Usage Guideline

OneClearstream - Meeting Instruction - Cancellation - SEEV.005 (SR2024)

OneClearstream - General Meeting Messages (SR2024) - Clients

This document describes a usage guideline restricting the base message **MX seev.005.001.09**. You can also consult this information online.

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Message Functionality

Overview

The Meeting Instruction Cancellation message is sent to Clearstream by a client/account holder of Clearstream holding the right to vote, requesting Clearstream to cancel a previously submitted instruction.

Collection Description

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.
The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Instruction Cancellation - SEEV.005 (SR2024) message is composed of 5 building blocks

a. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

b. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

c. FinancialInstrumentIdentification

Security for which the meeting is organised.

d. ToBeCancelledInstruction

Specifies one or more instructions for which the cancellation is requested.

e. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1   Restriction summary

This Usage Guideline restricts the seev.005.001.09 message.

Restriction Type	See page
Removed elements	46, 75
Make mandatory	8, 11, 46, 52
Reduce Multiplicity	n.a.
Ignore	9, 18, 21, 22, 23, 47, 47, 48, 53, 53, 69, 69, 70, 70, 70, 70, 71, 71, 71, 71, 72, 72, 72, 73
Text rules	n.a.
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	n.a.
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

2 Structure

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionCancellationRequestV09	*<MtgInstrCxlReq>*		[1..1]		
	MeetingInstructionIdentification	*<MtgInstrId>*		[1..1]		7
	MeetingReference	*<MtgRef>*		[1..1]		7
	MeetingIdentification	*<MtgId>*		[1..1]		20
	IssuerMeetingIdentification	*<IssrMtgId>*		[0..1]		20
	MeetingDateAndTime	*<MtgDtAndTm>*		[1..1]		20
	Type	*<Tp>*		[1..1]		20
	Classification	*<Clssfctn>*		[0..1]		20
	Location	*<Lctn>*		[0..5]	◇	21
	Issuer	*<Issr>*		[0..1]	◇	21
	FinancialInstrumentIdentification	*<FinInstrmId>*		[1..1]		7
	ISIN	*<ISIN>*		[0..1]	M	52
	OtherIdentification	*<OthrId>*		[0..*]	◇	52
	Description	*<Desc>*		[0..1]	◇	53
	ToBeCancelledInstruction	*<ToBeCancInstr>*		[0..*]	M	8
	SingleInstructionIdentification	*<SnglInstrId>*		[1..1]		10
	InstructedPosition	*<InstdPos>*		[0..1]	M	10
	SupplementaryData	*<SplmtryData>*		[0..*]	◇	8
	PlaceAndName	*<PlcAndNm>*		[0..1]		54
	Envelope	*<Envlp>*		[1..1]		54

3   Guidelines

1. **Cancellation3Guideline**

   It is recommended that the request to cancel a meeting instruction with the use of MeetingInstructionIdentification only and that the optional elements are avoided, unless otherwise specified by market practice rules and or legislation. If any optional elements are included, they must be identical to the information in the meeting instruction message being cancelled.

4 Message Building Blocks

Note	The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Instruction Cancellation - SEEV.005 (SR2024) message definition.

4.1 MeetingInstructionIdentification

XML Tag: MtgInstrId

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

Datatype: "Max35Text" on page 62

4.2 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "MeetingReference10" on page 19 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	*<MtgId>*		[1..1]		20
	IssuerMeetingIdentification	*<IssrMtgId>*		[0..1]		20
	MeetingDateAndTime	*<MtgDtAndTm>*		[1..1]		20
	Type	*<Tp>*		[1..1]		20
	Classification	*<Clssfctn>*		[0..1]		20
	Location	*<Lctn>*		[0..5]	◇	21
	Issuer	*<Issr>*		[0..1]	◇	21

4.3 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Security for which the meeting is organised.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19" on page 51 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ISIN	*<ISIN>*		[0..1]	Ⓜ	52
	OtherIdentification	*<OthrId>*		[0..*]	◇	52
	Description	*<Desc>*		[0..1]	◇	53

4.4   ToBeCancelledInstruction

XML Tag: ToBeCancInstr

Presence: [0..*]

Definition: Specifies one or more instructions for which the cancellation is requested.

