

Usage Guideline

OneClearstream - Meeting Result - Dissemination - SEEV.008 (SR2024)

OneClearstream - General Meeting Messages (SR2024) - Clients

This document describes a usage guideline restricting the base message **MX seev.008.001.09**. You can also consult [this information online](#).

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Message Functionality

Overview

The Meeting Results (seev.008) are only available if Clearstream Banking has received the Meeting Results (seev.008) from the respective Clearstream Banking market provider (depository, provider or agent).

Clearstream Banking does not perform a reconciliation or validation of received Meeting Results (seev.008) but forwards the message to clients as received from the Clearstream Banking market provider (depository, provider or agent).

Collection Description

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Result Dissemination - SEEV.008 (SR2024) message is composed of 10 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

b. MeetingResultDisseminationIdentification

Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

c. MeetingResultsDisseminationType

Type of dissemination report, that is, whether the report is new or a replacement.

d. PreviousMeetingResultsDisseminationIdentification

Identification of the previously sent meeting result dissemination message.

e. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

f. Security

Securities for which the meeting is organised.

g. VoteResult

Results per resolution.

h. Participation

Information about the participation to the voting process.

i. AdditionalInformation

Information on where additional information can be received.

j. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1 Structure

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingResultDisseminationV09</i>	<MtgRsItDssmntn>		[1..1]		
	Pagination	<Pgntn>		[0..1]		8
	PageNumber	<PgNb>		[1..1]		31
	LastPageIndicator	<LastPgInd>		[1..1]		32
	MeetingResultDisseminationIdentification	<MtgRsItDssmntnId>		[0..1]		8
	MeetingResultsDisseminationType	<MtgRsItsDssmntnTp>		[1..1]		8
	PreviousMeetingResultsDisseminationIdentification	<PrvsMtgRsItsDssmntnId>		[0..1]		8
	MeetingReference	<MtgRef>		[1..1]		9
	MeetingIdentification	<MtglId>		[1..1]		25
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]		26
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		26
	Type	<Tp>		[1..1]		26
	Classification	<Clssfctn>		[0..1]		26
	Location	<Lctn>		[0..5]		26
	Issuer	<Issr>		[0..1]		27
	Security	<Scty>		[1..200]		9
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		57
	Position	<Pos>		[0..1000]		58
	VoteResult	<VoteRsIt>		[1..1000]		9
	IssuerLabel	<IssrLabl>		[1..1]		61
	Description	<Desc>		[0..*]		61
	ResolutionStatus	<RsItSts>		[1..1]		61
	SubStatus	<SubSts>		[0..1]		61
	For	<For>		[0..1]		61
	Against	<Agnst>		[0..1]		62
	Abstain	<Abstn>		[0..1]		62
	Withhold	<Wthhld>		[0..1]		62

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithManagement	<WthMgmt>		[0..1]		63
	AgainstManagement	<AgnstMgmt>		[0..1]		63
	Discretionary	<Dscrtnry>		[0..1]		63
	OneYear	<OneYr>		[0..1]		64
	TwoYears	<TwoYrs>		[0..1]		64
	ThreeYears	<ThreeYrs>		[0..1]		64
	NoAction	<NoActn>		[0..1]		65
	Blank	<Blnk>		[0..1]		65
	Proprietary	<Prtry>		[0..4]		65
	Participation	<Prtcptn>		[0..1]		10
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		32
	PercentageOfVotingRights	<PctgOfVtngRghts>		[0..1]		32
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSctiesOutsdng>		[0..1]		32
	CalculationDate	<ClctnDt>		[0..1]		33
	AdditionalInformation	<AddtlInf>		[0..1]		11
	EmailAddress	<EmailAdr>		[0..1]		12
	URLAddress	<URLAdr>		[0..1]		12
	SupplementaryData	<SplmtryData>		[0..*]		11
	PlaceAndName	<PlcAndNm>		[0..1]		59
	Envelope	<Envlp>		[1..1]		60

2 ISO 20022 Rules

R1 PreviousMeetingResultsDisseminationIdentificationRule ✓

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00429
- *Error Text:* PreviousMeetingResultsDisseminationIdentification should be present.

3 Message Building Blocks

Note The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Result Dissemination - SEEV.008 (SR2024) message definition.







3.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 31](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		31
	LastPageIndicator	<LastPgInd>		[1..1]		32

3.2 MeetingResultDisseminationIdentification

XML Tag: MtgRsItDssmntnId

Presence: [0..1]

Definition: Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

Datatype: "[Max35Text](#)" on [page 74](#)

3.3 MeetingResultsDisseminationType

XML Tag: MtgRsItsDssmntnTp

Presence: [1..1]

Definition: Type of dissemination report, that is, whether the report is new or a replacement.

Datatype: "[NotificationType2Code](#)" on [page 80](#)

3.4 PreviousMeetingResultsDissemination-Identification

XML Tag: PrvsMtgRsItsDssmntnId

Presence: [0..1]

Definition: Identification of the previously sent meeting result dissemination message.

