

## Usage Guideline

# OneClearstream - Meeting Event Notification - SEEV.001 (SR2024)

## OneClearstream - General Meeting Messages (SR2024) - Clients

This document describes a usage guideline restricting the base message **MX seev.001.001.11**. You can also consult [this information online](#).

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## Message Functionality

### Overview

The Meeting Notification message is sent by Clearstream to clients/account holders or their respective third-party service providers. Clearstream uses multiple sources for the sourcing of information regarding general meetings, including service providers, depositories or issuer agents. Based on the information received by these sources, the Meeting Notification message is created and provided to clients.

### Collection Description

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

### Outline

The OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Event Notification - SEEV.001 (SR2024) message is composed of 14 building blocks

#### a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

#### b. NotificationGeneralInformation

General information about the event notification type and status.

#### c. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

#### d. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

#### e. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

#### f. MeetingDetails

Dates and details of the shareholders meeting.

#### g. Issuer

Institution that is the issuer of the security to which the meeting applies.

#### h. IssuerAgent

Agent of the issuer.

i. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

j. Resolution

Detailed information of a resolution proposed to the vote.

k. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

l. PowerOfAttorneyRequirements

Information about the use of power of attorney.

m. AdditionalInformation

Additional narrative information about the meeting event.

n. SupplementaryData







Additional information that cannot be captured in the structured fields and/or any other specific block.




# 1 Restriction summary

This Usage Guideline restricts the seev.001.001.11 message.










Restriction Type	See page
Removed elements	17, 23, 33, 34, 34, 36, 37, 41, 42, 43, 51, 58, 75, 83, 84, 85, 85, 86, 113, 113, 115, 115, 116, 119, 123, 124, 125, 125, 125
Make mandatory	41, 119, 119, 121
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	82
Conditional rules	20, 82, 112, 129
Formal rules	12, 12, 12, 12, 12, 12, 13, 13, 13, 13, 13, 13, 13, 14, 14, 14, 14, 14, 14, 14, 15, 15, 15, 15, 15
Fixed values	70, 129
Truncates	n.a.
Comments	38, 50, 58, 133, 133, 134, 134, 137
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

## 2 Structure


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingNotificationV11</i>	<MtgNtfctn>		[1..1]		12
	<b>Pagination</b>	<Pgntn>		[0..1]		15
	PageNumber	<PgNb>		[1..1]		81
	LastPageIndicator	<LastPgInd>		[1..1]		81
	<b>NotificationGeneralInformation</b>	<NtfctnGnlInf>		[1..1]		16
	NotificationIdentification	<NtfctnId>		[0..1]		77
	NotificationType	<NtfctnTp>		[1..1]		77
	NotificationStatus	<NtfctnSts>		[1..1]		77
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		78
	ConfirmationOfHoldingRequired	<ConfOfHldgReqrd>		[0..1]		78
	<b>NotificationUpdate</b>	<NtfctnUpd>		[0..1]		16
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]		78
	ReconfirmInstructions	<RcnfrmInstrs>		[0..1]		79
	<b>EventsLinkage</b>	<EvtsLkg>		[0..*]		17
	EventIdentification	<EvtId>		[1..1]		65
	LinkageType	<LkgTp>		[0..1]		66
	<b>Meeting</b>	<Mtg>		[1..1]		17
	MeetingIdentification	<MtgId>		[1..1]		67
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		68
	Type	<Tp>		[1..1]		68
	Classification	<Clssfctn>		[0..1]		68
	AnnouncementDate	<AnncmntDt>		[0..1]		68
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]		69
	Participation	<Prtcptn>		[0..*]		69
	Attendance	<Attndnc>		[0..1]		69
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]		70
	EventProcessingWebSiteAddress	<EvtPrpgWebSiteAdr>		[0..1]		70
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]		70

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSctiesOutsdng>		[0..1]		70
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		71
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]		71
	ProxyChoice	<PrxyChc>		[0..1]		71
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		72
	ResultPublicationDate	<RsltPblctnDt>		[0..1]		72
	SecuritiesBlockingPeriodEndDate	<SctiesBlckgPrdEndDt>		[0..1]		73
	EntitlementFixingDate	<EntitlmntFxdDt>		[0..1]		73
	RegistrationSecuritiesDeadline	<RegnSctiesDdln>		[0..1]		73
	RegistrationSecuritiesMarketDeadline	<RegnSctiesMktDdln>		[0..1]		74
	<b>MeetingDetails</b>	<MtgDtls>		[1..5]		18
	DateAndTime	<DtAndTm>		[1..1]		62
	DateStatus	<DtSts>		[0..1]		62
	QuorumRequired	<QrmReqrd>		[0..1]		62
	Location	<Lctn>		[1..5]		63
	QuorumQuantity	<QrmQty>		[0..1]		63
	URLAddress	<URLAdr>		[0..1]		63
	<b>Issuer</b>	<Issr>		[1..1]		19
	Identification	<Id>		[1..1]		57
	URLAddress	<URLAdr>		[0..1]		57
	<b>IssuerAgent</b>	<IssrAgt>		[0..10]		19
	Identification	<Id>		[1..1]		56
	Role	<Role>		[0..1]		56
	<b>Security</b>	<Scty>		[1..200]		19
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		120
	Position	<Pos>		[0..1000]		120
	<b>Resolution</b>	<Rsln>		[0..1000]		20
	IssuerLabel	<IssrLabl>		[1..1]		110
	Description	<Desc>		[0..*]		111
	ListingGroupResolutionLabel	<ListgGrpRslnLabl>		[0..1]		111
	Type	<Tp>		[0..1]		111

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForInformationOnly	<ForInfOnly>		[1..1]		111
	VoteType	<VoteTp>		[0..1]		111
	Status	<Sts>		[1..1]		112
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		112
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		112
	VoteInstructionType	<VoteInstrTp>		[0..*]		112
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		113
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		113
	Entitlement	<Entitlmnt>		[0..1]		113
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp- prvl>		[0..*]		114
	URLAddress	<URLAdr>		[0..1]		114
	<b>Vote</b>	<Vote>		[0..1]		21
	SecuritiesQuantityRequiredToVote	<SctiesQtyReqrdToVote>		[0..1]		128
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		128
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		128
	VoteDeadline	<VoteDdln>		[0..1]		129
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		129
	VoteMethods	<VoteMthds>		[0..1]		130
	VotingBallotElectronicAddress	<VtngBlItElctrncAdr>		[0..1]		130
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		130
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		131
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		131
	BeneficialOwnerDisclosure	<BnfclOwnrDsclsr>		[0..1]		132
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		132
	IncentivePremium	<IncntivPrm>		[0..1]		132
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		133
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		133
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		134
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		134
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvldtyInd>		[0..1]		134
	<b>PowerOfAttorneyRequirements</b>	<PwrOfAttnyRqrmnts>		[0..1]		22



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		104
	OtherDocumentation	<OthrDcmnttn>		[0..1]		104
	DocumentSubmissionDeadline	<DocSubmissnDdlIn>		[0..1]		105
	<b>AdditionalInformation</b>	<AddtlInf>		[0..1]		22
	Disclaimer	<Dsclmr>		[0..*]		32
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		33
	<b>SupplementaryData</b>	<SplmtryData>		[0..*]		22
	PlaceAndName	<PlcAndNm>		[0..1]		122
	Envelope	<Envlp>		[1..1]		122

## 3 ISO 20022 Rules

### R1 Resolution1Guideline

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

Error handling: Undefined

### R2 VoteInstructionType1Guideline

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

Error handling: Undefined

### R3 EntitlementDate1Guideline

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

Error handling: Undefined

### R4 PreviousNotificationIdentificationRule ✓

If NotificationGeneralInformation/NotificationType is REPL (Replacement), then NotificationUpdate/PreviousNotificationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00486
- *Error Text:* PreviousNotificationIdentification should be present.

**R5 AgentRole1Rule**

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

Error handling: Undefined

**R6 VoteAsPerIntermediary1Rule ✓**

If Vote/VoteMethod/VoteThroughNetwork/VoteChannel code value is VOPI (VoteAsPerIntermediaryInformation), then at least one occurrence of AdditionalInformation/ProcessingTextForNextIntermediary must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00596
- *Error Text:* Invalid message contents for AdditionalInformation/ProcessingTextForNextIntermediary. It must be present.

## 4 Message Building Blocks

**Note** The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Event Notification - SEEV.001 (SR2024) message definition.

### Usage Guideline on Root Node

- **on seev.001.001.11/**
  - **Date Code UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Meeting/RegistrationSecuritiesMarketDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present
- **on seev.001.001.11/**
  - **Date Code UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Meeting/EntitlementFixingDate/Date/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present
- **on seev.001.001.11/**
  - **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Vote/EarlyVoteWithPremiumDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present
- **on seev.001.001.11/**
  - **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Vote/RevocabilityMarketDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present
- **on seev.001.001.11/**
  - **Date Status not used when Date Code UKWN is present:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/MeetingDetails/DateStatus] cannot be present when at least one occurrence of [MeetingNotificationV11/MeetingDetails/DateAndTime/DateCode/Unknown] is present
- **on seev.001.001.11/**
  - **Proxy and Proxy Not Allowed are mutually exclusive:**

For each [Full Message/Document/MeetingNotificationV11], the following elements are mutually exclusive: [MeetingNotificationV11/Meeting/ProxyChoice/Proxy] ,

[MeetingNotificationV11/Meeting/ProxyChoice/ProxyNotAllowed] and one of them must be present

- on seev.001.001.11/

- **Participation section is mandatory for complete notifications:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Meeting/Participation] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Vote/VoteDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- **"Vote" section is mandatory for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote/VoteWithPremiumMarketDeadline/DateCode] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- **"Payment Date" is mandatory for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote/EarlyIncentivePremium/PaymentDate] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- **Location Code UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/MeetingDetails/Location/LocationCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- **Date Code UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Meeting/RegistrationSecuritiesDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.11/**

- **Date Code UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/MeetingDetails/DateAndTime/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.11/**

- **Notification Update is mandatory for Notification Type REPL or RMDR:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/NotificationUpdate] must be present when [MeetingNotificationV11/NotificationGeneralInformation/NotificationType/New] is absent

- **on seev.001.001.11/**

- **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote/VoteWithPremiumDeadline/DateCode] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.11/**

- **Meeting Instruction Identification is mandatory if Pagination is present:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationIdentificationV11/Pagination] must be present when at least one occurrence of [MeetingNotificationV11/Pagination] is present

- **on seev.001.001.11/**

- **"Title" and "Description" are mutually exclusive across all resolutions:**

For each [Full Message/Document/MeetingNotificationV11], the following elements are mutually exclusive: [MeetingNotificationV11/Resolution/Description/Title] , [MeetingNotificationV11/Resolution/Description/Description] and one of them must be present

- **on seev.001.001.11/**

- **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/Vote/RevocabilityDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.11/**

- **Date Time will be used for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/MeetingDetails/DateAndTime/DateOrDateTime/DateTime] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- "Payment Date" is mandatory for complete event notifications:

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote/IncentivePremium/PaymentDate] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- "Vote With Premium Deadline" is mandatory for events with "Incentive Premium":

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote/IncentivePremium] must be present when at least one occurrence of [MeetingNotificationV11/Vote/VoteWithPremiumDeadline] is present

- on seev.001.001.11/

- "Description" is mandatory for complete event notifications:

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Resolution/Description] must be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.11/

- "Early Vote With Premium Deadline" is mandatory for events with "Early Incentive Premium":

For each [Full Message/Document/MeetingNotificationV11], at least one occurrence of [MeetingNotificationV11/Vote/EarlyIncentivePremium] must be present when at least one occurrence of [MeetingNotificationV11/Vote/EarlyVoteWithPremiumDeadline] is present

- on seev.001.001.11/

- Date not possible for complete event notifications:

Instead, Date Time will be used for complete event notifications

----

For each [Full Message/Document/MeetingNotificationV11], [MeetingNotificationV11/MeetingDetails/DateAndTime/DateOrDateTime/Date] cannot be present when at least one occurrence of [MeetingNotificationV11/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

## 4.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

The **Pgntn** block contains the following elements (see datatype "Pagination1" on page 80 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		81
	LastPageIndicator	<LastPgInd>		[1..1]		81

## 4.2 NotificationGeneralInformation

*XML Tag:* NtfctnGnllnf

*Presence:* [1..1]

*Definition:* General information about the event notification type and status.

The **NtfctnGnllnf** block contains the following elements (see datatype "NotificationGeneralInformation4" on page 77 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]		77
	NotificationType	<NtfctnTp>		[1..1]		77
	NotificationStatus	<NtfctnSts>		[1..1]		77
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		78
	ConfirmationOfHoldingRequired	<ConfOfHldgReqrd>		[0..1]		78

## 4.3 NotificationUpdate

*XML Tag:* NtfctnUpd

*Presence:* [0..1]

*Definition:* Information specific to notification amendment as for instance the identification to a previous meeting notification.

The **NtfctnUpd** block contains the following elements (see datatype "NotificationUpdate2" on page 78 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]		78



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReconfirmInstructions	<Rcnfrmlnstrs>		[0..1]		79







## 4.4 EventsLinkage

XML Tag: EvtLkg

Presence: [0..\*]

*Definition:* Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

The **EvtLkg** block contains the following elements (see datatype "[MeetingEventReference1](#)" on page 65 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		65
	LinkageType	<LkgTp>		[0..1]		66

### Usage Guideline details

- This element(seev.001.001.11/EventsLinkage) is removed.







## 4.5 Meeting

XML Tag: Mtg

Presence: [1..1]

*Definition:* Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

The **Mtg** block contains the following elements (see datatype "[MeetingNotice8](#)" on page 66 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		67
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		68
	Type	<Tp>		[1..1]		68
	Classification	<Clssfctn>		[0..1]		68
	AnnouncementDate	<AnncmntDt>		[0..1]		68

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]		69
	Participation	<Prtcptn>		[0..*]		69
	Attendance	<Attndnc>		[0..1]		69
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]		70
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]	⚠	70
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]		70
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSciesOutsdng>		[0..1]		70
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		71
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]		71
	ProxyChoice	<PrxyChc>		[0..1]		71
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		72
	ResultPublicationDate	<RsltPblctnDt>		[0..1]		72
	SecuritiesBlockingPeriodEndDate	<SciesBlckgPrdEndDt>		[0..1]		73
	EntitlementFixingDate	<EnttlmntFxdDt>		[0..1]		73
	RegistrationSecuritiesDeadline	<RegnSciesDdln>		[0..1]		73
	RegistrationSecuritiesMarketDeadline	<RegnSciesMktDdln>		[0..1]		74

## 4.6 MeetingDetails

XML Tag: MtgDtls

Presence: [1..5]

Definition: Dates and details of the shareholders meeting.

The **MtgDtls** block contains the following elements (see datatype "Meeting6" on page 61 for details)

⓪ Removed - !⓪ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndTime	<DtAndTm>		[1..1]		62
	DateStatus	<DtSts>		[0..1]		62
	QuorumRequired	<QrmReqrd>		[0..1]		62
	Location	<Lctn>		[1..5]		63

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>		[0..1]		63
	URLAddress	<URLAdr>		[0..1]		63







## 4.7 Issuer


*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "IssuerInformation3" on page 57 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		57
	URLAddress	<URLAdr>		[0..1]		57







## 4.8 IssuerAgent

*XML Tag:* IssrAgt

*Presence:* [0..10]

*Definition:* Agent of the issuer.

The **IssrAgt** block contains the following elements (see datatype "IssuerAgent3" on page 56 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		56
	Role	<Role>		[0..1]		56

## 4.9 Security


*XML Tag:* Scty

*Presence:* [1..200]

*Definition:* Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

The **Scty** block contains the following elements (see datatype "SecurityPosition16" on page 119 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		120
	Position	<Pos>		[0..1000]		120







## 4.10 Resolution




*XML Tag:* Rsltn

*Presence:* [0..1000]

*Definition:* Detailed information of a resolution proposed to the vote.

