

Usage Guideline

seev.004 Meeting Instruction (SR2025)

OneClearstream - General Meeting Messages (SR2025) - Clients

This document describes a usage guideline restricting the base message **MX seev.004.001.10**. You can also consult [this information online](#).

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Message Functionality

Overview

The Meeting Instruction message is sent to Clearstream by a client/account holder of Clearstream holding the right to vote, requesting Clearstream to act upon one or several instructions.

Collection Description

Guidelines applicable after Clearstream's Release in 24 November 2025 including changes from the Swift Release (SR2025)

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

Version changes :

v.2 From v.1 : Provision of the Building Number information in the Rights Holder section is no longer mandatory for Registration instructions.

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2025) - Clients / seev.004 Meeting Instruction (SR2025) message is composed of 9 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

b. MeetingInstructionIdentification

Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

c. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

d. FinancialInstrumentIdentification

Security for which the meeting is organised.

e. InstructionCancellationRequestIdentification

Identification of the cancellation request message requesting cancellation of individual instruction(s).

f. CancelledInstructionIdentification

Identification of the message and individual instruction(s) for which the cancellation was requested.

g. OtherDocumentIdentification

Identification of other messages/documents as well as the messages/documents number.

h. Instruction

The position of the instructing party and the action that it wants to take.

i. SupplementaryData







Additional information that cannot be captured in the structured fields and/or any other specific block.









1 Restriction summary






This Usage Guideline restricts the seev.004.001.10 message.

Restriction Type	See page
Removed elements	26, 33, 33, 33, 37, 52, 53, 53, 55, 55, 55, 80, 83, 96, 103, 103, 104, 132, 138, 138, 139
Make mandatory	34, 35, 40, 79, 86
Reduce Multiplicity	n.a.
Ignore	16, 17, 18, 31, 45, 45, 46, 80, 80, 82, 87, 87, 127, 127, 127, 127, 128, 128, 128, 129, 129, 129, 129, 130, 130, 130
Text rules	77, 79, 128
Conditional rules	39, 39, 81
Formal rules	9, 9, 9, 9, 9, 10, 10, 10, 10, 10, 11, 11, 11, 11, 11, 11, 11, 11, 12, 12, 12, 12, 12, 12, 13, 13, 13, 13, 13, 14, 14, 14
Fixed values	n.a.
Truncates	n.a.
Comments	26, 118, 118, 137, 138
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

2 Structure

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingInstructionV10</i>	<MtgInstr>		[1..1]		9
	Pagination	<Pgntn>		[0..1]		14
	PageNumber	<PgNb>		[1..1]		50
	LastPageIndicator	<LastPgInd>		[1..1]		51
	MeetingInstructionIdentification	<MtgInstrId>		[0..1]		14
	MeetingReference	<MtgRef>		[1..1]		15
	MeetingIdentification	<MtgId>		[1..1]		43
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		43
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		44
	Type	<Tp>		[1..1]		44
	Classification	<Clssfctn>		[0..1]		44
	Location	<Lctn>		[0..5]		44
	Issuer	<Issr>		[0..1]		45
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		15
	ISIN	<ISIN>		[0..1]		86
	OtherIdentification	<OthrId>		[0..*]		87
	Description	<Desc>		[0..1]		87
	InstructionCancellationRequestIdentification	<InstrCxlReqId>		[0..*]		16
	MeetingInstructionCancellationRequestIdentification	<MtgInstrCxlReqId>		[1..1]		42
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		42
	CancelledInstructionIdentification	<CancInstrId>		[0..*]		16
	MeetingInstructionIdentification	<MtgInstrId>		[1..1]		43
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		43
	OtherDocumentIdentification	<OthrDocId>		[0..*]		16
	Identification	<Id>		[1..1]		21
	DocumentNumber	<DocNb>		[0..1]		22
	LinkageType	<LkgTp>		[0..1]		22

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Instruction	<Instr>		[1..*]		17
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		37
	RequestedExecutionDate	<ReqdExctnDt>		[0..1]		37
	VoteExecutionConfirmation	<VoteExctnConf>		[1..1]		37
	AccountDetails	<AcctDtls>		[1..1]		38
	Proxy	<Prxy>		[0..1]		38
	VoteDetails	<VoteDtls>		[0..1]		39
	MeetingAttendee	<MtgAttndee>		[0..*]		39
	SpecificInstructionRequest	<SpfcfInstrReq>		[0..1]		40
	SupplementaryData	<SplmtryData>		[0..*]		17
	PlaceAndName	<PlcAndNm>		[0..1]		90
	Envelope	<Envlp>		[1..1]		90

3 ISO 20022 Rules

R1 PaginationRule ✓

If pagination is present, then MeetingInstructionIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00655
- *Error Text:* MeetingInstructionIdentification is absent.

4 Message Building Blocks

Note The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2025) - Clients / seev.004 Meeting Instruction (SR2025) message definition.

Usage Guideline on Root Node

- on seev.004.001.10/

- Address is mandatory for Registration requests:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/Address] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.10/

- Nationality is mandatory for Registration requests:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/NaturalPerson/Nationality] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.10/

- Securities Registration and Participation Method PHYS cannot be used in the same message:

For each [Full Message/Document/MeetingInstructionV10], the following elements are mutually exclusive: [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/InPerson] , [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] and all may be absent

- on seev.004.001.10/

- Meeting Attendee forbidden for Participation Method EVOT:

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/MeetingAttendee] cannot be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/ElectronicVoting] is present

- on seev.004.001.10/

- Email Address mandatory for Attendance Card Delivery Method EMAL:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/Identification/LegalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/Email] is present

- on seev.004.001.10/

- Name Prefix is mandatory for Registration requests:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/NamePrefix] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.10/

- Email Address mandatory for Attendance Card Delivery Method EMAL:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/Identification/NaturalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/Email] is present

- on seev.004.001.10/

- Registration:

When the securities are already registered, the Company Register Shareholder Identification must be filled in.

When registration is requested, the Securities Registration indicator must be set to TRUE.

Both conditions cannot hold at the same time. For the German Registered Shares, at least one of the conditions must hold.

For each [Full Message/Document/MeetingInstructionV10], the following elements are mutually exclusive: [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/NaturalPerson/CompanyRegisterShareholderIdentification] , [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] and all may be absent

- on seev.004.001.10/

- Vote Option DISC only allowed for Participation Method PRXY:

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/VoteDetails/VoteInstructionForMeetingResolution/VoteIndication/Type/Discretionary] cannot be present when [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is absent

- on seev.004.001.10/

- Person Details mandatory for Proxy Type HLDR:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/ProxyType/SecurityHolder] is present

- on seev.004.001.10/

- Proxy forbidden for Participation Method PHYS:

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/Proxy] cannot be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/InPerson] is present

- on seev.004.001.10/

– **Email Address mandatory for Attendance Card Delivery Method EMAL:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/PreassignedProxy/LegalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Email] is present

• **on seev.004.001.10/**

– **Employing Party mandatory for Attendance Card Delivery Method EMPL:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/EmployingParty] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/Employer] is present

• **on seev.004.001.10/**

– **Street Name is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/LegalPerson/NameAndAddress/Address/StreetName] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

• **on seev.004.001.10/**

– **Proxy forbidden for Participation Method EVOT:**

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/Proxy] cannot be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/ElectronicVoting] is present

• **on seev.004.001.10/**

– **CFOR forbidden if Listing Group Resolution Labels are used:**

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VoteForAllAgendaResolutions/Type/For] cannot be present when at least one occurrence of [MeetingInstructionV10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/VoteInstruction/ListingGroupResolutionLabel] is present

• **on seev.004.001.10/**

– **Meeting Attendee mandatory for Participation Method PHYS:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/InPerson] is present

• **on seev.004.001.10/**

– **Other Address mandatory for Attendance Card Delivery Method OADR:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/MeetingAttendee/AttendanceCardDetails/OtherAddress] must be present when at least one occurrence of [Meet-

ingInstructionV10/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/OtherAddress] is present

- **on seev.004.001.10/**

- **Employing Party mandatory for Attendance Card Delivery Method EMPL:**

Ignored otherwise

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/EmployingParty] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Employer] is present

- **on seev.004.001.10/**

- **Person Details mandatory for Proxy Type DISC:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/ProxyType/Discretionary] is present

- **on seev.004.001.10/**

- **Vote Option DISC only allowed for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/VoteInstruction/Discretionary] cannot be present when [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is absent

- **on seev.004.001.10/**

- **Meeting Attendee forbidden for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/MeetingAttendee] cannot be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is present

- **on seev.004.001.10/**

- **Person Details mandatory for Attendance Card Delivery Method INDI:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Individual] is present

- **on seev.004.001.10/**

- **Vote Details mandatory for Participation Method EVOT:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/VoteDetails] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/ElectronicVoting] is present

- **on seev.004.001.10/**

– **Address is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/LegalPerson/NameAndAddress/Address] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

• **on seev.004.001.10/**

– **Other Address mandatory for Attendance Card Delivery Method OADR:**

Ignored otherwise

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/AttendanceCardDetails/OtherAddress] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/OtherAddress] is present

• **on seev.004.001.10/**

– **Registration:**

When the securities are already registered, the Company Register Shareholder Identification must be filled in.

When registration is requested, the Securities Registration indicator must be set to TRUE.

Both conditions cannot hold at the same time. For the German Registered Shares, at least one of the conditions must hold.

For each [Full Message/Document/MeetingInstructionV10], the following elements are mutually exclusive: [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/LegalPerson/CompanyRegisterShareholderIdentification] , [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] and all may be absent

• **on seev.004.001.10/**

– **Country Of Incorporation is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/LegalPerson/CountryOfIncorporation] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

• **on seev.004.001.10/**

– **Email Address mandatory for Attendance Card Delivery Method EMAL:**

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/PreassignedProxy/NaturalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Email] is present

• **on seev.004.001.10/**

– Participation Method:

The "Participation Method" is mandatory to fill when "Securities Registration" is absent.