Datatype: "Max35Text" on page 74

3.5 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "MeetingReference10" on page 25 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		25
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		26
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		26
	Type	<Tp>		[1..1]		26
	Classification	<Clssfctn>		[0..1]		26
	Location	<Lctn>		[0..5]		26
	Issuer	<Issr>		[0..1]		27

3.6 Security

XML Tag: Scty

Presence: [1..200]

Definition: Securities for which the meeting is organised.

The **Scty** block contains the following elements (see datatype "SecurityPosition19" on page 57 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		57
	Position	<Pos>		[0..1000]		58

3.7 VoteResult

XML Tag: VoteRsIt

Presence: [1..1000]

Definition: Results per resolution.

The **VoteRslt** block contains the following elements (see datatype "Vote20" on page 60 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		61
	Description	<Desc>		[0..*]		61
	ResolutionStatus	<RsltSts>		[1..1]		61
	SubStatus	<SubSts>		[0..1]		61
	For	<For>		[0..1]		61
	Against	<Agnst>		[0..1]		62
	Abstain	<Abstn>		[0..1]		62
	Withhold	<Withld>		[0..1]		62
	WithManagement	<WithMgmt>		[0..1]		63
	AgainstManagement	<AgnstMgmt>		[0..1]		63
	Discretionary	<Dscrtnry>		[0..1]		63
	OneYear	<OneYr>		[0..1]		64
	TwoYears	<TwoYrs>		[0..1]		64
	ThreeYears	<ThreeYrs>		[0..1]		64
	NoAction	<NoActn>		[0..1]		65
	Blank	<Blnk>		[0..1]		65
	Proprietary	<Prtry>		[0..4]		65

3.8 Participation

XML Tag: Prtcptn

Presence: [0..1]

Definition: Information about the participation to the voting process.

The **Prtcptn** block contains the following elements (see datatype "Participation5" on page 32 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		32
	PercentageOfVotingRights	<PctgOfVtngRghts>		[0..1]		32

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfScitiesOutsdng>		[0..1]		32
	CalculationDate	<ClctnDt>		[0..1]		33







3.9 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Information on where additional information can be received.

The **AddtlInf** block contains the following elements (see datatype "[CommunicationAddress11](#)" on page 12 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		12
	URLAddress	<URLAdr>		[0..1]		12







3.10 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on page 59 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		59
	Envelope	<Envlp>		[1..1]		60

4 Message Components

Note The following chapter identifies the message components.

4.1 CommunicationAddress11

Definition: Communication device number or electronic address used for communication.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		12
	URLAddress	<URLAdr>		[0..1]		12

Used in element(s)

"AdditionalInformation" on page 11

4.1.1 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 74

4.1.2 URLAddress

XML Tag: URLAdr







Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 73

4.2 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		13
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		13

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CityOfBirth	<CityOfBirth>		[0..1]		13
	CountryOfBirth	<CtryOfBirth>		[0..1]		13

Used in element(s)

["DateAndPlaceOfBirth" on page 40](#), ["DateAndPlaceOfBirth" on page 43](#)

4.2.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: ["ISODate" on page 71](#)

4.2.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: ["Max35Text" on page 74](#)

4.2.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: ["Max35Text" on page 74](#)

4.2.4 CountryOfBirth

XML Tag: CtryOfBirth







Presence: [0..1]

Definition: Country where a person was born.

Datatype: ["CountryCode" on page 69](#)

4.3 EligiblePosition16

Definition: Information about a securities position and rights holders.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		15
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		15
	AccountOwner	<AcctOwnr>		[0..1]		15
	HoldingBalance	<HldgBal>		[0..15]		15
	RightsHolder	<RgthsHldr>		[0..250]		16

Rules

R3 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R4 AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R5 AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R6 AccountIdentificationOrBlockChainAddress3Rule ✓

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Guidelines

1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

"Position" on page 58

4.3.1 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 74

4.3.2 BlockchainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 73







4.3.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		37
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		38







4.3.4 HoldingBalance

XML Tag: HldgBal

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "HoldingBalance11" on page 21 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		22
	BalanceType	<BalTp>		[1..1]		22
	SafekeepingPlace	<SfkpgPlc>		[0..1]		22
	Date	<Dt>		[0..1]		22







4.3.5 RightsHolder

XML Tag: RgthsHldr

Presence: [0..250]

Definition: Owner of the voting rights.