The **ToBeCancInstr** block contains the following elements (see datatype "CancelInstruction4" on page 10 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	*<SnglInstrId>*		[1..1]		10
	InstructedPosition	*<InstdPos>*		[0..1]	Ⓜ	10

Usage Guideline details

- **on seev.005.001.09/ToBeCancelledInstruction**

  This element is now mandatory, the minimum occurrence has been changed to : 1

4.5   SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 54 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		54
	Envelope	<Envlp>		[1..1]		54

Usage Guideline details

- **This element(seev.005.001.09/SupplementaryData) will be ignored by the Receiver.**

5   Message Components

Note	The following chapter identifies the message components.

5.1   CancelInstruction4

Definition: Instruction to be cancelled.

🚫 Removed - ⚠️🚫 Partial Removed - ◇ Ignored - ⚠️◇ Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	*<SnglInstrId>*		[1..1]		10
	InstructedPosition	*<InstdPos>*		[0..1]	Ⓜ	10

Used in element(s)

"ToBeCancelledInstruction" on page 8

5.1.1   SingleInstructionIdentification

XML Tag: SnglInstrId

Presence: [1..1]

Definition: Identification of the individual instruction previously sent.

Datatype: "Max35Text" on page 62

5.1.2   InstructedPosition

XML Tag: InstdPos

Presence: [0..1]

Definition: Account and instructed positions to which the meeting instruction cancellation request applies.

The **InstdPos** block contains the following elements (see datatype "SafekeepingAccount16" on page 44 for details)

🚫 Removed - ⚠️🚫 Partial Removed - ◇ Ignored - ⚠️◇ Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	*<AcctId>*		[0..1]	Ⓜ	46
	BlockChainAddressOrWallet	*<BlckChainAdrOrWllt>*		[0..1]	🚫	46
	AccountOwner	*<AcctOwnr>*		[0..1]	◇	46
	SubAccountIdentification	*<SubAcctId>*		[0..1]	◇	47
	InstructedBalance	*<InstdBal>*		[1..15]		47

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RightsHolder	<RghtsHldr>		[0..250] ◇		47

Usage Guideline details

- **on seev.005.001.09/ToBeCancelledInstruction/InstructedPosition**

  This element is now mandatory, the minimum occurrence has been changed to : 1

5.2   DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		11
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		11
	CityOfBirth	<CityOfBirth>		[0..1]		11
	CountryOfBirth	<CtryOfBirth>		[0..1]		12

Used in element(s)

"DateAndPlaceOfBirth" on page 33, "DateAndPlaceOfBirth" on page 36

5.2.1   BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 59

5.2.2   ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 62

5.2.3   CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 62

5.2.4 CountryOfBirth

XML Tag: CtryOfBirth

Presence: [0..1]

Definition: Country where a person was born.

Datatype: "CountryCode" on page 57

5.3 FinancialInstrumentQuantity46Choice

Definition: Choice between formats for the quantity of security.

🚫 Removed - �, 🚫 Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		12
	FaceAmount	*<FaceAmt>*	Or	[1..1]		12
	DigitalTokenUnit	*<DgtlTknUnit>*	Or	[1..1]		13
	Code	*<Cd>*	Or}	[1..1]		13

Rules

R2   DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"Quantity" on page 53

5.3.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 58

5.3.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 60

5.3.3   DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 62

5.3.4   Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 67

5.4   GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ◇ Ignored - ◆ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		13
	SchemeName	*<SchmeNm>*		[0..1]		13
	Issuer	*<Issr>*		[1..1]		14

Used in element(s)

"Proprietary" on page 22

5.4.1   Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 63

5.4.2   SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 62

5.4.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 62

5.5 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		14
	Issuer	*<Issr>*		[1..1]		14
	SchemeName	*<SchmeNm>*		[0..1]		14

Used in element(s)

"Proprietary" on page 19, "Type" on page 16

5.5.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 58

5.5.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 62

5.5.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 62

5.6 GenericIdentification36

Definition: Identification using a proprietary scheme.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		15
	Issuer	*<Issr>*		[1..1]		15
	SchemeName	*<SchmeNm>*		[0..1]		15

Used in element(s)

5.6.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 62

5.6.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 62

5.6.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 62

5.7 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Removed - 🚫 Partial Removed - ！🚫 Ignored - ◇ Partial Ignored - ！◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	*<Tp>*		[1..1]		16
	Identification	*<Id>*		[0..1]		16