That is, only in case of "registration only"-requests can the "Participation Method" be empty

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod] must be present when [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is absent

- on seev.004.001.10/

– Vote Option DISC only allowed for Participation Method PRXY:

For each [Full Message/Document/MeetingInstructionV10], [MeetingInstructionV10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VoteForAllAgendaResolutions/Type/Discretionary] cannot be present when [MeetingInstructionV10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is absent

- on seev.004.001.10/

– Date And Place Of Birth is mandatory for Registration requests:

For each [Full Message/Document/MeetingInstructionV10], at least one occurrence of [MeetingInstructionV10/Instruction/AccountDetails/RightsHolder/NaturalPerson/DateAndPlaceOfBirth] must be present when at least one occurrence of [MeetingInstructionV10/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present







4.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

The **Pgntn** block contains the following elements (see datatype "Pagination1" on page 50 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		50
	LastPageIndicator	<LastPgInd>		[1..1]		51

4.2 MeetingInstructionIdentification

XML Tag: MtgInstrId

Presence: [0..1]

Definition: Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 113

4.3 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "MeetingReference10" on page 43 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		43
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		43
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		44
	Type	<Tp>		[1..1]		44
	Classification	<Clssfctn>		[0..1]		44
	Location	<Lctn>		[0..5]	◇	44
	Issuer	<Issr>		[0..1]	◇	45

4.4 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Security for which the meeting is organised.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19" on page 85 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	M	86
	OtherIdentification	<OthrId>		[0..*]	◇	87
	Description	<Desc>		[0..1]	◇	87

4.5 InstructionCancellationRequestIdentification

XML Tag: InstrCxlReqId

Presence: [0..*]

Definition: Identification of the cancellation request message requesting cancellation of individual instruction(s).

The **InstrCxlReqId** block contains the following elements (see datatype "MeetingInstructionCancellation1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionCancellationRequestIdentification	<MtgInstrCxlReqId>		[1..1]		42
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		42

4.6 CancelledInstructionIdentification

XML Tag: CancInstrId

Presence: [0..*]

Definition: Identification of the message and individual instruction(s) for which the cancellation was requested.

The **CancInstrId** block contains the following elements (see datatype "MeetingInstructionIdentification1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionIdentification	<MtgInstrId>		[1..1]		43
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		43

Usage Guideline details

- This element(seev.004.001.10/CancelledInstructionIdentification) will be ignored by the Receiver.

4.7 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other messages/documents as well as the messages/documents number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32](#)" on page 21 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		21
	DocumentNumber	<DocNb>		[0..1]		22
	LinkageType	<LkgTp>		[0..1]		22

Usage Guideline details

- This element(seev.004.001.10/OtherDocumentIdentification) will be ignored by the Receiver.

4.8 Instruction

XML Tag: Instr

Presence: [1..*]

Definition: The position of the instructing party and the action that it wants to take.

The **Instr** block contains the following elements (see datatype "[Instruction8](#)" on page 36 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		37
	RequestedExecutionDate	<ReqdExctnDt>		[0..1]	🚫	37
	VoteExecutionConfirmation	<VoteExctnConf>		[1..1]		37
	AccountDetails	<AcctDtls>		[1..1]		38
	Proxy	<Prxy>		[0..1]	⚠	38
	VoteDetails	<VoteDtls>		[0..1]	⚠	39
	MeetingAttendee	<MtgAttndee>		[0..*]		39
	SpecificInstructionRequest	<SpfcInstrReq>		[0..1]	M	40







4.9 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on [page 89](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		90
	Envelope	<Envlp>		[1..1]		90

Usage Guideline details







- This element(seev.004.001.10/SupplementaryData) will be ignored by the Receiver.

5 Message Components

Note The following chapter identifies the message components.

5.1 AttendanceCard3

Definition: Specifies details related to the attendance card.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AttendanceCardLabelling	<AttdncCardLbllg>		[0..1]		19
	DeliveryMethod	<DlvryMtd>		[1..1]		19
	OtherAddress	<OthrAdr>		[0..1]		20

Rules

R2 OtherAddressCode1Rule ✓

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00084
- *Error Text:* Invalid message content for Delivery Method

Used in element(s)

"AttendanceCardDetails" on page 34, "AttendanceCardDetails" on page 36

5.1.1 AttendanceCardLabelling

XML Tag: AttdncCardLbllg

Presence: [0..1]

Definition: Information to be indicated on the attendance card.

Datatype: "Max105Text" on page 111

5.1.2 DeliveryMethod

XML Tag: DlvryMtd

Presence: [1..1]

Definition: Specifies where the attendance card must be delivered.

Datatype: "DeliveryPlace3Code" on page 107







5.1.3 OtherAddress

XML Tag: OthrAdr

Presence: [0..1]

Definition: Name and address of the party.







The **OthrAdr** block contains the following elements (see datatype "[NameAndAddress9](#)" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		48
	Address	<Adr>		[0..1]		48

5.2 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		20
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		20
	CityOfBirth	<CityOfBirth>		[0..1]		21
	CountryOfBirth	<CtryOfBirth>		[0..1]		21

Used in element(s)

["DateAndPlaceOfBirth"](#) on page 60, ["DateAndPlaceOfBirth"](#) on page 63

5.2.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: ["ISODate"](#) on page 110

5.2.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: ["Max35Text"](#) on page 113

5.2.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: ["Max35Text" on page 113](#)

5.2.4 CountryOfBirth

XML Tag: CtryOfBirth







Presence: [0..1]

Definition: Country where a person was born.

Datatype: ["CountryCode" on page 106](#)

5.3 DocumentIdentification32

Definition: Identification of a document as well as the document number and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		21
	DocumentNumber	<DocNb>		[0..1]		22
	LinkageType	<LkgTp>		[0..1]		22

Used in element(s)

["OtherDocumentIdentification" on page 16](#)







5.3.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype ["DocumentIdentification3Choice" on page 22](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		23

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		23







5.3.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "DocumentNumber5Choice" on page 23 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		24
	LongNumber	<LngNb>	Or	[1..1]		24
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		24







5.3.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.







The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice" on page 73 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		74
	Proprietary	<Prtry>	Or}	[1..1]		74

5.4 DocumentIdentification3Choice

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		23
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		23

Used in element(s)

"Identification" on page 21

5.4.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "Max35Text" on page 113

5.4.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId







Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "Max35Text" on page 113

5.5 DocumentNumber5Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		24
	LongNumber	<LngNb>	Or	[1..1]		24
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		24

Rules

R4 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)"DocumentNumber" on page 22

5.5.1 ShortNumber

XML Tag: ShrtNb*Presence:* [1..1]*Definition:* Message type number of the document referenced.*Datatype:* "Exact3NumericText" on page 108







5.5.2 LongNumber

XML Tag: LngNb*Presence:* [1..1]*Definition:* MX Message identifier of the referenced document.*Datatype:* "ISO20022MessageIdentificationText" on page 110

5.5.3 ProprietaryNumber

XML Tag: PrtryNb*Presence:* [1..1]*Definition:* Proprietary document identification.







The **PrtryNb** block contains the following elements (see datatype "GenericIdentification36" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		29
	Issuer	<Issr>		[1..1]		29
	SchemeName	<SchmeNm>		[0..1]		29

5.6 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		25
	FaceAmount	<FaceAmt>	Or}	[1..1]		25

Used in element(s)

["Quantity" on page 77](#)

5.6.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 107](#)

5.6.2 FaceAmount

XML Tag: FaceAmt







Presence: [1..1]



Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 111](#)

5.7 FinancialInstrumentQuantity46Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		25
	FaceAmount	<FaceAmt>	Or	[1..1]		26
	DigitalTokenUnit	<DgtlTknUnit>	Or	[1..1]		26
	Code	<Cd>	Or}	[1..1]		26

Rules

R5 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["Quantity" on page 88](#)

5.7.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 107](#)

5.7.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 111](#)

5.7.3 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 113](#)

Usage Guideline details

- This element([seev.004.001.10/Instruction/AccountDetails/InstructedBalance/Balance/Quantity/DigitalTokenUnit](#)) is removed.

5.7.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.







Datatype: ["Quantity1Code" on page 124](#)

Usage Guideline details

- [on **seev.004.001.10/Instruction/AccountDetails/InstructedBalance/Balance/Quantity/Code**](#)
Comment:
 1. If "QALL" instructions are received before the Entitlement Fixing Date, the complete entitled balance on the specified account at time of receipt of the instruction will be used. If received after the Entitlement Fixing Date, the entitled position as of Entitlement Fixing Date EOD will be used.
 2. "QALL" is not allowed for the meetings on German and, as of November 2025, on Swiss registered securities.

5.8 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		27
	SchemeName	<SchmeNm>		[0..1]		27
	Issuer	<Issr>		[1..1]		27

Used in element(s)

"Proprietary" on page 46

5.8.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 114

5.8.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 113

5.8.3 Issuer

XML Tag: Issr







Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 113

5.9 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		28

Used in element(s)

"Code" on page 75, "Proprietary" on page 32, "Proprietary" on page 51, "Proprietary" on page 74, "Proprietary" on page 102, "Proprietary" on page 103, "Type" on page 29

5.9.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 108

5.9.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 113

5.9.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 113

5.10 GenericIdentification36

Definition: Identification using a proprietary scheme.

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		29
	Issuer	<Issr>		[1..1]		29
	SchemeName	<SchmeNm>		[0..1]		29

Used in element(s)

"PledgeType" on page 69, "ProprietaryIdentification" on page 52, "ProprietaryIdentification" on page 54, "ProprietaryNumber" on page 24

5.10.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Max35Text" on page 113](#)

5.10.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 113](#)

5.10.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max35Text" on page 113](#)

5.11 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		29
	Identification	<Id>		[0..1]		30

Used in element(s)

["Proprietary" on page 83](#)







5.11.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype ["GenericIdentification30" on page 27](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28

5.11.2 Identification

XML Tag: Id







Presence: [0..1]


Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 113

5.12 HoldingBalance15

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		30
	BalanceType	<BalTp>		[0..1]		31
	SafekeepingPlace	<SfkpgPlc>		[0..1]		31

Used in element(s)

"InstructedBalance" on page 80







5.12.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat15" on page 87 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		88
	Quantity	<Qty>		[1..1]		88

5.12.2 BalanceType

XML Tag: BalTp

Presence: [0..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesEntryType2Code" on page 126

5.12.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat42Choice" on page 82 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		82
	Country	<Ctry>	Or	[1..1]		83
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]	🚫	83
	TypeAndIdentification	<TpAndId>	Or	[1..1]		83
	Proprietary	<Prtry>	Or}	[1..1]		83

Usage Guideline details

- This element(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/Safekeeping-Place) will be ignored by the Receiver.