The **Rsltn** block contains the following elements (see datatype "Resolution7" on page 109 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		110
	Description	<Desc>		[0..*]		111
	ListingGroupResolutionLabel	<ListgGrpRsltnLbl>		[0..1]		111
	Type	<Tp>		[0..1]		111
	ForInformationOnly	<ForInfOnly>		[1..1]		111
	VoteType	<VoteTp>		[0..1]		111
	Status	<Sts>		[1..1]		112
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		112
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		112
	VoteInstructionType	<VoteInstrTp>		[0..*]		112
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		113
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		113
	Entitlement	<Entitlmnt>		[0..1]		113
	VotingRightsThresholdForApproval	<VtngRghtsThrshld-ForApprvl>		[0..*]		114
	URLAddress	<URLAdr>		[0..1]		114

### Usage Guideline details

- on seev.001.001.11/Resolution
  - Resolution:

**If**  
 Event Confirmation Status is COMP and Participation Method is among {EVOT, PRXY, PHYS, PHNV}

**Then**  
 Resolution is a mandatory field and will be filled

**Else**  
 Resolution is an optional field and may be filled

## 4.11 Vote

XML Tag: Vote

Presence: [0..1]

*Definition:* Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

The **Vote** block contains the following elements (see datatype "[VoteParameters8](#)" on page 127 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantityRequired-ToVote	<ScitiesQtyReqrdToVote>		[0..1]		128
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		128
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		128
	VoteDeadline	<VoteDdln>		[0..1]		129
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		129
	VoteMethods	<VoteMthds>		[0..1]		130
	VotingBallotElectronicAddress	<VtngBlItElctrcAdr>		[0..1]		130
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		130
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		131
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		131
	BeneficialOwnerDisclosure	<BnfcIOwnrDsclsr>		[0..1]		132
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		132
	IncentivePremium	<IncntivPrm>		[0..1]		132
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		133
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		133
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		134

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		134
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvldtyInd>		[0..1]		134

## 4.12 PowerOfAttorneyRequirements

XML Tag: PwrOfAttnyRqrmnts

Presence: [0..1]

Definition: Information about the use of power of attorney.

The **PwrOfAttnyRqrmnts** block contains the following elements (see datatype "[PowerOfAttorneyRequirements4](#)" on page 104 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		104
	OtherDocumentation	<OthrDcmnttn>		[0..1]		104
	DocumentSubmissionDeadline	<DocSubmissnDdlIn>		[0..1]		105

## 4.13 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional narrative information about the meeting event.

The **AddtlInf** block contains the following elements (see datatype "[CorporateEventNarrative4](#)" on page 32 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Disclaimer	<Dsclmr>		[0..*]		32
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		33







## 4.14 SupplementaryData

XML Tag: SplmtryData

Presence: [0..\*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on [page 121](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		122
	Envelope	<Envlp>		[1..1]		122

Usage Guideline details







- This element([see v.001.001.11/SupplementaryData](#)) is removed.

## 5 Message Components

**Note** The following chapter identifies the message components.

### 5.1 AdditionalRightCode1Choice

*Definition:* Choice of additional right code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]		24

**Used in element(s)**

"AdditionalRight" on page 26

#### 5.1.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Identifies the additional right code.

*Datatype:* "AdditionalRight1Code" on page 139







#### 5.1.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* This code can be used in case another reason is required.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 46 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	SchemeName	<SchmeNm>		[0..1]		46
	Issuer	<Issr>		[1..1]		46

### 5.2 AdditionalRightThreshold1Choice



*Definition:* Choice of additional right threshold.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRightThreshold	<AddtlRghtThrshld>	{Or	[1..1]		25
	AdditionalRightThresholdPercentage	<AddtlRghtThrshldPctg>	Or}	[1..1]		25

**Used in element(s)**

"AdditionalRightThreshold" on page 27

## 5.2.1 AdditionalRightThreshold

*XML Tag:* AddtlRghtThrshld

*Presence:* [1..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

*Datatype:* "Max35Text" on page 154

## 5.2.2 AdditionalRightThresholdPercentage

*XML Tag:* AddtlRghtThrshldPctg

*Presence:* [1..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

*Datatype:* "PercentageRate" on page 161

## 5.3 AdditionalRights3

*Definition:* Information about additional rights that can be granted for a meeting.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRight	<AddtlRght>		[1..1]		26
	AdditionalRightInformationURLAddress	<AddtlRghtInfURLAdr>		[0..1]		26
	AdditionalRightDeadline	<AddtlRghtDdlIn>		[0..1]		26
	AdditionalRightMarketDeadline	<AddtlRghtMktDdlIn>		[0..1]		26
	AdditionalRightThreshold	<AddtlRghtThrshld>		[0..1]		27

**Used in element(s)**

["AdditionalProcedureDetails" on page 70](#)

### 5.3.1 AdditionalRight

*XML Tag:* AddtlRght

*Presence:* [1..1]

*Definition:* Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

The **AddtlRght** block contains the following elements (see datatype ["AdditionalRightCode1Choice"](#) on page 24 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]		24

### 5.3.2 AdditionalRightInformationURLAddress

*XML Tag:* AddtlRghtInfURLAdr

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

*Datatype:* ["Max2048Text"](#) on page 152

### 5.3.3 AdditionalRightDeadline

*XML Tag:* AddtlRghtDdln

*Presence:* [0..1]

*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

The **AddtlRghtDdln** block contains the following elements (see datatype ["DateFormat58Choice"](#) on page 37 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

### 5.3.4 AdditionalRightMarketDeadline

*XML Tag:* AddtlRghtMktDdln

*Presence:* [0..1]

*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

The **AddtlRghtMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

### 5.3.5 AdditionalRightThreshold

*XML Tag:* AddtlRghtThrshld

*Presence:* [0..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

The **AddtlRghtThrshld** block contains the following elements (see datatype "[AdditionalRightThreshold1Choice](#)" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRightThreshold	<AddtlRghtThrshld>	{Or	[1..1]		25
	AdditionalRightThresholdPercentage	<AddtlRghtThrshldPctg>	Or}	[1..1]		25

## 5.4 Attendance2

*Definition:* Information and conditions provided for the physical attendance to the meeting.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdmissionConditions	<AdmssnConds>		[0..7]		28
	ConfirmationInformation	<ConfInf>		[0..1]		28
	ConfirmationDeadline	<ConfDdln>		[0..1]		28
	ConfirmationMarketDeadline	<ConfMktDdln>		[0..1]		28

**Used in element(s)**

"[Attendance](#)" on page 69







## 5.4.1 AdmissionConditions

*XML Tag:* AdmssnConds

*Presence:* [0..7]

*Definition:* Conditions for physical admittance to the general meeting.

The **AdmssnConds** block contains the following elements (see datatype "[AttendanceAdmissionConditions2](#)" on page 29 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		29
	AdditionalInformation	<AddtlInf>		[0..1]		29

## 5.4.2 ConfirmationInformation

*XML Tag:* ConfInf

*Presence:* [0..1]

*Definition:* Specifies how to order the attendance card or to give notice of attendance.

*Datatype:* "[Max350Text](#)" on page 153







## 5.4.3 ConfirmationDeadline

*XML Tag:* ConfDdln

*Presence:* [0..1]

*Definition:* Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

The **ConfDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







## 5.4.4 ConfirmationMarketDeadline

*XML Tag:* ConfMktDdln

*Presence:* [0..1]

*Definition:* Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.







The **ConfMktDdIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

## 5.5 AttendanceAdmissionConditions2

*Definition:* Physical attendance admission conditions for a meeting.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		29
	AdditionalInformation	<AddtlInf>		[0..1]		29

**Used in element(s)**

"[AdmissionConditions](#)" on [page 28](#)

### 5.5.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Condition for physical admittance to the general meeting.

*Datatype:* "[AttendanceAdmissionConditions2Code](#)" on [page 142](#)

### 5.5.2 AdditionalInformation

*XML Tag:* AddtlInf







*Presence:* [0..1]

*Definition:* Additional information on the conditions for physical admittance to the general meeting.

*Datatype:* "[Max350Text](#)" on [page 153](#)

## 5.6 CommunicationAddress11

*Definition:* Communication device number or electronic address used for communication.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		30
	URLAddress	<URLAdr>		[0..1]		30

**Used in element(s)**

"VotingBallotElectronicAddress" on page 130

## 5.6.1 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 153

## 5.6.2 URLAddress

*XML Tag:* URLAdr







*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 152

## 5.7 CommunicationAddress12

*Definition:* Communication device number or electronic address used for communication.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	URLAddress	<URLAdr>		[0..1]		30

**Used in element(s)**

"ElectronicVote" on page 127

### 5.7.1 URLAddress

*XML Tag:* URLAdr







*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 152

## 5.8 ContactIdentification1

*Definition:* Information needed to contact a physical person.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		31
	NamePrefix	<NmPrfx>		[0..1]		31
	GivenName	<GvnNm>		[0..1]		31
	Role	<Role>		[0..1]		31
	PhoneNumber	<PhneNb>		[0..1]		32
	FaxNumber	<FaxNb>		[0..1]		32
	EmailAddress	<EmailAdr>		[0..1]		32

**Used in element(s)**

["ContactPerson" on page 64](#)

### 5.8.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* ["Max35Text" on page 154](#)

### 5.8.2 NamePrefix

*XML Tag:* NmPrfx

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* ["NamePrefix1Code" on page 159](#)

### 5.8.3 GivenName

*XML Tag:* GvnNm

*Presence:* [0..1]

*Definition:* First name of a person.

*Datatype:* ["Max35Text" on page 154](#)

### 5.8.4 Role

*XML Tag:* Role

*Presence:* [0..1]  
*Definition:* Role of the party in the activity.  
*Datatype:* ["Max35Text" on page 154](#)

### 5.8.5      **PhoneNumber**

*XML Tag:* PhneNb  
*Presence:* [0..1]  
*Definition:* Collection of information that identifies a phone number, as defined by telecom services.  
*Datatype:* ["PhoneNumber" on page 162](#)







### 5.8.6      **FaxNumber**

*XML Tag:* FaxNb  
*Presence:* [0..1]  
*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.  
*Datatype:* ["PhoneNumber" on page 162](#)

### 5.8.7      **EmailAddress**

*XML Tag:* EmailAdr  
*Presence:* [0..1]  
*Definition:* Address for electronic mail (e-mail).  
*Datatype:* ["Max256Text" on page 153](#)

## 5.9      **CorporateEventNarrative4**

*Definition:* Provides disclaimer narrative information about the event.  
 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Disclaimer	<Dsclmr>		[0..*]		32
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		33

**Used in element(s)**  
["AdditionalInformation" on page 22](#)

### 5.9.1      **Disclaimer**

*XML Tag:* Dsclmr  
*Presence:* [0..\*]



*Definition:* Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "[LanguageSpecifiedNarrative1](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🚫 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		59
	AdditionalInformation	<AddtlInf>		[1..1]		59

## 5.9.2 ProcessingTextForNextIntermediary

*XML Tag:* PrcgTxtForNxtIntrmy

*Presence:* [0..\*]

*Definition:* Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

*Datatype:* "[Max8000Text](#)" on page 155

## 5.10 DateAndDateTime2Choice

*Definition:* Choice between a date or a date and time format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🚫 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]	🚫	33
	DateTime	<DtTm>	Or}	[1..1]	🚫	34

**Used in element(s)**

"[AnnouncementDate](#)" on page 68, "[Date](#)" on page 39, "[DateOrDateTime](#)" on page 38

### 5.10.1 Date

*XML Tag:* Dt

*Presence:* [1..1]

*Definition:* Specified date.

*Datatype:* "[ISODate](#)" on page 150

**Usage Guideline details**

- This element([seev.001.001.11/Vote/VoteMarketDeadline/DateOrDateTime/Date](#)) is removed.

- This element(seev.001.001.11/Vote/VoteDeadline/DateOrDateTime/Date) is removed.

## 5.10.2 DateTime

*XML Tag:* DtTm

*Presence:* [1..1]

*Definition:* Specified date and time.







*Datatype:* ["ISODateTime" on page 150](#)

### Usage Guideline details

- This element(seev.001.001.11/Meeting/AnnouncementDate/DateTime) is removed.

## 5.11 DateAndPlaceOfBirth2

*Definition:* Date and place of birth of a person.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		34
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		34
	CityOfBirth	<CityOfBirth>		[0..1]		35
	CountryOfBirth	<CtryOfBirth>		[0..1]		35

### Used in element(s)

["DateAndPlaceOfBirth" on page 92](#), ["DateAndPlaceOfBirth" on page 95](#)

### 5.11.1 BirthDate

*XML Tag:* BirthDt

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* ["ISODate" on page 150](#)

### 5.11.2 ProvinceOfBirth

*XML Tag:* PrvcOfBirth

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* ["Max35Text" on page 154](#)

### 5.11.3 CityOfBirth

*XML Tag:* CityOfBirth

*Presence:* [0..1]

*Definition:* City where a person was born.

*Datatype:* ["Max35Text" on page 154](#)

### 5.11.4 CountryOfBirth

*XML Tag:* CtryOfBirth







*Presence:* [0..1]


*Definition:* Country where a person was born.

*Datatype:* ["CountryCode" on page 144](#)

## 5.12 DateCode34Choice

*Definition:* Choice between a code or a proprietary code for a date code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		35
	Proprietary	<Prtry>	Or}	[1..1]		35

**Used in element(s)**

["DateCode" on page 39](#)

### 5.12.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* ["DateType10Code" on page 145](#)







### 5.12.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 46](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47


**Usage Guideline details**

- This element(seev.001.001.11/Meeting/SecuritiesBlockingPeriodEndDate/DateCode/Proprietary) is removed.

## 5.13 DateFormat1

*Definition:* Format to express a date and a date mode.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>		[1..1]		36
	DateMode	<DtMd>		[0..1]		36

**Used in element(s)**

"EntitlementFixingDate" on page 73







### 5.13.1 Date

*XML Tag:* Dt

*Presence:* [1..1]

*Definition:* Date at which the event occurs.

The **Dt** block contains the following elements (see datatype "DateFormat3Choice" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37

### 5.13.2 DateMode

*XML Tag:* DtMd

*Presence:* [0..1]

*Definition:* Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".







*Datatype:* ["DateMode1Code" on page 144](#)

#### Usage Guideline details

- This element([seev.001.001.11/Meeting/EntitlementFixingDate/DateMode](#)) is removed.

## 5.14 DateFormat3Choice

*Definition:* Choice between the formats to express a date.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37

#### Used in element(s)

["Date" on page 36](#), ["PaymentDate" on page 53](#), ["ResultPublicationDate" on page 72](#)

### 5.14.1 Date

*XML Tag:* Dt

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

*Datatype:* ["ISODate" on page 150](#)

### 5.14.2 DateCode

*XML Tag:* DtCd







*Presence:* [1..1]

*Definition:* Indicates that date is unknown.


*Datatype:* ["DateType1Code" on page 145](#)

## 5.15 DateFormat58Choice

*Definition:* Choice between an ISODate or ISODateTime format or a date code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		38

**Used in element(s)**

"AdditionalRightDeadline" on page 26, "AdditionalRightMarketDeadline" on page 26, "ConfirmationDeadline" on page 28, "ConfirmationMarketDeadline" on page 28, "DateAndTime" on page 62, "Deadline" on page 108, "DocumentSubmissionDeadline" on page 105, "EarlyVote-WithPremiumDeadline" on page 133, "IssuerDeadlineForVoting" on page 82, "MarketDeadline" on page 108, "RegistrationSecuritiesDeadline" on page 73, "RegistrationSecuritiesMarketDeadline" on page 74, "ResponseDeadlineForVoting" on page 83, "RevocabilityDeadline" on page 131, "RevocabilityMarketDeadline" on page 131, "VoteDeadline" on page 129, "VoteMarketDeadline" on page 129, "VoteWithPremiumDeadline" on page 133, "VoteWithPremiumMarketDeadline" on page 134







## 5.15.1 DateOrDateTime


XML Tag: DtOrDtTm

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **DtOrDtTm** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 33 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateTime	<DtTm>	Or}	[1..1]		34

## 5.15.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 145

**Usage Guideline details**







- on seev.001.001.11/Vote/VoteDeadline/DateCode

**Comment:**

rejected if comp

## 5.16 DateFormat60Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		39
	DateCode	<DtCd>	Or}	[1..1]		39

Used in element(s)

"SecuritiesBlockingPeriodEndDate" on page 73







## 5.16.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 33 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateTime	<DtTm>	Or}	[1..1]		34







## 5.16.2 DateCode


XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode34Choice" on page 35 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		35
	Proprietary	<Prtry>	Or}	[1..1]		35

## 5.17 EligiblePosition13

Definition: Information about a securities position and rights holders.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		41
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		41
	AccountOwner	<AcctOwnr>		[0..1]		41
	HoldingBalance	<HldgBal>		[0..15]		42
	RightsHolder	<RghtsHldr>		[0..250]		42

## Rules

### R8 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

### R9 AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### R10 AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### R11 AccountIdentificationOrBlockChainAddress3Rule ✓

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566



- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

#### Guidelines

##### 1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### Used in element(s)

"Position" on page 120

## 5.17.1 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 154

#### Usage Guideline details

- on seev.001.001.11/Security/Position/AccountIdentification

*This element is now mandatory, the minimum occurrence has been changed to : 1*

## 5.17.2 BlockchainAddressOrWallet

XML Tag: BkChainAdrOrWlIt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 152

#### Usage Guideline details

- This element(seev.001.001.11/Security/Position/BlockchainAddressOrWallet) is removed.







## 5.17.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 89 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		89
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		89

## Usage Guideline details

- This element(seev.001.001.11/Security/Position/AccountOwner) is removed.