Used in element(s)

"Proprietary" on page 49

5.7.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 14 for details)

Removed - 🚫 Partial Removed - ！🚫 Ignored - ◇ Partial Ignored - ！◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		14
	Issuer	*<Issr>*		[1..1]		14
	SchemeName	*<SchmeNm>*		[0..1]		14

5.7.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 62

5.8 HoldingBalance12

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

Removed - 🚫 Partial Removed - ！🚫 Ignored - ◇ Partial Ignored - ！◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	*<Bal>*		[1..1]		17

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	BalanceType	*<BalTp>*		[0..1]		17
	SafekeepingPlace	*<SfkpgPlc>*		[0..1]	◇	17

Used in element(s)

5.8.1   Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat15" on page 53 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	*<ShrtLngPos>*		[1..1]		53
	Quantity	*<Qty>*		[1..1]		53

5.8.2   BalanceType

XML Tag: BalTp

Presence: [0..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesEntryType2Code" on page 69

5.8.3   SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat28Choice" on page 48 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*	{Or	[1..1]		48
	Country	*<Ctry>*	Or	[1..1]		49
	TypeAndIdentification	*<TpAndId>*	Or	[1..1]		49
	Proprietary	*<Prtry>*	Or}	[1..1]		49

Usage Guideline details

- **This element(seev.005.001.09/ToBeCancelledInstruction/InstructedPosition/InstructedBalance/SafekeepingPlace) will be ignored by the Receiver.**

5.9   IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		18
	Proprietary	*<Prtry>*	Or}	[1..1]		18

Used in element(s)

"Type" on page 25

5.9.1   Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 58

5.9.2   Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 62

5.10   IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person iden-tification.

 Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		19
	Proprietary	*<Prtry>*	Or}	[1..1]		19

Used in element(s)

"IdentificationType" on page 24

5.10.1   Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 75

5.10.2   Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 14 for details)

 Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		14
	Issuer	*<Issr>*		[1..1]		14
	SchemeName	*<SchmeNm>*		[0..1]		14

5.11   MeetingReference10

Definition: Identification of a meeting.

 Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	*<MtgId>*		[1..1]		20

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	IssuerMeetingIdentification	*<IssrMtgId>*		[0..1]		20
	MeetingDateAndTime	*<MtgDtAndTm>*		[1..1]		20
	Type	*<Tp>*		[1..1]		20
	Classification	*<Clssfctn>*		[0..1]		20
	Location	*<Lctn>*		[0..5]	◇	21
	Issuer	*<Issr>*		[0..1]	◇	21

Used in element(s)

"MeetingReference" on page 7

5.11.1 MeetingIdentification

XML Tag: MtgId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 62

5.11.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 62

5.11.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 60

5.11.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 64

5.11.5 Classification

XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 22 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		22
	Proprietary	*<Prtry>*	Or}	[1..1]	◇	22

5.11.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "PostalAddress1" on page 40 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		41
	AddressLine	*<AdrLine>*		[0..5]		41
	StreetName	*<StrtNm>*		[0..1]		41
	BuildingNumber	*<BldgNb>*		[0..1]		41
	PostCode	*<PstCd>*		[0..1]		42
	TownName	*<TwnNm>*		[0..1]		42
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		42
	Country	*<Ctry>*		[1..1]		42

Usage Guideline details

- **This element(seev.005.001.09/MeetingReference/Location) will be ignored by the Receiver.**

5.11.7 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "PartyIdentification129Choice" on page 26 for details)

🚫 Removed - ‼🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AnyBIC	*<AnyBIC>*	{Or	[1..1]		26
	ProprietaryIdentification	*<PrtryId>*	Or	[1..1]		26
	NameAndAddress	*<NmAndAdr>*	Or	[1..1]		27
	LEI	*<LEI>*	Or}	[1..1]		27

Usage Guideline details

- **This element(seev.005.001.09/MeetingReference/Issuer) will be ignored by the Receiver.**

5.12 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

🚫 Removed - ‼🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		22
	Proprietary	*<Prtry>*	Or}	[1..1]	◇	22

Used in element(s)

"Classification" on page 20

5.12.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 64

5.12.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 13 for details)

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		13
	SchemeName	*<SchmeNm>*		[0..1]		13
	Issuer	*<Issr>*		[1..1]		14

Usage Guideline details

- **This element(seev.005.001.09/MeetingReference/Classification/Proprietary) will be ignored by the Receiver.**

5.13   NameAndAddress5

Definition: Information that locates and identifies a party.