5.13 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		32
	Proprietary	<Prtry>	Or}	[1..1]		32

Used in element(s)"Type" on page 50

5.13.1 Code






XML Tag: Cd*Presence:* [1..1]*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 109


5.13.2 Proprietary


XML Tag: Prtry*Presence:* [1..1]*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.*Datatype:* "Max35Text" on page 113

5.14 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -

 Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		32
	Proprietary	<Prtry>	Or}	[1..1]		32

Used in element(s)"IdentificationType" on page 49







5.14.1 Code

XML Tag: Cd*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "TypeOfIdentification4Code" on page 133

5.14.2 Proprietary

XML Tag: Prtry*Presence:* [1..1]*Definition:* Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28


Usage Guideline details

- This element([seev.004.001.10/Instruction/MeetingAttendee/Identification/NaturalPerson/Identification/IdentificationType/Proprietary](#)) is removed.
- This element([seev.004.001.10/Instruction/AccountDetails/RightsHolder/NaturalPerson/Identification/IdentificationType/Proprietary](#)) is removed.
- This element([seev.004.001.10/Instruction/Proxy/PersonDetails/PreassignedProxy/NaturalPerson/Identification/IdentificationType/Proprietary](#)) is removed.

5.15 IndividualPerson41

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]		33
	ParticipationMethod	<PrtcpnMtd>		[0..1]		34
	EmployingParty	<EmplngPty>		[0..1]		34
	AttendanceCardDetails	<AttdncCardDtls>		[1..1]		34

Used in element(s)

["MeetingAttendee"](#) on page 39

5.15.1 Identification

XML Tag: Id

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

The **Id** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on page 57 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		58
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		58

Usage Guideline details

- on [seev.004.001.10/Instruction/MeetingAttendee/Identification](#)
This element is now mandatory, the minimum occurrence has been changed to : 1

5.15.2 ParticipationMethod

XML Tag: PrtcptnMtd

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

Datatype: ["VotingParticipationMethod2Code"](#) on page 137

5.15.3 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

The **EmplngPty** block contains the following elements (see datatype ["PartyIdentification129Choice"](#) on page 52 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		52
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		52
	NameAndAddress	<NmAndAdr>	Or	[1..1]		53
	LEI	<LEI>	Or}	[1..1]		53







5.15.4 AttendanceCardDetails

XML Tag: AttnDncCardDtIs

Presence: [1..1]

Definition: Details related to the attendance card.







The **AttnDncCardDtIs** block contains the following elements (see datatype ["AttendanceCard3"](#) on page 19 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AttendanceCardLabelling	<AttdncCardLblg>		[0..1]		19
	DeliveryMethod	<DivryMtd>		[1..1]		19
	OtherAddress	<OthrAdr>		[0..1]		20

5.16 IndividualPerson42

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		35
	EmployingParty	<EmplngPty>		[0..1]		36
	AttendanceCardDetails	<AttdncCardDtls>		[1..1]		36

Used in element(s)

"PersonDetails" on page 76







5.16.1 PreassignedProxy

XML Tag: PrssgndPrxy

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

The **PrssgndPrxy** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		58
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		58

Usage Guideline details

- on seev.004.001.10/Instruction/Proxy/PersonDetails/PreassignedProxy

This element is now mandatory, the minimum occurrence has been changed to : 1

5.16.2 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

The **EmplngPty** block contains the following elements (see datatype "PartyIdentification129Choice" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		52
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	52
	NameAndAddress	<NmAndAdr>	Or	[1..1]		53
	LEI	<LEI>	Or}	[1..1]		53

5.16.3 AttendanceCardDetails

XML Tag: AttdncCardDtls

Presence: [1..1]

Definition: Details related to the attendance card.

The **AttdncCardDtls** block contains the following elements (see datatype "AttendanceCard3" on page 19 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]




Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AttendanceCardLabelling	<AttdncCardLbllg>		[0..1]		19
	DeliveryMethod	<DlvryMtd>		[1..1]		19
	OtherAddress	<OthrAdr>		[0..1]		20

5.17 Instruction8

Definition: Information about a meeting vote instruction.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		37
	RequestedExecutionDate	<ReqdExctnDt>		[0..1]	🚫	37

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteExecutionConfirmation	<VoteExctnConf>		[1..1]		37
	AccountDetails	<AcctDtls>		[1..1]		38
	Proxy	<Prxy>		[0..1]		38
	VoteDetails	<VoteDtls>		[0..1]		39
	MeetingAttendee	<MtgAttndee>		[0..*]		39
	SpecificInstructionRequest	<SpfcfInstrReq>		[0..1]		40

Rules

R6 MeetingAttendeeRule ✓

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00428
- *Error Text:* In Person participation method should be set.

Used in element(s)

"Instruction" on page 17

5.17.1 SingleInstructionIdentification

XML Tag: SnglInstrId

Presence: [1..1]

Definition: Identification of the individual instruction.

Datatype: "Max35Text" on page 113

5.17.2 RequestedExecutionDate

XML Tag: ReqdExctnDt

Presence: [0..1]

Definition: Date at which the instruction must be executed.

Datatype: "ISODateTime" on page 110

Usage Guideline details

- This element(seev.004.001.10/Instruction/RequestedExecutionDate) is removed.

5.17.3 VoteExecutionConfirmation

XML Tag: VoteExctnConf

Presence: [1..1]

Definition: Indicates that a vote execution confirmation is requested.

Datatype: "YesNoIndicator" on page 140







5.17.4 AccountDetails








XML Tag: AcctDtls

Presence: [1..1]

Definition: Identification of the securities account.

The **AcctDtls** block contains the following elements (see datatype "SafekeepingAccount19" on page 78 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	 	79
	BlockChainAddressOrWallet	<BlckChainAdrOrWlIt>		[0..1]		79
	AccountOwner	<AcctOwnr>		[0..1]		80
	SubAccountIdentification	<SubAcctId>		[0..1]		80
	InstructedBalance	<InstdBal>		[1..15]		80
	RightsHolder	<RghtsHldr>		[0..250]		81
	PledgeDetails	<PldgDtIs>		[0..1]		81







5.17.5 Proxy


XML Tag: Prxy

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

The **Prxy** block contains the following elements (see datatype "Proxy12" on page 75 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		76
	PersonDetails	<PrsnDtIs>		[0..1]		76

Usage Guideline details

- on seev.004.001.10/Instruction/Proxy

– **Proxy and/or Vote Details mandatory for Participation Method PRXY:**

If

at least one occurrence of /Document/MtgInstr/Instr/SpcfcInstrReq/PrtcptnMtd/Cd = Prox [PRXY] is present

Then

at least one of the Proxy (/Document/MtgInstr/Instr/Prxy) or Vote Details (/Document/MtgInstr/Instr/VoteDtls) must be present

Else







5.17.6 VoteDetails

XML Tag: VoteDtls

Presence: [0..1]

Definition: Detailed voting instructions.

The **VoteDtls** block contains the following elements (see datatype "VoteDetails6" on page 100 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionForAgendaResolution	<VoteInstrForAgndRsln>		[1..1]		100
	VoteInstructionForMeetingResolution	<VoteInstrForMtgRsln>		[0..1]		100

Usage Guideline details

- on seev.004.001.10/Instruction/VoteDetails

– **Proxy and/or Vote Details mandatory for Participation Method PRXY:**

If

at least one occurrence of /Document/MtgInstr/Instr/SpcfcInstrReq/PrtcptnMtd/Cd = Prox [PRXY] is present

Then

at least one of the Proxy (/Document/MtgInstr/Instr/Prxy) or Vote Details (/Document/MtgInstr/Instr/VoteDtls) must be present

Else

5.17.7 MeetingAttendee

XML Tag: MtgAttndee

Presence: [0..*]

Definition: Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.

The **MtgAtndee** block contains the following elements (see datatype "[IndividualPerson41](#)" on [page 33](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]	M	33
	ParticipationMethod	<PrtcptnMtd>		[0..1]		34
	EmployingParty	<EmplngPty>		[0..1]		34
	AttendanceCardDetails	<AtndncCardDtls>		[1..1]		34

5.17.8 SpecificInstructionRequest

XML Tag: SpcfcInstrReq

Presence: [0..1]

Definition: Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

The **SpcfcInstrReq** block contains the following elements (see datatype "[SpecificInstructionRequest4](#)" on [page 88](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[0..1]		89
	SecuritiesRegistration	<SctiesRegn>		[0..1]		89

Usage Guideline details

- on [seev.004.001.10/Instruction/SpecificInstructionRequest](#)

This element is now mandatory, the minimum occurrence has been changed to : 1

5.18 LongPostalAddress2Choice

Definition: Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unstructured	<Ustrd>	{Or	[1..1]		41
	Structured	<Strd>	Or}	[1..1]		41

Guidelines

1. StructuredOrUnstructured1Guideline

It is recommended that Structured be used.

Used in element(s)

"Address" on page 48

5.18.1 Unstructured

XML Tag: Ustrd

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max350Text" on page 113







5.18.2 Structured

XML Tag: Strd

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

The **Strd** block contains the following elements (see datatype "PostalAddress1" on page 70 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		70
	AddressLine	<AdrLine>		[0..5]		70
	StreetName	<StrtNm>		[0..1]		70
	BuildingNumber	<BldgNb>		[0..1]		70
	PostCode	<PstCd>		[0..1]		71
	TownName	<TwnNm>		[0..1]		71
	CountrySubDivision	<CtrySubDvsn>		[0..1]		71
	Country	<Ctry>		[1..1]		71

5.19 MeetingInstructionCancellation1

Definition: Identification of the cancellation request message requesting cancellation of individual instruction(s).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionCancellationRequestIdentification	<MtgInstrCxlReqId>		[1..1]		42
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		42

Used in element(s)

"InstructionCancellationRequestIdentification" on page 16

5.19.1 MeetingInstructionCancellationRequestIdentification

XML Tag: MtgInstrCxlReqId

Presence: [1..1]

Definition: Identification of the meeting instruction cancellation request message containing the individual instruction(s) for which the cancellation was requested.

Datatype: "Max35Text" on page 113

5.19.2 SingleInstructionIdentification

XML Tag: SnglInstrId

Presence: [1..1]

Definition: Identification of the individual instruction for which the cancellation was requested.

