

CBF SWIFT & Connectivity Änderungen

November 2013

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SWIFT & Connectivity-Änderungen im November 2013

Clearstream Banking Frankfurt (CBF) stellt in dieser Kundeninformation die Änderungen im Zusammenhang mit dem SWIFT Standard Release 2013 vor. Diese Änderungen werden mit Wirkung zum 16. November 2013 eingeführt.

Diese Änderungen werden zusätzlich zu den bereits in der Kundenmitteilung D13022 vom 30 Mai 2013 beschriebenen Neuerungen, die im Rahmen des CBF Release im November 2013 umgesetzt werden, eingeführt.

Die vorliegende Zusammenfassung bezieht sich auf die CBF-Änderungen im Rahmen des SWIFT Standard Releases, zusätzliche CBF Connectivity Änderungen, auf weitere Implementierungen im Rahmen der Securities Markets Practice Groups (SMPG) und auf Vereinheitlichungen der verschiedenen Nachrichtentypen.

Jedes Jahr veröffentlicht SWIFT Spezifikationen für die verschiedenen ISO15022 Nachrichtentypen. Diese geänderten bzw. erweiterten Spezifikationen beziehen sich auf die Anforderungen der National Market Practice Groups (NMPGs) und der SMPGs.

Clearstream Banking Frankfurt (CBF) wird in der Upgrade Information den fachlichen Hintergrund zu den verschiedenen Änderungen/Anpassungen erläutern.

Grundsätzlich sind alle Anforderungen bindend und müssen von allen SWIFT-Nutzern übernommen werden. Die optionalen Änderungen können übernommen werden.

Im Rahmen des SWIFT Release im November 2013 werden die nachfolgend beschriebenen Änderungen von Clearstream Banking Frankfurt umgesetzt.

Diese Änderungen gelten für Nachrichten im ISO15022 Format, die über das SWIFT Netzwerk, über IBM WebSphere MQ sowie über die File-Transfer Schnittstelle empfangen bzw. versendet werden.

Nachfolgend werden die entsprechenden Änderungen für CBF dargestellt. Die von SWIFT geänderten „Network Validation Rules“ werden nicht im Einzelnen dargestellt.

Die Informationen sind in folgende Abschnitte gegliedert:

- Clearing und Settlement Services
- Custody Services

Bei Fragen zu dieser Release Information steht der Customer Service Connectivity unter der Telefonnummer +49-(0) 69-2 11-11590 gerne zur Verfügung.

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1. Clearing und Settlement Services

Aufgrund der Einführung des SWIFT Standard Release 2013 wird es keine Änderungen in den SWIFT-Nachrichten der CBF im Bereich Clearing und Settlement geben.

2. Custody Services

In diesem Kapitel sind die Änderungen für den CBF Custody Service, die ab dem 16. November 2013 eingeführt werden, zusammengefasst. Die SWIFT-MT564/566/568 Services der CBF sind optionale Services und können durch den Kunden über die Bestellformulare Nr. 83A/B beantragt werden.

2.1 SWIFT Standard Release 2013

2.1.1 MT564 Corporate Action Notification

Ausschüttende und thesaurierende Fonds

Die folgenden Änderungen und Erweiterungen beziehen sich auf die KADI-Terminarten TA121 (Ausschüttung Fonds) und TA127 (Steuerliquidität für thesaurierende Fonds).

Sequenz A General Information

:22F::CAEV//ACCU

MT564 Vorabinformationen in der Terminart TA127 werden künftig mit dem Corporate Action Event Indikator „ACCU“ (Accumulation) versendet. Heute erfolgt der Versand noch mit dem Indikator „INCR“.

Teilsequenz E2 Cash Movements

:92J::GRSS//REES

Heute erfolgt die Anzeige des Immobilienanteils einer Fondsausschüttung (TA121) oder Steuerliquidität (TA127) unter Verwendung eines Data Source Schemas (SMPG), also z. B. 92J:
:GRSS/SMPG/REES/EUR30,000000000/ACTU.

Künftig erfolgt die Anzeige ohne Verwendung des Data Source Schemas: :92J:
:GRSS/REES/EUR30,000000000/ACTU

Sequenz F, Additional Information

:70E::TAXE

Das Freitextfeld 70E wird künftig mit dem Qualifier TAXE genutzt, um bei den Terminarten TA121 und TA127 den deutschen Dividendenanteil und den deutschen Reit-Anteil (soweit vorhanden) anzuzeigen. Der deutsche Dividendenanteil wird in der ersten Zeile nach dem Schlüsselwort „DIV“ und der deutsche REIT-Anteil in der zweiten Zeile nach dem Schlüsselwort „REIT“ jeweils zusammen mit der Währung dargestellt.

Damit ergeben sich die nachfolgenden generellen Darstellungsmöglichkeiten zur Anzeige von Bemessungsgrundlagen in den Terminarten TA121 und TA127.

SWIFT-Feld	Beschreibung
Sequenz E2 Cashmove	
:92F::GRSS	TA121: Ausschüttungsbetrag brutto TA127: Steuerliquidität brutto
:92J: :GRSS//INCO/	Deutscher Dividenden-, REIT- und Immobilienanteil
:92J: :GRSS//INTR/	Zinsanteil
:92J: :GRSS//SOIC/	Ausländischer Dividenden- und REIT-Anteil
:92J: :GRSS//REES/	Deutscher Immobilienanteil
Sequenz F Additional Information	
:70E: :TAXE//DIV/	Deutscher Dividendenanteil
REIT/	Deutscher REIT-Anteil
EXCHANGE RATE/	Währung 1 / Währung 2 / Umrechnungskurs, falls die Währung der Bemessungsgrundlagen von der Ausschüttungswährung abweicht.

Beispiel: Auszug eines MT564 in TA127

```
:92F: :GRSS//EUR2,799
:92J: :GRSS//INCO/EUR2,000000000/ACTU
:92J: :GRSS//INTR/EUR4,000000000/ACTU
:92J: :GRSS//SOIC/EUR3,000000000/ACTU
:92J: :GRSS//REES/EUR1,000000000/ACTU

:70E: :TAXE//DIV/EUR2,000000000
      REIT/EUR1,000000000
```

2.1.2 MT566 Corporate Action Confirmation

Ausschüttende und thesaurierende Fonds

Die folgenden Änderungen und Erweiterungen beziehen sich auf die KADI-Terminarten TA121 (Ausschüttung Fonds) und TA127 (Steuerliquidität für thesaurierende Fonds).

Sequenz A General Information

:22F::CAEV//ACCU

MT566 Bestätigungen in der Terminart TA127 werden künftig mit dem Corporate Action Event Indikator „ACCU“ (Accumulation) versendet. Heute erfolgt der Versand noch mit dem Indikator „INCR“.

Teilsequenz D2 Cash Movements

:92J::GRSS//REES

Heute erfolgt die Anzeige des Immobilienanteils einer Fondsausschüttung (TA121) oder Steuerliquidität (TA127) unter Verwendung eines Data Source Schemas (SMPG), also z. B. :92J: :GRSS/**SMPG**/REES/EUR30,000000000/ACTU.

Künftig erfolgt die Anzeige ohne Verwendung des Data Source Schemas: :92J: :GRSS/REES/EUR30,000000000/ACTU

Sequenz E Additional Information

:70E::TAXE

Das Freitextfeld 70E wird künftig mit dem Qualifier TAXE genutzt, um bei den Terminarten TA121 und TA127 den deutschen Dividendenanteil und den deutschen REIT-Anteil (soweit vorhanden) anzuzeigen. Der deutsche Dividendenanteil wird in der ersten Zeile nach dem Schlüsselwort „DIV“ und der deutsche REIT-Anteil in der zweiten Zeile nach dem Schlüsselwort „REIT“ jeweils zusammen mit der Währung dargestellt.

