

## Entity Ownership and Controlling Persons Checklist

- ❖ Notice is hereby given of the requirement to complete this checklist to identify the Owners and Controlling Person(s) of an entity, wherein financial transactions and the disposal of property rights shall be restricted for designated terrorists and any entities directly or indirectly owned or controlled by such persons pursuant to the amended Act on Prohibition Against the Financing of Terrorism and Proliferation of Weapons of Mass Destruction.

### Section 1. Entity Information

Name of Investor	
Business Registration No. (IRC No. / LEI No.)	

### Section 2. Identification of Owners

Definition: Any individual or legal entity that holds more than 50% of voting rights either alone or jointly with other shareholders.

Please list up to top five owners descending in order of shareholding ratio. If there are no individuals or legal entities corresponding to the above definition, please state 'n/a'.

No.	Name (Individual or Entity)	Date of Birth (Business Registration No.)	Nationality (Country of Headquarter)	Shareholding Ratio (%)
Owner 1				
Owner 2				
Owner 3				
Owner 4				
Owner 5				

Supporting documents (Shareholders Register, Articles of Incorporation, Minutes of Board Meetings, or official documents issued by government entities etc.) may be requested to verify the information.

### Section 3-1. Identification of Controlling Persons

Definition: Any individual or entity that exercises de factor control over key management decisions of the entity, meeting the following criteria (regardless of stake percentage).

Please review the criteria and check 'Yes' or 'No'.

No.	Criteria for Identifying Controlling Persons	Y/N
1	Is there any person who can exercise more than 50% of voting rights on the entity, either alone or in agreement or contract with other shareholders?	
2	Is there any person who has appointed more than 50% of the directors, representatives or executives of the entity, either alone or in agreement or contract with other shareholders?	
3	Is there any person who can exercise de factor control over the composition of the management board or the operation of assets through contracts with the entity or through the Articles of Incorporation?	
4	Is there any person who can exercise de facto control over key management decisions of the entity through other means equivalent to the above 1-3?	

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### Section 3-2. Controlling Persons Information

Please list up to five individuals or entities who meet the criteria for Controlling Persons detailed in Section 3-1.

No.	Name (Individual or Entity)	Date of Birth (Business Registration No.)	Nationality (Country of Headquarter)	Control Type (Select from the above item 1 to 4)
Controlling Person 1				
Controlling Person 2				
Controlling Person 3				
Controlling Person 4				
Controlling Person 5				

### Section 4. Declaration

I hereby certify that the information provided herewith has been prepared in good faith and is true, accurate, and complete as of the date of signing. I acknowledge that the financial institution may request additional documentation to verify the information provided above. I further certify that I am authorized by the Entity to provide this information.

In case there are changes to the above list of owners and/or controllers, I will advise The Hongkong and Shanghai Banking Corporation Limited, Seoul Branch accordingly, and I will be held responsible for any consequences arising out of incorrect, inaccurate or incomplete information.

Authorised Signature(s) \_\_\_\_\_

Name(s) of authorised signature(s) \_\_\_\_\_

Title(s) of authorised signature(s) \_\_\_\_\_

Date [DD/MM/YYYY] \_\_\_\_\_