

# Vestima Digital Service Application Form

Please complete all sections of the form.

## Vestima Digital Service Application Form

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postal code: \_\_\_\_\_ Country: \_\_\_\_\_

Commercial registration: \_\_\_\_\_

Who operates as order Issuer (hereafter "OI");

and Clearstream Fund Centre S.A. (CFCL), a société anonyme incorporated under the laws of the Grand Duchy of Luxembourg, having its registered office at 42 Avenue J.F. Kennedy, L-1855 Luxembourg and registered with the Trade and Companies Register of Luxembourg under number B 9248, who operates as Market Infrastructure Provider (hereafter "MIP"); individually referred to as a "Party" and together "the Parties".

Date: \_\_\_\_\_

The purpose of this SAF is to define  New OI  Amendment to the SAF

## Vestima participant setup

Who operates as order Issuer (hereafter "OI");

Participant ID<sup>1</sup> \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Master Participant<sup>1</sup>  \_\_\_\_\_

1. This simplifies on-line access to multiple Vestima participants.

Specify securities account number at

Clearstream Fund Centre Luxembourg "CFCL" \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Cash account at the CFCL<sup>2</sup> \_\_\_\_\_

Automated Settlement<sup>3</sup>  Yes  No

Immediate rejection for provision failure<sup>4</sup>  Yes  No

### Billing (This section is only applicable to the mutual funds available via Vestima+)

The OI authorises to collect fees and charges by debiting the following account held at CFCL

Account number \_\_\_\_\_

Account owner<sup>5</sup> \_\_\_\_\_

VAT number \_\_\_\_\_

Billing address

Company \_\_\_\_\_

Name \_\_\_\_\_

Address 1 \_\_\_\_\_

Address 2 \_\_\_\_\_

Telephone \_\_\_\_\_

### Main contact and mailing address

Contact name \_\_\_\_\_

Address \_\_\_\_\_ Fax \_\_\_\_\_

City \_\_\_\_\_ Post code \_\_\_\_\_ Country \_\_\_\_\_

Telephone \_\_\_\_\_ Fax \_\_\_\_\_

Swift \_\_\_\_\_

2. Only to be specified if different to the securities account.

3. Choose whether Vestima shall generate settlement instructions.

4. Choose whether Vestima shall immediately reject a redemption or switch-from if it fails provision checking, if not then such an order will be placed in a queue and retested for a period of one week (five Business Days).

5. Please provide a Power of Attorney if the OI is not the owner of the account.

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### Corporate actions contact

\_\_\_\_\_  
Contact name

\_\_\_\_\_  
Email

\_\_\_\_\_  
Fax

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### Trading contact

\_\_\_\_\_  
Contact name

\_\_\_\_\_  
Email

\_\_\_\_\_  
Fax

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### Transfers contact

\_\_\_\_\_  
Contact name

\_\_\_\_\_  
Email

\_\_\_\_\_  
Fax

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### Technology contact

\_\_\_\_\_  
Contact name

\_\_\_\_\_  
Email

\_\_\_\_\_  
Fax

## VestimaPRIME Client Declaration

Please complete all sections of the form. The following clauses only apply for funds with Fund Processing Group = VestimaPRIME. Please refer to the Published Fund List report on the website.

Suffix to the name of the registered account at the fund admin/transfer agent<sup>1</sup>

(default is your account number, if customised 50 characters is the maximum).  
For compliance reasons, further documents will have to be provided, even for existing accounts.

### Dividend

 Cash

 Reinvest

	Swift 15022	Swift 20022	Fax	Email
<b>Corporate Actions:</b>				
- Corp. action cancellation	<input type="checkbox"/>			
- Corp. action confirmation	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
- Corp. action entitlement	<input type="checkbox"/>			
- Corp. action preliminary confirmation	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
- Corp. action notification (ISIN change)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
- Corp. action notification	<input type="checkbox"/>			
- Corp. action notification (voluntary)			<input type="checkbox"/>	<input type="checkbox"/>
- Corp. action notification (IS1.0)	<input type="checkbox"/>			<input type="checkbox"/>
<b>Middle/Back office:</b>				
- Information from Client				<input type="checkbox"/>
- Payment advice to Client (CPST/SETT)		<input type="checkbox"/>		
<b>Statement of Holdings:</b>				
- Statement of Holdings	<input type="checkbox"/>		<input type="checkbox"/>	
- Statement of Pending Transactions	<input type="checkbox"/>		<input type="checkbox"/>	
- Statement of Transactions	<input type="checkbox"/>		<input type="checkbox"/>	

1. Default is Clearstream Banking S.A AFS Ref (new account number to be assigned).

Please return this form and the following documents (as relevant) to your Relationship Officer:

- Backup withholding tax documentation
- Income tax documentation

## Signature page

Client understands and agrees that:

Investor IDs are internal reporting constructs and do not constitute securities accounts under the Law of 1 August 2001 on the circulation of securities.

CFCL will report Investor ID-level data to asset managers for the purposes of (i) operational transparency, reconciliation and compliance, including AML/KYC obligations, as well as (ii) marketing, commercialisation and business development activity related to the investment products promoted by the asset manager.

All allocation logic, instructions and data associated with Investor IDs are initiated, maintained and managed solely by the Client.

CFCL acts exclusively on Client-provided instructions and does not assume any responsibility for investment decisions, data accuracy or completeness of information submitted by the Client or third parties.

The Client bears full responsibility for the accuracy, integrity and reconciliation of data reported at the Investor ID level. Clients are responsible for ensuring that their internal Investor ID allocations are accurate and consistent with the data provided to CFCL. Any discrepancies must be promptly addressed by the Client.

By signing below, the Client confirms its understanding of the above and consents to the reporting of transactions and positions down to the Investor ID level to asset managers as described.

### Authorised signature(s)

The OI hereby expressly authorises and instructs CFCL to disclose and transmit to any asset manager of an investment fund in which the OI holds an interest (the Asset Manager) information pertaining to securities transactions and holdings at the level of the OI's unique identification (the Investor Information), including but not limited to Subscriptions, redemptions, capital calls, lifecycle events and lock-up information.

Such disclosure shall be confined to the purpose of (i) ensuring operational transparency, facilitating reconciliation processes, and enabling compliance with applicable legal and regulatory obligations, including, but not limited to, the Asset Manager's anti-money laundering and know-your-customer requirements and (ii) marketing, commercialisation and business development activity related to the Fund and future investment products promoted by the Asset Manager. The Asset Manager shall be subject to a contractual confidentiality undertaking requiring the Asset Manager to treat the Investor Information confidential. The transmission of Investor Information shall be limited to jurisdictions within the European Economic Area, the United Kingdom, Australia, Singapore, Hong Kong Switzerland and/or the United States.

The OI expressly acknowledges that this authorisation constitutes a waiver of CFCL's professional secrecy obligations under Article 41 of the Law of 5 April 1993 on the financial sector, as amended, and releases CFCL from any liability arising from the disclosure made pursuant to this authorisation.

_____ Signature	_____ Signature	_____ Signature
_____ Name	_____ Name	_____ Name
_____ Title	_____ Title	_____ Title
_____ Place	_____ Place	_____ Place
_____ Date	_____ Date	_____ Date