Datatype: "Max35Text" on page 113

5.20 MeetingInstructionIdentification1

Definition: Identification of the message and individual instruction(s) for which the cancellation was requested.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionIdentification	<MtgInstrId>		[1..1]		43
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		43

Used in element(s)

"CancelledInstructionIdentification" on page 16

5.20.1 MeetingInstructionIdentification

XML Tag: MtgInstrId

Presence: [1..1]

Definition: Identification of the previous meeting instruction message containing the individual instruction(s) for which the cancellation was requested.

Datatype: "Max35Text" on page 113

5.20.2 SingleInstructionIdentification

XML Tag: SnglInstrId







Presence: [1..1]



Definition: Identification of the individual instruction for which the cancellation was requested.

Datatype: "Max35Text" on page 113

5.21 MeetingReference10

Definition: Identification of a meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		43
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		43
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		44
	Type	<Tp>		[1..1]		44
	Classification	<Clssfctn>		[0..1]		44
	Location	<Lctn>		[0..5]		44
	Issuer	<Issr>		[0..1]		45

Used in element(s)

"MeetingReference" on page 15

5.21.1 MeetingIdentification

XML Tag: MtgId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 113

5.21.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 113

5.21.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 110

5.21.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 115







5.21.5 Classification


XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 45 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		46
	Proprietary	<Prtry>	Or}	[1..1]		46







5.21.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "PostalAddress1" on page 70 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		70
	AddressLine	<AdrLine>		[0..5]		70
	StreetName	<StrtNm>		[0..1]		70
	BuildingNumber	<BldgNb>		[0..1]		70
	PostCode	<PstCd>		[0..1]		71
	TownName	<TwnNm>		[0..1]		71
	CountrySubDivision	<CtrySubDvsn>		[0..1]		71
	Country	<Ctry>		[1..1]		71

Usage Guideline details

- This element(seev.004.001.10/MeetingReference/Location) will be ignored by the Receiver.







5.21.7 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "PartyIdentification129Choice" on page 52 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		52
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		52
	NameAndAddress	<NmAndAdr>	Or	[1..1]		53
	LEI	<LEI>	Or}	[1..1]		53


Usage Guideline details

- This element(seev.004.001.10/MeetingReference/Issuer) will be ignored by the Receiver.

5.22 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		46
	Proprietary	<Prtry>	Or}	[1..1]		46

Used in element(s)

"Classification" on page 44

5.22.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 116







5.22.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 26 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		27
	SchemeName	<SchmeNm>		[0..1]		27
	Issuer	<Issr>		[1..1]		27

Usage Guideline details

- This element(seev.004.001.10/MeetingReference/Classification/Proprietary) will be ignored by the Receiver.

5.23 NameAndAddress5

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		47
	Address	<Adr>		[0..1]		47

Used in element(s)

"NameAndAddress" on page 53

5.23.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 113







5.23.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 70 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		70
	AddressLine	<AdrLine>		[0..5]		70
	StreetName	<StrtNm>		[0..1]		70
	BuildingNumber	<BldgNb>		[0..1]		70
	PostCode	<PstCd>		[0..1]		71
	TownName	<TwnNm>		[0..1]		71
	CountrySubDivision	<CtrySubDvsn>		[0..1]		71
	Country	<Ctry>		[1..1]		71

5.24 NameAndAddress9

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		48
	Address	<Adr>		[0..1]		48

Used in element(s)

"OtherAddress" on page 20, "Shareholder" on page 101

5.24.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 113







5.24.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of a party.







The **Adr** block contains the following elements (see datatype "LongPostalAddress2Choice" on page 40 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unstructured	<Ustrd>	{Or	[1..1]		41
	Structured	<Strd>	Or}	[1..1]		41

5.25 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	IdentificationType	<IdTp>		[0..1]		49

Used in element(s)

"Identification" on page 59, "Identification" on page 63

5.25.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: ["Max35Text" on page 113](#)







5.25.2 IdentificationType


XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.







The **IdTp** block contains the following elements (see datatype ["IdentificationType45Choice" on page 32](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		32
	Proprietary	<Prtry>	Or}	[1..1]		32

5.26 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	Suffix	<Sfx>		[0..1]		50
	Type	<Tp>		[1..1]		50

Used in element(s)

["OtherIdentification" on page 87](#)

5.26.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["Max35Text" on page 113](#)

5.26.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 112







5.26.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.







The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 31 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		32
	Proprietary	<Prtry>	Or}	[1..1]		32

5.27 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		50
	LastPageIndicator	<LastPgInd>		[1..1]		51

Used in element(s)

"Pagination" on page 14

5.27.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 114

5.27.2 LastPageIndicator

XML Tag: LastPgInd







Presence: [1..1]


Definition: Indicates the last page.

Datatype: ["YesNoIndicator" on page 140](#)

5.28 ParticipationMethod3Choice

Definition: Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		51
	Proprietary	<Prtry>	Or}	[1..1]		51

Used in element(s)

["ParticipationMethod" on page 89](#)

5.28.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a code.

Datatype: ["VotingParticipationMethod3Code" on page 137](#)







5.28.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 27](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28

Usage Guideline details

- This element(seev.004.001.10/Instruction/SpecificInstructionRequest/Participation-Method/Proprietary) is removed.

5.29 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		52
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	52
	NameAndAddress	<NmAndAdr>	Or	[1..1]		53
	LEI	<LEI>	Or}	[1..1]		53

Used in element(s)

"EmployingParty" on page 34, "EmployingParty" on page 36, "Issuer" on page 45

5.29.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 106

5.29.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		29

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]		29
	SchemeName	<SchmeNm>		[0..1]		29

Usage Guideline details

- This element(see v.004.001.10/Instruction/Proxy/PersonDetails/EmployingParty/ProprietaryIdentification) is removed.
- This element(see v.004.001.10/Instruction/MeetingAttendee/EmployingParty/ProprietaryIdentification) is removed.







5.29.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		47
	Address	<Adr>		[0..1]		47

5.29.4 LEI

XML Tag: LEI







Presence: [1..1]

Definition: Legal entity identification of the party.


Datatype: "[LEIIdentifier](#)" on page 111

5.30 PartyIdentification198Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		54

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LEI	<LEI>	Or	[1..1]		54
	AnyBIC	<AnyBIC>	Or	[1..1]		54
	ClientIdentification	<ClnId>	Or	[1..1]		54
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		54

Used in element(s)

"Identification" on page 56, "Identification" on page 65

5.30.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 113

5.30.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 111

5.30.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 106

5.30.4 ClientIdentification

XML Tag: ClnId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 114

5.30.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		29
	Issuer	<Issr>		[1..1]		29
	SchemeName	<SchmeNm>		[0..1]		29

Usage Guideline details

- This element(seev.004.001.10/Instruction/Proxy/PersonDetails/PreassignedProxy/LegalPerson/Identification/ProprietaryIdentification) is removed.
- This element(seev.004.001.10/Instruction/MeetingAttendee/Identification/LegalPerson/Identification/ProprietaryIdentification) is removed.
- This element(seev.004.001.10/Instruction/AccountDetails/RightsHolder/LegalPerson/Identification/ProprietaryIdentification) is removed.

5.31 PartyIdentification221

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		55
	EmailAddress	<EmailAdr>		[0..1]		56
	Identification	<Id>		[1..1]		56

Used in element(s)

"LegalPerson" on page 57, "LegalPerson" on page 58, "LegalPersonIdentification" on page 90

5.31.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 65 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		66
	Address	<Adr>		[0..1]		66

5.31.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 112







5.31.3 Identification


XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.







The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 53 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		54
	LEI	<LEI>	Or	[1..1]		54
	AnyBIC	<AnyBIC>	Or	[1..1]		54
	ClientIdentification	<ClntId>	Or	[1..1]		54
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		54

5.32 PartyIdentification231Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		57
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		57

Used in element(s)

["AccountOwner" on page 80](#)







5.32.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype ["PartyIdentification221"](#) on page 55 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		55
	EmailAddress	<EmailAdr>		[0..1]		56
	Identification	<Id>		[1..1]		56







5.32.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype ["PartyIdentification238"](#) on page 59 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		59
	EmailAddress	<EmailAdr>		[0..1]		59
	Identification	<Id>		[1..1]		59
	Nationality	<Ntlty>		[0..1]		60
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		60

5.33 PartyIdentification232Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		58
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		58

Used in element(s)

"Identification" on page 33, "Pledger" on page 68, "PreassignedProxy" on page 35







5.33.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification221" on page 55 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		55
	EmailAddress	<EmailAdr>		[0..1]		56
	Identification	<Id>		[1..1]		56







5.33.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..1]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification238" on page 59 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		59
	EmailAddress	<EmailAdr>		[0..1]		59
	Identification	<Id>		[1..1]		59
	Nationality	<Ntlty>		[0..1]		60
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		60

5.34 PartyIdentification238

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		59
	EmailAddress	<EmailAdr>		[0..1]		59
	Identification	<Id>		[1..1]		59
	Nationality	<Ntlty>		[0..1]		60
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		60

Used in element(s)

"NaturalPerson" on page 57, "NaturalPerson" on page 58







5.34.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 66 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		67
	FirstName	<FrstNm>		[1..1]		67
	Surname	<Snm>		[1..1]		67
	Address	<Adr>		[0..1]		67

5.34.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 112

5.34.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 48 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	IdentificationType	<IdTp>		[0..1]		49

5.34.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on page 106

5.34.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 20 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		20
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		20
	CityOfBirth	<CityOfBirth>		[0..1]		21
	CountryOfBirth	<CtryOfBirth>		[0..1]		21

5.35 PartyIdentification246Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		61
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		61

Used in element(s)

"RightsHolder" on page 81







5.35.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification269" on page 64 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		64
	EmailAddress	<EmailAdr>		[0..1]		64
	Identification	<Id>		[0..1]		65
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		65
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		65







5.35.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification250" on page 62 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		62
	EmailAddress	<EmailAdr>		[0..1]		62
	Identification	<Id>		[0..1]		63
	Nationality	<Ntlty>		[0..1]		63

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		63
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		64

5.36 PartyIdentification250

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		62
	EmailAddress	<EmailAdr>		[0..1]		62
	Identification	<Id>		[0..1]		63
	Nationality	<Ntlty>		[0..1]		63
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		63
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		64