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		23
	Address	*<Adr>*		[0..1]		23

Used in element(s)

"NameAndAddress" on page 27

5.13.1   Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 62

5.13.2   Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 40 for details)

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		41
	AddressLine	*<AdrLine>*		[0..5]		41
	StreetName	*<StrtNm>*		[0..1]		41
	BuildingNumber	*<BldgNb>*		[0..1]		41
	PostCode	*<PstCd>*		[0..1]		42
	TownName	*<TwnNm>*		[0..1]		42
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		42
	Country	*<Ctry>*		[1..1]		42

5.14   NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		24
	IdentificationType	*<IdTp>*		[0..1]		24

Used in element(s)

"Identification" on page 32, "Identification" on page 35

5.14.1   Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 62

5.14.2   IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.

The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 18 for details)

 Removed - Partial Removed - Ignored - Partial Ignored - Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		19
	Proprietary	<Prtry>	Or}	[1..1]		19

5.15   OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed - Partial Removed - Ignored - Partial Ignored - Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Suffix	<Sfx>		[0..1]		25
	Type	<Tp>		[1..1]		25

Used in element(s)

"OtherIdentification" on page 52

5.15.1   Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 62

5.15.2   Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 61

5.15.3   Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 18 for details)

Removed - 🚫 Partial Removed - ！🚫 Ignored - ◇ Partial Ignored - ！◇ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		18
	Proprietary	*<Prtry>*	Or}	[1..1]		18

5.16   PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AnyBIC	*<AnyBIC>*	{Or	[1..1]		26
	ProprietaryIdentification	*<PrtryId>*	Or	[1..1]		26
	NameAndAddress	*<NmAndAdr>*	Or	[1..1]		27
	LEI	*<LEI>*	Or}	[1..1]		27

Used in element(s)

"Issuer" on page 21

5.16.1   AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Author-ity, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 57

5.16.2   ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 15 for details)

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		15
	Issuer	<Issr>		[1..1]		15
	SchemeName	<SchmeNm>		[0..1]		15

5.16.3   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5" on page 23 for details)

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		23
	Address	<Adr>		[0..1]		23

5.16.4   LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 60

5.17   PartyIdentification198Choice

Definition: Identification of a party.

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		28
	LEI	<LEI>	Or	[1..1]		28
	AnyBIC	<AnyBIC>	Or	[1..1]		28
	ClientIdentification	<ClntId>	Or	[1..1]		28
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		28

Used in element(s)

5.17.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 62

5.17.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 60

5.17.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 57

5.17.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 63

5.17.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 15 for details)

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		15
	Issuer	*<Issr>*		[1..1]		15
	SchemeName	*<SchmeNm>*		[0..1]		15

5.18   PartyIdentification221

Definition: Identification of a party.

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		29
	EmailAddress	*<EmailAdr>*		[0..1]		29
	Identification	*<Id>*		[1..1]		30

Used in element(s)

"LegalPerson" on page 30

5.18.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 38 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		38
	Address	*<Adr>*		[0..1]		39

5.18.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 61

5.18.3　　Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 27 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	*<NtlRegnNb>*	{Or	[1..1]		28
	LEI	*<LEI>*	Or	[1..1]		28
	AnyBIC	*<AnyBIC>*	Or	[1..1]		28
	ClientIdentification	*<ClntId>*	Or	[1..1]		28
	ProprietaryIdentification	*<PrtryId>*	Or}	[1..1]		28

5.19　　PartyIdentification231Choice

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		30
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		31

Used in element(s)

"AccountOwner" on page 46

5.19.1　　LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification221" on page 29 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		29
	EmailAddress	*<EmailAdr>*		[0..1]		29
	Identification	*<Id>*		[1..1]		30

5.19.2   NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification238" on page 31 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		32
	EmailAddress	*<EmailAdr>*		[0..1]		32
	Identification	*<Id>*		[1..1]		32
	Nationality	*<Ntlty>*		[0..1]		32
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		33

5.20   PartyIdentification238

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		32
	EmailAddress	*<EmailAdr>*		[0..1]		32
	Identification	*<Id>*		[1..1]		32
	Nationality	*<Ntlty>*		[0..1]		32
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		33