Damit ergeben sich die nachfolgenden generellen Darstellungsmöglichkeiten zur Anzeige von Bemessungsgrundlagen in den Terminarten TA121 und TA127.

SWIFT-Feld	Beschreibung
Sequenz D2 Cashmove	
:92F::GRSS	TA121: Ausschüttungsbetrag brutto TA127: Steuerliquidität brutto
:92J: :GRSS//INCO/	Deutscher Dividenden-, REIT- und Immobilienanteil
:92J: :GRSS//INTR/	Zinsanteil
:92J: :GRSS//SOIC/	Ausländischer Dividenden- und REIT-Anteil
:92J: :GRSS//REES/	Deutscher Immobilienanteil
Sequenz E Additional Information	
:70E: :TAXE//DIV/	Deutscher Dividendenanteil
REIT/	Deutscher REIT-Anteil

Beispiel: Auszug eines MT566 in TA127

```
:92F: :GRSS//EUR2,799
:92J: :GRSS//INCO/EUR2,000000000/ACTU
:92J: :GRSS//INTR/EUR4,000000000/ACTU
:92J: :GRSS//SOIC/EUR3,000000000/ACTU
:92J: :GRSS//REES/EUR1,000000000/ACTU

:70E: :TAXE//DIV/EUR2,000000000
      REIT/EUR1,000000000
```

2.2 Implementierungen im Rahmen der Securities Markets Practice Groups

2.2.1 MT564 Corporate Action Notification

MT564 REPE Non Income auf Basis von Geschäften

Heute erfolgt innerhalb des Kompensationszyklus ein Versand des MT564 REPE Non Income nur, wenn eine „Free of Payment (FoP)“-Instruktion für einen Market Claim in Stücke in CASCADE oder Creation eingestellt wurde, und die FoP-Instruktion durch den Verkäufer mit einer Settlement-Sperre versehen ist.

Künftig versendet CBF zu jedem innerhalb des Kompensationszyklus erstellten Market Claims den MT564 REPE an Verkäufer und Käufer, unabhängig von einer gesetzten Settlement-Sperre. Wird der Market Claim als gesperrte FoP-Instruktion eingestellt, so wird im MT564 REPE das Nominal des Market Claims zusätzlich als geblockt ausgewiesen:

Teilsequenz B2 Account Information :93B::Balance

Bei der Erstellung eines MT564 REPE Non Income auf Basis eines als gesperrt eingestellten

FoP Claims wird künftig zusätzlich das Nominal des FoP Claims als :93B::BLOK angezeigt.

Da ein ohne Settlement-Sperre erstellter FoP-Claim im nächsten verfügbaren Settlementlauf reguliert wird, kann es zu einer gleichzeitigen Übertragung des MT564 REPE und der MT566 Bestätigung an den Kunden kommen.

Damit können künftig die beiden folgenden Services optional und unabhängig voneinander über das Bestellformular „83A KADI Corporate Action Messages (ISO15022) für Kunden“ bestellt werden:

- Der MT564 REPE Non Income, der am Stichtag abends (also vor Start des Kompensationszyklus) auf Basis offener OTC und LION-SE¹ Trades versendet wird.
- Der MT564 REPE Non Income, der innerhalb des Kompensationszyklus zu jedem generierten FoP-Claim erstellt wird.

MT564 REPE Income auf Basis von Geschäften

Der am CBF-Stichtag bzw. Record Tag abends auf Basis offener CCP- und Eurex-Trades sowie Xemac-Positionen erstellte MT564 REPE Income weist heute in der Sequenz D den Indikator 22F::ADDB//ACLA aus.

Sequenz D Corporate Action Details

:22F::ADDB

Dieser ADDB-Indikator wird künftig bei der Kompensation offener CCP- und Eurex-Trades sowie Xemac Positionen durch Income-Events immer mit 22F::ADDB//CLAI ausgewiesen.

Nachfolgend werden zusammenfassend alle durch die CBF verwendeten ADDB-Indikatoren im Rahmen von Kompensationen aufgrund von Income und Non Income Events erläutert.

¹ SE = Stock Exchange

22F::ADDB//	Income (Claim in Geld)	Non Income (Claim in Stücke, FoP-Instruktion)
ACLA	MT564 REPE am CBF-Stichtag abends: Ankündigung eines zu erwartenden Market Claims in Geld auf Basis eines offenen Geschäfts (OTC, LION-SE). Der Claim wird erst nach Settlement des Geschäfts innerhalb des Kompensationszyklus erstellt und gebucht.	MT564 REPE am CBF-Stichtag abends: Ankündigung eines zu erwartenden Market Claims in Stücke auf Basis eines offenen Geschäfts (OTC, LION-SE). Der Claim wird erst nach Settlement des Geschäfts innerhalb des Kompensationszyklus generiert.
ATXF	-	MT564 REPE am CBF-Stichtag abends: Ankündigung der Modifizierung eines offenen Geschäfts (OTC, LION-SE) durch Änderung von Nominal und oder der ISIN am CBF-Stichtag abends.
CLAI	MT564 REPE am CBF-Stichtag abends: Ankündigung eines Market Claims in Geld, der auf Basis eines offenen CCP-/Eurex-Trades oder einer Xemac Position erstellt wurde und am Zahlbarkeitstag des Events gebucht wird. MT564 REPE am Record Tag abends: Ankündigung eines Reverse Claims in Geld, der durch die Record Tag Verarbeitung erstellt wurde und am Zahlbarkeitstag des Events gebucht wird (OTC, LION-SE, CCP/EUREX).	MT564 REPE am Record Tag abends: Ankündigung eines durch die Record Tag Verarbeitung erstellten Reverse Claims in Stücke, der zum nächsten Bankarbeitstag gebucht wird (OTC, LION-SE). MT564 REPE innerhalb des Kompensationszyklus: Ankündigung eines erstellten aber noch nicht gebuchten Market Claims in Stücke (OTC, LION-SE). Wurde der Claim ohne Settlement-Sperre durch den Verkäufer eingestellt, so erfolgt die Buchung im nächsten verfügbaren Settlement-Cycle.

2.2.2 MT566 Corporate Action Confirmation

MT566 Non Income auf Basis von modifizierten Geschäften

Bei bestimmten Non Income Events (TA232 – Reorganization Events) erfolgt am CBF-Stichtag eine Kompensation auf Basis offener Geschäfte durch Modifizierung des Geschäfts (Änderung der ISIN und/oder des Nominal). Nach Settlement des modifizierten Geschäfts versendet CBF heute den MT566 mit dem Indikator 22F::ADDB//CLAI in der Sequenz C.

Sequenz C Corporate Action Details :22F::ADDB

Dieser ADDB-Indikator wird künftig bei der Kompensation offener OTC- und LION-SE-Trades durch Modifizierung des Geschäfts immer mit 22F::ADDB//ATXF ausgewiesen.

2.3 Umsetzung von „Zwei Event Szenarien“

2.3.1 Einführung des „Zwei Event Szenarios“ in den KADI-Terminarten TA210 und TA211

Die German Market Practice Group hat basierend auf den Harmonisierungsanforderungen der Corporate Action Joint Working Group (CAJWG) entschieden, zum November 2013 das „Zwei Event Szenario“ für Kapitalerhöhungen mit Ausgabe von Bezugsrechten bzw. Interims-Gattungen im Deutschen Markt einzuführen. Mit den nachfolgend beschriebenen Implementierungen erfüllt die CBF diese Anforderungen, die zugleich auch einen Bestandteil der T2S Corporate Action Subgroup Standards darstellen.