Used in element(s)

"NaturalPerson" on page 61







5.36.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 66 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		67
	FirstName	<FrstNm>		[1..1]		67
	Surname	<Snm>		[1..1]		67
	Address	<Adr>		[0..1]		67

5.36.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 112](#)







5.36.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype ["NaturalPersonIdentification1" on page 48](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	IdentificationType	<IdTp>		[0..1]		49

5.36.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: ["CountryCode" on page 106](#)







5.36.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype ["DateAndPlaceOfBirth2" on page 20](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		20
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		20
	CityOfBirth	<CityOfBirth>		[0..1]		21
	CountryOfBirth	<CtrtyOfBirth>		[0..1]		21

5.36.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId







Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 113

5.37 PartyIdentification269

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		64
	EmailAddress	<EmailAdr>		[0..1]		64
	Identification	<Id>		[0..1]		65
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		65
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		65

Used in element(s)

"LegalPerson" on page 61







5.37.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 65 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		66
	Address	<Adr>		[0..1]		66

5.37.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 112](#)







5.37.3 Identification


XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype ["PartyIdentification198Choice" on page 53](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		54
	LEI	<LEI>	Or	[1..1]		54
	AnyBIC	<AnyBIC>	Or	[1..1]		54
	ClientIdentification	<ClnId>	Or	[1..1]		54
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		54

5.37.4 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrHldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: ["Max35Text" on page 113](#)

5.37.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn







Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: ["CountryCode" on page 106](#)

5.38 PersonName2

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		66

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>		[0..1]		66

Used in element(s)

"NameAndAddress" on page 55, "NameAndAddress" on page 64

5.38.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 113







5.38.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 71 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		72
	AddressLine	<AdrLine>		[0..5]		72
	StreetName	<StrtNm>		[0..1]		72
	BuildingNumber	<BldgNb>		[0..1]		72
	PostBox	<PstBx>		[0..1]		73
	PostCode	<PstCd>		[0..1]		73
	TownName	<TwnNm>		[0..1]		73
	CountrySubDivision	<CtrySubDvsn>		[0..1]		73
	Country	<Ctry>		[1..1]		73

5.39 PersonName3

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		67
	FirstName	<FrstNm>		[1..1]		67
	Surname	<Snm>		[1..1]		67
	Address	<Adr>		[0..1]		67

Used in element(s)

"NameAndAddress" on page 59, "NameAndAddress" on page 62

5.39.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 117

5.39.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 113

5.39.3 Surname

XML Tag: Snm

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 113







5.39.4 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 71 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		72
	AddressLine	<AdrLine>		[0..5]		72
	StreetName	<StrtNm>		[0..1]		72
	BuildingNumber	<BldgNb>		[0..1]		72
	PostBox	<PstBx>		[0..1]		73
	PostCode	<PstCd>		[0..1]		73
	TownName	<TwnNm>		[0..1]		73
	CountrySubDivision	<CtrySubDvsn>		[0..1]		73
	Country	<Ctry>		[1..1]		73

5.40 PledgeInformation1

Definition: Information about the pledge and pledger.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Pledger	<Pldgr>		[1..1]		68
	ThirdParty	<ThrdPty>		[0..1]		69
	PledgeType	<PldgTp>		[1..1]		69
	ReturnSecuritiesIndicator	<RtrScitiesInd>		[0..1]		69

Used in element(s)

"PledgeDetails" on page 81







5.40.1 Pledger

XML Tag: Pldgr

Presence: [1..1]

Definition: Entity that provide assets to a counterparty as a guarantee.

The **Pldgr** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		58

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NaturalPerson	<NtrlPrsn>	Or	[1..1]		58







5.40.2 ThirdParty

XML Tag: ThrdPty

Presence: [0..1]

Definition: Third party, usually a bank, involved in the pledge.

The **ThrdPty** block contains the following elements (see datatype "[ThirdPartyIdentification1](#)" on page 90 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Role	<Role>		[1..1]		90
	LegalPersonIdentification	<LglPrsnId>		[0..1]		90







5.40.3 PledgeType

XML Tag: PldgTp

Presence: [1..1]

Definition: Identifies the type of pledge.

The **PldgTp** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		29
	Issuer	<Issr>		[1..1]		29
	SchemeName	<SchmeNm>		[0..1]		29

5.40.4 ReturnSecuritiesIndicator

XML Tag: RtrScetiesInd







Presence: [0..1]

Definition: Indicates whether the pledger is entitled to vote, otherwise the right to vote is with the right holder.

Datatype: "[YesNoIndicator](#)" on page 140

5.41 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		70
	AddressLine	<AdrLine>		[0..5]		70
	StreetName	<StrtNm>		[0..1]		70
	BuildingNumber	<BldgNb>		[0..1]		70
	PostCode	<PstCd>		[0..1]		71
	TownName	<TwnNm>		[0..1]		71
	CountrySubDivision	<CtrySubDvsn>		[0..1]		71
	Country	<Ctry>		[1..1]		71

Used in element(s)

"Address" on page 47, "Location" on page 44, "Structured" on page 41

5.41.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 105

5.41.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 115

5.41.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 115

5.41.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 112

5.41.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 112

5.41.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 113

5.41.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 113

5.41.8 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 106

5.42 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		72
	AddressLine	<AdrLine>		[0..5]		72

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StreetName	<StrtNm>		[0..1]		72
	BuildingNumber	<BldgNb>		[0..1]		72
	PostBox	<PstBx>		[0..1]		73
	PostCode	<PstCd>		[0..1]		73
	TownName	<TwnNm>		[0..1]		73
	CountrySubDivision	<CtrySubDvsn>		[0..1]		73
	Country	<Ctry>		[1..1]		73

Used in element(s)

"Address" on page 66, "Address" on page 67

5.42.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 105

5.42.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 115

5.42.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 115

5.42.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 112

5.42.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 112

5.42.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 112

5.42.7 TownName

XML Tag: TwNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 113

5.42.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 113

5.42.9 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 106

5.43 ProcessingPosition7Choice

Definition: Choice of format for the processing position.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		74
	Proprietary	<Prtry>	Or}	[1..1]		74

Rules

R8 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

["LinkageType" on page 22](#)

5.43.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: ["ProcessingPosition3Code" on page 123](#)







5.43.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 27](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28

5.44 ProprietaryVote1

Definition: Proprietary vote type and quantity voted.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		75
	Quantity	<Qty>		[1..1]		75

Used in element(s)

"Proprietary" on page 96







5.44.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

The **Cd** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28







5.44.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities voted.

The **Qty** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78

5.45 Proxy12

Definition: Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting and proxy vote.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		76
	PersonDetails	<PrsnDtls>		[0..1]	⚠	76

Rules

R9 ProxyAppointment1Rule ✓

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00086
- *Error Text:* Invalid message content for ProxyType

Used in element(s)

"Proxy" on page 38

5.45.1 ProxyType

XML Tag: PrxyTp

Presence: [1..1]

Definition: Type of proxy.

Datatype: "ProxyType3Code" on page 123

5.45.2 PersonDetails

XML Tag: PrsnDtls

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

The **PrsnDtls** block contains the following elements (see datatype "IndividualPerson42" on page 35 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]	M	35

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmployingParty	<EmplngPty>		[0..1]		36
	AttendanceCardDetails	<AttdncCardDtls>		[1..1]		36







Usage Guideline details

- on [seev.004.001.10/Instruction/Proxy/PersonDetails](#)
 - **Person Details ignored for Proxy Types CHRM or NEPR:**

Person Details section is ignored for Proxy Types CHRM or NEPR

5.46 QuantityOrCode1Choice

Definition: Choice between a quantity or a code value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78

Used in element(s)

["Abstain" on page 92](#),
 ["Against" on page 92](#),
 ["AgainstManagement" on page 93](#),
 ["Blank" on page 95](#),
 ["Discretionary" on page 94](#),
 ["For" on page 92](#),
 ["NoAction" on page 95](#),
 ["OneYear" on page 94](#),
 ["Quantity" on page 75](#),
 ["ThreeYears" on page 95](#),
 ["TwoYears" on page 94](#),
 ["WithManagement" on page 93](#),
 ["Withhold" on page 93](#)







5.46.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity expressed as a number.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity18Choice"](#) on [page 24](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		25
	FaceAmount	<FaceAmt>	Or}	[1..1]		25

5.46.2 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 124

5.47 SafekeepingAccount19

Definition: Identification and information about a securities account and balance.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	M ⚠	79
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⊘	79
	AccountOwner	<AcctOwnr>		[0..1]	◇	80
	SubAccountIdentification	<SubAcctId>		[0..1]	◇	80
	InstructedBalance	<InstdBal>		[1..15]		80
	RightsHolder	<RghtsHldr>		[0..250]	⚠	81
	PledgeDetails	<PldgDtls>		[0..1]	◇	81

Rules

R10 BalanceQall1Rule ✓

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00087
- *Error Text:* Invalid message content for Identification

R11 AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R12 AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R13 AccountIdentificationOrBlockchainAddress3Rule ✓

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Guidelines

1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

"AccountDetails" on page 38

5.47.1 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 113

Usage Guideline details

- **on seev.004.001.10/Instruction/AccountDetails/AccountIdentification**

This element is now mandatory, the minimum occurrence has been changed to : 1

- **on seev.004.001.10/Instruction/AccountDetails/AccountIdentification**

– **Validation:**

The same account is expected accross all single instructions of a given seev.004

5.47.2 BlockchainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 112

Usage Guideline details

- This element(seev.004.001.10/Instruction/AccountDetails/BlockChainAddressOrWallet) is removed.







5.47.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 56 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		57
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		57

Usage Guideline details

- This element(seev.004.001.10/Instruction/AccountDetails/AccountOwner) will be ignored by the Receiver.

5.47.4 SubAccountIdentification

XML Tag: SubAcctId

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "Max35Text" on page 113

Usage Guideline details

- This element(seev.004.001.10/Instruction/AccountDetails/SubAccountIdentification) will be ignored by the Receiver.

5.47.5 InstructedBalance

XML Tag: InstdBal

Presence: [1..15]

Definition: Quantity of securities to which this instruction applies.