## 5.17.4 HoldingBalance



XML Tag: HldgBal

Presence: [0..15]

**Definition:** Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "HoldingBalance11" on page 49 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		49
	BalanceType	<BalTp>		[1..1]		50
	SafekeepingPlace	<SfkpgPlc>		[0..1]		50
	Date	<Dt>		[0..1]		50







## 5.17.5 RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

**Definition:** Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "PartyIdentification246Choice" on page 93 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		93
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		93

## Usage Guideline details

- This element(seev.001.001.11/Security/Position/RightsHolder) is removed.

## 5.18 Entitlement1Choice

*Definition:* Choice of entitlement calculation method.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EntitlementRatio	<EntitlmntRatio>	{Or	[1..1]		43
	EntitlementDescription	<EntitlmntDesc>	Or}	[1..1]		43

Used in element(s)

"Entitlement" on page 113

### 5.18.1 EntitlementRatio

*XML Tag:* EntitlmntRatio

*Presence:* [1..1]

*Definition:* Number of votes assigned to one security.

*Datatype:* "DecimalNumber" on page 147

### 5.18.2 EntitlementDescription

*XML Tag:* EntitlmntDesc







*Presence:* [1..1]

*Definition:* Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

*Datatype:* "Max35Text" on page 154

## 5.19 EventStatus1

*Definition:* Notification status of an event.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		44
	EventConfirmationStatus	<EvtConfSts>		[1..1]		44

**Used in element(s)**

["NotificationStatus" on page 77](#)

## 5.19.1 EventCompletenessStatus

*XML Tag:* EvtCmpltnsSts

*Presence:* [1..1]

*Definition:* Specifies whether the details provided about an event are complete or incomplete.

*Datatype:* ["EventCompletenessStatus1Code" on page 147](#)

## 5.19.2 EventConfirmationStatus

*XML Tag:* EvtConfSts







*Presence:* [1..1]

*Definition:* Specifies the status of the occurrence of an event.

*Datatype:* ["EventConfirmationStatus1Code" on page 147](#)

## 5.20 FinancialInstrumentQuantity18Choice

*Definition:* Choice between formats for the quantity of security.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		44
	FaceAmount	<FaceAmt>	Or}	[1..1]		44

**Used in element(s)**

["SecuritiesQuantityRequiredToVote" on page 128](#), ["TotalNumberOfSecuritiesOutstanding" on page 70](#)

### 5.20.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* ["DecimalNumber" on page 147](#)

### 5.20.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 151](#)

## 5.21 FinancialInstrumentQuantity45Choice

*Definition:* Choice between formats for the quantity of security.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		45
	FaceAmount	<FaceAmt>	Or	[1..1]		45
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		45

### Rules

#### R12 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

### Used in element(s)

["Quantity" on page 121](#)

### 5.21.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 147](#)

### 5.21.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 151](#)

### 5.21.3 DigitalTokenUnit

*XML Tag:* DgtlTknUnit







*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 153

## 5.22 GenericIdentification13

*Definition:* Information related to an identification, for example, party identification or account identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	SchemeName	<SchmeNm>		[0..1]		46
	Issuer	<Issr>		[1..1]		46

Used in element(s)

"Proprietary" on page 24, "Proprietary" on page 74

### 5.22.1 Identification

XML Tag: Id

Presence: [1..1]

*Definition:* Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 154

### 5.22.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

*Definition:* Name of the identification scheme.

Datatype: "Max35Text" on page 154

### 5.22.3 Issuer

XML Tag: Issr







Presence: [1..1]

*Definition:* Entity that assigns the identification.

Datatype: "Max35Text" on page 154

## 5.23 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

**Used in element(s)**

"Proprietary" on page 35, "Proprietary" on page 52, "Proprietary" on page 84, "Proprietary" on page 123, "Proprietary" on page 125, "Type" on page 48

## 5.23.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 148

## 5.23.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 154

## 5.23.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 154

## 5.24 GenericIdentification36

Definition: Identification using a proprietary scheme.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Issuer	<Issr>		[1..1]		48
	SchemeName	<SchmeNm>		[0..1]		48

**Used in element(s)**  
"ProprietaryIdentification" on page 85, "ProprietaryIdentification" on page 87

### 5.24.1 Identification

*XML Tag:* Id  
*Presence:* [1..1]  
*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.  
*Datatype:* "Max35Text" on page 154

### 5.24.2 Issuer

*XML Tag:* Issr  
*Presence:* [1..1]  
*Definition:* Entity that assigns the identification.  
*Datatype:* "Max35Text" on page 154

### 5.24.3 SchemeName

*XML Tag:* SchmeNm  
*Presence:* [0..1]  
*Definition:* Short textual description of the scheme.  
*Datatype:* "Max35Text" on page 154

## 5.25 GenericIdentification78

*Definition:* Identification expressed as a proprietary type and narrative description.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
🔴 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		48
	Identification	<Id>		[0..1]		49

**Used in element(s)**  
"Proprietary" on page 115

### 5.25.1 Type

*XML Tag:* Tp  
*Presence:* [1..1]  
*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 46 for details)



 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

## 5.25.2 Identification

*XML Tag:* Id







*Presence:* [0..1]



*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

*Datatype:* "Max35Text" on page 154

## 5.26 HoldingBalance11

*Definition:* Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		49
	BalanceType	<BalTp>		[1..1]		50
	SafekeepingPlace	<SfkpgPlc>		[0..1]		50
	Date	<Dt>		[0..1]		50

**Used in element(s)**

"HoldingBalance" on page 42







### 5.26.1 Balance

*XML Tag:* Bal

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat14" on page 121 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		121
	Quantity	<Qty>		[1..1]		121

## 5.26.2 BalanceType

*XML Tag:* BalTp

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 168







## 5.26.3 SafekeepingPlace




*XML Tag:* SfkpgPlc

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat28Choice" on page 114 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		114
	Country	<Ctry>	Or	[1..1]		115
	TypeAndIdentification	<TpAndId>	Or	[1..1]		115
	Proprietary	<Prtry>	Or}	[1..1]		115

### Usage Guideline details

- on seev.001.001.11/Security/Position/HoldingBalance/SafekeepingPlace

**Comment:**

An event will be created for each Safekeeping place of a given ISIN.  
The event notifications will mention the respective safekeeping place.

## 5.26.4 Date

*XML Tag:* Dt

*Presence:* [0..1]

*Definition:* Date of the entitlement.

Datatype: ["ISODate" on page 150](#)

#### Usage Guideline details

- This element([seev.001.001.11/Security/Position/HoldingBalance/Date](#)) is removed.

## 5.27 IdentificationSource3Choice

*Definition:* Choice between source of identification of a financial instrument.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		51
	Proprietary	<Prtry>	Or}	[1..1]		51

Used in element(s)

["Type" on page 80](#)

### 5.27.1 Code

XML Tag: Cd

Presence: [1..1]

*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 148](#)

### 5.27.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["Max35Text" on page 154](#)

## 5.28 IdentificationType45Choice

*Definition:* Choice between a code and a data source scheme to specify the type of natural person identification.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		52
	Proprietary	<Prtry>	Or}	[1..1]		52

**Used in element(s)**

"[IdentificationType](#)" on page 76

## 5.28.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Type of identification expressed as a code.

*Datatype:* "[TypeOfIdentification4Code](#)" on page 173

## 5.28.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 46 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

## 5.29 IncentivePremium5

*Definition:* Cash premium made available if the securities holder consents or participates to an event.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		52
	Amount	<Amt>		[1..1]		53
	Type	<Tp>		[1..1]		53
	PaymentDate	<PmtDt>		[0..1]		53

**Used in element(s)**

"[EarlyIncentivePremium](#)" on page 132, "[IncentivePremium](#)" on page 132

## 5.29.1 Description

*XML Tag:* Desc

*Presence:* [0..1]

*Definition:* Description of the premium.

*Datatype:* ["Max350Text" on page 153](#)







## 5.29.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Cash premium paid per security, per vote or per attendee.

The **Amt** block contains the following elements (see datatype ["PriceRateOrAmount3Choice" on page 105](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		105
	Amount	<Amt>	Or}	[1..1]		105







## 5.29.3 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Specifies which voting related criteria gives rights to an incentive premium.

The **Tp** block contains the following elements (see datatype ["IncentivePremiumType2Choice" on page 54](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerSecurity	<PerScty>	{Or	[1..1]		54
	PerVote	<PerVote>	Or	[1..*]		54
	PerAttendee	<PerAttndee>	Or}	[1..1]		55







## 5.29.4 PaymentDate

*XML Tag:* PmtDt

*Presence:* [0..1]

*Definition:* Date/time for the payment of the premium.







The **PmtDt** block contains the following elements (see datatype ["DateFormat3Choice" on page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37

## 5.30 IncentivePremiumType2Choice

*Definition:* Choice of cash premium paid to the security holder when voting.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerSecurity	<PerScty>	{Or	[1..1]		54
	PerVote	<PerVote>	Or	[1..*]		54
	PerAttendee	<PerAttndee>	Or}	[1..1]		55

Used in element(s)

"Type" on page 53

### 5.30.1 PerSecurity

*XML Tag:* PerScty

*Presence:* [1..1]

*Definition:* Number of securities giving right to a premium.

*Datatype:* "Number" on page 161







### 5.30.2 PerVote

*XML Tag:* PerVote

*Presence:* [1..\*]

*Definition:* Quantity of votes per type of vote giving right to a premium.

The **PerVote** block contains the following elements (see datatype "VoteTypeAndQuantity1" on page 135 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionType	<VoteInstrTp>		[1..1]		136
	VoteQuantity	<VoteQty>		[1..1]		136

## 5.30.3 PerAttendee

*XML Tag:* PerAttndee







*Presence:* [1..1]

*Definition:* Indicates that the premium is given per attendee.

*Datatype:* ["YesNoIndicator"](#) on page 179

## 5.31 IndividualPerson43

*Definition:* Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		55
	EmployingParty	<EmplngPty>		[0..1]		55

Used in element(s)

["PersonDetails"](#) on page 106







### 5.31.1 PreassignedProxy

*XML Tag:* PrssgndPrxy

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

The **PrssgndPrxy** block contains the following elements (see datatype ["PartyIdentification232Choice"](#) on page 90 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		90
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		90







### 5.31.2 EmployingParty

*XML Tag:* EmplngPty

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.







The **EmplngPty** block contains the following elements (see datatype ["PartyIdentification129Choice"](#) on page 84 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		84
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		85
	NameAndAddress	<NmAndAdr>	Or	[1..1]		85
	LEI	<LEI>	Or}	[1..1]		86

## 5.32 IssuerAgent3

*Definition:* Identification of the issuer and its role.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		56
	Role	<Role>		[0..1]		56

Used in element(s)

"IssuerAgent" on page 19







### 5.32.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of the issuer agent.

The **Id** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 84 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		84
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		85
	NameAndAddress	<NmAndAdr>	Or	[1..1]		85
	LEI	<LEI>	Or}	[1..1]		86

### 5.32.2 Role

*XML Tag:* Role









*Presence:* [0..1]


*Definition:* Role of the issuer agent.

*Datatype:* ["AgentRole1Code" on page 140](#)

## 5.33 IssuerInformation3

*Definition:* Identification of an organisation.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		57
	URLAddress	<URLAdr>		[0..1]		57

Used in element(s)

["Issuer" on page 19](#)







### 5.33.1 Identification




*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify the organisation.

The **Id** block contains the following elements (see datatype ["PartyIdentification129Choice" on page 84](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		84
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		85
	NameAndAddress	<NmAndAdr>	Or	[1..1]		85
	LEI	<LEI>	Or}	[1..1]		86

### 5.33.2 URLAddress

*XML Tag:* URLAdr

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.







*Datatype:* ["Max2048Text" on page 152](#)


## Usage Guideline details

- This element(seev.001.001.11/Issuer/URLAddress) is removed.

## 5.34 ItemDescription2

*Definition:* Language, title and textual description in the specified language of an item.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		58
	Title	<Titl>		[0..1]		58
	Description	<Desc>		[0..*]		58

## Used in element(s)

["Description" on page 111](#)

### 5.34.1 Language

*XML Tag:* Lang

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* ["ISO2ALanguageCode" on page 150](#)

## Usage Guideline details

- on seev.001.001.11/Resolution/Description/Language

**Comment:**

At least one occurrence of English in each Resolution

### 5.34.2 Title

*XML Tag:* Titl

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* ["Max1025Text" on page 152](#)

### 5.34.3 Description

*XML Tag:* Desc







*Presence:* [0..\*]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* ["Max8000Text" on page 155](#)

## 5.35 LanguageSpecifiedNarrative1

*Definition:* Provides narrative information about an event and the language in which it is specified.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		59
	AdditionalInformation	<AddtlInf>		[1..1]		59

**Used in element(s)**

["Disclaimer" on page 32](#)

### 5.35.1 Language

*XML Tag:* Lang

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* ["ISO2ALanguageCode" on page 150](#)

### 5.35.2 AdditionalInformation

*XML Tag:* AddtlInf







*Presence:* [1..1]

*Definition:* Provides additional textual information in the specified language.

*Datatype:* ["Max8000Text" on page 155](#)

## 5.36 LocationFormat1Choice

*Definition:* Choice between the formats to indicate the location of the meeting.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>	{Or	[1..1]		60
	LocationCode	<LctnCd>	Or}	[1..1]		60

**Used in element(s)**

["Location" on page 63](#)







## 5.36.1 Address

*XML Tag:* Adr

*Presence:* [1..1]

*Definition:* Specifies physical address of the meeting.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 100 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		101
	AddressLine	<AdrLine>		[0..5]		101
	StreetName	<StrtNm>		[0..1]		101
	BuildingNumber	<BldgNb>		[0..1]		101
	PostCode	<PstCd>		[0..1]		101
	TownName	<TwnNm>		[0..1]		101
	CountrySubDivision	<CtrySubDvsn>		[0..1]		102
	Country	<Ctry>		[1..1]		102

## 5.36.2 LocationCode

*XML Tag:* LctnCd







*Presence:* [1..1]

*Definition:* Indicates that the location is unknown.

*Datatype:* "PlaceType1Code" on page 162

## 5.37 MailAddress1

*Definition:* Electronic and physical mail address.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Correspondence	<Crspdc>		[0..5]		61
	EmailAddress	<EmailAdr>		[0..5]		61

**Used in element(s)**

"VoteByMail" on page 126







## 5.37.1 Correspondence

*XML Tag:* Crspdc

*Presence:* [0..5]

*Definition:* Physical mail address for correspondence.

The **Crspdc** block contains the following elements (see datatype "PostalAddress1" on page 100 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		101
	AddressLine	<AdrLine>		[0..5]		101
	StreetName	<StrtNm>		[0..1]		101
	BuildingNumber	<BldgNb>		[0..1]		101
	PostCode	<PstCd>		[0..1]		101
	TownName	<TwnNm>		[0..1]		101
	CountrySubDivision	<CtrySubDvsn>		[0..1]		102
	Country	<Ctry>		[1..1]		102

## 5.37.2 EmailAddress

*XML Tag:* EmailAdr







*Presence:* [0..5]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 153

## 5.38 Meeting6

*Definition:* Information about the date and location(s) of a general meeting.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndTime	<DtAndTm>		[1..1]		62
	DateStatus	<DtSts>		[0..1]		62
	QuorumRequired	<QrmReqrd>		[0..1]		62
	Location	<Lctn>		[1..5]		63
	QuorumQuantity	<QrmQty>		[0..1]		63

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	URLAddress	<URLAdr>		[0..1]		63

#### Rules

##### R13 DateStatus1Rule ✓

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

Error handling:

- Error severity: Fatal
- Error Code: X00085
- Error Text: Invalid message content for DateCode

#### Used in element(s)

"MeetingDetails" on page 18







## 5.38.1 DateAndTime

XML Tag: DtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

The **DtAndTm** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

## 5.38.2 DateStatus

XML Tag: DtSts

Presence: [0..1]

Definition: Status of the meeting date.

Datatype: "[MeetingDateStatus2Code](#)" on page 156

## 5.38.3 QuorumRequired

XML Tag: QrmReqrd

Presence: [0..1]

Definition: Indicates whether a minimum number of security representation is required to hold a meeting.

Datatype: ["YesNoIndicator" on page 179](#)







## 5.38.4 Location

XML Tag: Lctn

Presence: [1..5]

Definition: Location at which the meeting will take place.

The **Lctn** block contains the following elements (see datatype ["LocationFormat1Choice" on page 59](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>	{Or	[1..1]		60
	LocationCode	<LctnCd>	Or}	[1..1]		60







## 5.38.5 QuorumQuantity

XML Tag: QrmQty

Presence: [0..1]

Definition: Minimum quantity of securities required to hold a meeting.

The **QrmQty** block contains the following elements (see datatype ["QuorumQuantity1Choice" on page 109](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>	{Or	[1..1]		109
	QuorumQuantityPercentage	<QrmQtyPctg>	Or}	[1..1]		109

## 5.38.6 URLAddress

XML Tag: URLAdr







Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: ["Max2048Text" on page 152](#)

## 5.39 MeetingContactPerson3

Definition: Information about a contact person.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContactPerson	<CtctPrsn>		[0..1]		64
	EmployingParty	<EmplngPty>		[0..1]		64
	PlaceOfListing	<PlcOfListg>		[0..1]		65

Used in element(s)

"ContactPersonDetails" on page 72







## 5.39.1 ContactPerson

XML Tag: CtctPrsn

Presence: [0..1]

Definition: Identification of the contact person by its name, given name and address.

