your subscription details in the future (for example, change of address, account links, contact details etc.), Please contact Clearstream Banking Connectivity Support:

	Luxembourg	**Frankfurt**	**London**
Telephone:	+352-243-38110	+49-69-211-1 15 80	+44-(0)20-7862-7100
Fax:	+352-243-638110	+49-69-211-61 15 80	+44-(0)20-7862-7254
Email:	connect@clearstream.com	connect@clearstream.com	connect@clearstream.com

Where would you like your production reports to be sent?

To your PRODUCTION system (production BIC/DN[1]):

☐ BIC/DN address: _____ _____ _____

☐ Copy BIC/DN
address(es): _____ _____ _____
_____ _____ _____

Which Clearstream Banking Account Number(s) are to be associated with your Swift BIC and have the same PRODUCTION reporting schedule? (If reporting requirements are different for specific accounts, this page should be duplicated accordingly.)

_____ _____ _____ _____
_____ _____ _____ _____

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1. DN is applicable to ISO 20022 subscriptions

Schedule A - Securities reporting

Please check (✔) to indicate your required option in each case:

	MT535 Statement of Holdings		MT536 Statement of Transactions		MT537 Statement of Pending Transactions		MT586 Statement of Settlement Allegements		MT54x Settlement Confirmation	MT548 Settlement Status and Processing Advice	MT578 Settlement Allegement
	C[a]	D[a]	C	D	C	D	C	D	D	D	D
ALL intraday reports	☐	☐	☐		☐	☐	☐	☐	☐	☐	☐
Continuous[b]						☐		☐			
Real time[b]									☐	☐	☐
Intraday reports - available times:											
23:00	☐	☐	☐		☐	☐	☐	☐			
00:00	☐	☐	☐		☐	☐	☐	☐			
01:00	☐	☐	☐		☐	☐	☐	☐			
02:00	☐	☐	☐		☐	☐	☐	☐			
03:00	☐	☐	☐		☐	☐	☐	☐			
04:00	☐	☐	☐		☐	☐	☐	☐			
05:00	☐	☐	☐		☐	☐	☐	☐			
06:30	☐	☐	☐		☐	☐	☐	☐			
07:30	☐	☐	☐		☐	☐	☐	☐			
08:30	☐	☐	☐		☐	☐	☐	☐			
09:30	☐	☐	☐		☐	☐	☐	☐			
10:30	☐	☐	☐		☐	☐	☐	☐			
11:30	☐	☐	☐		☐	☐	☐	☐			
12:00	☐	☐									
12:30			☐		☐	☐	☐	☐			
13:00	☐	☐	☐		☐	☐	☐	☐			
13:30	☐	☐	☐		☐	☐	☐	☐			
14:30	☐	☐	☐		☐	☐	☐	☐			
15:30	☐	☐	☐		☐	☐	☐	☐			
16:00	☐	☐	☐		☐	☐	☐	☐			
16:30	☐	☐	☐		☐	☐	☐	☐			
17:00	☐	☐	☐		☐	☐	☐	☐			
18:00	☐	☐	☐		☐	☐	☐	☐			
19:00	☐	☐	☐		☐	☐	☐	☐			
21:15	☐	☐	☐		☐	☐	☐	☐			
21:30					☐	☐	☐	☐			
End of day[c] Daily	☐		☐		☐		☐				
Weekly	☐		☐		☐		☐				
Monthly	☐		☐		☐		☐				
Quarterly	☐										
Yearly	☐										
Include:											
Blocking/Unblocking			☐						☐		
Collateral Movement			☐						☐		