The **InstdBal** block contains the following elements (see datatype "[HoldingBalance15](#)" on page 30 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		30
	BalanceType	<BalTp>		[0..1]		31
	SafekeepingPlace	<SfkpgPlc>		[0..1]	⬜	31

5.47.6 RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "[PartyIdentification246Choice](#)" on page 60 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		61
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		61

Usage Guideline details

- on [seev.004.001.10/Instruction/AccountDetails/RightsHolder](#)

- **Rights Holder:**

If

The `seev.001` of the meeting event contains the indicator "BO Disclosure = true"

Then

This Rights Holder section is mandatory

Else

This Rights Holder section is optional

5.47.7 PledgeDetails

XML Tag: PldgDtls

Presence: [0..1]

Definition: Detailed information about the pledge.

The **PldgDtls** block contains the following elements (see datatype "PledgeInformation1" on page 68 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Pledger	<Pldgr>		[1..1]		68
	ThirdParty	<ThrdPty>		[0..1]		69
	PledgeType	<PldgTp>		[1..1]		69
	ReturnSecuritiesIndicator	<RtrScitiesInd>		[0..1]		69

Usage Guideline details

- This element(seev.004.001.10/Instruction/AccountDetails/PledgeDetails) will be ignored by the Receiver.

5.48 SafekeepingPlaceFormat42Choice

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		82
	Country	<Ctry>	Or	[1..1]		83
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]	🚫	83
	TypeAndIdentification	<TpAndId>	Or	[1..1]		83
	Proprietary	<Prtry>	Or}	[1..1]		83

Used in element(s)

"SafekeepingPlace" on page 31







5.48.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6" on page 84 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		85
	Identification	<Id>		[0..1]		85

5.48.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: ["CountryCode" on page 106](#)

5.48.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier" on page 107](#)

Usage Guideline details

- This element([see v.004.001.10/Instruction/AccountDetails/InstructedBalance/Safekeeping-Place/DigitalLedgerIdentification](#)) is removed.







5.48.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndIdentification1" on page 84](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		84
	Identification	<Id>		[1..1]		84







5.48.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 29 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		29
	Identification	<Id>		[0..1]		30

5.49 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		84
	Identification	<Id>		[1..1]		84

Used in element(s)

["TypeAndIdentification"](#) on page 83

5.49.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "[SafekeepingPlace1Code](#)" on page 125

5.49.2 Identification

XML Tag: Id







Presence: [1..1]

Definition: Place of safekeeping.

Datatype: "[AnyBICDec2014Identifier](#)" on page 106

5.50 SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		85
	Identification	<Id>		[0..1]		85

Used in element(s)

"Identification" on page 82

5.50.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 125

5.50.2 Identification

XML Tag: Id







Presence: [0..1]




Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 113

5.51 SecurityIdentification19

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		86
	OtherIdentification	<OthrId>		[0..*]		87
	Description	<Desc>		[0..1]		87

Rules

R14 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

– Error severity: Fatal

- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R15 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R16 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R17 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R18 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 15

5.51.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 109

Usage Guideline details

- on [seev.004.001.10/FinancialInstrumentIdentification/ISIN](#)

This element is now mandatory, the minimum occurrence has been changed to : 1







5.51.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "[OtherIdentification1](#)" on page 49 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	Suffix	<Sfx>		[0..1]		50
	Type	<Tp>		[1..1]		50

Usage Guideline details

- This element(seev.004.001.10/FinancialInstrumentIdentification/OtherIdentification) will be ignored by the Receiver.

5.51.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.







Datatype: "[Max140Text](#)" on page 112

Usage Guideline details

- This element(seev.004.001.10/FinancialInstrumentIdentification/Description) will be ignored by the Receiver.

5.52 SignedQuantityFormat15

Definition: Signed quantity of a security for various quantity formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		88
	Quantity	<Qty>		[1..1]		88

Used in element(s)

"Balance" on page 30

5.52.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of securities.

Datatype: "ShortLong1Code" on page 132







5.52.2 Quantity



XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.







The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity46Choice" on page 25 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		25
	FaceAmount	<FaceAmt>	Or	[1..1]		26
	DigitalTokenUnit	<DgtlTknUnit>	Or	[1..1]		26
	Code	<Cd>	Or}	[1..1]		26

5.53 SpecificInstructionRequest4

Definition: Voting participation method at a general meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[0..1]		89
	SecuritiesRegistration	<SctiesRegn>		[0..1]		89

Used in element(s)

["SpecificInstructionRequest" on page 40](#)







5.53.1 ParticipationMethod


XML Tag: PrtcptnMtd

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

The **PrtcptnMtd** block contains the following elements (see datatype ["ParticipationMethod3Choice" on page 51](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		51
	Proprietary	<Prtry>	Or}	[1..1]		51

5.53.2 SecuritiesRegistration

XML Tag: SctiesRegn







Presence: [0..1]

Definition: Indicates a request to register the securities for the meeting.

Datatype: ["YesNoIndicator" on page 140](#)

5.54 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		90
	Envelope	<Envlp>		[1..1]		90

Rules

R19 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 17

5.54.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 113

5.54.2 Envelope







XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

5.55 ThirdPartyIdentification1

Definition: Identification of the third party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Role	<Role>		[1..1]		90
	LegalPersonIdentification	<LglPrsnId>		[0..1]		90

Used in element(s)

"ThirdParty" on page 69

5.55.1 Role

XML Tag: Role

Presence: [1..1]

Definition: Role played by the third party.

Datatype: "PartyRole3Code" on page 119







5.55.2 LegalPersonIdentification

XML Tag: LglPrsnId

Presence: [0..1]

Definition: Identification of the third party legal entity.







The **LglPrsnId** block contains the following elements (see datatype "[PartyIdentification221](#)" on [page 55](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		55
	EmailAddress	<EmailAdr>		[0..1]		56
	Identification	<Id>		[1..1]		56

5.56 Vote14

Definition: Vote expressed for one resolution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		91
	ListingGroupResolutionLabel	<ListgGrpRslnLbl>		[0..1]		92
	For	<For>		[0..1]		92
	Against	<Agnst>		[0..1]		92
	Abstain	<Abstn>		[0..1]		92
	Withhold	<Withld>		[0..1]		93
	WithManagement	<WthMgmt>		[0..1]		93
	AgainstManagement	<AgnstMgmt>		[0..1]		93
	Discretionary	<Dscrtnry>		[0..1]		94
	OneYear	<OneYr>		[0..1]		94
	TwoYears	<TwoYrs>		[0..1]		94
	ThreeYears	<ThreeYrs>		[0..1]		95
	NoAction	<NoActn>		[0..1]		95
	Blank	<Blnk>		[0..1]		95
	Proprietary	<Prtry>		[0..4]		96

Used in element(s)

"[VoteInstruction](#)" on [page 99](#)

5.56.1 IssuerLabel

XML Tag: IssrLbl

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 113

5.56.2 ListingGroupResolutionLabel

XML Tag: ListGrpRslnLbl

Presence: [0..1]

Definition: Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

Datatype: "Max35Text" on page 113







5.56.3 For

XML Tag: For

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

The **For** block contains the following elements (see datatype "QuantityOrCode1Choice" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.4 Against

XML Tag: Agnst

Presence: [0..1]

Definition: Number of votes against one resolution.

The **Agnst** block contains the following elements (see datatype "QuantityOrCode1Choice" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.5 Abstain

XML Tag: Abstn

Presence: [0..1]

Definition: Number of abstention votes for one resolution.

The **Abstn** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 77](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.6 Withhold

XML Tag: Wthhld

Presence: [0..1]

Definition: Number of votes withheld for one resolution.

The **Wthhld** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 77](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.7 WithManagement

XML Tag: WthMgmt

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

The **WthMgmt** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 77](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.8 AgainstManagement

XML Tag: AgnstMgmt

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

The **AgnstMgmt** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.9 Discretionary

XML Tag: Dscrtnry

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

The **Dscrtnry** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.10 OneYear

XML Tag: OneYr

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

The **OneYr** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.11 TwoYears

XML Tag: TwoYrs

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

The **TwoYrs** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.12 ThreeYears

XML Tag: ThreeYrs

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

The **ThreeYrs** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78







5.56.13 NoAction

XML Tag: NoActn

Presence: [0..1]

Definition: Do not vote.

The **NoActn** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78

5.56.14 Blank

XML Tag: Blnk

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

The **Blnk** block contains the following elements (see datatype "QuantityOrCode1Choice" on page 77 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		77
	Code	<Cd>	Or}	[1..1]		78

5.56.15 Proprietary

XML Tag: Prtry

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "ProprietaryVote1" on page 74 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		75
	Quantity	<Qty>		[1..1]		75

Usage Guideline details

- This element(seev.004.001.10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/VoteInstruction/Proprietary) is removed.

5.57 Vote15

Definition: Vote expressed for one resolution.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		97
	VoteOption	<VoteOptn>		[1..1]		97

Used in element(s)

["GlobalVoteInstruction" on page 99](#)

5.57.1 IssuerLabel

XML Tag: IssrLabl

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: ["Max35Text" on page 113](#)







5.57.2 VoteOption


XML Tag: VoteOptn

Presence: [1..1]

Definition: Vote instructed.







The **VoteOptn** block contains the following elements (see datatype ["VoteInstructionType2Choice" on page 103](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		103
	Proprietary	<Prtry>	Or}	[1..1]		103

5.58 Vote15Choice

Definition: Choice between a vote per agenda resolution and vote for all agenda resolutions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VotePerAgendaResolution	<VotePerAgndRsln>	{Or	[1..1]		97
	VoteForAllAgendaResolutions	<VoteForAllAgndRslns>	Or}	[1..1]		98

Used in element(s)

["VoteInstructionForAgendaResolution" on page 100](#)

5.58.1 VotePerAgendaResolution

XML Tag: VotePerAgndRsln

Presence: [1..1]

Definition: Vote instruction is provided individually for each agenda resolution.

The **VotePerAgndRsIttn** block contains the following elements (see datatype "[Vote16Choice](#)" on page 98 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstruction	<VoteInstr>	{Or	[1..1000]		99
	GlobalVoteInstruction	<GblVoteInstr>	Or}	[1..1000]		99

5.58.2 VoteForAllAgendaResolutions

XML Tag: VoteForAllAgndRsIttns

Presence: [1..1]

Definition: One single vote instruction is provided to cover all agenda resolutions.