The **CtctPrsn** block contains the following elements (see datatype "[ContactIdentification1](#)" on page 31 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		31
	NamePrefix	<NmPrfx>		[0..1]		31
	GivenName	<GvnNm>		[0..1]		31
	Role	<Role>		[0..1]		31
	PhoneNumber	<PhneNb>		[0..1]		32
	FaxNumber	<FaxNb>		[0..1]		32
	EmailAddress	<EmailAdr>		[0..1]		32







## 5.39.2 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Identification of the organisation which is represented by the person or for which the person works.

The **EmplngPty** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 84 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		84
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		85
	NameAndAddress	<NmAndAdr>	Or	[1..1]		85
	LEI	<LEI>	Or}	[1..1]		86

### 5.39.3 PlaceOfListing

*XML Tag:* PlcOfListg







*Presence:* [0..1]

*Definition:* Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

*Datatype:* "MICIdentifier" on page 151

## 5.40 MeetingEventReference1

*Definition:* Identification of a linked meeting event.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		65
	LinkageType	<LkgTp>		[0..1]		66

**Used in element(s)**

"EventsLinkage" on page 17







### 5.40.1 EventIdentification

*XML Tag:* EvtId

*Presence:* [1..1]

*Definition:* Identification of the linked meeting event.

The **EvtId** block contains the following elements (see datatype "MeetingEventReference1Choice" on page 66 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedIssuerMeetingIdentification	<LkdIssrMtgId>	{Or	[1..1]		66
	LinkedMeetingIdentification	<LkdMtgId>	Or}	[1..1]		66

## 5.40.2 LinkageType

*XML Tag:* LkgTp

*Presence:* [0..1]

*Definition:* Specifies when the event is to be processed relative to the linked event.

*Datatype:* "ProcessingPosition3Code" on page 163

## 5.41 MeetingEventReference1Choice

*Definition:* Choice between a meeting event identification or a meeting event official identification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedIssuerMeetingIdentification	<LkdIssrMtgId>	{Or	[1..1]		66
	LinkedMeetingIdentification	<LkdMtgId>	Or}	[1..1]		66

**Used in element(s)**

"EventIdentification" on page 65

### 5.41.1 LinkedIssuerMeetingIdentification

*XML Tag:* LkdIssrMtgId

*Presence:* [1..1]

*Definition:* Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

*Datatype:* "Max35Text" on page 154

### 5.41.2 LinkedMeetingIdentification

*XML Tag:* LkdMtgId

*Presence:* [1..1]


*Definition:* Reference assigned by the account servicer to unambiguously identify a related meeting event.

*Datatype:* "Max35Text" on page 154

## 5.42 MeetingNotice8

*Definition:* Information about a meeting, participation requirements and voting procedures.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]		67
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]		68
	Type	<Tp>		[1..1]		68
	Classification	<Clssfctn>		[0..1]		68
	AnnouncementDate	<AnncmntDt>		[0..1]		68
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]		69
	Participation	<Prtcptn>		[0..*]		69
	Attendance	<Attndnc>		[0..1]		69
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]		70
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]		70
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]		70
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfScitiesOutsdng>		[0..1]		70
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		71
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]		71
	ProxyChoice	<PrxyChc>		[0..1]		71
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		72
	ResultPublicationDate	<RsltPblctnDt>		[0..1]		72
	SecuritiesBlockingPeriodEndDate	<ScitiesBlckgPrdEndDt>		[0..1]		73
	EntitlementFixingDate	<EnttlmntFxdDt>		[0..1]		73
	RegistrationSecuritiesDeadline	<RegnScitiesDdln>		[0..1]		73
	RegistrationSecuritiesMarketDeadline	<RegnScitiesMktDdln>		[0..1]		74

**Used in element(s)**

"Meeting" on page 17

## 5.42.1 MeetingIdentification

*XML Tag:* MtglId

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

*Datatype:* "Max35Text" on page 154

## 5.42.2 IssuerMeetingIdentification

*XML Tag:* IssrMtgId

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 154

## 5.42.3 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Type of security holders meeting.

*Datatype:* "MeetingType4Code" on page 156







## 5.42.4 Classification


*XML Tag:* Clssfctn

*Presence:* [0..1]

*Definition:* Classification of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 74 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		74
	Proprietary	<Prtry>	Or}	[1..1]		74







## 5.42.5 AnnouncementDate


*XML Tag:* AnncmntDt

*Presence:* [0..1]

*Definition:* Official meeting announcement date.

The **AnncmntDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 33 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateTime	<DtTm>	Or}	[1..1]		34

## 5.42.6 OneManOneVoteIndicator

*XML Tag:* OneManOneVoteInd

*Presence:* [0..1]

*Definition:* Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

*Datatype:* ["YesNoIndicator" on page 179](#)

## 5.42.7 Participation

*XML Tag:* Prtcptn

*Presence:* [0..\*]

*Definition:* Method of voting participation to the general meeting and related voting deadline per method of participation.

The **Prtcptn** block contains the following elements (see datatype ["ParticipationMethod2" on page 81](#) for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[1..1]		81
	IssuerDeadlineForVoting	<IssrDdlnForVtng>		[1..1]		82
	SupportedByAccountServicer	<SpprtdByAcctSvcr>		[0..1]		82
	ResponseDeadlineForVoting	<RspnDdlnForVtng>		[0..1]		83

## 5.42.8 Attendance

*XML Tag:* AttnDnc

*Presence:* [0..1]

*Definition:* Information and conditions for physical attendance at the general meeting.

The **AttnDnc** block contains the following elements (see datatype ["Attendance2" on page 27](#) for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdmissionConditions	<AdmssnConds>		[0..7]		28
	ConfirmationInformation	<ConfInf>		[0..1]		28
	ConfirmationDeadline	<ConfDdln>		[0..1]		28
	ConfirmationMarketDeadline	<ConfMktDdln>		[0..1]		28

## 5.42.9 AdditionalDocumentationURLAddress

*XML Tag:* AddtlDcmnttnURLAdr

*Presence:* [0..\*]

*Definition:* Address to use over the www (HTTP) service where additional information on the meeting may be found.

*Datatype:* "Max2048Text" on page 152

## 5.42.10 EventProcessingWebSiteAddress

*XML Tag:* EvtPrcgWebSiteAdr

*Presence:* [0..1]

*Definition:* Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

*Datatype:* "Max2048Text" on page 152

### Usage Guideline details

- on seev.001.001.11/Meeting/EventProcessingWebSiteAddress  
**Single value:** Web Address of Xact Web Portal

## 5.42.11 AdditionalProcedureDetails

*XML Tag:* AddtlPrcdrDtls

*Presence:* [0..5]

*Definition:* Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

The **AddtlPrcdrDtls** block contains the following elements (see datatype "AdditionalRights3" on page 25 for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRight	<AddtlRght>		[1..1]		26
	AdditionalRightInformationURLAddress	<AddtlRghtInfURLAdr>		[0..1]		26
	AdditionalRightDeadline	<AddtlRghtDdln>		[0..1]		26
	AdditionalRightMarketDeadline	<AddtlRghtMktDdln>		[0..1]		26
	AdditionalRightThreshold	<AddtlRghtThrshld>		[0..1]		27







## 5.42.12 TotalNumberOfSecuritiesOutstanding

*XML Tag:* TtlNbOfSciesOutsdng

*Presence:* [0..1]

*Definition:* Number of securities admitted to the vote, expressed as an amount and a currency.

The **TtlNbOfSciesOutsdng** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 44 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		44
	FaceAmount	<FaceAmt>	Or}	[1..1]		44

## 5.42.13 TotalNumberOfVotingRights

*XML Tag:* TtlNbOfVtngRghts

*Presence:* [0..1]

*Definition:* Number of rights admitted to the vote.

*Datatype:* "Number" on page 161







## 5.42.14 ProxyAppointmentNotificationAddress

*XML Tag:* PrxyAppntmntNtfctnAdr

*Presence:* [0..1]

*Definition:* Address where the information on the proxy should be sent.

The **PrxyAppntmntNtfctnAdr** block contains the following elements (see datatype "[PostalAddress1](#)" on page 100 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		101
	AddressLine	<AdrLine>		[0..5]		101
	StreetName	<StrtNm>		[0..1]		101
	BuildingNumber	<BldgNb>		[0..1]		101
	PostCode	<PstCd>		[0..1]		101
	TownName	<TwnNm>		[0..1]		101
	CountrySubDivision	<CtrySubDvsn>		[0..1]		102
	Country	<Ctry>		[1..1]		102







## 5.42.15 ProxyChoice

*XML Tag:* PrxyChc

*Presence:* [0..1]

*Definition:* Specifies the proxy or whether a proxy is not allowed.

The **PrxyChc** block contains the following elements (see datatype "Proxy5Choice" on page 106 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proxy	<Prxy>	{Or	[1..1]		107
	ProxyNotAllowed	<PrxyNotAllowed>	Or}	[1..1]		107







## 5.42.16 ContactPersonDetails

*XML Tag:* CtctPrsnDtIs

*Presence:* [0..12]

*Definition:* Contact person at the party organising the meeting, at the issuer or at an intermediary.

The **CtctPrsnDtIs** block contains the following elements (see datatype "MeetingContactPerson3" on page 63 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContactPerson	<CtctPrsn>		[0..1]		64
	EmployingParty	<EmplngPty>		[0..1]		64
	PlaceOfListing	<PlcOfListg>		[0..1]		65







## 5.42.17 ResultPublicationDate

*XML Tag:* RsltPblctnDt

*Presence:* [0..1]

*Definition:* Date on which the company publishes the results of its meeting.

The **RsltPblctnDt** block contains the following elements (see datatype "DateFormat3Choice" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37



## 5.42.18 SecuritiesBlockingPeriodEndDate

*XML Tag:* SctiesBlckgPrdEndDt

*Presence:* [0..1]

*Definition:* Date by which the blocking period for the securities should end.

The **SctiesBlckgPrdEndDt** block contains the following elements (see datatype "[DateFormat60Choice](#)" on page 38 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		39
	DateCode	<DtCd>	Or}	[1..1]		39

## 5.42.19 EntitlementFixingDate

*XML Tag:* EntitlmntFxdDt

*Presence:* [0..1]

*Definition:* Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

The **EntitlmntFxdDt** block contains the following elements (see datatype "[DateFormat1](#)" on page 36 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>		[1..1]		36
	DateMode	<DtMd>		[0..1]	🚫	36

## 5.42.20 RegistrationSecuritiesDeadline

*XML Tag:* RegnSctiesDdln

*Presence:* [0..1]

*Definition:* Date by which the securities have to be registered. This deadline is specified by an intermediary.

The **RegnSctiesDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		38







## 5.42.21 RegistrationSecuritiesMarketDeadline

*XML Tag:* RegnSctiesMktDdlIn

*Presence:* [0..1]

*Definition:* Date by which the securities have to be registered. This deadline is set by the issuer.







The **RegnSctiesMktDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

## 5.43 MeetingTypeClassification2Choice

*Definition:* Choice of meeting type classification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		74
	Proprietary	<Prtry>	Or}	[1..1]		74

**Used in element(s)**

"Classification" on page 68

### 5.43.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a code.

*Datatype:* "[MeetingTypeClassification2Code](#)" on page 157







### 5.43.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification13](#)" on page 46 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	SchemeName	<SchmeNm>		[0..1]		46
	Issuer	<Issr>		[1..1]		46

#### Usage Guideline details

- This element([see v.001.001.11/Meeting/Classification/Proprietary](#)) is removed.

## 5.44 NameAndAddress5

*Definition:* Information that locates and identifies a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		75
	Address	<Adr>		[0..1]		75

#### Used in element(s)

"[NameAndAddress](#)" on page 85

### 5.44.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "[Max350Text](#)" on page 153

### 5.44.2 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.







The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on page 100 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		101
	AddressLine	<AdrLine>		[0..5]		101
	StreetName	<StrtNm>		[0..1]		101
	BuildingNumber	<BldgNb>		[0..1]		101
	PostCode	<PstCd>		[0..1]		101
	TownName	<TwnNm>		[0..1]		101
	CountrySubDivision	<CtrySubDvsn>		[0..1]		102
	Country	<Ctry>		[1..1]		102

## 5.45 NaturalPersonIdentification1

*Definition:* Identification number and identification type of a natural person.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		76
	IdentificationType	<IdTp>		[0..1]		76

**Used in element(s)**

"Identification" on page 92, "Identification" on page 95

### 5.45.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Natural person local identification.

*Datatype:* "Max35Text" on page 154







### 5.45.2 IdentificationType

*XML Tag:* IdTp

*Presence:* [0..1]

*Definition:* Type of local identification for a natural person.







The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 51 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		52
	Proprietary	<Prtry>	Or}	[1..1]		52

## 5.46 NotificationGeneralInformation4

*Definition:* Event notification type and status.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]		77
	NotificationType	<NtfctnTp>		[1..1]		77
	NotificationStatus	<NtfctnSts>		[1..1]		77
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		78
	ConfirmationOfHoldingRequired	<ConfOfHldgReqrd>		[0..1]		78

Used in element(s)

"NotificationGeneralInformation" on page 16

### 5.46.1 NotificationIdentification

*XML Tag:* NtfctnId

*Presence:* [0..1]

*Definition:* Unique identification of the group of meeting notification messages when the notification is split in multiple (paginated) messages.

*Datatype:* "Max35Text" on page 154

### 5.46.2 NotificationType

*XML Tag:* NtfctnTp

*Presence:* [1..1]

*Definition:* Type of notification.

*Datatype:* "NotificationType3Code" on page 160

### 5.46.3 NotificationStatus

*XML Tag:* NtfctnSts

*Presence:* [1..1]

*Definition:* Status of the details of the event.

The **NtfctnSts** block contains the following elements (see datatype "EventStatus1" on page 43 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		44
	EventConfirmationStatus	<EvtConfSts>		[1..1]		44

## 5.46.4 ShareholderRightsDirectiveIndicator

*XML Tag:* ShrhldrRghtsDrctvInd

*Presence:* [0..1]

*Definition:* Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

*Datatype:* "YesNoIndicator" on page 179

## 5.46.5 ConfirmationOfHoldingRequired

*XML Tag:* ConfOfHldgReqrd

*Presence:* [0..1]

*Definition:* Indicates whether a specific confirmation of holding together with a participation and/or vote instruction is required by the issuer (for instance as required in the German Stock Corporation Act paragraph 67c section 3).

*Datatype:* "YesNoIndicator" on page 179

## 5.47 NotificationUpdate2

*Definition:* Identification of a previous notification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]		78
	ReconfirmInstructions	<Rcnfrmlnstrs>		[0..1]		79

**Used in element(s)**

"NotificationUpdate" on page 16

### 5.47.1 PreviousNotificationIdentification

*XML Tag:* PrvsNtfctnId

*Presence:* [1..1]

*Definition:* Identification of a previously sent meeting notification message.

*Datatype:* ["Max35Text" on page 154](#)

## 5.47.2 ReconfirmInstructions

*XML Tag:* RcnfrmlInstrs







*Presence:* [0..1]

*Definition:* Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

*Datatype:* ["YesNoIndicator" on page 179](#)

## 5.48 NumberOrPercentage1Choice

*Definition:* Choice between a percentage and number for a threshold.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThresholdPercentage	<ThrshldPctg>	{Or	[1..1]		79
	ThresholdNumber	<ThrshldNb>	Or}	[1..1]		79

**Used in element(s)**

["Threshold" on page 136](#)

### 5.48.1 ThresholdPercentage

*XML Tag:* ThrshldPctg

*Presence:* [1..1]

*Definition:* Threshold provided as a percentage rate.

*Datatype:* ["PercentageRate" on page 161](#)

### 5.48.2 ThresholdNumber

*XML Tag:* ThrshldNb







*Presence:* [1..1]

*Definition:* Threshold provided as a decimal number.

*Datatype:* ["Number" on page 161](#)

## 5.49 OtherIdentification1

*Definition:* Other accepted financial instrument's identification than ISIN.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		80
	Suffix	<Sfx>		[0..1]		80
	Type	<Tp>		[1..1]		80

Used in element(s)

"OtherIdentification" on page 119

## 5.49.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 154

## 5.49.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 152







## 5.49.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.







The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 51 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		51
	Proprietary	<Prtry>	Or}	[1..1]		51

## 5.50 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		81
	LastPageIndicator	<LastPgInd>		[1..1]		81

Used in element(s)

["Pagination" on page 15](#)

## 5.50.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: ["Max5NumericText" on page 155](#)

## 5.50.2 LastPageIndicator

XML Tag: LastPgInd







Presence: [1..1]



Definition: Indicates the last page.