Heutiges „Ein Event Szenario“

Bei der heutigen Abwicklung von Kapitalmaßnahmen in den KADI Terminarten TA210 und TA211 mit Ausschüttung von Bezugsrechten/Interims-Gattungen basiert der SWIFT MT564 Workflow auf einem „Ein Event Szenario“:

- Ab 30 Bankarbeitstage vor Ex Tag / Record Tag bis zum CBF-Stichtag / Record Tag versendet CBF heute den MT564 (Mandatory Event, Instruction required) auf Basis der ISIN-Underlying in der Terminart TA210/TA211.
- Mit Einbuchen der Rechte am Ex Tag / Record Tag + 1 startet ein neuer MT564-Workflow in der KADI Terminart TA280 (Voluntary Event) auf Basis der ISIN-Rechte, der bis zum Ende der Bezugsfrist geht.

Künftiges „Zwei Event Szenario“

Künftig startet CBF in den Terminarten TA210 und TA211 mit Ausschüttung von Bezugsrechten / Interims-Gattungen zwei SWIFT MT564 Workflows bzw. Events parallel ab 30 Bankarbeitstage vor Ex Tag / Record Tag:

1. Der erste Event bzw. MT564 Workflow (22F::CAMV = Mandatory Event, no Instruction required) bezieht sich auf die KADI Terminart TA210/TA211 und der ISIN-Underlying und kündigt die Gutschrift der Bezugsrechte bzw. Interims-Gattung an.

Am Abend des CBF-Stichtags bzw. Record Tags versendet CBF weiterhin den MT564 REPE auf Basis kompensationsberechtigter, offener OTC und LION-SE Trades.

Der MT566 am Ex Tag / Record Tag + 1 bestätigt die Einbuchung der Bezugsrechte bzw. Interims-Gattung.

2. Der zweite Event bzw. MT564 Workflow bezieht sich auf die KADI Terminart TA280 (22F::CAMV = Mandatory Event, Instruction required) und der ISIN-Bezugsrecht/-Interim. Neben den Informationen über die Bezugsfrist und dem Bezugspreis weist die Nachricht die möglichen Optionen des Kunden auf Basis der Rechte/Interims-Gattung aus.

Bis zur Einbuchung der Bezugsrechte/Interims-Gattung werden in dem MT564 keine Bestände ausgewiesen. Mit Einbuchen der Rechte/Interims-Gattung am Ex Tag / Record Tag + 1 erfolgt am Vorabend der Versand eines MT564 REPE mit Anzeige des Bestands unter 93B::ELIG. Innerhalb der Bezugsfrist wird beim Versand eines MT564 der aktuelle Bestand in der ISIN-Bezugsrecht/-Interim unter 93B::UNBA ausgewiesen. Die heutigen MT564 Reminder einschließlich dem Reminder mit dem MT568 für die offenen Geschäfte, kommen auch hier im „Zwei Event Szenario“ zur Anwendung.

Soweit ein Kunde seine Weisungen (z.B. Kauf/Verkauf von Rechten, Ausübung von Rechten) an die CBF erteilt, erhält der Kunde unverändert optional die MT566 Bestätigung.

Die Verlinkung beider Events erfolgt über die Corporate Action Reference (20C::CORP) in der A1 Linkage Sequenz. Diese Referenz beginnt unverändert mit der 3-stelligen KADI Terminart (erster Event = 210 oder 211, zweiter Event = 280) und der 13-stelligen BID, die für beide Events identisch ist.

Die nachfolgende Tabelle enthält eine Übersicht über die möglichen Ausprägungen in den KADI Terminarten TA210 und TA211 und den jeweils im ersten und zweiten Event verwendeten Corporate Action Event Indicator (22F::CAEV) und Mandatory/Voluntary Indicator (22F::CAMV).

KADI-Event		1. MT564 (TA210/211)		2. MT564 (TA280)	
TA	Event-Beschreibung	22F::CAEV	22F::CAMV	22F::CAEV	22F::CAMV
210	Kapitalerhöhung gegen Bareinzahlung	RHDI	MAND	EXRI	CHOS
211	Kapitalerhöhung aus Gesellschaftsmitteln, Ausgabe von Bonusaktien, Gratisaktien	BONU	MAND	EXOF	CHOS
211	Gratisaktien oder Bonusaktien, wahlweise in bar	RHDI	MAND	BONU	CHOS
211	Stock Split	SPLF	MAND	EXOF	CHOS
211	Spin-Off	SOFF	MAND	EXOF	CHOS
211	Wahldividende	RHDI	MAND	DVOP	CHOS
211	Stockdividende	DVSE	MAND	EXOF	CHOS
211	Payment-in-Kind-Notes	PINK	MAND	EXOF	CHOS

Besonderheiten bei TA211-Wahldividende

Bei einer Wahldividende, Gratisaktien wahlweise in bar und Bonusaktien wahlweise in bar ergeben sich bei der Abwicklung der Geld- und Stückeseite über die Interim-Gattung folgende Besonderheiten:

- Bei der Abwicklung der Cash-Line werden heute MT564- und MT566 Nachrichten in der KADI-Terminart TA120 mit CAEV-Indikator „DVCA“ generiert. Künftig wird in der Terminart TA120 als CAEV-Indikator „DVOP“ bzw. „BONU“ verwendet.
- Bei der Abwicklung der Securities-Line werden heute MT564- und MT566 Nachrichten in der KADI-Terminart TA232 mit CAEV-Indikator „PARI“ generiert. Künftig wird in der Terminart TA232 als CAEV-Indikator „DVOP“ bzw. „BONU“ verwendet.

Nachfolgend werden die verschiedenen Nachrichtenformate für den ersten und zweiten Event im „Zwei Event Szenario“ aufgeführt. Änderungen zu den heutigen Formaten sind durch Fettschrift hervorgehoben.

Die Nachrichtenformate sind ein Abstrakt aus dem CBF Connectivity Handbook Part 3 (Custody) und daher in englischer Sprache verfasst.

SWIFT MT564 – 1. Event

Das folgende Format wird verwendet für den MT564 in dem ersten Event (TA210 und TA211) auf Basis der CASCADE-Bestände.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic will be used. Here: "210" or "211" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	The current logic will be used. Possible values are NEWM, REPL, CANC.
22F	O	CA Event Processing	:CAEP//DISN	Current logic has to be used
22F	M	CA Event Indicator	:CAEV//4!c	Possible values are: RHD, BONU, SPLF, SOFF, DVSE, PINK.
22F	M	Mandatory/Voluntary Indicator	:CAMV//MAND	General usage of MAND in the 1st event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used.
25D	M	Processing Status	:PROC//4!c	The current logic will be used.