The **RgthsHldr** block contains the following elements (see datatype "PartyIdentification246Choice" on page 40 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		40
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		41

4.4 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

Used in element(s)

"Abstain" on page 62, "Against" on page 62, "AgainstManagement" on page 63, "Blank" on page 65, "Discretionary" on page 63, "For" on page 61, "NoAction" on page 65, "OneYear" on page 64, "Quantity" on page 52, "ThreeYears" on page 64, "TotalNumberOfSecuritiesOutstanding" on page 32, "TwoYears" on page 64, "WithManagement" on page 63, "Withhold" on page 62

4.4.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 69](#)

4.4.2 FaceAmount

XML Tag: FaceAmt







Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 72](#)

4.5 FinancialInstrumentQuantity45Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17
	FaceAmount	<FaceAmt>	Or	[1..1]		17
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		18

Rules

R7 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["Quantity" on page 59](#)

4.5.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 69](#)

4.5.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount"](#) on page 72

4.5.3 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber"](#) on page 74

4.6 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		18
	SchemeName	<SchmeNm>		[0..1]		18
	Issuer	<Issr>		[1..1]		18

Used in element(s)

["Proprietary"](#) on page 28

4.6.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: ["Max4AlphaNumericText"](#) on page 75

4.6.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max35Text"](#) on page 74

4.6.3 Issuer

XML Tag: Issr







Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 74](#)

4.7 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		19
	Issuer	<Issr>		[1..1]		19
	SchemeName	<SchmeNm>		[0..1]		19

Used in element(s)

["Code" on page 52](#), ["Proprietary" on page 24](#), ["Type" on page 21](#)

4.7.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 69](#)

4.7.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 74](#)

4.7.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max35Text" on page 74](#)

4.8 GenericIdentification36

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		20
	Issuer	<Issr>		[1..1]		20
	SchemeName	<SchmeNm>		[0..1]		20

Used in element(s)

"ProprietaryIdentification" on page 33, "ProprietaryIdentification" on page 35

4.8.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 74

4.8.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 74

4.8.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 74

4.9 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		21
	Identification	<Id>		[0..1]		21

Used in element(s)

["Proprietary" on page 54](#)







4.9.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype ["GenericIdentification30" on page 19](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		19
	Issuer	<Issr>		[1..1]		19
	SchemeName	<SchmeNm>		[0..1]		19

4.9.2 Identification

XML Tag: Id







Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: ["Max35Text" on page 74](#)

4.10 HoldingBalance11

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		22
	BalanceType	<BalTp>		[1..1]		22
	SafekeepingPlace	<SfkpgPlc>		[0..1]		22
	Date	<Dt>		[0..1]		22

Used in element(s)

["HoldingBalance" on page 15](#)

4.10.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat14](#)" on page 58 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		58
	Quantity	<Qty>		[1..1]		59

4.10.2 BalanceType

XML Tag: BalTp

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 83

4.10.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat28Choice](#)" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		53
	Country	<Ctry>	Or	[1..1]		53
	TypeAndIdentification	<TpAndId>	Or	[1..1]		53
	Proprietary	<Prtry>	Or}	[1..1]		54

4.10.4 Date

XML Tag: Dt







Presence: [0..1]

Definition: Date of the entitlement.

Datatype: ["ISODate" on page 71](#)

4.11 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		23
	Proprietary	<Prtry>	Or}	[1..1]		23

Used in element(s)

["Type" on page 31](#)

4.11.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 70](#)

4.11.2 Proprietary

XML Tag: Prtry







Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["Max35Text" on page 74](#)

4.12 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]		24

Used in element(s)"IdentificationType" on page 30







4.12.1 Code

XML Tag: Cd*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "TypeOfIdentification4Code" on page 87

4.12.2 Proprietary

XML Tag: Prtry*Presence:* [1..1]*Definition:* Type of identification expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 19 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		19
	Issuer	<Issr>		[1..1]		19
	SchemeName	<SchmeNm>		[0..1]		19

4.13 ItemDescription2

Definition: Language, title and textual description in the specified language of an item.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		24
	Title	<Titl>		[0..1]		25
	Description	<Desc>		[0..*]		25

Used in element(s)"Description" on page 61

4.13.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 71](#)

4.13.2 Title

XML Tag: Titl

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: ["Max1025Text" on page 72](#)

4.13.3 Description

XML Tag: Desc







Presence: [0..*]

Definition: Free textual description of the item in the specified language.

Datatype: ["Max8000Text" on page 76](#)

4.14 MeetingReference10

Definition: Identification of a meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]		25
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]		26
	MeetingDateAndTime	<MtglDtAndTm>		[1..1]		26
	Type	<Tp>		[1..1]		26
	Classification	<Clssfctn>		[0..1]		26
	Location	<Lctn>		[0..5]		26
	Issuer	<Issr>		[0..1]		27

Used in element(s)

["MeetingReference" on page 9](#)

4.14.1 MeetingIdentification

XML Tag: MtglId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 74

4.14.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 74

4.14.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 71

4.14.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 76







4.14.5 Classification

XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 27 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		28
	Proprietary	<Prtry>	Or}	[1..1]		28







4.14.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "[PostalAddress1](#)" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		48
	AddressLine	<AdrLine>		[0..5]		48
	StreetName	<StrtNm>		[0..1]		48
	BuildingNumber	<BldgNb>		[0..1]		48
	PostCode	<PstCd>		[0..1]		49
	TownName	<TwnNm>		[0..1]		49
	CountrySubDivision	<CtrySubDvsn>		[0..1]		49
	Country	<Ctry>		[1..1]		49







4.14.7 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.