Used in element(s)

"NaturalPerson" on page 31

5.20.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 39 for details)

🚫 Removed - ⁉️🚫 Partial Removed - 🔶 Ignored - ⁉️🔶 Partial Ignored - ⚠️ Details below - Ⓜ️ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NamePrefix	*<NmPrfx>*		[0..1]		39
	FirstName	*<FrstNm>*		[1..1]		40
	Surname	*<Srnm>*		[1..1]		40
	Address	*<Adr>*		[0..1]		40

5.20.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 61

5.20.3   Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 24 for details)

🚫 Removed - ⁉️🚫 Partial Removed - 🔶 Ignored - ⁉️🔶 Partial Ignored - ⚠️ Details below - Ⓜ️ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		24
	IdentificationType	*<IdTp>*		[0..1]		24

5.20.4   Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 57

5.20.5   DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 11 for details)

🚫 Removed - !🚫 Partial Removed - 🔸 Ignored - !🔸 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	BirthDate	*<BirthDt>*		[1..1]		11
	ProvinceOfBirth	*<PrvcOfBirth>*		[0..1]		11
	CityOfBirth	*<CityOfBirth>*		[0..1]		11
	CountryOfBirth	*<CtryOfBirth>*		[0..1]		12

5.21   PartyIdentification246Choice

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - 🔸 Ignored - !🔸 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		33
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		34

Used in element(s)

"RightsHolder" on page 47

5.21.1   LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification269" on page 36 for details)

🚫 Removed - !🚫 Partial Removed - 🔸 Ignored - !🔸 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		37
	EmailAddress	<EmailAdr>		[0..1]		37
	Identification	<Id>		[0..1]		37
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		38
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		38

5.21.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification250" on page 34 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		35
	EmailAddress	<EmailAdr>		[0..1]		35
	Identification	<Id>		[0..1]		35
	Nationality	<Ntlty>		[0..1]		36
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		36
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		36

5.22 PartyIdentification250

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		35
	EmailAddress	<EmailAdr>		[0..1]		35
	Identification	<Id>		[0..1]		35
	Nationality	<Ntlty>		[0..1]		36

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		36
	CompanyRegisterShareholderIdentification	*<CpnyRegrShrhldrId>*		[0..1]		36

Used in element(s)

5.22.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 39 for details)

🚫 Removed - 🚫 Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NamePrefix	*<NmPrfx>*		[0..1]		39
	FirstName	*<FrstNm>*		[1..1]		40
	Surname	*<Srnm>*		[1..1]		40
	Address	*<Adr>*		[0..1]		40

5.22.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 61

5.22.3   Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		24
	IdentificationType	*<IdTp>*		[0..1]		24

5.22.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 57

5.22.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

*Definition:* Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 11 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	*<BirthDt>*		[1..1]		11
	ProvinceOfBirth	*<PrvcOfBirth>*		[0..1]		11
	CityOfBirth	*<CityOfBirth>*		[0..1]		11
	CountryOfBirth	*<CtryOfBirth>*		[0..1]		12

5.22.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 62

5.23 PartyIdentification269

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		37
	EmailAddress	*<EmailAdr>*		[0..1]		37
	Identification	*<Id>*		[0..1]		37
	CompanyRegisterShareholderIdentification	*<CpnyRegrShrhldrId>*		[0..1]		38
	CountryOfIncorporation	*<CtryOfIncorprtn>*		[0..1]		38

Used in element(s)

"LegalPerson" on page 33

5.23.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 38 for details)

🚫 Removed - ⚠🚫 Partial Removed - ◆ Ignored - ⚠◆ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		38
	Address	*<Adr>*		[0..1]		39

5.23.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 61

5.23.3   Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 27 for details)

🚫 Removed - ⚠🚫 Partial Removed - ◆ Ignored - ⚠◆ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		28
	LEI	<LEI>	Or	[1..1]		28
	AnyBIC	<AnyBIC>	Or	[1..1]		28
	ClientIdentification	<ClntId>	Or	[1..1]		28
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		28

5.23.4 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 62

5.23.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn

Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: "CountryCode" on page 57

5.24 PersonName2

Definition: Identifies a person via its first name and surname.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		38
	Address	<Adr>		[0..1]		39

Used in element(s)

"NameAndAddress" on page 29, "NameAndAddress" on page 37

5.24.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 62

5.24.2   Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 42 for details)

 Removed - ! Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		43
	AddressLine	*<AdrLine>*		[0..5]		43
	StreetName	*<StrtNm>*		[0..1]		43
	BuildingNumber	*<BldgNb>*		[0..1]		43
	PostBox	*<PstBx>*		[0..1]		43
	PostCode	*<PstCd>*		[0..1]		44
	TownName	*<TwnNm>*		[0..1]		44
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		44
	Country	*<Ctry>*		[1..1]		44

5.25   PersonName3

Definition: Identifies a person via its first name and surname.