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 2nd event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (2nd event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 2nd event ("280" + BID. The BID is equal for both events.)
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	An MT568 with free text for more detailed event explanations will be linked always to the MT564 2nd event and only to the real time KDOA MT564 in the 1st event, which is sent as of CBF entitlement date / record date.
13A	O	Linked Message	:LINK//568	Linkage to a following MT568.
20C	M	Corporate Action Reference	:CORP//16x	The linked CORP is identical with the 20C::CORP here in this message ("210" or "TA211" + BID)
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	
20C	M	Previous Message Reference	:PREV//16x	The current logic has to be used.
16S	M	End of Block	LINK	

Tag	Status	Field Name	Format	Field Description
End of Subsequence A1 Linkages				
16S	M	End of Block	GENL	
End of Sequence A General Information				
Mandatory Sequence B Underlying Securities				
16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN!e12!c] [4*35x]	Underlying ISIN in the event (ISIN, on which the event is based).
Repetitive Mandatory Subsequence B2 Account Information				
16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	The current logic has to be used.
93B	0	Total Eligible for CA	:ELIG//4!c/[N]15d	The current logic has to be used: <u>Before CBF entitlement date</u> CASCADE-holdings in ISIN-underlying at EOD + STD-disposition - deductions <u>As of CBF entitlement date</u> Not displayed in any kind of real time KDOA MT564
93B	0	Settlement Position	:SETT//4!c/[N]15d	The current logic has to be used: <u>Before CBF entitlement date</u> CASCADE-holdings in ISIN-underlying at EOD + STD-disposition with no subtraction of deducted balances <u>On CBF entitlement date</u> Actual customer balances in ISIN-underlying with no subtraction of deducted balances by /650 or KDAV <u>As of CBF entitlement date</u> Customer balances in ISIN-underlying on which KADI event processing on entitlement date was based but with no subtraction of deducted balances by /650 or KDAV.
16S	M	End of Block	ACCTINFO	
End of Subsequence B2 Account Information				
16S	M	End of Block	USECU	
End of Sequence B Underlying Securities				
Optional Sequence C Intermediate Securities				
				No usage of sequence C.
End of Sequence C Intermediate Securities				
Optional Sequence D Corporate Action Details				
16R	M	Start of Block	CADETL	
98A	0	Ex-Dividend or Distribution Date	:XDTE//8!n	The current logic will be used.
98A	0	Record Date	:RDTE//8!n	The current logic will be used.
22F	0	Additional Business Process Indicator	:ADDB//4!c	The current logic will be used: REVR for the KDOA MT564 notification of a reversal.

Tag	Status	Field Name	Format	Field Description
22F	M	Intermediate Securities Distribution Type Indicator	:RHD!//4!c	Mandatory, if 22F::CAEV = RHDI. Then display CAEV from 2nd event: RHD!//EXRI, RHD!//DVOP or RHD!//BONU.
16S	M	End of Block	CADETL	

End of Sequence D Corporate Action Details

Repetitive Optional Sequence E Corporate Action Options (SECU)

16R	M	Start of Block	CAOPTN	The current existing E-options EXER, BUYA, SLLE and NOAC will be replaced by the single option SECU.
13A	O	CA Option Number	:CAON//3!c	Current logic will be used.
22F	M	CA Option Code	:CAOP//SECU	“SECU” in general
17B	M	Default Processing Flag	:DFLT//Y	This option is the default (“Y”).
98A	M	Expiry Date	:EXPI//8!n	Move of this field from sequence C to E.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	Advice of the credit in the ISIN-right
22H	M	Credit/Debit Indicator	:CRDB//CRED	“CRED”
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	ISIN-right. If unknown, then display ISIN as “ZZ0000000000”. <i>Note: The MT564 in the 2nd event can be started only, if ISIN-right is announced.</i>
36B	O	Quantity of Financial Instrument	:ENTL//4!c/15d	Expected quantity in ISIN-right, calculated on base of 93B::ELIG (or 93B::SETT in KDOA MT564) and 92D::ADEX
69A	O	Trading Period	:TRDP//8!n/8!n	The current logic as used today for displaying this field in sequence C.
92D	O	Additional for Existing Securities	:ADEX//15d/15d	Quantity of ISIN-right for a given quantity of ISIN-underlying
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Expected date of crediting the ISIN-right:
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
70E	O	Additional Text	:ADTX// 10*35x	Free text will be displayed normally only in MT564 2nd event. Only the real time KDOA-MT564 1st event also includes the free text.
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT564 REPE – 1. Event

Das folgende Format wird verwendet für den MT564 REPE in dem ersten Event (TA210 und TA211):

- auf Basis von OTC und LION-SE Trades, die am Abend des CBF-Stichtags bzw. Record Tags offen sind und die Kriterien zur Erstellung eines FoP Market Claims nach ihrem Settlement erfüllen,
- auf Basis eines am Abend des Record Tags erstellten Reverse Claims zu einem OTC oder LION-SE Trade,
- auf Basis eines innerhalb des Kompensationszyklus generierten FoP Market Claims.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic will be used. Here: "210" or "211" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	Here always REPE
22F	M	CA Event Indicator	:CAEV//4!c	Possible values are: RHDI, BONU, SPLF, SOFF, DVSE, PINK.
22F	M	Mandatory/Voluntary Indicator	:CAMV//MAND	General usage of MAND in the 1st event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used.
25D	M	Processing Status	:PROC//COMP	The current logic will be used.
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 2nd event.
13A	O	Linked Message	:LINK//3!c	Linkage to the MT564 (2nd event)
20C	O	Corporate Action Reference	:CORP//16x	20C::CORP from the 2nd event ("280" + BID. The BID is equal for both events.)
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	
20C	O	Previous Message Reference	:PREV//16x	The current logic will be used.
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	
13A	O	Linked Message	:LINK//3!c	The current logic will be used.
20C	M	Related Message Reference	:RELA//16x	The current logic will be used.
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
16S	M	End of Block	GENL	
End of Sequence A General Information				
Mandatory Sequence B Underlying Securities				
16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN1!e12!c]	ISIN of the underlying trade =

Tag	Status	Field Name	Format	Field Description
			[4*35x]	underlying ISIN in the event (ISIN, on which the event is based).

Repetitive Mandatory Subsequence B2 Account Information

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	The current logic will be used.
93B	O	Pending Delivery or Pending Receipt	:PEND//4!c/[N]15d or :PENR//4!c/[N]15d	The current logic will be used.
93B	O	Blocked Balance	:BLOK//4!c/[N]15d	If the message is based on an entered FoP claim blocked by the seller in the trade, then in addition display of the nominal of the underlying trade as blocked balance.
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information

16S	M	End of Block	USECU	
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End of Sequence B Underlying Securities

Optional Sequence C Intermediate Securities

				No usage of sequence C.
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End of Sequence C Intermediate Securities

Optional Sequence D Corporate Action Details

16R	M	Start of Block	CADETL	
98A	O	Ex-Dividend or Distribution Date	:XDTE//8!n	The current logic will be used.
98A	O	Record Date	:RDTE//8!n	The current logic will be used.
22F	O	Additional Business Process Indicator	:ADDB//4!c	The current logic will be used.
22F	M	Intermediate Securities Distribution Type Indicator	:RHDI//4!c	Mandatory, if 22F::CAEV = RHDI. Then display CAEV from 2nd event: RHDI//EXRI, RHDI//DVOP or RHDI//BONU.
16S	M	End of Block	CADETL	

End of Sequence D Corporate Action Details

Repetitive Optional Sequence E Corporate Action Options

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//001	The current logic will be used.
22F	M	CA Option Code	:CAOP//SECU	The current logic will be used.
17B	M	Default Processing Flag	:DFLT//Y	The current logic will be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//4!c	The current logic will be used.
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	The current logic will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//UKWN	The current logic will be used.
92D	O	Additional for Existing Securities	:ADEX//15d/15d	The current logic will be used.
92D	O	New to Old	:NEWO//15d/15d	The current logic will be used.

Tag	Status	Field Name	Format	Field Description
36B	0	Entitled Quantity	:ENTL//4!c/15d	The current logic will be used.
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

16S	M	End of Block	CAOPTN	
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End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
70E	0	Additional Text	:ADTX//10*35x	The current logic will be used for displaying the trade details.
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT564 – 2. Event (1. Event = TA210)

Das folgende Format wird verwendet für den MT564 in dem zweiten Event (TA280), wobei der erste Event auf der Terminart TA210 basiert.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic will be used. Here: "280" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	The current logic will be used Possible codes: NEWM, REPL, REPE, CANC, RMDR. REPE will be used in the new MT564 REPE triggered by the first occurrence of balances in ISIN-right.
22F	M	CA Event Indicator	:CAEV//4!c	Due to TA210 as 1st event, only EXRI is possible here.
22F	M	Mandatory/Voluntary Indicator	:CAMV//CHOS	General usage of CHOS in the 2nd event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used
25D	M	Processing Status	:PROC//4!c	The current logic will be used

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 1st event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (1st event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 1st event. Here "210" + BID. The BID is equal for both events.
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	An MT568 with free text for more detailed event explanations will be linked always to the MT564 2nd event and to the real time KDOA MT564 in the 1st event, which is sent as of CBF entitlement date / record date.
13A	O	Linked Message	:LINK//568	Linkage to a following MT568.
20C	M	Corporate Action Reference	:CORP//16x	The linked CORP is identical with the 20C::CORP here in this message ("280" + BID)
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	
20C	M	Previous Message Reference	:PREV//16x	The current logic will be used.