The **Issr** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		33
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		33
	NameAndAddress	<NmAndAdr>	Or	[1..1]		34
	LEI	<LEI>	Or}	[1..1]		34

4.15 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		28

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		28

Used in element(s)

"Classification" on page 26

4.15.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 77







4.15.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 18 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		18
	SchemeName	<SchmeNm>		[0..1]		18
	Issuer	<Issr>		[1..1]		18

4.16 NameAndAddress5

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		29
	Address	<Adr>		[0..1]		29

Used in element(s)

"NameAndAddress" on page 34

4.16.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 74

4.16.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 47 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		48
	AddressLine	<AdrLine>		[0..5]		48
	StreetName	<StrtNm>		[0..1]		48
	BuildingNumber	<BldgNb>		[0..1]		48
	PostCode	<PstCd>		[0..1]		49
	TownName	<TwnNm>		[0..1]		49
	CountrySubDivision	<CtrySubDvsn>		[0..1]		49
	Country	<Ctry>		[1..1]		49

4.17 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		30
	IdentificationType	<IdTp>		[0..1]		30

Used in element(s)

"Identification" on page 39, "Identification" on page 42

4.17.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 74

4.17.2 IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.

The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 23 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]		24

4.18 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		30
	Suffix	<Sfx>		[0..1]		31
	Type	<Tp>		[1..1]		31

Used in element(s)

"OtherIdentification" on page 56

4.18.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 74

4.18.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text" on page 73](#)







4.18.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.







The **Tp** block contains the following elements (see datatype ["IdentificationSource3Choice" on page 23](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		23
	Proprietary	<Prtry>	Or}	[1..1]		23

4.19 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		31
	LastPageIndicator	<LastPgInd>		[1..1]		32

Used in element(s)

["Pagination" on page 8](#)

4.19.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: ["Max5NumericText" on page 75](#)

4.19.2 LastPageIndicator

XML Tag: LastPgInd







Presence: [1..1]

Definition: Indicates the last page.

Datatype: ["YesNoIndicator"](#) on page 88

4.20 Participation5

Definition: Provide information on the level of participation to a shareholder meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		32
	PercentageOfVotingRights	<PctgOfVtngRghts>		[0..1]		32
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfScitiesOutsdng>		[0..1]		32
	CalculationDate	<ClctnDt>		[0..1]		33

Used in element(s)

["Participation"](#) on page 10

4.20.1 TotalNumberOfVotingRights

XML Tag: TtlNbOfVtngRghts

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: ["Number"](#) on page 80

4.20.2 PercentageOfVotingRights

XML Tag: PctgOfVtngRghts

Presence: [0..1]

Definition: Percentage of rights participating to the vote versus total voting rights.

Datatype: ["PercentageRate"](#) on page 80

4.20.3 TotalNumberOfSecuritiesOutstanding

XML Tag: TtlNbOfScitiesOutsdng

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as a number of units or a face amount.

The **TtlNbOfSecuritiesOutstanding** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.20.4 CalculationDate

XML Tag: ClctnDt

Presence: [0..1]

Definition: Date of calculation of the total number of outstanding securities.

Datatype: "[ISODate](#)" on page 71

4.21 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		33
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		33
	NameAndAddress	<NmAndAdr>	Or	[1..1]		34
	LEI	<LEI>	Or}	[1..1]		34

Used in element(s)

"[Issuer](#)" on page 27

4.21.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "[AnyBICDec2014Identifier](#)" on page 68

4.21.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on [page 19](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		20
	Issuer	<Issr>		[1..1]		20
	SchemeName	<SchmeNm>		[0..1]		20

4.21.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on [page 28](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		29
	Address	<Adr>		[0..1]		29

4.21.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on [page 72](#)

4.22 PartyIdentification198Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		35

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LEI	<LEI>	Or	[1..1]		35
	AnyBIC	<AnyBIC>	Or	[1..1]		35
	ClientIdentification	<ClnId>	Or	[1..1]		35
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		35

Used in element(s)

"Identification" on page 37, "Identification" on page 44

4.22.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 74

4.22.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 72

4.22.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 68

4.22.4 ClientIdentification

XML Tag: ClnId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 75

4.22.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 19 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		20
	Issuer	<Issr>		[1..1]		20
	SchemeName	<SchmeNm>		[0..1]		20

4.23 PartyIdentification221

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		36
	EmailAddress	<EmailAdr>		[0..1]		36
	Identification	<Id>		[1..1]		37

Used in element(s)

"LegalPerson" on page 37

4.23.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 45 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		45
	Address	<Adr>		[0..1]		46

4.23.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 74

4.23.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 34 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		35
	LEI	<LEI>	Or	[1..1]		35
	AnyBIC	<AnyBIC>	Or	[1..1]		35
	ClientIdentification	<ClntId>	Or	[1..1]		35
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		35

4.24 PartyIdentification231Choice

Definition: Identification of a party.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		37
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		38