 Removed - ! Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NamePrefix	*<NmPrfx>*		[0..1]		39
	FirstName	*<FrstNm>*		[1..1]		40
	Surname	*<Srnm>*		[1..1]		40
	Address	*<Adr>*		[0..1]		40

Used in element(s)

"NameAndAddress" on page 32, "NameAndAddress" on page 35

5.25.1   NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 66

5.25.2   FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 62

5.25.3   Surname

XML Tag: Srnm

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 62

5.25.4   Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 42 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		43
	AddressLine	*<AdrLine>*		[0..5]		43
	StreetName	*<StrtNm>*		[0..1]		43
	BuildingNumber	*<BldgNb>*		[0..1]		43
	PostBox	*<PstBx>*		[0..1]		43
	PostCode	*<PstCd>*		[0..1]		44
	TownName	*<TwnNm>*		[0..1]		44
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		44
	Country	*<Ctry>*		[1..1]		44

5.26   PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		41
	AddressLine	*<AdrLine>*		[0..5]		41
	StreetName	*<StrtNm>*		[0..1]		41
	BuildingNumber	*<BldgNb>*		[0..1]		41
	PostCode	*<PstCd>*		[0..1]		42
	TownName	*<TwnNm>*		[0..1]		42
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		42
	Country	*<Ctry>*		[1..1]		42

Used in element(s)

"Address" on page 23, "Location" on page 21

5.26.1   AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 56

5.26.2   AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 63

5.26.3   StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 63

5.26.4   BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 61

5.26.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 61

5.26.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 62

5.26.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 62

5.26.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 57

5.27 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		43
	AddressLine	*<AdrLine>*		[0..5]		43
	StreetName	*<StrtNm>*		[0..1]		43
	BuildingNumber	*<BldgNb>*		[0..1]		43

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	PostBox	*<PstBx>*		[0..1]		43
	PostCode	*<PstCd>*		[0..1]		44
	TownName	*<TwnNm>*		[0..1]		44
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		44
	Country	*<Ctry>*		[1..1]		44

Used in element(s)

"Address" on page 39, "Address" on page 40

5.27.1   AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 56

5.27.2   AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 63

5.27.3   StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 63

5.27.4   BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 61

5.27.5   PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 61

5.27.6   PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 61

5.27.7   TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 62

5.27.8   CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 62

5.27.9   Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 57

5.28   SafekeepingAccount16

Definition: Identification and information about a securities account and balance.

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	*<AcctId>*		[0..1]	M	46
	BlockChainAddressOrWallet	*<BlckChainAdrOrWllt>*		[0..1]	🚫	46

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AccountOwner	*<AcctOwnr>*		[0..1]	◇	46
	SubAccountIdentification	*<SubAcctId>*		[0..1]	◇	47
	InstructedBalance	*<InstdBal>*		[1..15]		47
	RightsHolder	*<RghtsHldr>*		[0..250]	◇	47

Rules

R4   BalanceQall1Rule ✓

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QAL-L" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00087

- *Error Text:* Invalid message content for Identification

R5   AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning

- *Error Code:* X00551

- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R6   AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00565

- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R7   AccountIdentificationOrBlockChainAddress3Rule ✓

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00566

- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Guidelines

1. **RightsHolderMultiplicity1Guideline**

   It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

"InstructedPosition" on page 10

5.28.1   AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 62

Usage Guideline details

- **on seev.005.001.09/ToBeCancelledInstruction/InstructedPosition/AccountIdentification**

  This element is now mandatory, the minimum occurrence has been changed to : 1

5.28.2   BlockChainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 61

Usage Guideline details

- **This element(seev.005.001.09/ToBeCancelledInstruction/InstructedPosition/BlockChainAddressOrWallet) is removed.**