Tag	Status	Field Name	Format	Field Description
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

16S	M	End of Block	GENL	
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End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN1!e12!c] [4*35x]	ISIN-right in the event: As long as ISIN-right is not announced, no MT564 in the second event can be generated.

Repetitive Mandatory Subsequence B2 Account Information

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	As long as ISIN-right is not credited on customers account, the MT564 2nd event is adapted to customers account with holdings in ISIN-underlying. With crediting of ISIN-right on ex date / record date + 1, the workflow will be adapted to customers account with holdings in ISIN-right.
93B	0	Total Eligible for CA	:ELIG//4!c/[N]15d	Display only in the new MT564 REPE due to the new occurrence of balances in ISIN-right by KADI batch processing of 1st event (TA210). Then display of the new (first) balance in ISIN-right, credited to customer's account.
93B	0	Uninstructed balance	:UNBA//4!c/[N]15d	<u>Before CBF entitlement date</u> No display of this field because no balances in ISIN-right exist. <u>On CBF entitlement date before KADI-processing of TA210</u> No display of this field because no balances in ISIN-right exist. <u>On CBF entitlement date after STD-processing</u> Display of the credited quantity in ISIN-right (= 93B::ELIG) in the new MT564 REPE. <u>As of ex date / record date + 1 bd</u> CASCADE-holdings on main account in ISIN-right EOD + STD.
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information

16S	M	End of Block	USECU	
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End of Sequence B Underlying Securities

Optional Sequence C Intermediate Securities

				No usage of sequence C.
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Tag	Status	Field Name	Format	Field Description
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End of Sequence C Intermediate Securities

Repetitive Optional Sequence E Corporate Action Options (EXER)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//EXER	The current logic will be used.
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used.
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	The current logic will be used.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	The current logic will be used.
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited after exercising.
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the exercise result in a debit of the rights
35B	M	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]	ISIN-right
92D	O	New to Old	:NEWO//15d/15d	Subscription ratio
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment Date or “Unknown”
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	The current logic will be used.
35B	M	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]	The current logic will be used. ISIN-new titles or “ZZ0000000000”, if unknown
92D	O	New to Old	:NEWO//15d/15d	The current logic will be used: Quantity of new securities allocated in relation to the quantity of rights
98B	M	Payment Date	:PAYD//UKWN	The current logic will be used
98A	O	Dividend Ranking Date	:DIVR//8!n	The current logic will be used:
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT (current logic remains unchanged)
97A	O	Cash Account	:CASH//35x	The current logic will be used
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	The current logic will be used:
90A,	O	Generic Cash Price Paid per Product	:PRPP//4!c/15d	The current logic will be used:

Tag	Status	Field Name	Format	Field Description
B			:PRPP//4!c/3!a15d	
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (BUYA)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	002
22F	M	CA Option Code	:CAOP//BUYA	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used:
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be credited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the buy result in a credit of rights
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be debited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the buy result in a debit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (SLLE)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	003
22F	M	CA Option Code	:CAOP//SLLE	The current logic will be used:

Tag	Status	Field Name	Format	Field Description
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used:
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the sell result in a debit of rights
35B	M	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be credited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the sell result in a credit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (OVER)

16R	M	Start of Block	CAOPTN	New repetition of the E-Sequence if an oversubscription is possible.
13A	O	CA Option Number	:CAON//3!c	004
22F	M	CA Option Code	:CAOP//OVER	OVER – Subscription is possible
17B	M	Default Processing Flag	:DFLT//N	Default = No
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	The current logic for this field will be used.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic for this field will be used.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	The current logic for this field will be used.
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic for this field will be used.

Repetitive Optional Subsequence E1 Securities Movement

Tag	Status	Field Name	Format	Field Description
16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – The ISIN-new titles will be credited as a result of an oversubscription in the new shares
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	ISIN-new titles or “ZZ0000000000”, if unknown
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//UKWN
98A	O	Dividend Ranking Date	:DIVR//8!n	The current logic for this field will be used.
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – Cash will be debited as a result of an oversubscription in the new shares
97A	O	Cash Account	:CASH//35x	The current logic will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment date or Unknown.
90A, B	O	Generic Cash Price Paid per Product	:PRPP//4!c/15d :PRPP//4!c/3!a15d	The current logic for this field will be used.
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (NOAC)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	005 – Due to a new OVER-E-sequence, NOAC can receive the option number 005
22F	M	CA Option Code	:CAOP//NOAC	The current logic will be used.
17B	M	Default Processing Flag	:DFLT//Y	The current logic will be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	The current logic will be used. Debit of ISIN-right.
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	The current logic will be used.
98A	M	Payment Date	:PAYD//8!n	The current logic will be used.
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Securities Movement

16S	M	End of Block	CAOPTN	
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Tag	Status	Field Name	Format	Field Description
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End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
70E	O	Additional Text	:ADTX// 10*35x	Display of free text with details for the event.
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT564 – 2. Event (1. Event = TA211; keine Wahldividende)

Das folgende Format wird verwendet für den MT564 in dem zweiten Event (TA280), wobei der erste Event auf der Terminart TA211 basiert und es sich bei der TA211 nicht um eine Wahldividende oder Bonusaktien/Gratisaktien wahlweise in bar handelt.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic will be used. Here: "280" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	The current logic will be used Possible codes: NEWM, REPL, REPE, CANC, RMDR. REPE will be used in the new MT564 REPE triggered by the first occurrence of balances in ISIN-right.
22F	M	CA Event Indicator	:CAEV//4!c	Possible values are: here EXOF only
22F	M	Mandatory/Voluntary Indicator	:CAMV//CHOS	General usage of CHOS in the 2nd event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used
25D	M	Processing Status	:PROC//4!c	The current logic will be used
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 1st event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (1st event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 1st event. Here "211" + BID. The BID is equal for both events.
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	An MT568 with free text for more detailed event explanations will be linked always to the MT564 2nd event and to the real time KDOA MT564 in the 1st event, which is sent as of CBF entitlement date / record date.
13A	O	Linked Message	:LINK//568	Linkage to a following MT568.
20C	M	Corporate Action Reference	:CORP//16x	The linked CORP is identical with the 20C::CORP here in this message ("280" + BID)
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	
20C	M	Previous Message Reference	:PREV//16x	The current logic will be used.

Tag	Status	Field Name	Format	Field Description
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

16S	M	End of Block	GENL	
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End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN1!e12!c] [4*35x]	ISIN-right in the event: As long as ISIN-right is not announced, no MT564 in the second event can be generated.