The **VoteForAllAgndRsIttns** block contains the following elements (see datatype "[VoteInstruction-Type1Choice](#)" on page 102 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		102
	Proprietary	<Prtry>	Or}	[1..1]	🚫	102

5.59 Vote16Choice

Definition: Determines how the voting instructions are specified.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstruction	<VoteInstr>	{Or	[1..1000]		99
	GlobalVoteInstruction	<GblVoteInstr>	Or}	[1..1000]		99

Rules

R20 InFavourListingGroup1Rule

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

Error handling:

- Error severity: Fatal
- Error Code:

– *Error Text:*

Used in element(s)

"VotePerAgendaResolution" on page 97

5.59.1 VoteInstruction

XML Tag: VoteInstr

Presence: [1..1000]

Definition: Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

The **VoteInstr** block contains the following elements (see datatype "Vote14" on page 91 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		91
	ListingGroupResolutionLabel	<ListgGrpRslnLbl>		[0..1]		92
	For	<For>		[0..1]		92
	Against	<Agnst>		[0..1]		92
	Abstain	<Abstn>		[0..1]		92
	Withhold	<Wthhld>		[0..1]		93
	WithManagement	<WthMgmt>		[0..1]		93
	AgainstManagement	<AgnstMgmt>		[0..1]		93
	Discretionary	<Dscrtnry>		[0..1]		94
	OneYear	<OneYr>		[0..1]		94
	TwoYears	<TwoYrs>		[0..1]		94
	ThreeYears	<ThreeYrs>		[0..1]		95
	NoAction	<NoActn>		[0..1]		95
	Blank	<Blnk>		[0..1]		95
	Proprietary	<Prtry>		[0..4]	🚫	96







5.59.2 GlobalVoteInstruction

XML Tag: GblVoteInstr

Presence: [1..1000]

Definition: Instruction specifying a vote instruction per resolution for the entire entitlement.







The **GblVoteInstr** block contains the following elements (see datatype "[Vote15](#)" on page 96 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		97
	VoteOption	<VoteOptn>		[1..1]		97

5.60 VoteDetails6

Definition: Vote instruction for an agenda or a meeting resolution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionForAgendaResolution	<VoteInstrForAgndRsln>		[1..1]		100
	VoteInstructionForMeetingResolution	<VoteInstrForMtgRsln>		[0..1]		100

Used in element(s)

"VoteDetails" on page 39







5.60.1 VoteInstructionForAgendaResolution

XML Tag: VoteInstrForAgndRsln

Presence: [1..1]

Definition: Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

The **VoteInstrForAgndRsln** block contains the following elements (see datatype "[Vote15Choice](#)" on page 97 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VotePerAgendaResolution	<VotePerAgndRsln>	{Or	[1..1]		97
	VoteForAllAgendaResolutions	<VoteForAllAgndRslns>	Or}	[1..1]		98

5.60.2 VoteInstructionForMeetingResolution

XML Tag: VoteInstrForMtgRsln

Presence: [0..1]

Definition: Vote instructions for the resolutions that may arise or may be amended at the meeting but were not previously provided in the agenda.

The **VoteInstrForMtgRsln** block contains the following elements (see datatype "[VoteInstructionForMeetingResolution3Choice](#)" on page 101 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteIndication	<VoteIndctn>	{Or	[1..1]		101
	Shareholder	<Shrhldr>	Or}	[1..1]		101

5.61 VoteInstructionForMeetingResolution3Choice

Definition: Vote instruction applying to resolutions added during the meeting.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteIndication	<VoteIndctn>	{Or	[1..1]		101
	Shareholder	<Shrhldr>	Or}	[1..1]		101

Used in element(s)

"[VoteInstructionForMeetingResolution](#)" on page 100

5.61.1 VoteIndication

XML Tag: VoteIndctn

Presence: [1..1]

Definition: Vote recommendation for resolutions added during the meeting.

The **VoteIndctn** block contains the following elements (see datatype "[VoteInstructionType1Choice](#)" on page 102 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		102
	Proprietary	<Prtry>	Or}	[1..1]	🚫	102

5.61.2 Shareholder

XML Tag: Shrhldr

Presence: [1..1]

Definition: Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.

The **Shrhldr** block contains the following elements (see datatype "[NameAndAddress9](#)" on page 47 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		48
	Address	<Adr>		[0..1]		48

5.62 VoteInstructionType1Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		102
	Proprietary	<Prtry>	Or}	[1..1]	🚫	102

Used in element(s)

["VoteForAllAgendaResolutions"](#) on page 98, ["VoteIndication"](#) on page 101

5.62.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: ["VoteInstruction6Code"](#) on page 134

5.62.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 27 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28


Usage Guideline details

- This element(seev.004.001.10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VoteForAllAgendaResolutions/Proprietary) is removed.
- This element(seev.004.001.10/Instruction/VoteDetails/VoteInstructionForMeetingResolution/VoteIndication/Proprietary) is removed.

5.63 VoteInstructionType2Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		103
	Proprietary	<Prtry>	Or}	[1..1]		103

Used in element(s)

"VoteOption" on page 97

5.63.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction7Code" on page 135







5.63.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		28
	Issuer	<Issr>		[1..1]		28
	SchemeName	<SchmeNm>		[0..1]		28

Usage Guideline details

- This element(seev.004.001.10/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/GlobalVoteInstruction/VoteOption/Proprietary) is removed.

6 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

6.1 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 70](#), ["AddressType" on page 72](#)

Restricts

["AddressTypeCode" on page 105](#)

6.2 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 105](#)

6.3 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R7 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 52, "AnyBIC" on page 54, "Identification" on page 84

6.4 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R3 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

["Country" on page 71](#), ["Country" on page 73](#), ["Country" on page 83](#), ["CountryOfBirth" on page 21](#), ["CountryOfIncorporation" on page 65](#), ["Nationality" on page 60](#), ["Nationality" on page 63](#)

6.5 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 83](#)

6.6 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

["Unit" on page 25](#), ["Unit" on page 25](#)

6.7 DeliveryPlace3Code

Definition: Specifies the place of delivery.

Type: CodeSet

Code	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
OADR	OtherAddress	Cards needs to be send to another specified address.

Used in element(s)

["DeliveryMethod" on page 19](#)

Restricts

["DeliveryPlaceV2Code" on page 108](#)

6.8 DeliveryPlaceV2Code

Definition: Specifies the place of delivery.

Type: CodeSet

Code	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
OADR	OtherAddress	Cards needs to be send to another specified address.

Is restricted by

["DeliveryPlace3Code" on page 107](#)

6.9 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

["ShortNumber" on page 24](#)

6.10 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern [a-zA-Z0-9]{4}

Used in element(s)

["Identification" on page 28](#)

6.11 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 32](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 109](#)

6.12 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 109](#)

6.13 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

["ISIN" on page 86](#)

6.14 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

["LongNumber" on page 24](#)

6.15 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

["BirthDate" on page 20](#)

6.16 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"MeetingDateAndTime" on page 44, "RequestedExecutionDate" on page 37

6.17 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"FaceAmount" on page 25, "FaceAmount" on page 26

6.18 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

Used in element(s)

"LEI" on page 53, "LEI" on page 54

6.19 Max105Text

Definition: Specifies a character string with a maximum length of 105 characters.

Type: Text

Format

maxLength	105
minLength	1

Used in element(s)

"AttendanceCardLabelling" on page 19

6.20 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"BlockchainAddressOrWallet" on page 79, "Description" on page 87

6.21 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 70, "BuildingNumber" on page 72, "PostBox" on page 73, "PostCode" on page 71, "PostCode" on page 73, "Suffix" on page 50

6.22 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

["EmailAddress" on page 56](#), ["EmailAddress" on page 59](#), ["EmailAddress" on page 62](#), ["EmailAddress" on page 64](#)

6.23 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

["DigitalTokenUnit" on page 26](#)

6.24 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["FirstName" on page 67](#), ["Name" on page 47](#), ["Name" on page 48](#), ["Name" on page 66](#), ["PlaceAndName" on page 90](#), ["Surname" on page 67](#), ["Unstructured" on page 41](#)

6.25 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

["AccountIdentification" on page 79](#), ["AccountOwnerDocumentIdentification" on page 23](#), ["AccountServicerDocumentIdentification" on page 23](#), ["CityOfBirth" on page 21](#), ["CompanyRegisterShareholderIdentification" on page 64](#), ["CompanyRegisterShareholderIdentification" on page 65](#), ["CountrySubDivision" on page 71](#), ["CountrySubDivision" on page 73](#), ["Identification" on page 29](#), ["Identification" on](#)

[page 30](#), ["Identification" on page 49](#), ["Identification" on page 49](#), ["Identification" on page 85](#), ["Issuer" on page 27](#), ["Issuer" on page 28](#), ["Issuer" on page 29](#), ["IssuerLabel" on page 91](#), ["IssuerLabel" on page 97](#), ["IssuerMeetingIdentification" on page 43](#), ["ListingGroupResolutionLabel" on page 92](#), ["MeetingIdentification" on page 43](#), ["MeetingInstructionCancellationRequestIdentification" on page 42](#), ["MeetingInstructionIdentification" on page 43](#), ["NationalRegistrationNumber" on page 54](#), ["Proprietary" on page 32](#), ["ProvinceOfBirth" on page 20](#), ["SchemeName" on page 27](#), ["SchemeName" on page 28](#), ["SchemeName" on page 29](#), ["SingleInstructionIdentification" on page 37](#), ["SingleInstructionIdentification" on page 42](#), ["SingleInstructionIdentification" on page 43](#), ["SubAccountIdentification" on page 80](#), ["TownName" on page 71](#), ["TownName" on page 73](#)

6.26 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

["Identification" on page 27](#)

6.27 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

["ClientIdentification" on page 54](#)

6.28 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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Used in element(s)

["PageNumber" on page 50](#)

6.29 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 70, "AddressLine" on page 72, "StreetName" on page 70, "StreetName" on page 72

6.30 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

"Type" on page 44

Restricts

"MeetingTypeV2Code" on page 117

6.31 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Used in element(s)

"Code" on page 46

Restricts

"MeetingTypeClassificationCode" on page 116

6.32 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

["MeetingTypeClassification2Code" on page 116](#)

6.33 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

["MeetingType4Code" on page 115](#)

6.34 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.