Datatype: ["YesNoIndicator" on page 179](#)

## 5.51 ParticipationMethod2

Definition: Method of voting participation to a general meeting.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[1..1]		81
	IssuerDeadlineForVoting	<IssrDdlnForVtng>		[1..1]		82
	SupportedByAccountServicer	<SpprtdByAcctSvcr>		[0..1]		82
	ResponseDeadlineForVoting	<RspnDdlnForVtng>		[0..1]		83

Used in element(s)

["Participation" on page 69](#)

## 5.51.1 ParticipationMethod

XML Tag: PrtcptnMtd

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

The **PrtcptnMtd** block contains the following elements (see datatype "[ParticipationMethod3Choice](#)" on page 83 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		83
	Proprietary	<Prtry>	Or}	[1..1]	🚫	84

## 5.51.2 IssuerDeadlineForVoting

*XML Tag:* IssrDdlnForVtng

*Presence:* [1..1]

*Definition:* Deadline for voting on agenda resolutions at the general meeting.

The **IssrDdlnForVtng** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

## 5.51.3 SupportedByAccountServicer

*XML Tag:* SpprtdByAcctSvcr

*Presence:* [0..1]

*Definition:* Indicates whether the specific participation method proposed by the issuer is supported by the account servicer.

*Datatype:* "[YesNoIndicator](#)" on page 179

### Usage Guideline details

- on **seev.001.001.11/Meeting/Participation/SupportedByAccountServicer**
  - **Serviced markets:**  
"Supported By Account Servicer" is set to false for markets where Clearstream does currently not offer proxy voting services (e. g. US market for CEU accounts)
- on **seev.001.001.11/Meeting/Participation/SupportedByAccountServicer**
  - **Supported By Account Servicer:**  
**if**  
Participation Method is MAIL or VIRT

**Then**

Supported By Account Servicer will have the value 'FALSE'

**Else**

Supported By Account Servicer will have the value 'TRUE'







## 5.51.4 ResponseDeadlineForVoting

XML Tag: RspnDdInForVtng

Presence: [0..1]

Definition: Account servicer deadline for voting on agenda resolutions at the general meeting.

The **RspnDdInForVtng** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38


### Usage Guideline details

- This element([seev.001.001.11/Meeting/Participation/ResponseDeadlineForVoting](#)) is removed.

## 5.52 ParticipationMethod3Choice

Definition: Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		83
	Proprietary	<Prtry>	Or}	[1..1]		84

### Used in element(s)

["ParticipationMethod"](#) on page 81

### 5.52.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a code.

Datatype: "VotingParticipationMethod3Code" on page 178

## 5.52.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 46 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

### Usage Guideline details

- This element(seev.001.001.11/Meeting/Participation/ParticipationMethod/Proprietary) is removed.

## 5.53 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	<span style="color: red;">⊘</span>	84
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	<span style="color: red;">⊘</span>	85
	NameAndAddress	<NmAndAdr>	Or	[1..1]		85
	LEI	<LEI>	Or}	[1..1]	<span style="color: red;">⊘</span>	86

### Used in element(s)

"EmployingParty" on page 55, "EmployingParty" on page 64, "Identification" on page 56,  
 "Identification" on page 57

### 5.53.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* ["AnyBICDec2014Identifier" on page 141](#)

#### Usage Guideline details

- This element(seev.001.001.11/Issuer/Identification/AnyBIC) is removed.







## 5.53.2 ProprietaryIdentification

*XML Tag:* PrtryId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36" on page 47](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Issuer	<Issr>		[1..1]		48
	SchemeName	<SchmeNm>		[0..1]		48

#### Usage Guideline details

- This element(seev.001.001.11/Issuer/Identification/ProprietaryIdentification) is removed.







## 5.53.3 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype ["NameAndAddress5" on page 75](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		75
	Address	<Adr>		[0..1]		75

## 5.53.4 LEI

*XML Tag:* LEI

*Presence:* [1..1]

*Definition:* Legal entity identification of the party.






*Datatype:* ["LEIIdentifier" on page 151](#)


### Usage Guideline details

- This element([seev.001.001.11/Issuer/Identification/LEI](#)) is removed.

## 5.54 PartyIdentification198Choice

*Definition:* Identification of a party.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -

 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		86
	LEI	<LEI>	Or	[1..1]		86
	AnyBIC	<AnyBIC>	Or	[1..1]		86
	ClientIdentification	<ClntId>	Or	[1..1]		87
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		87

### Used in element(s)

["Identification" on page 88](#), ["Identification" on page 97](#)

### 5.54.1 NationalRegistrationNumber

*XML Tag:* NtlRegnNb

*Presence:* [1..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* ["Max35Text" on page 154](#)

### 5.54.2 LEI

*XML Tag:* LEI

*Presence:* [1..1]

*Definition:* Legal entity identifier of the party.

*Datatype:* ["LEIIdentifier" on page 151](#)

### 5.54.3 AnyBIC

*XML Tag:* AnyBIC

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* "AnyBICDec2014Identifier" on page 141

## 5.54.4 ClientIdentification

*XML Tag:* ClntId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the client's counterparty.

*Datatype:* "Max50Text" on page 154







## 5.54.5 ProprietaryIdentification

*XML Tag:* PrtryId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.







The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 47 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Issuer	<Issr>		[1..1]		48
	SchemeName	<SchmeNm>		[0..1]		48

## 5.55 PartyIdentification221

*Definition:* Identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		88
	EmailAddress	<EmailAdr>		[0..1]		88
	Identification	<Id>		[1..1]		88

**Used in element(s)**

["LegalPerson" on page 89](#), ["LegalPerson" on page 90](#)







## 5.55.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype ["PersonName2" on page 98](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		98
	Address	<Adr>		[0..1]		98

## 5.55.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* ["Max256Text" on page 153](#)







## 5.55.3 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of the party.

The **Id** block contains the following elements (see datatype ["PartyIdentification198Choice" on page 86](#) for details)







 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		86
	LEI	<LEI>	Or	[1..1]		86
	AnyBIC	<AnyBIC>	Or	[1..1]		86
	ClientIdentification	<ClnId>	Or	[1..1]		87
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		87



## 5.56 PartyIdentification231Choice

*Definition:* Identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		89
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		89

**Used in element(s)**

"AccountOwner" on page 41







### 5.56.1 LegalPerson

*XML Tag:* LglPrsn

*Presence:* [1..1]

*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification221" on page 87 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		88
	EmailAddress	<EmailAdr>		[0..1]		88
	Identification	<Id>		[1..1]		88







### 5.56.2 NaturalPerson

*XML Tag:* NtrlPrsn

*Presence:* [1..\*]

*Definition:* Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification238" on page 91 for details)







 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		91
	EmailAddress	<EmailAdr>		[0..1]		92

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		92
	Nationality	<Ntlty>		[0..1]		92
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		92

## 5.57 PartyIdentification232Choice

*Definition:* Identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		90
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		90

**Used in element(s)**

"PreassignedProxy" on page 55







### 5.57.1 LegalPerson

*XML Tag:* LglPrsn

*Presence:* [1..1]

*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 87 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		88
	EmailAddress	<EmailAdr>		[0..1]		88
	Identification	<Id>		[1..1]		88







### 5.57.2 NaturalPerson

*XML Tag:* NtrlPrsn

*Presence:* [1..1]

*Definition:* Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 91 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		91
	EmailAddress	<EmailAdr>		[0..1]		92
	Identification	<Id>		[1..1]		92
	Nationality	<Ntlty>		[0..1]		92
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		92

## 5.58 PartyIdentification238

*Definition:* Identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		91
	EmailAddress	<EmailAdr>		[0..1]		92
	Identification	<Id>		[1..1]		92
	Nationality	<Ntlty>		[0..1]		92
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		92

**Used in element(s)**

"NaturalPerson" on page 89, "NaturalPerson" on page 90







### 5.58.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 99 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		99
	FirstName	<FrstNm>		[1..1]		99

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Surname	<Snm>		[1..1]		99
	Address	<Adr>		[0..1]		99

## 5.58.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 153







## 5.58.3 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 76 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		76
	IdentificationType	<IdTp>		[0..1]		76

## 5.58.4 Nationality

*XML Tag:* Ntlty

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Datatype:* "CountryCode" on page 144







## 5.58.5 DateAndPlaceOfBirth

*XML Tag:* DtAndPlcOfBirth

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.







The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 34 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		34
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		34
	CityOfBirth	<CityOfBirth>		[0..1]		35
	CountryOfBirth	<CtryOfBirth>		[0..1]		35

## 5.59 PartyIdentification246Choice

*Definition:* Identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		93
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		93

Used in element(s)

"RightsHolder" on page 42







### 5.59.1 LegalPerson

*XML Tag:* LglPrsn

*Presence:* [1..1]

*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification269](#)" on page 96 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		96
	EmailAddress	<EmailAdr>		[0..1]		97
	Identification	<Id>		[0..1]		97
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		97
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		97

### 5.59.2 NaturalPerson

*XML Tag:* NtrlPrsn

*Presence:* [1..\*]

*Definition:* Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification250](#)" on page 94 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		94
	EmailAddress	<EmailAdr>		[0..1]		95
	Identification	<Id>		[0..1]		95
	Nationality	<Ntlty>		[0..1]		95
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		95
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		96

## 5.60 PartyIdentification250

*Definition:* Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		94
	EmailAddress	<EmailAdr>		[0..1]		95
	Identification	<Id>		[0..1]		95
	Nationality	<Ntlty>		[0..1]		95
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		95
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		96

**Used in element(s)**

"NaturalPerson" on page 93







### 5.60.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 99 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		99
	FirstName	<FrstNm>		[1..1]		99
	Surname	<SrnM>		[1..1]		99
	Address	<Adr>		[0..1]		99

## 5.60.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 153







## 5.60.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 76 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		76
	IdentificationType	<IdTp>		[0..1]		76

## 5.60.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 144

## 5.60.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 34 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		34
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		34
	CityOfBirth	<CityOfBirth>		[0..1]		35
	CountryOfBirth	<CtryOfBirth>		[0..1]		35

## 5.60.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "[Max35Text](#)" on page 154

## 5.61 PartyIdentification269

Definition: Identification of a party.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		96
	EmailAddress	<EmailAdr>		[0..1]		97
	Identification	<Id>		[0..1]		97
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		97
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		97

Used in element(s)

"[LegalPerson](#)" on page 93

### 5.61.1 NameAndAddress







XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 98 for details)



 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		98
	Address	<Adr>		[0..1]		98

## 5.61.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 153







## 5.61.3 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 86 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		86
	LEI	<LEI>	Or	[1..1]		86
	AnyBIC	<AnyBIC>	Or	[1..1]		86
	ClientIdentification	<ClntId>	Or	[1..1]		87
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		87

## 5.61.4 CompanyRegisterShareholderIdentification

*XML Tag:* CpnYRegrShrhldrId

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 154

## 5.61.5 CountryOfIncorporation

*XML Tag:* CtryOfIncorprtn

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Datatype:* "CountryCode" on page 144

## 5.62 PersonName2

*Definition:* Identifies a person via its first name and surname.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		98
	Address	<Adr>		[0..1]		98

**Used in element(s)**

"NameAndAddress" on page 88, "NameAndAddress" on page 96

### 5.62.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 153

### 5.62.2 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 102 for details)







🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		103
	StreetName	<StrtNm>		[0..1]		103
	BuildingNumber	<BldgNb>		[0..1]		103
	PostBox	<PstBx>		[0..1]		103
	PostCode	<PstCd>		[0..1]		103
	TownName	<TwnNm>		[0..1]		103

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CountrySubDivision	<CtrySubDvsn>		[0..1]		104
	Country	<Ctry>		[1..1]		104

## 5.63 PersonName3

*Definition:* Identifies a person via its first name and surname.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		99
	FirstName	<FrstNm>		[1..1]		99
	Surname	<Snm>		[1..1]		99
	Address	<Adr>		[0..1]		99

Used in element(s)

"NameAndAddress" on page 91, "NameAndAddress" on page 94

### 5.63.1 NamePrefix

*XML Tag:* NmPrfx

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* "NamePrefix2Code" on page 159

### 5.63.2 FirstName

*XML Tag:* FrstNm

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 153

### 5.63.3 Surname

*XML Tag:* Snm

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 153







### 5.63.4 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 102 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		103
	StreetName	<StrtNm>		[0..1]		103
	BuildingNumber	<BldgNb>		[0..1]		103
	PostBox	<PstBx>		[0..1]		103
	PostCode	<PstCd>		[0..1]		103
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		104
	Country	<Ctry>		[1..1]		104

## 5.64 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		101
	AddressLine	<AdrLine>		[0..5]		101
	StreetName	<StrtNm>		[0..1]		101
	BuildingNumber	<BldgNb>		[0..1]		101
	PostCode	<PstCd>		[0..1]		101
	TownName	<TwnNm>		[0..1]		101
	CountrySubDivision	<CtrySubDvsn>		[0..1]		102
	Country	<Ctry>		[1..1]		102

**Used in element(s)**

"Address" on page 60, "Address" on page 75, "Correspondence" on page 61, "ProxyAppointmentNotificationAddress" on page 71, "VotingBallotRequestAddress" on page 130

## 5.64.1 AddressType

*XML Tag:* AdrTp

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* ["AddressType2Code" on page 139](#)

## 5.64.2 AddressLine

*XML Tag:* AdrLine

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* ["Max70Text" on page 155](#)

## 5.64.3 StreetName

*XML Tag:* StrtNm

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* ["Max70Text" on page 155](#)

## 5.64.4 BuildingNumber

*XML Tag:* BldgNb

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* ["Max16Text" on page 152](#)

## 5.64.5 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* ["Max16Text" on page 152](#)

## 5.64.6 TownName

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* ["Max35Text" on page 154](#)

## 5.64.7 CountrySubDivision

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 154

## 5.64.8 Country

*XML Tag:* Ctry







*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* "CountryCode" on page 144

## 5.65 PostalAddress26

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		103
	StreetName	<StrtNm>		[0..1]		103
	BuildingNumber	<BldgNb>		[0..1]		103
	PostBox	<PstBx>		[0..1]		103
	PostCode	<PstCd>		[0..1]		103
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		104
	Country	<Ctry>		[1..1]		104

Used in element(s)

"Address" on page 98, "Address" on page 99

## 5.65.1 AddressType

*XML Tag:* AdrTp

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 139

## 5.65.2 AddressLine

*XML Tag:* AdrLine

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 155

## 5.65.3 StreetName

*XML Tag:* StrtNm

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 155

## 5.65.4 BuildingNumber

*XML Tag:* BldgNb

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 152

## 5.65.5 PostBox

*XML Tag:* PstBx

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 152

## 5.65.6 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 152

## 5.65.7 TownName

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 154

## 5.65.8 CountrySubDivision

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 154

## 5.65.9 Country

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* "CountryCode" on page 144

## 5.66 PowerOfAttorneyRequirements4

*Definition:* Conditions to get power of attorney.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		104
	OtherDocumentation	<OthrDcmnttn>		[0..1]		104
	DocumentSubmissionDeadline	<DocSubmissnDdl>		[0..1]		105

### Guidelines

#### 1. OtherDocumentation1Guideline

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

### Used in element(s)

"PowerOfAttorneyRequirements" on page 22

## 5.66.1 LegalRequirement

*XML Tag:* LglRqrmnt

*Presence:* [0..4]

*Definition:* Authority that must validate the power of attorney.

*Datatype:* "PowerOfAttorneyLegalisation1Code" on page 162

## 5.66.2 OtherDocumentation

*XML Tag:* OthrDcmnttn



*Presence:* [0..1]

*Definition:* Documents needed to obtain a valid power of attorney.

*Datatype:* ["Max350Text" on page 153](#)







## 5.66.3 DocumentSubmissionDeadline

*XML Tag:* DocSubmissnDdln

*Presence:* [0..1]

*Definition:* Date by which the requested documents must be provided.







The **DocSubmissnDdln** block contains the following elements (see datatype ["DateFormat58Choice" on page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

## 5.67 PriceRateOrAmount3Choice

*Definition:* Choice of formats for the price.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		105
	Amount	<Amt>	Or}	[1..1]		105

**Used in element(s)**

["Amount" on page 53](#)

### 5.67.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Price expressed as a rate, that is percentage.

*Datatype:* ["PercentageRate" on page 161](#)

### 5.67.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Price expressed as a currency and value.

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 138

## 5.68 Proxy11

**Definition:** Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		106
	PersonDetails	<PrsnDtls>		[0..1]		106

Used in element(s)

"AuthorisedProxy" on page 109

### 5.68.1 ProxyType

XML Tag: PrxyTp

Presence: [1..1]

**Definition:** Type of proxy.

Datatype: "ProxyType3Code" on page 165

### 5.68.2 PersonDetails

XML Tag: PrsnDtls

Presence: [0..1]

**Definition:** Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

The **PrsnDtls** block contains the following elements (see datatype "IndividualPerson43" on page 55 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		55
	EmployingParty	<EmplngPty>		[0..1]		55

## 5.69 Proxy5Choice

**Definition:** Choice of proxy allowance.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proxy	<Prxy>	{Or	[1..1]		107
	ProxyNotAllowed	<PrxyNotAllwd>	Or}	[1..1]		107

Used in element(s)

"ProxyChoice" on page 71







## 5.69.1 Proxy

XML Tag: Prxy

Presence: [1..1]

Definition: Proxy assignment and registration information.