Repetitive Mandatory Subsequence B2 Account Information

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	As long as ISIN-right is not credited on customers account, the MT564 2nd event is adapted to customers account with holdings in ISIN-underlying. With crediting of ISIN-right on ex date / record date + 1, the workflow will be adapted to customers account with holdings in ISIN-right.
93B	0	Total Eligible for CA	:ELIG//4!c/[N]15d	Display only in the new MT564 REPE due to the new occurrence of balances in ISIN-right by KADI batch processing of 1st event (TA211). Then display of the new (first) balance in ISIN-right, credited to customer's account.
93B	0	Uninstructed balance	:UNBA//4!c/[N]15d	<u>Before CBF entitlement date</u> No display of this field because no balances in ISIN-right exist. <u>On CBF entitlement date before KADI-processing of TA210</u> No display of this field because no balances in ISIN-right exist. <u>On CBF entitlement date after STD-processing</u> Display of the credited quantity in ISIN-right (= 93B::ELIG) in the new MT564 REPE. <u>As of ex date / record date + 1 bd</u> CASCADE-holdings on main account in ISIN-right EOD + STD.
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information

16S	M	End of Block	USECU	
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End of Sequence B Underlying Securities

Optional Sequence C Intermediate Securities

				No usage of sequence C.
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Tag	Status	Field Name	Format	Field Description
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End of Sequence C Intermediate Securities

Repetitive Optional Sequence E Corporate Action Options (SECU)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//SECU	In all cases, SECU has to be used.
17B	M	Default Processing Flag	:DFLT//Y	Default is always Yes.
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	Unchanged display of the field.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	Unchanged display of the field.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	Unchanged display of the field.
69A	O	Period of Action	:PWAL//8!n/8!n	Unchanged display of the field.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited after subscription of new shares.
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the subscription result in a debit of the rights
35B	M	Identification of the Financial Instrument	[[ISIN!e12!c] [4*35x]	ISIN-right
92D	O	New to Old	:NEWO//15d/15d	Subscription ratio
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment Date or “Unknown”
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	The current logic will be used.
35B	M	Identification of the Financial Instrument	[[ISIN!e12!c] [4*35x]	The current logic will be used. ISIN-new titles or “ZZ0000000000”, if unknown
92D	O	New to Old	:NEWO//15d/15d	Subscription ratio, quantity of new securities allocated in relation to the quantity of rights
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Using the current logic: 98B::PAYD//UKWN
98A	O	Dividend Ranking Date	:DIVR//8!n	The current logic will be used:
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (BUYA)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	002

Tag	Status	Field Name	Format	Field Description
22F	M	CA Option Code	:CAOP//BUYA	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used:
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be credited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the buy result in a credit of rights
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be debited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the buy result in a debit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (SLLE)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	003
22F	M	CA Option Code	:CAOP//SLLE	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used:
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the sell result in a debit of rights

Tag	Status	Field Name	Format	Field Description
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be credited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED - the sell result in a credit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (NOAC)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	004
22F	M	CA Option Code	:CAOP//NOAC	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	The current logic will be used:
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	The current logic will be used:
98A	M	Payment Date	:PAYD//8!n	The current logic will be used:
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Securities Movement

16S	M	End of Block	CAOPTN	
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End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
70E	O	Additional Text	:ADTX// 10*35x	The SWIFT-Text out of WSS has to be displayed here in the 2nd event.
70E	O	Narrative Version	:TXNR //10*35x	Display of free text with details for the event.
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT564 – 2. Event (1. Event = TA211; nur Wahldividende)

Das folgende Format wird verwendet für den MT564 in dem zweiten Event (TA280), wobei der erste Event auf der Terminart TA211 basiert und es sich bei der TA211 ausschließlich um eine Wahldividende oder Bonusaktien/Gratisaktien wahlweise in bar handelt.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic will be used. Here: "280" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	The current logic will be used Possible codes: NEWM, REPL, REPE, CANC, RMDR. REPE will be used in the new MT564 REPE triggered by the first occurrence of balances in ISIN-right.
22F	M	CA Event Indicator	:CAEV//4!c	Possible values are: DVOP, BONU
22F	M	Mandatory/Voluntary Indicator	:CAMV//CHOS	General usage of CHOS in the 2nd event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used
25D	M	Processing Status	:PROC//4!c	The current logic will be used

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 1st event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (1st event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 1st event. Here "211" + BID. The BID is equal for both events.
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	An MT568 with free text for more detailed event explanations will be linked always to the MT564 2nd event and to the real time KDOA MT564 in the 1st event, which is sent as of CBF entitlement date / record date.
13A	O	Linked Message	:LINK//568	Linkage to a following MT568.
20C	M	Corporate Action Reference	:CORP//16x	The linked CORP is identical with the 20C::CORP here in this message ("280" + BID)
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	
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Tag	Status	Field Name	Format	Field Description
20C	M	Previous Message Reference	:PREV//16x	The current logic will be used.
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

16S	M	End of Block	GENL	
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End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN!e12!c] [4*35x]	ISIN-right in the event: As long as ISIN-right is not announced, no MT564 in the second event can be generated.

Repetitive Mandatory Subsequence B2 Account Information

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	As long as ISIN-right is not credited on customers account, the MT564 2nd event is adapted to customers account with holdings in ISIN-underlying. With crediting of ISIN-right on ex date / record date + 1 bd, the workflow has to be adapted to customers account with holdings in ISIN-right.
93B	0	Total Eligible for CA	:ELIG//4!c/[N]15d	Display only in the new MT564 REPE due to the new occurrence of balances in ISIN-right by KADI batch processing of 1st event (TA211). Then display of the new (first) balance in ISIN-right, credited to customer's account.
93B	0	Uninstructed balance	:UNBA//4!c/[N]15d	<u>Before CBF entitlement date</u> No display of this field because no balances in ISIN-right exist. <u>On CBF entitlement date before KADI-processing of TA210</u> No display of this field because no balances in ISIN-right exist. <u>On CBF entitlement date after STD-processing</u> Display of the credited quantity in ISIN-right (= 93B::ELIG) in the new MT564 REPE. <u>As of ex date / record date + 1 bd</u> CASCADE-holdings on main account in ISIN-right EOD + STD.
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information

16S	M	End of Block	USECU	
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End of Sequence B Underlying Securities

Optional Sequence C Intermediate Securities

Tag	Status	Field Name	Format	Field Description
				No usage of sequence C.

End of Sequence C Intermediate Securities

Repetitive Optional Sequence E Corporate Action Options (SECU)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//SECU	SECU - unchanged display of the field.
17B	M	Default Processing Flag	:DFLT//N	22F::CAEV = DVOP ⇒ DFLT = N 22F::CAEV = BONU ⇒ DFLT = Y
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	The current logic will be used.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	The current logic will be used.
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited after subscription of new shares.
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the subscription result in a debit of the rights
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	ISIN-right
92D	O	New to Old	:NEWO//15d/15d	The current logic for this field will be used. Subscription ratio, quantity of new securities allocated in relation to the quantity of rights
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment Date or “Unknown”
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	The current logic will be used.
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	The current logic will be used. ISIN-new titles or “ZZ0000000000”, if unknown
92D	O	New to Old	:NEWO//15d/15d	The current logic will be used: Quantity of new securities allocated in relation to the quantity of rights
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	The current logic will be used
98A	O	Dividend Ranking Date	:DIVR//8!n	The current logic will be used
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used
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Tag	Status	Field Name	Format	Field Description
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (CASH)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	002
22F	M	CA Option Code	:CAOP//CASH	CASH - unchanged display of the field.
17B	M	Default Processing Flag	:DFLT//Y	22F::CAEV = DVOP ⇒ DFLT = Y 22F::CAEV = BONU ⇒ DFLT = N
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	New display of the deadline field as it is done today in the SECU-sequence.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	New display of the deadline field as it is done today in the SECU-sequence.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	New display of the deadline field as it is done today in the SECU-sequence.