Consolidated Statement ☐   If ticked provide Consolidated Account Name_____

Consolidate Sorting by ISIN ☐   Common Code ☐

	MT535 Statement of Holdings	MT536 Statement of Transactions	MT537 Statement of Pending Transactions	MT586 Statement of Settlement Allegements	MT54x Settlement Confirmation	MT548 Settlement Status and Processing Advice	MT578 Settlement Allegement
Custody Proceeds					☐		
Securities Borrowing					☐		
Securities Lending		☐			☐		
Securities Lending Reservation		☐			☐		
SE Trades			☐		☐	☐	
Unblocking/delivery for corporate action					☐		
Zero Aggregate Balances	☐						
Zero Sub-Balances	☐						
Amortised Face Amount	☐						
Valuation currency[d]:	D/Q U E						
Aggregate Balance Holding and Collateral Value	☐ ☐ ☐						
Total Account Holding Value	☐ ☐						
International/Bridge/ Clearstream Banking (CEU)				☐			☐
Domestic				☐			☐
Status reporting[e]:			ONE ALL				
			☐ ☐				
Requested start dates of reporting[f]:							
Start date (dd/mm/yy)	_____	_____	_____	_____	_____	_____	_____

a. C = Complete Report; D = Delta report showing changes since the last report.
b. If real time or continuous is selected for a report any other intraday subscription for the same report will be ignored.
c. Consolidated.
d. Only one currency can be indicated in each case. D/Q = Denomination/Quotation currency; U = USD; E = EUR.
e. For a list of the 4 status codes, the various reason codes and their possible combinations, see the Xact via Swift User Guide.
f. To request the end of ISO15022 PRODUCTION reporting, please send a Swift MT599 message specifying your receiving BIC and the requested end date.

Schedule B - Cash reporting - I

Please check (✔) to indicate your required option in each case:

	MT940/camt.052				MT942/camt.053		MT950/camt.053				MT290		MT935	
	Money Suspense		Money Suspense for Value Today		Interim Money Suspense		Money Statement		Cash Balances		Fees Advice		Interest Scale	
	Cᵃ	Dᵃ	C	D	C	D	C	D	C	D	C	D	C	D
ALL intraday reports			☐		☐	☐								
Continuousᵇ						☐								
Intraday reports - available times:														
23:00	☐		☐		☐		☐	☐	☐	☐				
00:00	☐		☐		☐		☐	☐	☐	☐				
01:00	☐		☐		☐		☐	☐	☐	☐				
02:00	☐		☐		☐		☐	☐	☐	☐				
03:00	☐		☐		☐		☐	☐	☐	☐				
04:00	☐		☐		☐		☐	☐	☐	☐				
05:00	☐		☐		☐		☐	☐	☐	☐				
06:30	☐		☐		☐		☐	☐	☐	☐				
07:30	☐		☐		☐		☐	☐	☐	☐				
08:30	☐		☐		☐		☐	☐	☐	☐				
09:30	☐		☐		☐		☐	☐	☐	☐				
10:30	☐		☐		☐		☐	☐	☐	☐				
11:30	☐		☐		☐		☐	☐	☐	☐				
12:00	☐		☐		☐		☐	☐	☐	☐				
13:00	☐		☐		☐		☐	☐	☐	☐				
13:30	☐		☐		☐		☐	☐	☐	☐				
14:30	☐		☐		☐		☐	☐	☐	☐				
15:30	☐		☐		☐		☐	☐	☐	☐				
16:00	☐		☐		☐		☐	☐	☐	☐				
16:30	☐		☐		☐		☐	☐	☐	☐				
17:00	☐		☐		☐		☐	☐	☐	☐				
18:00	☐		☐		☐		☐	☐	☐	☐				
19:00	☐				☐		☐	☐	☐	☐				
21:15	☐				☐		☐	☐	☐	☐				
21:30	☐				☐									
End of dayᶜ Daily	☐				☐		☐		☐		☐			
Weekly	☐						☐		☐					
Monthly	☐						☐		☐				☐	
Quarterly									☐					
Yearly									☐					