5.28.3   AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 30 for details)

🚫 Removed - ⚠️ Partial Removed - ◇ Ignored - ◈ Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		30
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		31

Usage Guideline details

- **This element(seev.005.001.09/ToBeCancelledInstruction/InstructedPosition/AccountOwner) will be ignored by the Receiver.**

5.28.4 SubAccountIdentification

XML Tag: SubAcctId

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "Max35Text" on page 62

Usage Guideline details

- **This element(seev.005.001.09/ToBeCancelledInstruction/InstructedPosition/SubAccountIdentification) will be ignored by the Receiver.**

5.28.5 InstructedBalance

XML Tag: InstdBal

Presence: [1..15]

Definition: Quantity of securities in the sub-balance.

The **InstdBal** block contains the following elements (see datatype "HoldingBalance12" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ◇ Ignored - |◇ Partial Ignored - ⚠ Details below - Ⅿ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		17
	BalanceType	<BalTp>		[0..1]		17
	SafekeepingPlace	<SfkpgPlc>		[0..1]	◇	17

5.28.6 RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "PartyIdentification246Choice" on page 33 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - |◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		33
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		34

Usage Guideline details

- **This element(seev.005.001.09/ToBeCancelledInstruction/InstructedPosition/RightsHolder) will be ignored by the Receiver.**

5.29 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - |◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*	{Or	[1..1]		48
	Country	*<Ctry>*	Or	[1..1]		49
	TypeAndIdentification	*<TpAndId>*	Or	[1..1]		49
	Proprietary	*<Prtry>*	Or}	[1..1]		49

Used in element(s)

"SafekeepingPlace" on page 17

5.29.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6" on page 50 for details)

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - |◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	*<SfkpgPlcTp>*		[1..1]		50

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[0..1]		51

5.29.2   Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 57

5.29.3   TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 49 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	*<SfkpgPlcTp>*		[1..1]		50
	Identification	*<Id>*		[1..1]		50

5.29.4   Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78" on page 15 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Type	*<Tp>*		[1..1]		16
	Identification	*<Id>*		[0..1]		16

5.30   SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

Removed - 🚫 Partial Removed - !🚫 Ignored - ◇ Partial Ignored - !◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		50
	Identification	<Id>		[1..1]		50

Used in element(s)

"TypeAndIdentification" on page 49

5.30.1   SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 68

5.30.2   Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: "AnyBICDec2014Identifier" on page 57

5.31   SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

Removed - 🚫 Partial Removed - !🚫 Ignored - ◇ Partial Ignored - !◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		50
	Identification	<Id>		[0..1]		51

Used in element(s)

"Identification" on page 48

5.31.1   SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 68

5.31.2   Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 62

5.32   SecurityIdentification19

Definition: Identification of a security.

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ISIN	*<ISIN>*		[0..1]	Ⓜ	52
	OtherIdentification	*<OthrId>*		[0..*]	◇	52
	Description	*<Desc>*		[0..1]	◇	53

Rules

R8   DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00192

– *Error Text:* At least one identification must be present.

R9   OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00193

– *Error Text:* At least one identification must be present.

R10   ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00194

– *Error Text:* At least one identification must be present.

R11   DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R12   ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 7

5.32.1   ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 59

Usage Guideline details

- **on seev.005.001.09/FinancialInstrumentIdentification/ISIN**

  This element is now mandatory, the minimum occurrence has been changed to : 1

5.32.2   OtherIdentification

XML Tag: OthrId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype "OtherIdentification1" on page 25 for details)

🚫 Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		25
	Suffix	*<Sfx>*		[0..1]		25
	Type	*<Tp>*		[1..1]		25

Usage Guideline details

- **This element(seev.005.001.09/FinancialInstrumentIdentification/OtherIdentification) will be ignored by the Receiver.**

5.32.3   Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 61

Usage Guideline details

- **This element(seev.005.001.09/FinancialInstrumentIdentification/Description) will be ignored by the Receiver.**

5.33   SignedQuantityFormat15

Definition: Signed quantity of a security for various quantity formats.

🚫 Removed - ⚠️ Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	*<ShrtLngPos>*		[1..1]		53
	Quantity	*<Qty>*		[1..1]		53

Used in element(s)

"Balance" on page 17

5.33.1   ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of securities.