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	Display of E2-subsequence, if dividend rate and/or payment date for the cash line is available
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – customer receives the cash amount
97A	O	Cash Account	:CASH//35x	The current logic for this field will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment date or Unknown
92F	O	Gross Dividend Rate	:GRSS//3!a15d	Dividend rate based on the (first) currency, if exist. Otherwise no display of this field.
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (Second CASH Option)

16R	M	Start of Block	CAOPTN	The E-CASH-option will be repeated only, if a second currency for a second cash option exists.
13A	O	CA Option Number	:CAON//3!c	003
22F	M	CA Option Code	:CAOP//CASH	CASH - unchanged display of the field.
11A	O	Currency Option	:OPTN//3!a	:OPTN//second currency
17B	M	Default Processing Flag	:DFLT//N	No No default.
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	Display of the deadline field as it is done today in the SECU-sequence.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	Display of the deadline field as it is done today in the SECU-sequence.

Tag	Status	Field Name	Format	Field Description
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	Display of the deadline field as it is done today in the SECU-sequence.
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (BUYA)

16R	M	Start of Block	CAOPTN	Using the current logic, this E-repetition will be displayed only, if rights are tradable and end of trading period is not reached.
13A	O	CA Option Number	:CAON//3!c	
22F	M	CA Option Code	:CAOP//BUYA	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used:
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be credited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the buy result in a credit of rights
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be debited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the buy result in a debit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (SLLE)

16R	M	Start of Block	CAOPTN	Using the current logic, this E-repetition will be displayed only, if rights are tradable and end of trading period is not reached.
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Tag	Status	Field Name	Format	Field Description
13A	O	CA Option Number	:CAON//3!c	
22F	M	CA Option Code	:CAOP//SLLE	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used:
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the sell result in a debit of rights
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be credited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the sell result in a credit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (NOAC)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	
22F	M	CA Option Code	:CAOP//NOAC	The current logic will be used:
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used:

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	The current logic will be used:
35B	M	Identification of the Financial Instrument	[[ISIN1!e12!c] [4*35x]	The current logic will be used:
98A	M	Payment Date	:PAYD//8!n	The current logic will be used:
16S	M	End of Block	SECMOVE	

Tag	Status	Field Name	Format	Field Description
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End of Subsequence E1 Securities Movement

16S	M	End of Block	CAOPTN	
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End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
70E	0	Additional Text	:ADTX// 10*35x	Display of free text with details for the event.
70E	0	Narrative Version	:TXNR //10*35x	
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT564 Reminder for Pending Trades – 2. Event

Das folgende Format wird verwendet für den MT564 Reminder in dem zweiten Event (TA280), der am Weisungstermin „Früh“/„Handel“ und „CBF“ gegen 6:30 Uhr versendet wird, und in einem verlinkten MT568 Details über offene Geschäfte enthält.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic will be used. Here: "280" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	RMDR - the current logic will be used
22F	M	CA Event Indicator	:CAEV//4!c	Possible values are EXRI, EXOF, BONU, DVOP.
22F	M	Mandatory/Voluntary Indicator	:CAMV//4!c	General usage of CHOS in the 2nd event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used
25D	M	Processing Status	:PROC//4!c	The current logic will be used
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 1st event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (1st event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 1st event. "210" or "211" + BID. The BID is equal for both events.
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	Existing A1 subsequence for the link to the MT568 with the trade details
13A	O	Linked Message	:LINK//568	"568"
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the MT568 which is identical with the 20C::CORP in this message ("280" + BID).
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	
20C	M	Previous Message Reference	:PREV//16x	The current logic will be used
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
16S	M	End of Block	GENL	
End of Sequence A General Information				
Mandatory Sequence B Underlying Securities				
16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN1!e12!c]	ISIN-right in the event.

Tag	Status	Field Name	Format	Field Description
			[4*35x]	

Mandatory Subsequence B2 Account Information (Main Account)

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	The current logic will be used
93B	O	Pending Delivery	:PEND//4!c/[N]15d	The current logic will be used
93B	O	Pending Receipt	:PENR//4!c/[N]15d	The current logic will be used
93B	O	Uninstructed Balance	:UNBA//4!c/[N]15d	The current logic will be used
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information (Main Account)

Repetitive Mandatory Subsequence B2 Account Information (Sub Accounts)

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	The current logic will be used
93B	O	Uninstructed Balance or Instructed Balance	:UNBA//4!c/[N]15d :INBA//4!c/[N]15d	The current logic will be used
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information (Sub Accounts)

16S	M	End of Block	USECU	
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End of Sequence B Underlying Securities

Optional Sequence C Intermediate Securities

				No usage of sequence C.
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End of Sequence C Intermediate Securities

Repetitive Optional Sequence E Corporate Action Options (EXER or SECU)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	The current logic will be used
22F	M	CA Option Code	:CAOP//4!a	The current logic will be used. EXER (TA210) or SECU (TA211)
17B	M	Default Processing Flag	:DFLT//1!a	The current logic will be used
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	The current logic will be used
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	The current logic will be used
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited after exercising.
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the exercise result in a debit of the rights
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
92D	O	New to Old	:NEWO//15d/15d	Subscription ratio
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment Date or “Unknown”
16S	M	End of Block	SECMOVE	

Tag	Status	Field Name	Format	Field Description
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End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	The current logic will be used.
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	The current logic will be used. ISIN-new titles or "ZZ0000000000", if unknown
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Using the current logic: 98B::PAYD//UKWN
92D	O	New to Old	:NEWO//15d/15d	The current logic will be used Subscription ratio, quantity of new securities allocated in relation to the quantity of rights
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Securities Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	
22H	M	Credit/Debit Indicator	:CRDB//4!c	DEBT (current logic remains unchanged)
97A	O	Cash Account	:CASH//35x	The current logic will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	The current logic will be used.
90A, B	O	Generic Cash Price Paid per Product	:PRPP//4!c/15d :PRPP//4!c/3!a15d	The current logic will be used.
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

16S	M	End of Block	CAOPTN	
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End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (CASH, first currency)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	The current logic will be used.
22F	M	CA Option Code	:CAOP//CASH	The current logic will be used. The CASH-option (first currency) will be offered in TA211 dividend option or bonus shares with option in cash.
17B	M	Default Processing Flag	:DFLT//1!a	The current logic will be used
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	New display of the deadline field as it is done today in the SECU-sequence.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	New display of the deadline field as it is done today in the SECU-sequence.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	New display of the deadline field as it is done today in the SECU-sequence.

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	Display of E2-subsequence, if dividend rate and/or payment date for the cash
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Tag	Status	Field Name	Format	Field Description
				line is available
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – customer receives the cash amount
97A	O	Cash Account	:CASH//35x	The current logic for this field will be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment date or Unknown
92F	O	Gross Dividend Rate	:GRSS//3!a15d	Dividend rate based on the (first) currency, if exist. Otherwise no display of this field.
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used:
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (CASH, second currency)

16R	M	Start of Block	CAOPTN	The CASH-option (second currency) will be offered in TA211 dividend option or bonus shares with option in cash.
13A	O	CA Option Number	:CAON//3!c	The current logic will be used:
22F	M	CA Option Code	:CAOP//CASH	CASH - unchanged display of the field.
11A	O	Currency Option	:OPTN//3!a	:OPTN//second currency
17B	M	Default Processing Flag	:DFLT//N	No No default.
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	Display of the WSS-deadline fields as it is done today in the SECU-sequence.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	Display of the WSS-deadline fields as it is done today in the SECU-sequence.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	Display of the WSS-deadline fields as it is done today in the SECU-sequence.
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (BUYA)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	The current logic will be used.
22F	M	CA Option Code	:CAOP//BUYA	The current logic will be used. BUYA will be offered, if rights are tradable and end of trading period is not reached.
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used.
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be credited due to a buy
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Tag	Status	Field Name	Format	Field Description
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the buy result in a credit of rights
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be debited due to a buy
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the buy result in a debit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used.
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (SLLE)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	The current logic will be used.
22F	M	CA Option Code	:CAOP//SLLE	The current logic will be used. SLLE will be offered, if rights are tradable and end of trading period is not reached.
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used.
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: Rights will be debited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the sell result in a debit of rights
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-right
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

Tag	Status	Field Name	Format	Field Description
16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be credited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the sell result in a credit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (OVER)

16R	M	Start of Block	CAOPTN	New repetition of the E-Sequence if an oversubscription is possible.
13A	O	CA Option Number	:CAON//3!c	The current logic will be used.
22F	M	CA Option Code	:CAOP//OVER	OVER – Subscription is possible
17B	M	Default Processing Flag	:DFLT//N	Default = No
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	The current logic for displaying this field has to be used.
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic for displaying this field has to be used.
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	The current logic for displaying this field has to be used.
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic for displaying this field has to be used.