	MT940/camt.052		MT942/camt.053	MT950/camt.053		MT290	MT935
	Money Suspense	Money Suspense for Value Today	Interim Money Suspense	Money Statement	Cash Balances	Fees Advice	Interest Scale
	C[a] D[a]	C D	C D	C D	C D	C D	C D
Include:							
All currencies	☐	☐	☐	☐	☐		
Anticipated Net Balances				☐	☐		
Zero balances				☐	☐		
Penalty Fees				☐		☐	
SE Trades	☐	☐	☐				
				☐ CBL Ref. ☐ ISIN ☐ Common Code[d]			
Status reporting[e]:	ONE ALL	ONE ALL	ONE ALL				
	☐ ☐	☐ ☐	☐ ☐				
Requested start dates of reporting[f]:							
Start date (dd/mm/yy)	_____	_____	_____	_____	_____	_____	_____

a. C = Complete Report; D = Delta report showing changes since the last report.
b. If real time or continuous is selected for a report any other intraday subscription for the same report will be ignored.
c. Consolidated.
d. Only choice can be indicated.
e. For a list of the 4 status codes, the various reason codes and their possible combinations, see the Xact via Swift User Guide.
f. To request the end of ISO15022 PRODUCTION reporting, please send a Swift MT599 message specifying your receiving BIC and the requested end date.

Schedule C - Cash reporting - II

Do you want to receive? (check (✓) one only)

☐   MT900 (debit) only   ☐   MT910 (credit) only   ☐   both MT900 and MT910

To specify the information that you want to receive, please check (✓) one or more of the following types:

☐   1   Client cash instructions
☐   2   Clearstream cash instructions
☐   3   Client securities instructions
☐   4   Clearstream securities instructions

For the currency options, please check (✓) the currencies from the list below:

☐		Report all currencies	☐	GEL	Georgian Lari	☐	PEN	Peruvian Nuevo Sol
☐	AED	United Arab Emirates Dirham	☐	HKD	Hong Kong Dollar	☐	PHP	Philippine Peso
☐	AMD	Armenian Dram	☐	HRK	Croatian Kuna	☐	PLN	Polish Zolty
☐	ARS	Argentine Peso	☐	HUF	Hungarian Forint	☐	QAR	Qatari Rial
☐	AUD	Australian Dollar	☐	IDR	Indonesian Rupiah	☐	RON	Romanian Leu
☐	BGN	Bulgarian Lev	☐	ILS	Israeli Shekel	☐	RUB	Russian Rouble
☐	BHD	Bahrani Dinar	☐	ISK	Icelandic Krona	☐	SAR	Saudi Riyal
☐	BRL	Brazilian Real	☐	JPY	Japanese Yen	☐	SEK	Swedish Krona
☐	BWP	Botswana Pula	☐	KRW	Korean Won	☐	SGD	Singapore Dollar
☐	CAD	Canadian Dollar	☐	KWD	Kuwaiti Dinar	☐	THB	Thai Baht
☐	CHF	Swiss Franc	☐	KZT	Kazakh Tenge	☐	TRY	Turkish Lira
☐	CNY	Chinese Yuan	☐	MXN	Mexican Peso	☐	USD	U.S. Dollar
☐	CZK	Czech Koruna	☐	MYR	Malayasian Ringgit	☐	UYU	Uruguayo Peso
☐	DKK	Danish Krone	☐	NOK	Norwegian Krone	☐	XAU	Gold
☐	EUR	Euro	☐	NZD	New Zealand Dollar	☐	ZAR	South African Rand
☐	GBP	Pound Sterling	☐	OMR	Omani Rial			

Schedule D - Income Pre-Advice reporting (IPAR)

Report options

Please check (✔) to indicate your required option or check the All box to select all:

Swift Event indicator (CAEV) and description

☐		All			
☐	ACCU	Accumulation	☐	EXRI	Bonus Rights
☐	BIDS	Repurchase Offer	☐	EXWA	Warrant Exercise
☐	BONU	Bonus Automatic	☐	INTR	Interest Payment
☐	BPUT	Redemption at the Holder's Option	☐	LIQU	Liquidation
☐	CAPD	Capital Distribution	☐	MCAL	Full call / Early redemption
☐	CAPG	Capital Gains Distribution	☐	MRGR	Merger
☐	CONS	Solicitation of a Holder's Consent	☐	PARI	Pari-Passu
☐	CONV	Conversion Option	☐	PCAL	Partial Redemption
☐	DECR	Decrease in value	☐	PINK	Payment in Kind
☐	DETI	Detachment	☐	PPMT	Instalment Call
☐	DRAW	Partial Redemption by Drawing	☐	PRED	Partial Redemption by Pool Factor
☐	DRCA	Dividend Option	☐	PRIO	Subscription Offer without Rights
☐	DRIP	Dividend Reinvestment	☐	REDM	Final Maturity
☐	DTCH	Dutch Auction	☐	RHDI	Rights Distribution
☐	DVCA	Cash Dividend	☐	SHPR	Shares Premium Dividend
☐	DVOP	Dividend Option	☐	SOFF	Demerger/Spin-off
☐	DVSC	Scrip Dividend/Payment	☐	SPLF	Stock Split
☐	DVSE	Stock Dividend	☐	SPLR	Reverse Stock Split
☐	EXOF	Exchange Offer	☐	TEND	Tender Offer/Purchase Offer

Pending trades option: Please check (✔) one box only

☐   Exclude pending trades   ☐   Include pending trades

Days in advance   Please indicate the number of days before pay date for Income Pre-Advices to be reported
Days in advance (1 to 5): _____

Currency options

Please check (✔) the box to the left of each currency required or check the Report all currencies box to select all currencies.

☐		Report all currencies	☐	GEL	Georgian Lari	☐	PEN	Peruvian Nuevo Sol
☐	AED	United Arab Emirates Dirham	☐	HKD	Hong Kong Dollar	☐	PHP	Philippine Peso
☐	AMD	Armenian Dram	☐	HRK	Croatian Kuna	☐	PLN	Polish Zolty
☐	ARS	Argentine Peso	☐	HUF	Hungarian Forint	☐	QAR	Qatari Rial
☐	AUD	Australian Dollar	☐	IDR	Indonesian Rupiah	☐	RON	Romanian Leu
☐	BGN	Bulgarian Lev	☐	ILS	Israeli Shekel	☐	RUB	Russian Rouble
☐	BHD	Bahrani Dinar	☐	ISK	Icelandic Krona	☐	SAR	Saudi Riyal
☐	BRL	Brazilian Real	☐	JPY	Japanese Yen	☐	SEK	Swedish Krona
☐	BWP	Botswana Pula	☐	KRW	Korean Won	☐	SGD	Singapore Dollar
☐	CAD	Canadian Dollar	☐	KWD	Kuwaiti Dinar	☐	THB	Thai Baht
☐	CHF	Swiss Franc	☐	KZT	Kazakh Tenge	☐	TRY	Turkish Lira
☐	CNY	Chinese Yuan	☐	MXN	Mexican Peso	☐	USD	U.S. Dollar
☐	CZK	Czech Koruna	☐	MYR	Malayasian Ringgit	☐	UYU	Uruguayo Peso
☐	DKK	Danish Krone	☐	NOK	Norwegian Krone	☐	XAU	Gold
☐	EUR	Euro	☐	NZD	New Zealand Dollar	☐	ZAR	South African Rand
☐	GBP	Pound Sterling	☐	OMR	Omani Rial			

Requested start date of reporting (dd/mm/yy): _____

Note: The COPY reporting functionality is not available for IPAR reports. However, the original reports can be sent to multiple addresses.

Schedule E - Custody reporting[1]

Please check (✓) to indicate your required option in each case:

	MT564/568 Corporate Action[a]	MT567 Corporate Actions Status and Processing Advice	MT566 Corporate Action and Income Confirmation
Real time (delta)	☐	☐	☐
Acknowledgement of MT565 messages		☐	
Include all media channels[b]		☐ Specify BIC: _____	
Confirmation ITAX on trades[c]			☐
Suppress text (TRANO) from sequence C			☐
Exclude zero amounts			☐

a. Please select event types in the Appendix.
b. Will be sent to the MT565 sender's BIC by default, if another BIC is required please specify.
c. Confirmation of ITAX on trades is set per default.