The **Prxy** block contains the following elements (see datatype "ProxyAppointmentInformation6" on page 107 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationMethod	<RegnMtd>		[0..1]		108
	Deadline	<Ddln>		[0..1]		108
	MarketDeadline	<MktDdln>		[0..1]		108
	AuthorisedProxy	<AuthrsdPrxy>		[0..10]		109

## 5.69.2 ProxyNotAllowed

XML Tag: PrxyNotAllwd







Presence: [1..1]

Definition: Indicates that no proxy is allowed for a meeting.

Datatype: "ProxyNotAllowed1Code" on page 164

## 5.70 ProxyAppointmentInformation6

Definition: Information about the registration of a proxy.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationMethod	<RegnMtd>		[0..1]		108
	Deadline	<Ddln>		[0..1]		108
	MarketDeadline	<MktDdln>		[0..1]		108

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AuthorisedProxy	<AuthrsdPrxy>		[0..10]		109

Used in element(s)

"Proxy" on page 107

## 5.70.1 RegistrationMethod

XML Tag: RegnMtd

Presence: [0..1]

Definition: Specifies how to register the proxy.

Datatype: "Max350Text" on page 153







## 5.70.2 Deadline

XML Tag: DdIn

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the intermediary.

The **DdIn** block contains the following elements (see datatype "DateFormat58Choice" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







## 5.70.3 MarketDeadline

XML Tag: MktDdIn

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the issuer.

The **MktDdIn** block contains the following elements (see datatype "DateFormat58Choice" on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







## 5.70.4 AuthorisedProxy

*XML Tag:* AuthrsdPrxy

*Presence:* [0..10]

*Definition:* Proxy person that is authorised by the issuer.







The **AuthrsdPrxy** block contains the following elements (see datatype "Proxy11" on page 106 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		106
	PersonDetails	<PrsnDtls>		[0..1]		106

## 5.71 QuorumQuantity1Choice

*Definition:* Choice of quorum quantity.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>	{Or	[1..1]		109
	QuorumQuantityPercentage	<QrmQtyPctg>	Or}	[1..1]		109

**Used in element(s)**

"QuorumQuantity" on page 63

### 5.71.1 QuorumQuantity

*XML Tag:* QrmQty

*Presence:* [1..1]

*Definition:* Minimum quantity of securities required to hold a meeting.

*Datatype:* "Max35Text" on page 154

### 5.71.2 QuorumQuantityPercentage

*XML Tag:* QrmQtyPctg







*Presence:* [1..1]




*Definition:* Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

*Datatype:* "PercentageRate" on page 161

## 5.72 Resolution7

**Definition:** Resolutions at the agenda of a meeting and type of resolution.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		110
	Description	<Desc>		[0..*]		111
	ListingGroupResolutionLabel	<ListgGrpRsltnLbl>		[0..1]		111
	Type	<Tp>		[0..1]		111
	ForInformationOnly	<ForInfOnly>		[1..1]		111
	VoteType	<VoteTp>		[0..1]		111
	Status	<Sts>		[1..1]		112
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		112
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		112
	VoteInstructionType	<VoteInstrTp>		[0..*]		112
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		113
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		113
	Entitlement	<Entitlmnt>		[0..1]		113
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp-prvl>		[0..*]		114
	URLAddress	<URLAdr>		[0..1]		114

## Rules

### R16 VotingRightsTresholdForApproval1Rule

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

### Used in element(s)

"Resolution" on page 20

## 5.72.1 IssuerLabel

XML Tag: IssrLbl

Presence: [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* ["Max35Text" on page 154](#)







## 5.72.2 Description


*XML Tag:* Desc

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.

The **Desc** block contains the following elements (see datatype ["ItemDescription2" on page 58](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		58
	Title	<Titl>		[0..1]		58
	Description	<Desc>		[0..*]		58

## 5.72.3 ListingGroupResolutionLabel

*XML Tag:* ListgGrpRslnLabl

*Presence:* [0..1]

*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

*Datatype:* ["Max35Text" on page 154](#)

## 5.72.4 Type

*XML Tag:* Tp

*Presence:* [0..1]

*Definition:* Type of resolution.

*Datatype:* ["ResolutionType2Code" on page 166](#)

## 5.72.5 ForInformationOnly

*XML Tag:* ForInfOnly

*Presence:* [1..1]

*Definition:* Indicates whether the resolution is listed for information or for voting.

*Datatype:* ["YesNoIndicator" on page 179](#)

## 5.72.6 VoteType

*XML Tag:* VoteTp

*Presence:* [0..1]

*Definition:* Impact of vote results on an agenda resolution.

*Datatype:* ["VoteType1Code" on page 177](#)

### 5.72.7 Status

*XML Tag:* Sts

*Presence:* [1..1]

*Definition:* Specifies whether the resolution is active or withdrawn.

*Datatype:* ["ResolutionStatus1Code" on page 166](#)

### 5.72.8 SubmittedBySecurityHolder

*XML Tag:* SubmittdBySctyHldr

*Presence:* [0..1]

*Definition:* Indicates whether the resolution has been submitted by the security holder.

*Datatype:* ["YesNoIndicator" on page 179](#)

### 5.72.9 RightToWithdrawIndicator

*XML Tag:* RghtToWdrwInd

*Presence:* [0..1]

*Definition:* Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

*Datatype:* ["YesNoIndicator" on page 179](#)







### 5.72.10 VoteInstructionType


*XML Tag:* VoteInstrTp

*Presence:* [0..\*]

*Definition:* Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

The **VoteInstrTp** block contains the following elements (see datatype ["VoteInstructionType1" on page 123](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionTypeCode	<VoteInstrTpCd>		[1..1]		124
	AdditionalInformation	<AddttlInf>		[0..1]		124

**Usage Guideline details**

- on [seev.001.001.11/Resolution/VoteInstructionType](#)
  - **Vote Instruction Type:**



```

If
Event Confirmation Status = COMP and For Information Only = False

Then
Vote Instruction Type is a mandatory field and will be reported

Else

```

## 5.72.11 ManagementRecommendation

*XML Tag:* MgmtRcmndtn

*Presence:* [0..1]

*Definition:* Specifies how the management of the issuing company wishes the security holders to vote.

*Datatype:* ["VoteInstruction5Code" on page 175](#)

## 5.72.12 NotifyingPartyRecommendation

*XML Tag:* NtifngPtyRcmndtn

*Presence:* [0..1]

*Definition:* Specifies how the notifying party recommends that the security holders vote.

*Datatype:* ["VoteInstruction5Code" on page 175](#)

### Usage Guideline details

- This element([seev.001.001.11/Resolution/NotifyingPartyRecommendation](#)) is removed.

## 5.72.13 Entitlement

*XML Tag:* Entitlmnt

*Presence:* [0..1]

*Definition:* Number of votes assigned per resolution to one security.

The **Entitlmnt** block contains the following elements (see datatype ["Entitlement1Choice" on page 43](#) for details)

❌ Removed - 
 ⊖ Partial Removed - 
 ◇ Ignored - 
 ⊖ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EntitlementRatio	<EntitlmntRatio>	{Or	[1..1]		43
	EntitlementDescription	<EntitlmntDesc>	Or}	[1..1]		43

### Usage Guideline details

- This element([seev.001.001.11/Resolution/Entitlement](#)) is removed.

## 5.72.14 VotingRightsThresholdForApproval

XML Tag: VtngRghtsThrshldForApprvl

Presence: [0..\*]

Definition: Voting rights threshold and threshold basis to have a meeting resolution approved.

The **VtngRghtsThrshldForApprvl** block contains the following elements (see datatype "[VotingRightsThreshold1](#)" on page 136 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Threshold	<Thrshld>		[1..1]		136
	ThresholdBasis	<ThrshldBsis>		[0..1]	⚠	137

## 5.72.15 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

Datatype: "[Max2048Text](#)" on page 152

## 5.73 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	114
	Country	<Ctry>	Or	[1..1]	🚫	115
	TypeAndIdentification	<TpAndId>	Or	[1..1]		115
	Proprietary	<Prtry>	Or}	[1..1]	🚫	115

Used in element(s)

["SafekeepingPlace"](#) on page 50







### 5.73.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndText6](#)" on page 117 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		117
	Identification	<Id>		[0..1]		117

#### Usage Guideline details

- This element(seev.001.001.11/Security/Position/HoldingBalance/SafekeepingPlace/Identification) is removed.

## 5.73.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "[CountryCode](#)" on page 144

#### Usage Guideline details

- This element(seev.001.001.11/Security/Position/HoldingBalance/SafekeepingPlace/Country) is removed.







## 5.73.3 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 116 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		116
	Identification	<Id>		[1..1]		116

## 5.73.4 Proprietary

XML Tag: Prtry

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 48 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		48
	Identification	<Id>		[0..1]		49

#### Usage Guideline details

- This element([seev.001.001.11/Security/Position/HoldingBalance/SafekeepingPlace/Proprietary](#)) is removed.

## 5.74 SafekeepingPlaceTypeAndIdentification1

*Definition:* Identification of the type of place of safekeeping expressed as a code and a BIC.

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		116
	Identification	<Id>		[1..1]		116

#### Used in element(s)

"[TypeAndIdentification](#)" on page 115

### 5.74.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "[SafekeepingPlace1Code](#)" on page 167

### 5.74.2 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping.

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 141

## 5.75 SafekeepingPlaceTypeAndText6

*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		117
	Identification	<Id>		[0..1]		117

**Used in element(s)**

"Identification" on page 114

### 5.75.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace2Code" on page 168

### 5.75.2 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* "Max35Text" on page 154

## 5.76 SecurityIdentification19

*Definition:* Identification of a security.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	<b>M</b>	118
	OtherIdentification	<OthrId>		[0..*]	🚫	119
	Description	<Desc>		[0..1]	<b>M</b>	119

**Rules**

**R17 DescriptionPresenceRule** ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**R18 OtherIdentificationPresenceRule ✓**

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**R19 ISINPresenceRule ✓**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**R20 DescriptionUsageRule**

Description must be used alone as the last resort.

Error handling: Undefined

**R21 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

**Used in element(s)**

"FinancialInstrumentIdentification" on page 120

## 5.76.1 ISIN

*XML Tag:* ISIN

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINOct2015Identifier" on page 149

## Usage Guideline details

- on **seev.001.001.11/Security/FinancialInstrumentIdentification/ISIN**

*This element is now mandatory, the minimum occurrence has been changed to : 1*







## 5.76.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..\*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "OtherIdentification1" on page 79 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		80
	Suffix	<Sfx>		[0..1]		80
	Type	<Tp>		[1..1]		80

## Usage Guideline details

- **This element(seev.001.001.11/Security/FinancialInstrumentIdentification/OtherIdentification) is removed.**

## 5.76.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 152







## Usage Guideline details

- on **seev.001.001.11/Security/FinancialInstrumentIdentification/Description**

*This element is now mandatory, the minimum occurrence has been changed to : 1*

## 5.77 SecurityPosition16

Definition: Identification of a security and its balance.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		120
	Position	<Pos>		[0..1000]	<b>M</b>	120

Used in element(s)

"Security" on page 19






## 5.77.1 FinancialInstrumentIdentification


XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19" on page 117 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
 **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	<b>M</b>	118
	OtherIdentification	<OthrId>		[0..*]		119
	Description	<Desc>		[0..1]	<b>M</b>	119






## 5.77.2 Position




XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "EligiblePosition13" on page 39 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
 **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	<b>M</b>	41
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		41
	AccountOwner	<AcctOwnr>		[0..1]		41
	HoldingBalance	<HldgBal>		[0..15]		42
	RightsHolder	<RghtsHldr>		[0..250]		42









## Usage Guideline details

- on [seev.001.001.11/Security/Position](#)

*This element is now mandatory, the minimum occurrence has been changed to : 1*

## 5.78 SignedQuantityFormat14

*Definition:* Signed quantity of a security for various quantity formats.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		121
	Quantity	<Qty>		[1..1]		121

Used in element(s)

"Balance" on page 49

### 5.78.1 ShortLongPosition

*XML Tag:* ShrtLngPos

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* ["ShortLong1Code" on page 171](#)







### 5.78.2 Quantity

*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Quantity of securities.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity45Choice" on page 45](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		45
	FaceAmount	<FaceAmt>	Or	[1..1]		45
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		45

## 5.79 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		122
	Envelope	<Envlp>		[1..1]		122

## Rules

### R22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

## Used in element(s)

"SupplementaryData" on page 22

## 5.79.1 PlaceAndName

*XML Tag:* PlcAndNm

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 153

## 5.79.2 Envelope

*XML Tag:* Envlp

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

## 5.80 ThresholdBasis1Choice

*Definition:* Choice between a threshold basis defined as a predefined code or as a proprietary value.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		123
	Proprietary	<Prtry>	Or}	[1..1]	🚫	123

**Used in element(s)**

"ThresholdBasis" on page 137

## 5.80.1 Code

XML Tag: Cd

Presence: [1..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and provided as a code value.

*Datatype:* "ThresholdBasis1Code" on page 172







## 5.80.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and defined as a proprietary value.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 46 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47


### Usage Guideline details

- This element(seev.001.001.11/Resolution/VotingRightsThresholdForApproval/ThresholdBasis/Proprietary) is removed.

## 5.81 VoteInstructionType1

*Definition:* Vote options for a resolution.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionTypeCode	<VoteInstrTpCd>		[1..1]		124
	AdditionalInformation	<AddtlInf>		[0..1]		124

Rules

R23    **AdditionalInformationRule** ✓

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00424
- *Error Text:* Invalid message contents for VoteInstructionTypeCode. A proprietary code must be present.

Used in element(s)

["VoteInstructionType" on page 112](#)

5.81.1      **VoteInstructionTypeCode**

XML Tag: VoteInstrTpCd

Presence: [1..1]

Definition: Vote option allowed at the resolution level.

The **VoteInstrTpCd** block contains the following elements (see datatype ["VoteInstructionType1Choice"](#) on page 124 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
🔴 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		125
	Proprietary	<Prtry>	Or}	[1..1]	🚫	125

5.81.2      **AdditionalInformation**

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information about the vote instruction type code when expressed as a proprietary code.

Datatype: ["Max350Text" on page 153](#)

Usage Guideline details

- This element([seev.001.001.11/Resolution/VoteInstructionType/AdditionalInformation](#)) is removed.

5.82      **VoteInstructionType1Choice**

*Definition:* Choice between a predefined code value or a proprietary code value for a vote instruction type.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		125
	Proprietary	<Prtry>	Or}	[1..1]	🚫	125

#### Used in element(s)

"VoteInstructionType" on page 136, "VoteInstructionTypeCode" on page 124

## 5.82.1 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Vote option expressed as a code.

*Datatype:* "VoteInstruction6Code" on page 175

## 5.82.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 46 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

#### Usage Guideline details

- This element(seev.001.001.11/Resolution/VoteInstructionType/VoteInstructionTypeCode/Proprietary) is removed.
- This element(seev.001.001.11/Vote/EarlyIncentivePremium/Type/PerVote/VoteInstructionType/Proprietary) is removed.
- This element(seev.001.001.11/Vote/IncentivePremium/Type/PerVote/VoteInstructionType/Proprietary) is removed.

## 5.83 VoteMethods5

*Definition:* Information about the vote methods to be used.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteThroughNetwork	<VoteThrhNtwk>		[0..1]		126
	VoteByMail	<VoteByMail>		[0..1]		126
	ElectronicVote	<ElctrncVote>		[0..5]		127
	VoteByTelephone	<VoteByTel>		[0..5]		127

Used in element(s)

"VoteMethods" on page 130







### 5.83.1 VoteThroughNetwork

*XML Tag:* VoteThrhNtwk

*Presence:* [0..1]

*Definition:* Network address through which a voting party can cast its vote via a structured message.

The **VoteThrhNtwk** block contains the following elements (see datatype "[VoteThroughNetwork1Choice](#)" on page 135 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteChannel	<VoteChanI>	{Or	[1..1]		135
	VoteDirectlyToIssuer	<VoteDrctlyToIssr>	Or}	[1..5]		135







### 5.83.2 VoteByMail

*XML Tag:* VoteByMail

*Presence:* [0..1]

*Definition:* Address where the voting ballot can be sent.

The **VoteByMail** block contains the following elements (see datatype "[MailAddress1](#)" on page 60 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Correspondence	<Crspdc>		[0..5]		61

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..5]		61







### 5.83.3 ElectronicVote

*XML Tag:* ElctrncVote

*Presence:* [0..5]

*Definition:* Electronic address, e-mail or web site, where a security holder can vote.