Used in element(s)

"AccountOwner" on page 15

4.24.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 36 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		36
	EmailAddress	<EmailAdr>		[0..1]		36
	Identification	<Id>		[1..1]		37

4.24.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 38 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		39
	EmailAddress	<EmailAdr>		[0..1]		39
	Identification	<Id>		[1..1]		39
	Nationality	<Ntlty>		[0..1]		39
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		40

4.25 PartyIdentification238

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		39
	EmailAddress	<EmailAdr>		[0..1]		39
	Identification	<Id>		[1..1]		39
	Nationality	<Ntlty>		[0..1]		39
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		40

Used in element(s)

["NaturalPerson" on page 38](#)







4.25.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype ["PersonName3" on page 46](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		46
	FirstName	<FrstNm>		[1..1]		47
	Surname	<Snm>		[1..1]		47
	Address	<Adr>		[0..1]		47

4.25.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 74](#)







4.25.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype ["NaturalPersonIdentification1" on page 29](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		30
	IdentificationType	<IdTp>		[0..1]		30

4.25.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 69

4.25.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 12 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		13
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		13
	CityOfBirth	<CityOfBirth>		[0..1]		13
	CountryOfBirth	<CtryOfBirth>		[0..1]		13

4.26 PartyIdentification246Choice

Definition: Identification of a party.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		40
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		41

Used in element(s)

"RightsHolder" on page 16

4.26.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification269" on page 43 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		44
	EmailAddress	<EmailAdr>		[0..1]		44
	Identification	<Id>		[0..1]		44
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		45
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		45







4.26.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification250](#)" on page 41 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		42
	EmailAddress	<EmailAdr>		[0..1]		42
	Identification	<Id>		[0..1]		42
	Nationality	<Ntlty>		[0..1]		43
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		43
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		43

4.27 PartyIdentification250

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		42
	EmailAddress	<EmailAdr>		[0..1]		42
	Identification	<Id>		[0..1]		42

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Nationality	<Ntlty>		[0..1]		43
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		43
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		43

Used in element(s)

"NaturalPerson" on page 41







4.27.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		46
	FirstName	<FrstNm>		[1..1]		47
	Surname	<Srnrm>		[1..1]		47
	Address	<Adr>		[0..1]		47

4.27.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 74







4.27.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 29 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		30
	IdentificationType	<IdTp>		[0..1]		30

4.27.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 69







4.27.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 12 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		13
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		13
	CityOfBirth	<CityOfBirth>		[0..1]		13
	CountryOfBirth	<CtryOfBirth>		[0..1]		13

4.27.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId







Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 74

4.28 PartyIdentification269

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		44
	EmailAddress	<EmailAdr>		[0..1]		44
	Identification	<Id>		[0..1]		44
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		45
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		45

Used in element(s)

"LegalPerson" on page 40







4.28.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 45 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		45
	Address	<Adr>		[0..1]		46

4.28.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 74







4.28.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 34 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		35
	LEI	<LEI>	Or	[1..1]		35
	AnyBIC	<AnyBIC>	Or	[1..1]		35
	ClientIdentification	<ClntId>	Or	[1..1]		35
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		35

4.28.4 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 74

4.28.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn







Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: "CountryCode" on page 69

4.29 PersonName2

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		45
	Address	<Adr>		[0..1]		46

Used in element(s)

"NameAndAddress" on page 36, "NameAndAddress" on page 44

4.29.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 74







4.29.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 49 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		50
	AddressLine	<AdrLine>		[0..5]		50
	StreetName	<StrtNm>		[0..1]		50
	BuildingNumber	<BldgNb>		[0..1]		50
	PostBox	<PstBx>		[0..1]		50
	PostCode	<PstCd>		[0..1]		51
	TownName	<TwnNm>		[0..1]		51
	CountrySubDivision	<CtrySubDvsn>		[0..1]		51
	Country	<Ctry>		[1..1]		51

4.30 PersonName3

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		46
	FirstName	<FrstNm>		[1..1]		47
	Surname	<Snm>		[1..1]		47
	Address	<Adr>		[0..1]		47

Used in element(s)

"NameAndAddress" on page 39, "NameAndAddress" on page 42

4.30.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: ["NamePrefix2Code" on page 79](#)

4.30.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: ["Max350Text" on page 74](#)

4.30.3 Surname

XML Tag: SrmNm

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: ["Max350Text" on page 74](#)







4.30.4 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress26" on page 49](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		50
	AddressLine	<AdrLine>		[0..5]		50
	StreetName	<StrtNm>		[0..1]		50
	BuildingNumber	<BldgNb>		[0..1]		50
	PostBox	<PstBx>		[0..1]		50
	PostCode	<PstCd>		[0..1]		51
	TownName	<TwnNm>		[0..1]		51
	CountrySubDivision	<CtrySubDvsn>		[0..1]		51
	Country	<Ctry>		[1..1]		51