Requested start date of reporting (dd/mm/yy):   _____

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1. If nothing has been selected, the following reports will be scheduled by default:
– MT564/568 Corporate Action Notifications, Frequency: Real Time
– MT566 Corporate Action Confirmations, Frequency: Real Time
– MT567 Corporate Action Status and Processing, Frequency: Real Time

Schedule F - Triparty Collateral Messages

	MT558 Triparty Collateral Status and Processing Advice	MT569 Triparty Collateral and Exposure Statement for Collateral Receiver	MT569 Triparty Collateral and Exposure Statement for Collateral Giver
Real time (delta)	☐	☐	☐
Intraday complete		23:00 ☐ 00:00 ☐ 01:00 ☐ 02:00 ☐ 04:00 ☐ 05:00 ☐ 06:30 ☐ 07:30 ☐ 08:30 ☐ 09:30 ☐ 10:30 ☐ 11:30 ☐ 12:00 ☐ 13:00 ☐ 13:30 ☐ 14:30 ☐ 15:30 ☐ 16:00 ☐ 16:30 ☐ 17:00 ☐ 18:00 ☐ 19:30 ☐	23:00 ☐ 00:00 ☐ 01:00 ☐ 02:00 ☐ 04:00 ☐ 05:00 ☐ 06:30 ☐ 07:30 ☐ 08:30 ☐ 09:30 ☐ 10:30 ☐ 11:30 ☐ 12:00 ☐ 13:00 ☐ 13:30 ☐ 14:30 ☐ 15:30 ☐ 16:00 ☐ 16:30 ☐ 17:00 ☐ 18:00 ☐ 19:30 ☐
Daily complete		☐	☐
Collateral details		☐	☐
Reporting currency[a]			
Specify Short Code			

a. Please refer to page 6 of this application for the possible reporting currencies.

Requested start date of reporting (dd/mm/yy): _ ___ ___ _

Schedule G - General Meeting Reporting

Please check (✔) to indicate your required option in each case:

	Meeting Notification	Meeting Cancellation	Meeting Entitlement Notification	Meeting Instruction Status	Meeting Vote Execution Confirmation	Meeting Results Dissemination
ISO 20022 (real-time)	☐ seev.001	☐ seev.002	☐ seev.003	☐ seev.006	☐ seev.007	☐ seev.008
ISO 15022 (real-time)	☐ MT564 NEWM/REPL	☐ MT564 CANC				
Event Type filtering	☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET
Issuer Market (two-character country code[a])	☐ all or	☐ all or	☐ all or	☐ all or	☐ all or	☐ all or
Events filtering	☐ all events ☐ only meetings with fees ☐ without meetings with fees	☐ all events ☐ only meetings with fees ☐ without meetings with fees	☐ all events ☐ only meetings with fees ☐ without meetings with fees	☐ all events ☐ only meetings with fees ☐ without meetings with fees	☐ all events ☐ only meetings with fees ☐ without meetings with fees	☐ all events ☐ only meetings with fees ☐ without meetings with fees
Limit reporting to events with SRD indicator filled (not recommended)	☐ No ☐ Yes	☐ No ☐ Yes	☐ No ☐ Yes	☐ No ☐ Yes	☐ No ☐ Yes	☐ No ☐ Yes
Additional address[b]						