Code	Name	Definition
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> on seev.004.001.10/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/NamePrefix/Doctor Comment: Ignored for Registration purposes
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx). Usage Guideline restrictions for this code <ul style="list-style-type: none"> on seev.004.001.10/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/NamePrefix/GenderNeutral Comment: Ignored for Registration purposes
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

"NamePrefix" on page 67

Restricts

"NamePrefixCode" on page 118

6.35 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

Code	Name	Definition
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

["NamePrefix2Code" on page 117](#)

6.36 PartyRole3Code

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

Code	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

Used in element(s)

["Role" on page 90](#)

Restricts

["PartyRoleCode" on page 119](#)

6.37 PartyRoleCode

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

Code	Name	Definition
AUDT	Auditor	Party that regularly monitors compliance with the legal regulations.
BANK	Bank	The entity is a bank.
BENE	Beneficiary	The entity is a Beneficiary.
BKMG	BackupManager	Party responsible for managing the recovery functions related to a system.
BROK	Broker	Trade executed through a broker.
BYER	Buyer	Buyer in a trade.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CMUT	CentralMatchingMtility	The entity is a Central Matching Utility (CMU).
CONA	NameOfAgentToOrder	Name of Agent to the order, if different from the instructing party.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.

Code	Name	Definition
CONE	ContactPersonAtExecutingPartyInstitution	Party acting as the contact person at the executing party institution.
CONI	ContactPersonAtInstructingPartyInstitution	Party acting as the contact person at the instructing party institution.
CORP	Corporate	The entity is a corporate.
CSTS	CentralSettlementSystem	The entity is a Central Settlement System.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through various media, for example, the Internet, magazines.
DEAG	DeliveringAgent	Delivering Agent
DECM	DecisionMaker	Party that is authorised to take investment decisions on behalf of the shareholder.
DEIN	DeliveringIntermediary	Delivering Intermediary is the Party within the settlement chain, between the delivery and receiving agents
DIST	Distributor	Party that act as an wholesaler of funds.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
FIAD	FinancialAdvisor	Party that supplies information and advice on investment products.
FIAG	FinalAgent	Financial institution that receives the payment transaction on behalf of an account owner, or other nominated party, and credits the account.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
FTAG	FirstAgent	Financial institution that receives the payment transaction from the account owner, or other authorised party, and processes the instruction.

Code	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.
INTC	Internal	Party acting as an internal agent.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
LGRD	LegalGuardian	Party that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.
LMMG	LimitManager	Party in a financial institution responsible for the management of the limits. This party is responsible for fixing, modifying, or suspending limits as relevant for the management of counterpart risk or systemic risk containment.
LQMG	LiquidityManager	Party in a financial institution responsible for the management of liquidity for the financial institution. This party has access to some functions available on the system, such as the liquidity transfers or management of standing instructions for liquidity control.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.

Code	Name	Definition
PRBR	PrimeBroker	Party acting as settlement agent, provides custody for assets, provides financing for leverage, and prepares daily account statements for its clients, who are money managers, hedge funds, market makers, arbitrageurs, specialists and other professional investors.
PYMG	PaymentManager	Party in a financial institution responsible for the management of payment processing for the financial institution. This includes authorising, recycling, and modification of payment transactions.
REAG	ReceivingAgent	Receiving Agent
REDR	Reader	Party in a financial institution entitled to obtain information from the system, but not act upon/alter this information.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
REIN	ReceivingIntermediary	Receiving Intermediary is the Party within the settlement chain, between the delivery and receiving agents
SLLR	Seller	Seller in a trade.
STMG	SettlementManager	Party in a financial institution responsible for the management of settlement processing.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.

Is restricted by

"PartyRole3Code" on page 119

6.38 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

"Code" on page 74

Restricts

"ProcessingPositionCode" on page 123

6.39 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 123

6.40 ProxyType3Code

Definition: Specifies the type of proxy person.

Type: CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

Used in element(s)

"ProxyType" on page 76

Restricts

"ProxyTypeCode" on page 124

6.41 ProxyTypeCode

Definition: Specifies the type of proxy person.

Type: CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

Is restricted by

"ProxyType3Code" on page 123

6.42 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

Used in element(s)

"Code" on page 26, "Code" on page 78

Restricts

["QuantityCode" on page 125](#)

6.43 QuantityCode

Definition: Quantity is unknown by the sender or has not been established.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by

["Quantity1Code" on page 124](#)

6.44 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 84](#)

Restricts

["SafekeepingPlaceCode" on page 126](#)

6.45 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 85

Restricts

"SafekeepingPlaceCode" on page 126

6.46 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

"SafekeepingPlace1Code" on page 125, "SafekeepingPlace2Code" on page 125

6.47 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/Blocked) will be ignored by the Receiver.
BORR	Borrowed	<p>Balance of securities that have been borrowed from another party.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/Borrowed) will be ignored by the Receiver.
COLI	CollateralIn	<p>Balance of securities that belong to a third party and that are held for the purpose of collateralisation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/CollateralIn) will be ignored by the Receiver.
COLO	CollateralOut	<p>Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/CollateralOut) will be ignored by the Receiver.
ELIG	Eligible	<p>Total number of securities eligible for this event.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/Eligible) will be ignored by the Receiver.
INBA	InstructedBalance	<p>Balance of instructed position.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/InstructedBalance <ul style="list-style-type: none"> – Balance Type INBA: <p>If Balance Type is used, only INBA is considered</p>
LOAN	OnLoan	<p>Balance of securities that have been loaned to a third party.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/OnLoan) will be ignored by the Receiver.
NOMI	Registered	<p>Balance of securities that are registered (in the name of a nominee or of the beneficial owner).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/Registered) will be ignored by the Receiver.
PEND	PendingDelivery	<p>Balance of securities that are pending delivery.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/PendingDelivery) will be ignored by the Receiver.
PENR	PendingReceipt	<p>Balance of securities that are pending receipt.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/PendingReceipt) will be ignored by the Receiver.
REGO	OutForRegistration	<p>Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/OutForRegistration) will be ignored by the Receiver.
SETD	Settled	<p>Balance of securities representing only settled transactions.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/Settled) will be ignored by the Receiver.
SPOS	StreetPosition	<p>Balance of securities that remain registered in the name of the prior beneficial owner of securities.</p>

Code	Name	Definition
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/StreetPosition) will be ignored by the Receiver.
TRAD	Traded	Balance of securities representing traded transactions. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/Traded) will be ignored by the Receiver.
UNBA	UninstructedBalance	Balance of uninstructed position. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/InstructedBalance/BalanceType/UninstructedBalance) will be ignored by the Receiver.

Used in element(s)

"BalanceType" on page 31

Restricts

"SecuritiesEntryTypeCode" on page 130

6.48 SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.

Code	Name	Definition
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.

Code	Name	Definition
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType2Code" on page 126

6.49 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/AccountDetails/Instructed-Balance/Balance/ShortLongPosition/Short) is removed.

Used in element(s)

"ShortLongPosition" on page 88

Restricts

"ShortLongCode" on page 132

6.50 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 132

6.51 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

"Code" on page 32

Restricts

"TypeOfIdentificationCode" on page 133

6.52 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

"TypeOfIdentification4Code" on page 133

6.53 VoteInstruction6Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.

Code	Name	Definition
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

"Type" on page 102

Restricts

"VoteInstructionV2Code" on page 136

6.54 VoteInstruction7Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

Code	Name	Definition
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

"Type" on page 103

Restricts

"VoteInstructionV2Code" on page 136

6.55 VoteInstructionV2Code

Definition: Specifies the possible types of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Is restricted by

["VoteInstruction6Code" on page 134](#), ["VoteInstruction7Code" on page 135](#)

6.56 VotingParticipationMethod2Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

Code	Name	Definition
PHNV	NotVoting	<p>Participation to the meeting is in person but the person is not voting.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.004.001.10/Instruc-tion/MeetingAttendee/Participa-tionMethod/NotVoting <p>Comment: For entrance cards without vot-ing rights, Clearstream does not use the Participation Method PHNV. Instead, the Par-ticipation Method PHYS (/MtgInstr/Instr/SpcfcInstrReq/Prtcpt-nMthd) should be used for the instruction while specifying in the Meeting Attendee sec-tion the Participation Method PHNV (/MtgInstr/Instr/MtgAt-ndee/PrtcptnMthd). This spec-ifies, that a person is phys-ically attending, but without voting rights.</p>

Used in element(s)

["ParticipationMethod" on page 34](#)

Restricts

["VotingParticipationMethodCode" on page 139](#)

6.57 VotingParticipationMethod3Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messag-ing or web based voting applications.

Code	Name	Definition
MAIL	Correspondence	<p>Vote participation is via documents sent through physical post / mail.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/In-struction/SpecificInstructionRequest/ParticipationMethod/Code/Correspondence) is removed.
PHNV	NotVoting	<p>Participation to the meeting is in person but the person is not voting.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/In-struction/SpecificInstructionRequest/ParticipationMethod/Code/NotVoting) is removed. • on seev.004.001.10/In-struction/SpecificInstruc-tionRequest/Participation-Method/Code/NotVoting <p>Comment: For entrance cards without vot-ing rights, Clearstream does not use the Participation Method PHNV. Instead, the Par-ticipation Method PHYS (/MtgInstr/Instr/SpcfcInstrReq/Prtcpt-nMthd) should be used for the instruction while specifying in the Meeting Attendee sec-tion the Participation Method PHNV (/MtgInstr/Instr/MtgAt-tndee/PrtcptnMthd). This spec-ifies, that a person is phys-ically attending, but without voting rights.</p>
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.

Code	Name	Definition
VIRT	Virtual	<p>Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.004.001.10/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Virtual) is removed.

Used in element(s)

"Code" on page 51

Restricts

"VotingParticipationMethodV2Code" on page 139

6.58 VotingParticipationMethodCode

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

Is restricted by

"VotingParticipationMethod2Code" on page 137

6.59 VotingParticipationMethodV2Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

Is restricted by

"VotingParticipationMethod3Code" on page 137

6.60 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"LastPageIndicator" on page 51, "ReturnSecuritiesIndicator" on page 69, "SecuritiesRegistration" on page 89, "VoteExecutionConfirmation" on page 37

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