4.31 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		48
	AddressLine	<AdrLine>		[0..5]		48
	StreetName	<StrtNm>		[0..1]		48
	BuildingNumber	<BldgNb>		[0..1]		48
	PostCode	<PstCd>		[0..1]		49
	TownName	<TwnNm>		[0..1]		49
	CountrySubDivision	<CtrySubDvsn>		[0..1]		49
	Country	<Ctry>		[1..1]		49

Used in element(s)

"Address" on page 29, "Location" on page 26

4.31.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 67

4.31.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 76

4.31.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 76

4.31.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 73

4.31.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 73

4.31.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 74

4.31.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 74

4.31.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 69

4.32 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

❌ Removed -
 ⊖ Partial Removed -
 ♦ Ignored -
 ⊖♦ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		50
	AddressLine	<AdrLine>		[0..5]		50
	StreetName	<StrtNm>		[0..1]		50
	BuildingNumber	<BldgNb>		[0..1]		50

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostBox	<PstBx>		[0..1]		50
	PostCode	<PstCd>		[0..1]		51
	TownName	<TwnNm>		[0..1]		51
	CountrySubDivision	<CtrySubDvsn>		[0..1]		51
	Country	<Ctry>		[1..1]		51

Used in element(s)

"Address" on page 46, "Address" on page 47

4.32.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 67

4.32.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 76

4.32.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 76

4.32.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 73

4.32.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 73

4.32.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 73

4.32.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 74

4.32.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 74

4.32.9 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 69

4.33 ProprietaryVote2

Definition: Proprietary vote type and quantity voted.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		52
	Quantity	<Qty>		[1..1]		52

Used in element(s)

"Proprietary" on page 65







4.33.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

The **Cd** block contains the following elements (see datatype "GenericIdentification30" on page 19 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		19
	Issuer	<Issr>		[1..1]		19
	SchemeName	<SchmeNm>		[0..1]		19







4.33.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities voted.







The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 16 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.34 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		53
	Country	<Ctry>	Or	[1..1]		53

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TypeAndIdentification	<TpAndId>	Or	[1..1]		53
	Proprietary	<Prtry>	Or}	[1..1]		54

Used in element(s)

"SafekeepingPlace" on page 22







4.34.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6" on page 54 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		55
	Identification	<Id>		[0..1]		55

4.34.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 69







4.34.3 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 54 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		54
	Identification	<Id>		[1..1]		54







4.34.4 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 20 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		21
	Identification	<Id>		[0..1]		21

4.35 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		54
	Identification	<Id>		[1..1]		54

Used in element(s)

["TypeAndIdentification"](#) on page 53

4.35.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace1Code"](#) on page 82

4.35.2 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: ["AnyBICDec2014Identifier"](#) on page 68

4.36 SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		55
	Identification	<Id>		[0..1]		55

Used in element(s)

"Identification" on page 53

4.36.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 83

4.36.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 74

4.37 SecurityIdentification19

Definition: Identification of a security.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		56
	OtherIdentification	<OthrId>		[0..*]		56
	Description	<Desc>		[0..1]		57

Rules

R9 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R10 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R11 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R12 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R13 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 57

4.37.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 70

4.37.2 OtherIdentification

XML Tag: OthrId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype "[OtherIdentification1](#)" on page 30 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		30
	Suffix	<Sfx>		[0..1]		31
	Type	<Tp>		[1..1]		31

4.37.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "[Max140Text](#)" on page 73

4.38 SecurityPosition19

Definition: Identification of a security and its balance.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		57
	Position	<Pos>		[0..1000]		58

Used in element(s)

"[Security](#)" on page 9

4.38.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19](#)" on page 55 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		56
	OtherIdentification	<OthrId>		[0..*]		56
	Description	<Desc>		[0..1]		57







4.38.2 Position

XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.







The **Pos** block contains the following elements (see datatype "[EligiblePosition16](#)" on page 13 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		15
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		15
	AccountOwner	<AcctOwnr>		[0..1]		15
	HoldingBalance	<HldgBal>		[0..15]		15
	RightsHolder	<RghtsHldr>		[0..250]		16

4.39 SignedQuantityFormat14

Definition: Signed quantity of a security for various quantity formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		58
	Quantity	<Qty>		[1..1]		59

Used in element(s)

"Balance" on page 22

4.39.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of securities.

Datatype: ["ShortLong1Code" on page 86](#)







4.39.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.