The **ElctrncVote** block contains the following elements (see datatype "[CommunicationAddress12](#)" on page 30 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	URLAddress	<URLAdr>		[0..1]		30

### 5.83.4 VoteByTelephone

*XML Tag:* VoteByTel







*Presence:* [0..5]



*Definition:* Telephone number providing access to an automated voting system.

*Datatype:* "[Max35Text](#)" on page 154

## 5.84 VoteParameters8

*Definition:* Information describing how the voting process is organised.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantityRequired-ToVote	<ScetiesQtyReqrdToVote>		[0..1]		128
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		128
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		128
	VoteDeadline	<VoteDdln>		[0..1]		129
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		129
	VoteMethods	<VoteMthds>		[0..1]		130
	VotingBallotElectronicAddress	<VtngBlItElctrncAdr>		[0..1]		130
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		130
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		131

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		131
	BeneficialOwnerDisclosure	<BnfcOwnrDsclsr>		[0..1]		132
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		132
	IncentivePremium	<IncntivPrm>		[0..1]	⚠	132
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]	⚠	133
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]	⚠	133
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]	⚠	134
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		134
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvldtyInd>		[0..1]		134

Used in element(s)

"Vote" on page 21

## 5.84.1 SecuritiesQuantityRequiredToVote

XML Tag: SctiesQtyReqrdToVote

Presence: [0..1]

Definition: Number of holdings required for a vote.

The **SctiesQtyReqrdToVote** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		44
	FaceAmount	<FaceAmt>	Or}	[1..1]		44

## 5.84.2 PartialVoteAllowed

XML Tag: PrtlVoteAllwd

Presence: [1..1]

Definition: Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

Datatype: "[YesNoIndicator](#)" on page 179

## 5.84.3 SplitVoteAllowed

XML Tag: SpltVoteAllwd



*Presence:* [1..1]

*Definition:* Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

*Datatype:* ["YesNoIndicator"](#) on page 179

#### Usage Guideline details

- on [seev.001.001.11/Vote/SplitVoteAllowed](#)  
Single value: False







## 5.84.4 VoteDeadline


*XML Tag:* VoteDdlIn

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary.

The **VoteDdlIn** block contains the following elements (see datatype ["DateFormat58Choice"](#) on page 37 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

#### Usage Guideline details

- on [seev.001.001.11/Vote/VoteDeadline](#)

##### – Vote Deadline:

###### If

Event Confirmation Status = COMP and Participation Method = EVOT

###### Then

Vote Deadline is a mandatory field and will be filled

###### Else

Vote Deadline is an optional field and may be filled







## 5.84.5 VoteMarketDeadline

*XML Tag:* VoteMktDdlIn

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the issuer.

The **VoteMktDdlIn** block contains the following elements (see datatype ["DateFormat58Choice"](#) on page 37 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







## 5.84.6 VoteMethods

XML Tag: VoteMthds

Presence: [0..1]

Definition: Specifies the different methods that can be used to vote.

The **VoteMthds** block contains the following elements (see datatype "[VoteMethods5](#)" on page 126 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteThroughNetwork	<VoteThrhgNtwk>		[0..1]		126
	VoteByMail	<VoteByMail>		[0..1]		126
	ElectronicVote	<ElctrncVote>		[0..5]		127
	VoteByTelephone	<VoteByTel>		[0..5]		127







## 5.84.7 VotingBallotElectronicAddress

XML Tag: VtngBlItElctrncAdr

Presence: [0..1]

Definition: Electronic location, e-mail or URL address, where the voting ballot can be requested.

The **VtngBlItElctrncAdr** block contains the following elements (see datatype "[CommunicationAddress11](#)" on page 29 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		30
	URLAddress	<URLAdr>		[0..1]		30







## 5.84.8 VotingBallotRequestAddress

XML Tag: VtngBlItReqAdr

Presence: [0..1]

*Definition:* Postal address where the voting ballot can be requested.

The **VtngBlItReqAdr** block contains the following elements (see datatype "[PostalAddress1](#)" on [page 100](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		101
	AddressLine	<AdrLine>		[0..5]		101
	StreetName	<StrtNm>		[0..1]		101
	BuildingNumber	<BldgNb>		[0..1]		101
	PostCode	<PstCd>		[0..1]		101
	TownName	<TwnNm>		[0..1]		101
	CountrySubDivision	<CtrySubDvsn>		[0..1]		102
	Country	<Ctry>		[1..1]		102







## 5.84.9 RevocabilityDeadline

*XML Tag:* RvcbltyDdln

*Presence:* [0..1]

*Definition:* Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

The **RvcbltyDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







## 5.84.10 RevocabilityMarketDeadline

*XML Tag:* RvcbltyMktDdln

*Presence:* [0..1]

*Definition:* Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

The **RvcbltyMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

### 5.84.11 BeneficialOwnerDisclosure

*XML Tag:* BnfclOwnrDsclsr

*Presence:* [0..1]

*Definition:* Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

*Datatype:* "YesNoIndicator" on page 179







### 5.84.12 EarlyIncentivePremium

*XML Tag:* EarlyIncntivPrm

*Presence:* [0..1]

*Definition:* Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

The **EarlyIncntivPrm** block contains the following elements (see datatype "IncentivePremium5" on page 52 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		52
	Amount	<Amt>		[1..1]		53
	Type	<Tp>		[1..1]		53
	PaymentDate	<PmtDt>		[0..1]		53







### 5.84.13 IncentivePremium

*XML Tag:* IncntivPrm

*Presence:* [0..1]

*Definition:* Cash premium paid to the security holder when voting.

The **IncntivPrm** block contains the following elements (see datatype "IncentivePremium5" on page 52 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		52

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		53
	Type	<Tp>		[1..1]		53
	PaymentDate	<PmtDt>		[0..1]		53

## Usage Guideline details

- on [seev.001.001.11/Vote/IncentivePremium](#)

**Comment:**

Mandatory if Vote With Premium Deadline is present







## 5.84.14 EarlyVoteWithPremiumDeadline

*XML Tag:* EarlyVoteWthPrmDdlIn

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

The **EarlyVoteWthPrmDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

## Usage Guideline details

- on [seev.001.001.11/Vote/EarlyVoteWithPremiumDeadline](#)

**Comment:**

Mandatory if Early Incentive Premium is present







## 5.84.15 VoteWithPremiumDeadline

*XML Tag:* VoteWthPrmDdlIn

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

The **VoteWthPrmDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

#### Usage Guideline details

- on [seev.001.001.11/Vote/VoteWithPremiumDeadline](#)

**Comment:**

Mandatory if Incentive Premium is present







## 5.84.16 VoteWithPremiumMarketDeadline

*XML Tag:* VoteWthPrmMktDdlIn

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

The **VoteWthPrmMktDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

#### Usage Guideline details

- on [seev.001.001.11/Vote/VoteWithPremiumMarketDeadline](#)

**Comment:**

Mandatory if (Early) Incentive Premium is present

## 5.84.17 AdditionalVotingRequirements

*XML Tag:* AddtlVtngRqrmnts

*Presence:* [0..1]

*Definition:* Additional information on specific requirements for allowing a person to vote.

*Datatype:* "[Max350Text](#)" on [page 153](#)

## 5.84.18 PreviousInstructionInvalidityIndicator

*XML Tag:* PrvsInstrInvldtyInd







*Presence:* [0..1]

*Definition:* Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

*Datatype:* ["YesNoIndicator" on page 179](#)

## 5.85 VoteThroughNetwork1Choice

*Definition:* Choice between voting directly to issuers or voting via alternate network channels.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteChannel	<VoteChanI>	{Or	[1..1]		135
	VoteDirectlyToIssuer	<VoteDrctlyToIssr>	Or}	[1..5]		135

**Used in element(s)**

["VoteThroughNetwork" on page 126](#)

### 5.85.1 VoteChannel

*XML Tag:* VoteChanI

*Presence:* [1..1]

*Definition:* Voting is performed via message sent through an alternate network channel, that is, either via the chain of intermediaries or via the channel as described in the processing text for next intermediary information field.

*Datatype:* ["VoteChannel1Code" on page 174](#)

### 5.85.2 VoteDirectlyToIssuer

*XML Tag:* VoteDrctlyToIssr







*Presence:* [1..5]

*Definition:* Voting is performed by sending a vote message directly to the issuer.

*Datatype:* ["AnyBICDec2014Identifier" on page 141](#)

## 5.86 VoteTypeAndQuantity1

*Definition:* Type of vote that can be provided for a resolution in a general meeting.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionType	<VoteInstrTp>		[1..1]		136

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteQuantity	<VoteQty>		[1..1]		136

Used in element(s)

"PerVote" on page 54







## 5.86.1 VoteInstructionType


XML Tag: VoteInstrTp

Presence: [1..1]

Definition: Types of vote instruction allowed for resolutions to be voted on in general meeting.

The **VoteInstrTp** block contains the following elements (see datatype "VoteInstructionType1Choice" on page 124 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		125
	Proprietary	<Prtry>	Or}	[1..1]		125

## 5.86.2 VoteQuantity

XML Tag: VoteQty







Presence: [1..1]


Definition: Quantity of vote expressed for the specified type of vote instruction.

Datatype: "Number" on page 161

## 5.87 VotingRightsThreshold1

Definition: Specifies a threshold level and a threshold basis for passing resolutions at general meetings.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Threshold	<Thrshld>		[1..1]		136
	ThresholdBasis	<ThrshldBsis>		[0..1]		137

Used in element(s)

"VotingRightsThresholdForApproval" on page 114

## 5.87.1 Threshold



*XML Tag:* Thrshld

*Presence:* [1..1]

*Definition:* Voting rights threshold required for a resolution to pass in percentage or in quantity.

The **Thrshld** block contains the following elements (see datatype "[NumberOrPercentage1Choice](#)" on [page 79](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThresholdPercentage	<ThrshldPctg>	{Or	[1..1]		79
	ThresholdNumber	<ThrshldNb>	Or}	[1..1]		79

## 5.87.2 ThresholdBasis

*XML Tag:* ThrshldBsis

*Presence:* [0..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

The **ThrshldBsis** block contains the following elements (see datatype "[ThresholdBasis1Choice](#)" on [page 122](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		123
	Proprietary	<Prtry>	Or}	[1..1]	🚫	123

### Usage Guideline details

- on [seev.001.001.11/Resolution/VotingRightsThresholdForApproval/ThresholdBasis](#)

**Comment:**

Mandatory if more than one occurrence of Voting Rights Threshold For Approval Threshold Basis/Code to be different in each occurrence

## 6 Message Datatypes

**Note** The following chapter identifies the datatypes that are used in the message.

### 6.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

*Definition:* A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 138

#### Format

fractionDigits	13
minInclusive	0
totalDigits	18

#### Used in element(s)

"Amount" on page 105

### 6.2 ActiveOrHistoricCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

#### Format

pattern	[A-Z]{3,3}
---------	------------

#### Rules

##### R15 ActiveOrHistoricCurrency ✓

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

– Error severity: Fatal

- *Error Code:* D00006
- *Error Text:* Invalid currency code.

**Used in attribute(s)**

"ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 138

**Restricts**

"ParentCurrencyCode" on page 161

## 6.3 AdditionalRight1Code

*Definition:* Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

*Type:* CodeSet

Code	Name	Definition
AIPS	AgendaItemProposal	Defines a resolution proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
WQPS	WrittenQuestionProposal	Defines a written question proposal.

**Used in element(s)**

"Code" on page 24

**Restricts**

"AdditionalRightCode" on page 139

## 6.4 AdditionalRightCode

*Definition:* Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

*Type:* CodeSet

Code	Name	Definition
AIPS	AgendaItemProposal	Defines a resolution proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
WQPS	WrittenQuestionProposal	Defines a written question proposal.

**Is restricted by**

"AdditionalRight1Code" on page 139

## 6.5 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

**Used in element(s)**

["AddressType" on page 101](#), ["AddressType" on page 102](#)

**Restricts**

["AddressTypeCode" on page 140](#)

## 6.6 AddressTypeCode

*Definition:* Specifies the type of address.

*Type:* CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

**Is restricted by**

["AddressType2Code" on page 139](#)

## 6.7 AgentRole1Code

*Definition:* Specifies the role of the Issuer agent.

*Type:* CodeSet

Code	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

**Used in element(s)**"Role" on page 56**Restricts**"AgentRoleCode" on page 141

## 6.8 AgentRoleCode

*Definition:* Identification of the agent acting as main paying agent or sub paying agent.

*Type:* CodeSet

Code	Name	Definition
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

**Is restricted by**"AgentRole1Code" on page 140

## 6.9 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

**Format**

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Rules****R14 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**Used in element(s)**

"AnyBIC" on page 84, "AnyBIC" on page 86, "Identification" on page 116, "VoteDirectlyToIssuer" on page 135

## 6.10 AttendanceAdmissionConditions2Code

*Definition:* Specifies the conditions for physical admittance to a general meeting.

*Type:* CodeSet

Code	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.

**Used in element(s)**

"Code" on page 29

**Restricts**

["AttendanceAdmissionConditionsCode" on page 143](#)

## 6.11 AttendanceAdmissionConditionsCode

*Definition:* Conditions for physical admittance to general meetings.

*Type:* CodeSet

Code	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.

**Is restricted by**

["AttendanceAdmissionConditions2Code" on page 142](#)

## 6.12 CorporateActionNotificationTypeCode

*Definition:* Type of notification.

*Type:* CodeSet

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.

Code	Name	Definition
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

"NotificationType3Code" on page 160

## 6.13 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

### Format

pattern [A-Z]{2,2}

### Rules

#### R7 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### Used in element(s)

"Country" on page 102, "Country" on page 104, "Country" on page 115, "CountryOfBirth" on page 35, "CountryOfIncorporation" on page 97, "Nationality" on page 92, "Nationality" on page 95

## 6.14 DateMode1Code

*Definition:* Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

*Type:* CodeSet

Code	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.



**Used in element(s)**

["DateMode" on page 36](#)

**Restricts**

["DateModeCode" on page 145](#)

## 6.15 DateModeCode

*Definition:* Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

*Type:* CodeSet

Code	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

**Is restricted by**

["DateMode1Code" on page 144](#)

## 6.16 DateType10Code

*Definition:* Date is specified as a fixed code value.

*Type:* CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.

**Used in element(s)**

["Code" on page 35](#)

**Restricts**

["DateTypeCode" on page 146](#)

## 6.17 DateType1Code

*Definition:* Specifies when date is unknown.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

"DateCode" on page 37, "DateCode" on page 38

Restricts

"DateTypeCode" on page 146

## 6.18 DateTypeCode

*Definition:* Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType10Code" on page 145, "DateType1Code" on page 145

## 6.19 DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

### Format

fractionDigits	17
totalDigits	18

### Used in element(s)

"EntitlementRatio" on page 43, "Unit" on page 44, "Unit" on page 45

## 6.20 EventCompletenessStatus1Code

*Definition:* Indicates whether the details provided about an event are complete or incomplete.

*Type:* CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

### Used in element(s)

"EventCompletenessStatus" on page 44

### Restricts

"EventCompletenessStatusCode" on page 147

## 6.21 EventCompletenessStatusCode

*Definition:* Indicates whether the details provided about an event are complete or incomplete.

*Type:* CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

### Is restricted by

"EventCompletenessStatus1Code" on page 147

## 6.22 EventConfirmationStatus1Code

*Definition:* Indicates the status of the occurrence of an event.

*Type:* CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

**Used in element(s)**

"EventConfirmationStatus" on page 44

**Restricts**

"EventConfirmationStatusCode" on page 148

## 6.23 EventConfirmationStatusCode

*Definition:* Indicates the status of the occurrence of an event.

*Type:* CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
PDNG	Pending	Occurrence of the event is pending.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

**Is restricted by**

"EventConfirmationStatus1Code" on page 147

## 6.24 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern [a-zA-Z0-9]{4}

**Used in element(s)**

"Identification" on page 47

## 6.25 ExternalFinancialInstrument-IdentificationType1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

maxLength	4
minLength	1

**Used in element(s)**

["Code" on page 51](#)

**Restricts**

["ExternalFinancialInstrumentIdentificationTypeCode" on page 149](#)

## 6.26 ExternalFinancialInstrument-IdentificationTypeCode

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

maxLength	4
minLength	1

**Is restricted by**

["ExternalFinancialInstrumentIdentificationType1Code" on page 148](#)

## 6.27 ISINOct2015Identifier

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

**Format**

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

**Used in element(s)**

"ISIN" on page 118

## 6.28 ISO2ALanguageCode

*Definition:* Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

*Type:* CodeSet

**Format**

pattern [a-z]{2,2}

**Used in element(s)**

"Language" on page 58, "Language" on page 59

## 6.29 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

**Used in element(s)**

"BirthDate" on page 34, "Date" on page 33, "Date" on page 37, "Date" on page 50

## 6.30 ISODatetime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

**Used in element(s)**

"DateTime" on page 34

## 6.31 ImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

**Format**

fractionDigits	5
minInclusive	0
totalDigits	18

**Used in element(s)**

"FaceAmount" on page 44, "FaceAmount" on page 45

## 6.32 LEIIdentifier

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

**Format**

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

**Used in element(s)**

"LEI" on page 86, "LEI" on page 86

## 6.33 MICIdentifier

*Definition:* Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

**Format**

pattern	[A-Z0-9]{4,4}
---------	---------------

**Used in element(s)**

"PlaceOfListing" on page 65

### 6.34 Max1025Text

*Definition:* Specifies a character string with a maximum length of 1025 characters.