a. Available country codes:
AD,AE,AF,AG,AI,AL,AM,AN,AO,AQ,AR,AS,AT,AU,AW,AX,AZ,BA,BB,BD,BE,BF,BG,BH,BI,BJ,BL,BM,BN,BO,BQ,BR,BS,BT,BV,BW,BY,BZ,CA,CC,CD,CF,CG,CH,CI,CK,CL,CM,CN,CO,CR,CS,CU,CV,CW,CX,CY,CZ,DE,DJ,DK,DM,DO,DZ,EC,EE,EG,EH,ER,ES,ET,EU,FI,FJ,FK,FM,FO,FR,GA,GB,GD,GE,GF,GG,GH,GI,GL,GM,GN,GP,GQ,GR,GS,GT,GU,GW,GY,HK,HM,HN,HR,HT,HU,ID,IE,IL,IM,IN,IO,IQ,IR,IS,IT,JE,JM,JO,JP,KE,KG,KH,KI,KM,KN,KP,KR,KW,KY,KZ,LA,LB,LC,LI,LK,LR,LS,LT,LU,LV,LY,MA,MC,MD,ME,MF,MG,MH,MK,ML,MM,MN,MO,MP,MQ,MR,MS,MT,MU,MV,MW,MX,MY,MZ,NA,NC,NE,NF,NG,NI,NL,NO,NP,NR,NU,NZ,OM,PA,PE,PF,PG,PH,PK,PL,PM,PN,PR,PS,PT,PW,PY,QA,QX,RE,RO,RS,RU,RW,SA,SB,SC,SD,SE,SG,SH,SI,SJ,SK,SL,SM,SN,SO,SR,SS,ST,SU,SV,SX,SY,SZ,TC,TD,TF,TG,TH,TJ,TK,TL,TM,TN,TO,TP,TR,TT,TV,TW,TZ,UA,UG,UM,US,UY,UZ,VA,VC,VE,VG,VI,VN,VU,WF,WS,XA,XB,XC,XD,XE,XG,XI,XK,XS,XX,YE,YT,YU,ZA,ZM,ZR,ZW.
b. This is the BIC used in the header, it can be the client's own BIC or a POA BIC

Appendix

MT564/MT568 and MT566 Swift Event Indicator (CAEV) and description:

(If no selection is made, the default option is ALL events)

ALL events [a]	☐		Exclude events for securities in default	☐			☐	
	MT564/MT568	MT566		MT564/MT568	MT566		MT564/MT568	MT566
ACCU	☐	☐	DRCA	☐	☐	PINK	☐	☐
ACTV	☐	☐	DRIP	☐	☐	PLAC	☐	☐
ATTI	☐	☐	DSCL	☐	☐	PPMT	☐	☐
BIDS	☐	☐	DTCH	☐	☐	PRED	☐	☐
BONU	☐	☐	DVCA	☐	☐	PRIO	☐	☐
BPUT	☐	☐	DVOP	☐	☐	REDM	☐	☐
BRUP	☐	☐	DVSC	☐	☐	REDO	☐	☐
CAPD	☐	☐	DVSE	☐	☐	REMK	☐	☐
CAPG	☐	☐	EXOF	☐	☐	RHDI	☐	☐
CAPI	☐	☐	EXRI	☐	☐	SHPR	☐	☐
CEDE/ITAX	☐	☐	EXTM	☐	☐	SMAL	☐	☐
CERT	☐	☐	EXWA	☐	☐	SMPG/IAOP	☐	☐
CHAN	☐	☐	INCR	☐	☐	SMPG/IROP	☐	☐
CLSA	☐	☐	INFO	☐	☐	SOFF	☐	☐
CONS	☐	☐	INTR	☐	☐	SPLF	☐	☐
CONV	☐	☐	LIQU	☐	☐	SPLR	☐	☐
CREV	☐	☐	MCAL	☐	☐	SUSP	☐	☐
DECR	☐	☐	MRGR	☐	☐	TEND	☐	☐
DETI	☐	☐	ODLT	☐	☐	TREC	☐	☐
DFLT	☐	☐	OTHR	☐	☐	WRTH	☐	☐
DLST	☐	☐	PARI	☐	☐	WTRC	☐	☐
DRAW	☐	☐	PCAL	☐	☐			

a. For information about the event description, please refer to the Xact via Swift User Guide on www.clearstream.com