The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity45Choice" on page 17](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17
	FaceAmount	<FaceAmt>	Or	[1..1]		17
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		18

4.40 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		59
	Envelope	<Envlp>		[1..1]		60

Rules

R14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

["SupplementaryData" on page 11](#)

4.40.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 74

4.40.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

4.41 Vote20

Definition: Vote results for one resolution.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLabl>		[1..1]		61
	Description	<Desc>		[0..*]		61
	ResolutionStatus	<RslnSts>		[1..1]		61
	SubStatus	<SubSts>		[0..1]		61
	For	<For>		[0..1]		61
	Against	<Agnst>		[0..1]		62
	Abstain	<Abstn>		[0..1]		62
	Withhold	<Wthhld>		[0..1]		62
	WithManagement	<WthMgmt>		[0..1]		63
	AgainstManagement	<AgnstMgmt>		[0..1]		63
	Discretionary	<Dscrtnry>		[0..1]		63
	OneYear	<OneYr>		[0..1]		64
	TwoYears	<TwoYrs>		[0..1]		64
	ThreeYears	<ThreeYrs>		[0..1]		64
	NoAction	<NoActn>		[0..1]		65
	Blank	<Blnk>		[0..1]		65
	Proprietary	<Prtry>		[0..4]		65

Used in element(s)

"VoteResult" on page 9

4.41.1 IssuerLabel

XML Tag: IssrLabl

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: ["Max35Text" on page 74](#)







4.41.2 Description

XML Tag: Desc

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

The **Desc** block contains the following elements (see datatype ["ItemDescription2" on page 24](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		24
	Title	<Titl>		[0..1]		25
	Description	<Desc>		[0..*]		25

4.41.3 ResolutionStatus

XML Tag: RsltnSts

Presence: [1..1]

Definition: Acceptance status of the resolution.

Datatype: ["ResolutionStatus2Code" on page 81](#)

4.41.4 SubStatus

XML Tag: SubSts

Presence: [0..1]

Definition: Specifies whether the resolution is a new or an amended resolution.

Datatype: ["ResolutionSubStatus1Code" on page 81](#)







4.41.5 For

XML Tag: For

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

The **For** block contains the following elements (see datatype ["FinancialInstrumentQuantity18Choice" on page 16](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17







4.41.6 Against

XML Tag: Agnst

Presence: [0..1]

Definition: Number of votes against one resolution.

The **Agnst** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17







4.41.7 Abstain

XML Tag: Abstn

Presence: [0..1]

Definition: Number of abstention votes.

The **Abstn** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.8 Withhold

XML Tag: Wthhld

Presence: [0..1]

Definition: Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

The **Wthhld** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.9 WithManagement

XML Tag: WthMgmt

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

The **WthMgmt** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.10 AgainstManagement

XML Tag: AgnstMgmt

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

The **AgnstMgmt** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.11 Discretionary

XML Tag: Dscrtnry

Presence: [0..1]

Definition: Number of votes for which the decision is left to the party that will exercise the voting right.

The **Dscrtnry** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.12 OneYear

XML Tag: OneYr

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

The **OneYr** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.13 TwoYears

XML Tag: TwoYrs

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

The **TwoYrs** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.14 ThreeYears

XML Tag: ThreeYrs

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

The **ThreeYrs** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.15 NoAction

XML Tag: NoActn

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

The **NoActn** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.16 Blank

XML Tag: Blnk

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

The **Blnk** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		16
	FaceAmount	<FaceAmt>	Or}	[1..1]		17

4.41.17 Proprietary

XML Tag: Prtry

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "ProprietaryVote2" on page 51 for details)

ⓧ Removed - ⓧ Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		52
	Quantity	<Qty>		[1..1]		52

5 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

5.1 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 48](#), ["AddressType" on page 50](#)

Restricts

["AddressTypeCode" on page 67](#)

5.2 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 67](#)

5.3 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R8 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 33, "AnyBIC" on page 35, "Identification" on page 54

5.4 CorporateActionNotificationTypeCode

Definition: Type of notification.

Type: CodeSet

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

"NotificationType2Code" on page 80

5.5 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R2 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 49, "Country" on page 51, "Country" on page 53, "CountryOfBirth" on page 13, "CountryOfIncorporation" on page 45, "Nationality" on page 39, "Nationality" on page 43

5.6 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

"Unit" on page 16, "Unit" on page 17

5.7 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern [a-zA-Z0-9]{4}

Used in element(s)

["Identification" on page 19](#)

5.8 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 23](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 70](#)

5.9 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 70](#)

5.10 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

["ISIN" on page 56](#)

5.11 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

Used in element(s)

["Language" on page 24](#)

5.12 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

["BirthDate" on page 13](#), ["CalculationDate" on page 33](#), ["Date" on page 22](#)

5.13 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

- 1) beginning / end of calendar day
- 00:00:00 = the beginning of a calendar day
- 24:00:00 = the end of a calendar day
- 2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)
"MeetingDateAndTime" on page 26

5.14 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)
"FaceAmount" on page 17, "FaceAmount" on page 17

5.15 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

Used in element(s)
"LEI" on page 34, "LEI" on page 35

5.16 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.