*Type:* Text

**Format**

maxLength	1025
minLength	1

**Used in element(s)**

"Title" on page 58

### 6.35 Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

maxLength	140
minLength	1

**Used in element(s)**

"BlockchainAddressOrWallet" on page 41, "Description" on page 119

### 6.36 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

maxLength	16
minLength	1

**Used in element(s)**

"BuildingNumber" on page 101, "BuildingNumber" on page 103, "PostBox" on page 103, "PostCode" on page 101, "PostCode" on page 103, "Suffix" on page 80

### 6.37 Max2048Text

*Definition:* Specifies a character string with a maximum length of 2048 characters.

*Type:* Text



**Format**

maxLength	2048
minLength	1

**Used in element(s)**

["AdditionalDocumentationURLAddress" on page 70](#), ["AdditionalRightInformationURLAddress" on page 26](#), ["EventProcessingWebSiteAddress" on page 70](#), ["URLAddress" on page 30](#), ["URLAddress" on page 30](#), ["URLAddress" on page 57](#), ["URLAddress" on page 63](#), ["URLAddress" on page 114](#)

## 6.38 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

**Format**

maxLength	256
minLength	1

**Used in element(s)**

["EmailAddress" on page 30](#), ["EmailAddress" on page 32](#), ["EmailAddress" on page 61](#), ["EmailAddress" on page 88](#), ["EmailAddress" on page 92](#), ["EmailAddress" on page 95](#), ["EmailAddress" on page 97](#)

## 6.39 Max30DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

*Type:* Quantity

**Format**

fractionDigits	29
totalDigits	30

**Used in element(s)**

["DigitalTokenUnit" on page 45](#)

## 6.40 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

maxLength	350
minLength	1

**Used in element(s)**

["AdditionalInformation" on page 29](#), ["AdditionalInformation" on page 124](#), ["AdditionalVotingRequirements" on page 134](#), ["ConfirmationInformation" on page 28](#), ["Description" on page 52](#), ["FirstName" on page 99](#), ["Name" on page 75](#), ["Name" on page 98](#), ["OtherDocumentation" on page 104](#), ["PlaceAndName" on page 122](#), ["RegistrationMethod" on page 108](#), ["Surname" on page 99](#)

## 6.41 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

maxLength	35
minLength	1

**Used in element(s)**

["AccountIdentification" on page 41](#), ["AdditionalRightThreshold" on page 25](#), ["CityOfBirth" on page 35](#), ["CompanyRegisterShareholderIdentification" on page 96](#), ["CompanyRegisterShareholderIdentification" on page 97](#), ["CountrySubDivision" on page 102](#), ["CountrySubDivision" on page 104](#), ["EntitlementDescription" on page 43](#), ["GivenName" on page 31](#), ["Identification" on page 48](#), ["Identification" on page 49](#), ["Identification" on page 76](#), ["Identification" on page 80](#), ["Identification" on page 117](#), ["Issuer" on page 46](#), ["Issuer" on page 47](#), ["Issuer" on page 48](#), ["IssuerLabel" on page 110](#), ["IssuerMeetingIdentification" on page 68](#), ["LinkedIssuerMeetingIdentification" on page 66](#), ["LinkedMeetingIdentification" on page 66](#), ["ListingGroupResolutionLabel" on page 111](#), ["MeetingIdentification" on page 67](#), ["Name" on page 31](#), ["NationalRegistrationNumber" on page 86](#), ["NotificationIdentification" on page 77](#), ["PreviousNotificationIdentification" on page 78](#), ["Proprietary" on page 51](#), ["ProvinceOfBirth" on page 34](#), ["QuorumQuantity" on page 109](#), ["Role" on page 31](#), ["SchemeName" on page 46](#), ["SchemeName" on page 47](#), ["SchemeName" on page 48](#), ["TownName" on page 101](#), ["TownName" on page 103](#), ["VoteByTelephone" on page 127](#)

## 6.42 Max4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

**Used in element(s)**

["Identification" on page 46](#)

## 6.43 Max50Text

*Definition:* Specifies a character string with a maximum length of 50 characters.

*Type:* Text

**Format**

maxLength	50
minLength	1

**Used in element(s)**

"ClientIdentification" on page 87

## 6.44 Max5NumericText

*Definition:* Specifies a numeric string with a maximum length of 5 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,5}
---------	------------

**Used in element(s)**

"PageNumber" on page 81

## 6.45 Max70Text

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

**Format**

maxLength	70
minLength	1

**Used in element(s)**

"AddressLine" on page 101, "AddressLine" on page 103, "StreetName" on page 101, "StreetName" on page 103

## 6.46 Max8000Text

*Definition:* Specifies a character string with a maximum length of 8000 characters.

*Type:* Text

**Format**

maxLength	8000
minLength	1

**Used in element(s)**

["AdditionalInformation" on page 59](#), ["Description" on page 58](#), ["ProcessingTextForNextIntermediary" on page 33](#)

## 6.47 MeetingDateStatus2Code

*Definition:* Specifies a status for a meeting date.

*Type:* CodeSet

Code	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

**Used in element(s)**

["DateStatus" on page 62](#)

**Restricts**

["MeetingDateStatusCode" on page 156](#)

## 6.48 MeetingDateStatusCode

*Definition:* Identifies the possible statuses of a meeting date.

*Type:* CodeSet

Code	Name	Definition
CANC	Cancelled	Meeting date has been cancelled.
CNFR	Confirmed	Meeting date is confirmed.
NOQO	NoQuorum	Meeting could not take place as the quorum was not reached.
TNTA	Tentative	Meeting date is tentative.

**Is restricted by**

["MeetingDateStatus2Code" on page 156](#)

## 6.49 MeetingType4Code

*Definition:* Specifies a type of security holders meeting.

*Type:* CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least

Code	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

**Used in element(s)**

"Type" on page 68

**Restricts**

"MeetingTypeV2Code" on page 158

## 6.50 MeetingTypeClassification2Code

*Definition:* Classifies the meeting type.

*Type:* CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

**Used in element(s)**

"Code" on page 74

**Restricts**

["MeetingTypeClassificationCode" on page 158](#)

## 6.51 MeetingTypeClassificationCode

*Definition:* Classifies the meeting type.

*Type:* CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

**Is restricted by**

["MeetingTypeClassification2Code" on page 157](#)

## 6.52 MeetingTypeV2Code

*Definition:* Specifies the type of security holders meeting.

*Type:* CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and

Code	Name	Definition
		directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

["MeetingType4Code" on page 156](#)

## 6.53 NamePrefix1Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

["NamePrefix" on page 31](#)

Restricts

["NamePrefixCode" on page 160](#)

## 6.54 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.

Code	Name	Definition
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

**Used in element(s)**

["NamePrefix" on page 99](#)

**Restricts**

["NamePrefixCode" on page 160](#)

## 6.55 NamePrefixCode

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

**Is restricted by**

["NamePrefix1Code" on page 159](#), ["NamePrefix2Code" on page 159](#)

## 6.56 NotificationType3Code

*Definition:* Specifies a type of notification.

*Type:* CodeSet

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

**Used in element(s)**

["NotificationType" on page 77](#)



## Restricts

["CorporateActionNotificationTypeCode" on page 143](#)

## 6.57 Number

*Definition:* Number of objects represented as an integer.

*Type:* Quantity

### Format

fractionDigits	0
totalDigits	18

### Used in element(s)

["PerSecurity" on page 54](#), ["ThresholdNumber" on page 79](#), ["TotalNumberOfVotingRights" on page 71](#), ["VoteQuantity" on page 136](#)

## 6.58 ParentCurrencyCode

*Definition:* Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

*Type:* CodeSet

### Format

pattern	[A-Z]{3,3}
---------	------------

### Is restricted by

["ActiveOrHistoricCurrencyCode" on page 138](#)

## 6.59 PercentageRate

*Definition:* Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

*Type:* Rate

### Format

fractionDigits	10
totalDigits	11

### Used in element(s)

["AdditionalRightThresholdPercentage" on page 25](#), ["QuorumQuantityPercentage" on page 109](#), ["Rate" on page 105](#), ["ThresholdPercentage" on page 79](#)

## 6.60 PhoneNumber

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

*Type:* Text

### Format

pattern \+[0-9]{1,3}-[0-9()+-]{1,30}

### Used in element(s)

["FaxNumber" on page 32](#), ["PhoneNumber" on page 32](#)

## 6.61 PlaceType1Code

*Definition:* Type of date.

*Type:* CodeSet

Code	Name	Definition
UKWN	Unknown	The type of place is unknown.

### Used in element(s)

["LocationCode" on page 60](#)

### Restricts

["PlaceTypeCode" on page 162](#)

## 6.62 PlaceTypeCode

*Definition:* Type of place.

*Type:* CodeSet

Code	Name	Definition
UKWN	Unknown	The type of place is unknown.

### Is restricted by

["PlaceType1Code" on page 162](#)

## 6.63 PowerOfAttorneyLegalisation1Code

*Definition:* Indicates the legal constraint on the Power of Attorney.

*Type:* CodeSet

Code	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.

**Used in element(s)**

"LegalRequirement" on page 104

**Restricts**

"PowerOfAttorneyLegalisationCode" on page 163

## 6.64 PowerOfAttorneyLegalisationCode

*Definition:* Indicates the legal constraint on the power of attorney.

*Type:* CodeSet

Code	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.

**Is restricted by**

"PowerOfAttorneyLegalisation1Code" on page 162

## 6.65 ProcessingPosition3Code

*Definition:* Specifies the processing position.

*Type:* CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

Code	Name	Definition
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

**Used in element(s)**

"LinkageType" on page 66

**Restricts**

"ProcessingPositionCode" on page 164

## 6.66 ProcessingPositionCode

*Definition:* Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

*Type:* CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

**Is restricted by**

"ProcessingPosition3Code" on page 163

## 6.67 ProxyNotAllowed1Code

*Definition:* Indicates that the assignment of a proxy is not allowed for the meeting.

*Type:* CodeSet

Code	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

**Used in element(s)**

"ProxyNotAllowed" on page 107

**Restricts**

["ProxyNotAllowedCode" on page 165](#)

## 6.68 ProxyNotAllowedCode

*Definition:* Indicates that the assignment of a proxy is not allowed for the meeting.

*Type:* CodeSet

Code	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

**Is restricted by**

["ProxyNotAllowed1Code" on page 164](#)

## 6.69 ProxyType3Code

*Definition:* Specifies the type of proxy person.

*Type:* CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

**Used in element(s)**

["ProxyType" on page 106](#)

**Restricts**

["ProxyTypeCode" on page 165](#)

## 6.70 ProxyTypeCode

*Definition:* Specifies the type of proxy person.

*Type:* CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

**Is restricted by**

"ProxyType3Code" on page 165

## 6.71 ResolutionStatus1Code

*Definition:* Specifies whether a resolution in an agenda is still active or has been deleted.

*Type:* CodeSet

Code	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

**Used in element(s)**

"Status" on page 112

**Restricts**

"ResolutionStatusCode" on page 166

## 6.72 ResolutionStatusCode

*Definition:* Specifies the status of a resolution in a meeting agenda.

*Type:* CodeSet

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

**Is restricted by**

"ResolutionStatus1Code" on page 166

## 6.73 ResolutionType2Code

*Definition:* Specifies the type of resolution.

*Type:* CodeSet

Code	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

**Used in element(s)**["Type" on page 111](#)**Restricts**["ResolutionTypeCode" on page 167](#)

## 6.74 ResolutionTypeCode

*Definition:* Specifies the type of resolution.*Type:* CodeSet

Code	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
ORDI	Ordinary	Meeting resolution is ordinary and is not subject to any specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

**Is restricted by**["ResolutionType2Code" on page 166](#)

## 6.75 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.*Type:* CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Used in element(s)**["SafekeepingPlaceType" on page 116](#)**Restricts**["SafekeepingPlaceCode" on page 168](#)

## 6.76 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Used in element(s)**

"SafekeepingPlaceType" on page 117

**Restricts**

"SafekeepingPlaceCode" on page 168

## 6.77 SafekeepingPlaceCode

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Is restricted by**

"SafekeepingPlace1Code" on page 167, "SafekeepingPlace2Code" on page 168

## 6.78 SecuritiesEntryType2Code

*Definition:* Specifies the type of securities entry on an account.

*Type:* CodeSet



Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
UNBA	UninstructedBalance	Balance of uninstructed position.

**Used in element(s)**

["BalanceType" on page 50](#)

**Restricts**

["SecuritiesEntryTypeCode" on page 169](#)

## 6.79 SecuritiesEntryTypeCode

*Definition:* Specifies the type of securities entry on an account.

*Type:* CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.

Code	Name	Definition
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

["SecuritiesEntryType2Code" on page 168](#)

## 6.80 ShortLong1Code

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

["ShortLongPosition" on page 121](#)

Restricts

["ShortLongCode" on page 171](#)

## 6.81 ShortLongCode

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

**Is restricted by**

"ShortLong1Code" on page 171

## 6.82 ThresholdBasis1Code

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

*Type:* CodeSet

Code	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

**Used in element(s)**

"Code" on page 123

**Restricts**

"ThresholdBasisCode" on page 172

## 6.83 ThresholdBasisCode

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

*Type:* CodeSet

Code	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

**Is restricted by**

"ThresholdBasis1Code" on page 172

## 6.84 TypeOfIdentification4Code

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

**Used in element(s)**

"Code" on page 52

**Restricts**

"TypeOfIdentificationCode" on page 173

## 6.85 TypeOfIdentificationCode

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.

Code	Name	Definition
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

**Is restricted by**

"TypeOfIdentification4Code" on page 173

## 6.86 VoteChannel1Code

*Definition:* Specifies the network channel through which the vote should be sent.

*Type:* CodeSet

Code	Name	Definition
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.

**Used in element(s)**

"VoteChannel" on page 135

**Restricts**

"VoteChannelCode" on page 174

## 6.87 VoteChannelCode

*Definition:* Specifies the network channel through which the vote should be sent.

*Type:* CodeSet

Code	Name	Definition
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

Code	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.

**Is restricted by**

"VoteChannel1Code" on page 174

## 6.88 VoteInstruction5Code

*Definition:* Specifies a type of voting instruction that can be part of a recommendation to vote.

*Type:* CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

**Used in element(s)**

"ManagementRecommendation" on page 113, "NotifyingPartyRecommendation" on page 113

**Restricts**

"VoteInstructionV2Code" on page 176

## 6.89 VoteInstruction6Code

*Definition:* Specifies a type of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

["Type" on page 125](#)

Restricts

["VoteInstructionV2Code" on page 176](#)

## 6.90 VoteInstructionV2Code

*Definition:* Specifies the possible types of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.



Code	Name	Definition
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

**Is restricted by**

"VoteInstruction5Code" on page 175, "VoteInstruction6Code" on page 175

## 6.91 VoteType1Code

*Definition:* Specifies the impact of a vote on an agenda resolution in general meetings.

*Type:* CodeSet

Code	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

**Used in element(s)**

"VoteType" on page 111

**Restricts**

"VoteTypeCode" on page 178

## 6.92 VoteTypeCode

*Definition:* Specifies the impact of a vote on a proposal.

*Type:* CodeSet

Code	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

**Is restricted by**

"VoteType1Code" on page 177

## 6.93 VotingParticipationMethod3Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

**Used in element(s)**

"Code" on page 83

**Restricts**

"VotingParticipationMethodV2Code" on page 178

## 6.94 VotingParticipationMethodV2Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

**Is restricted by**

"VotingParticipationMethod3Code" on page 178

## 6.95 YesNoIndicator

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

**Used in element(s)**

"BeneficialOwnerDisclosure" on page 132, "ConfirmationOfHoldingRequired" on page 78, "ForInformationOnly" on page 111, "LastPageIndicator" on page 81, "OneManOneVoteIndicator" on page 69, "PartialVoteAllowed" on page 128, "PerAttendee" on page 55, "PreviousInstructionInvalidityIndicator" on page 134, "QuorumRequired" on page 62, "ReconfirmInstructions" on page 79, "RightToWithdrawIndicator" on page 112, "ShareholderRightsDirectiveIndicator" on page 78, "SplitVoteAllowed" on page 128, "SubmittedBySecurityHolder" on page 112, "SupportedByAccountServicer" on page 82

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