Datatype: "ShortLong1Code" on page 74

5.33.2   Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity46Choice" on page 12 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		12
	FaceAmount	*<FaceAmt>*	Or	[1..1]		12
	DigitalTokenUnit	*<DgtlTknUnit>*	Or	[1..1]		13
	Code	*<Cd>*	Or}	[1..1]		13

5.34   SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	*<PlcAndNm>*		[0..1]		54
	Envelope	*<Envlp>*		[1..1]		54

Rules

R13   SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 8

5.34.1   PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 62

5.34.2   Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6   Message Datatypes

Note	The following chapter identifies the datatypes that are used in the message.

6.1   AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

Restricts

6.2   AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

6.3 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R3 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

– *Error severity:* Fatal

– *Error Code:* D00008

– *Error Text:* Invalid BIC.

Used in element(s)

6.4 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern	[A-Z]{2,2}

Rules

R1 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

– *Error severity:* Fatal

– *Error Code:* D00004

– *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 42, "Country" on page 44, "Country" on page 49, "CountryOfBirth" on page 12, "CountryOfIncorporation" on page 38, "Nationality" on page 32, "Nationality" on page 36

6.5 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

"Unit" on page 12

6.6 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}

Used in element(s)

"Identification" on page 14

6.7 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

"Code" on page 18

Restricts

6.8 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

6.9 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

6.10 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"BirthDate" on page 11

6.11 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"MeetingDateAndTime" on page 20

6.12 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"FaceAmount" on page 12

6.13 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}

Used in element(s)

6.14   Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

6.15   Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

6.16   Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

6.17   Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

6.18   Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

6.19   Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

6.20   Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

6.21   Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

6.22   Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

6.23 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

Restricts

6.24 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.

Code	Name	Definition
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Used in element(s)

"Code" on page 22

Restricts

"MeetingTypeClassificationCode" on page 65

6.25 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

"MeetingTypeClassification2Code" on page 64

6.26 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

6.27   NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

Restricts

6.28   NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

6.29   Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

Used in element(s)

Restricts

6.30   QuantityCode

Definition: Quantity is unknown by the sender or has not been established.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by

6.31   SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

Restricts

6.32   SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

Restricts

6.33   SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

6.34   SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/BalanceType/Blocked) will be ignored by the Receiver.**
BORR	Borrowed	Balance of securities that have been borrowed from another party. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-**

Code	Name	Definition
		Type/Borrowed) will be ignored by the Receiver.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/BalanceType/CollateralIn) will be ignored by the Receiver.**
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/BalanceType/CollateralOut) will be ignored by the Receiver.**
ELIG	Eligible	Total number of securities eligible for this event. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/BalanceType/Eligible) will be ignored by the Receiver.**
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPo-**

Code	Name	Definition
		sition/InstructedBalance/Balance-Type/OnLoan) will be ignored by the Receiver.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner). **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-Type/Registered) will be ignored by the Receiver.**
PEND	PendingDelivery	Balance of securities that are pending delivery. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-Type/PendingDelivery) will be ignored by the Receiver.**
PENR	PendingReceipt	Balance of securities that are pending receipt. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-Type/PendingReceipt) will be ignored by the Receiver.**
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPo-**

Code	Name	Definition
		sition/InstructedBalance/Balance-Type/OutForRegistration) will be ignored by the Receiver.
SETD	Settled	Balance of securities representing only settled transactions. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-Type/Settled) will be ignored by the Receiver.**
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/Instructed-Position/InstructedBalance/BalanceType/StreetPosition) will be ignored by the Receiver.**
TRAD	Traded	Balance of securities representing traded transactions. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-Type/Traded) will be ignored by the Receiver.**

Code	Name	Definition
UNBA	UninstructedBalance	Balance of uninstructed position. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/InstructedPosition/InstructedBalance/Balance-Type/UninstructedBalance) will be ignored by the Receiver.**

Used in element(s)

Restricts

6.35   SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.

Code	Name	Definition
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType2Code" on page 69

6.36   ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative. **Usage Guideline restrictions for this code** • **This code(seev.005.001.09/ToBe-CancelledInstruction/Instructed-Position/InstructedBalance/Bal-ance/ShortLongPosition/Short) is removed.**

Used in element(s)

"ShortLongPosition" on page 53

Restricts

"ShortLongCode" on page 75

6.37 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 74

6.38 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.

Code	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

Restricts

6.39   TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.

Code	Name	Definition
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

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