Type: Text

Format

maxLength	1025
minLength	1

Used in element(s)

"Title" on page 25

5.17 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"BlockchainAddressOrWallet" on page 15, "Description" on page 57

5.18 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 48, "BuildingNumber" on page 50, "PostBox" on page 50, "PostCode" on page 49, "PostCode" on page 51, "Suffix" on page 31

5.19 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

maxLength	2048
minLength	1

Used in element(s)

["URLAddress" on page 12](#)

5.20 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

["EmailAddress" on page 12](#), ["EmailAddress" on page 36](#), ["EmailAddress" on page 39](#), ["EmailAddress" on page 42](#), ["EmailAddress" on page 44](#)

5.21 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

["DigitalTokenUnit" on page 18](#)

5.22 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["FirstName" on page 47](#), ["Name" on page 29](#), ["Name" on page 45](#), ["PlaceAndName" on page 59](#), ["Surname" on page 47](#)

5.23 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

["AccountIdentification" on page 15](#), ["CityOfBirth" on page 13](#), ["CompanyRegisterShareholderIdentification" on page 43](#), ["CompanyRegisterShareholderIdentification" on page 45](#), ["CountrySubDivision" on page 49](#), ["CountrySubDivision" on page 51](#), ["Identification" on page 20](#), ["Identification" on page 21](#), ["Identification" on page 30](#), ["Identification" on page 30](#), ["Identification" on page 55](#), ["Issuer" on page 18](#), ["Issuer" on page 19](#), ["Issuer" on page 20](#), ["IssuerLabel" on page 61](#), ["IssuerMeetingIdentification" on page 26](#), ["MeetingIdentification" on page 25](#), ["NationalRegistrationNumber" on page 35](#), ["Proprietary" on page 23](#), ["ProvinceOfBirth" on page 13](#), ["SchemeName" on page 18](#), ["SchemeName" on page 19](#), ["SchemeName" on page 20](#), ["TownName" on page 49](#), ["TownName" on page 51](#)

5.24 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

["Identification" on page 18](#)

5.25 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

["ClientIdentification" on page 35](#)

5.26 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern [0-9]{1,5}

Used in element(s)

"PageNumber" on page 31

5.27 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength 70

minLength 1

Used in element(s)

"AddressLine" on page 48, "AddressLine" on page 50, "StreetName" on page 48, "StreetName" on page 50

5.28 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

maxLength 8000

minLength 1

Used in element(s)

"Description" on page 25

5.29 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

Code	Name	Definition
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

"Type" on page 26

Restricts

"MeetingTypeV2Code" on page 78

5.30 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Used in element(s)

"Code" on page 28

Restricts

["MeetingTypeClassificationCode" on page 78](#)

5.31 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

["MeetingTypeClassification2Code" on page 77](#)

5.32 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and

Code	Name	Definition
		directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

"MeetingType4Code" on page 76

5.33 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

"NamePrefix" on page 46

Restricts

"NamePrefixCode" on page 79

5.34 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.

Code	Name	Definition
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

"NamePrefix2Code" on page 79

5.35 NotificationType2Code

Definition: Specifies a type of notification.

Type: CodeSet

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

Restricts

"CorporateActionNotificationTypeCode" on page 68

5.36 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

fractionDigits	0
totalDigits	18

Used in element(s)

"TotalNumberOfVotingRights" on page 32

5.37 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

fractionDigits	10
----------------	----

totalDigits

11

Used in element(s)["PercentageOfVotingRights" on page 32](#)

5.38 ResolutionStatus2Code

Definition: Specifies the status of a resolution in a meeting agenda.*Type:* CodeSet

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Used in element(s)["ResolutionStatus" on page 61](#)**Restricts**["ResolutionStatusCode" on page 81](#)

5.39 ResolutionStatusCode

Definition: Specifies the status of a resolution in a meeting agenda.*Type:* CodeSet

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Is restricted by["ResolutionStatus2Code" on page 81](#)

5.40 ResolutionSubStatus1Code

Definition: Specifies the sub-status of a resolution.*Type:* CodeSet

Code	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.

Code	Name	Definition
NEWR	NewResolution	Resolution voted at the meeting is new.

Used in element(s)

"SubStatus" on page 61

Restricts

"ResolutionSubStatusCode" on page 82

5.41 ResolutionSubStatusCode

Definition: Specifies the sub-status of a resolution.

Type: CodeSet

Code	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

Is restricted by

"ResolutionSubStatus1Code" on page 81

5.42 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 54

Restricts

"SafekeepingPlaceCode" on page 83

5.43 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 55](#)

Restricts

["SafekeepingPlaceCode" on page 83](#)

5.44 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

["SafekeepingPlace1Code" on page 82](#), ["SafekeepingPlace2Code" on page 83](#)

5.45 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
UNBA	UninstructedBalance	Balance of uninstructed position.

Used in element(s)

"BalanceType" on page 22

Restricts

"SecuritiesEntryTypeCode" on page 84

5.46 SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.

Code	Name	Definition
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType2Code" on page 83

5.47 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

"ShortLongPosition" on page 58

Restricts

"ShortLongCode" on page 86

5.48 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 86

5.49 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

"Code" on page 24

Restricts

"TypeOfIdentificationCode" on page 87

5.50 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

"TypeOfIdentification4Code" on page 87

5.51 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"LastPageIndicator" on page 32

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