

**Table A: List of UBO investor types as defined by BOT**

<b>UBO investor type code</b>	<b>UBO investor types</b>	<b>Description</b>	<b>Whose LEI to register</b>
468001	Collective investment fund	Includes unit trust funds, mutual funds, exchange traded funds (ETFs)	Fund level. For example: ABC Unit Trust Fund
468003	Central Bank	Includes central bank, reserve bank or monetary authority.	Entity level. For example: Bank of Thailand  Note: The LEI of the central bank, reserve bank or monetary authority is required if it invests via a private fund.
468004	Government	Includes sovereign funds, federal funds, state funds, municipal funds, government-related organisations/councils, international organisations	Fund level or entity level. For example: ABC Sovereign Fund or Thailand Clearing House
468006	Non-financial corporation	Includes associations, non-banking private sector corporations, foundations, endowment funds, limited partnerships, limited companies, public limited companies, holding companies	Corporate entity level.  Note: The LEI of the corporate entity is required if it invests via a private fund.
468007	Insurance company	Includes life/general insurance companies, insurance funds, social insurance organisations	Insurance company level.  Note: The LEI of the insurance company is required if it invests via a private fund.
468009	Pension Funds	Includes retirement funds, employee provident funds, social security funds, pension schemes, government pension funds	Fund level. For example: ABC Pension Fund
468011	Banking institutions / financial	Banking Institutions include commercial banks, investment	Entity level. For example: ABC Investment Bank

	intermediaries (own position only)	<p>banks, development banks, multilateral development banks, private banks and overseas branches of Thai banks.</p> <p>Financial Intermediaries include brokers, dealers, securities services, finance companies, credit unions, financial cooperatives, credit corporations, property financiers, mortgage corporations, leasing and factoring companies, securities depositories, custodian banks and trustees.</p>	
468010	Individual	A person	Not applicable.