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – The new ISIN (BEZOGENER TITEL) will be credited as a result of an oversubscription in the new shares
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	New ISIN (BEZOGENER TITEL). If unknown, then display “ZZ0000000000”
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//UKWN
98A	O	Dividend Ranking Date	:DIVR//8!n	The current logic for displaying this field has to be used.
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – Cash will be debited as a result of an oversubscription in the new

Tag	Status	Field Name	Format	Field Description
				shares
97A	O	Cash Account	:CASH//35x	The current logic has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	Payment Date or UKWN
90A, B	O	Generic Cash Price Paid per Product	:PRPP//4!c/15d :PRPP//4!c/3!a15d	The current logic for displaying this field has to be used.
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (NOAC)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	The current logic will be used.
22F	M	CA Option Code	:CAOP//NOAC	The current logic will be used.
17B	M	Default Processing Flag	:DFLT//1!a	The current logic will be used.
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
70E	O	Additional Text	:ADTX// 10*35x	Display of free text with details for the event.
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT566 based on Positions and Trades

Die nachfolgend aufgeführten Änderungen im Nachrichtenformat beziehen sich auf die MT566 Bestätigungen, die bei der Abwicklung der Terminarten TA210 und TA211 nach Einbuchung der Bezugsrechte/Interim-Gattung am Ex Tag bzw. Record Tag +1 versendet werden. Des Weiteren sind die nachfolgenden Formatänderungen auch gültig für den MT566, der nach Settlement eines FoP Claims durch TA210 / TA211 versendet wird. Beide Ausprägungen des MT566 beziehen sich immer auf den ersten Event im „Zwei Event Szenario“ (TA210 oder TA211).

Nachfolgend ein Auszug der MT566-Formatbeschreibung mit den durch Fettdruck hervorgehobenen Änderungen.

Tag	Status	Field Name	Format	Format Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The MT566 has the CORP-id from the MT564 1st event: "210" or "211" + BID.
20C	M	Sender's Reference	:SEME//16x	
23G	M	Function of the Message	4!c	
22F	M	CA Event Indicator	:CAEV//4!c	The MT566 has the CAEV indicator from the MT564 1st event. Possible values are: RHDI, BONU, SPLF, SOFF, DVSE, PINK.
98C	O	Preparation Date	:PREP//8!n6!n	
...				
Optional Sequence C Corporate Action Details				
22F	M	Intermediate Securities Distribution Type Indicator	:RHDI//4!c	Mandatory, if 22F::CAEV = RHDI. Then display CAEV from 2nd event: RHDI//EXRI, RHDI//DVOP or RHDI//BONU.
...				

2.3.2 Einführung des „Zwei Event Szenarios“ in der KADI-Terminart 232 Reverse Splits

Das „Zwei Event Szenario“ wird künftig auch in der KADI Terminart TA232 Reverse Split bei Abwicklung über eine Interim-Gattung angewendet.

Der erste Event bzw. MT564 Workflow bezieht sich auf die KADI Terminart TA232 und der ISIN-Underlying und avisiert die Ausbuchung der ISIN-Underlying und die Einbuchung der ISIN-Interim.

Der zweite Event bzw. MT564 Workflow bezieht sich auf die KADI Terminart TA280 und der ISIN-Interim und enthält als mögliche Optionen für den Kunden den Bezug der finalen ISIN und den Verkauf der ISIN-Interim mit Angabe der Weisungstermine.

Nachfolgend wird das Format zu beiden Events dargestellt.

SWIFT MT564 Reverse Split – 1. Event

Das folgende Format wird verwendet für den MT564 in dem ersten Event bei der KADI Terminart TA232 Reverse Split auf Basis des Bestands. Änderungen gegenüber dem heutigen MT564 in TA232 DECR sind durch Fettschrift hervorgehoben.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic has to be used: "232" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	The current logic will be used. Possible values are NEWM, REPL, CANC.
22F	O	CA Event Processing	:CAEP//REOR	The current logic will be used: "REOR"
22F	M	CA Event Indicator	:CAEV//DECR	The current logic will be used: "DECR"
22F	M	Mandatory/Voluntary Indicator	:CAMV//MAND	The current logic will be used: "MAND"
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used.
25D	M	Processing Status	:PROC//4!c	The current logic will be used.

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 2nd event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (2nd event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 2nd event ("280" + BID. The BID is equal for both events.)
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

Repetitive Optional Subsequence A1 Linkages

16R	M	Start of Block	LINK	
20C	M	Previous Message Reference	:PREV//16x	The current logic will be used.
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

16S	M	End of Block	GENL	
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End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN1!e12!c] [4*35x]	The current logic will be used: Underlying ISIN in the event [ISIN, on which the event is based].

Repetitive Mandatory Subsequence B2 Account Information

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	The current logic will be used.
93B	O	Total Eligible for CA	:ELIG//4!c/[N]15d	The current logic will be used.
93B	O	Settlement Position	:SETT//4!c/[N]15d	The current logic will be used.
16S	M	End of Block	ACCTINFO	

Tag	Status	Field Name	Format	Field Description
End of Subsequence B2 Account Information				
16S	M	End of Block	USECU	
End of Sequence B Underlying Securities				
Optional Sequence D Corporate Action Details				
16R	M	Start of Block	CADETL	
98A	O	Ex-Dividend or Distribution Date	:XDTE//8!n	The current logic will be used.
98A	O	Record Date	:RDTE//8!n	The current logic will be used.
22F	O	Additional Business Process Indicator	:ADDB//4!c	The current logic will be used.
16S	M	End of Block	CADETL	
End of Sequence D Corporate Action Details				
Repetitive Optional Sequence E Corporate Action Options (SECU)				
16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//001	The current logic will be used.
22F	M	CA Option Code	:CAOP//SECU	The current logic will be used.
17B	M	Default Processing Flag	:DFLT//Y	The current logic will be used.
Repetitive Optional Subsequence E1 Securities Movement				
16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	The current logic will be used.
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	The current logic will be used.
36B	O	Entitled Quantity of Financial Instrument	:ENTL//4!c/15d	The current logic will be used.
98A	M	Payment Date	:PAYD//8!n	The current logic will be used.
16S	M	End of Block	SECMOVE	
Repetitive Optional Subsequence E1 Securities Movement				
16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	The current logic will be used.
36B	O	Entitled Quantity of Financial Instrument	:ENTL//4!c/15d	The current logic will be used.
92D	O	New to Old	:NEWO//15d/15d	The current logic will be used.
98A	M	Payment Date	:PAYD//8!n	The current logic will be used.
16S	M	End of Block	SECMOVE	
End of Subsequence E1 Securities Movement				
16S	M	End of Block	CAOPTN	
End of Sequence E Corporate Action Options				
Optional Sequence F Additional Information				
16R	M	Start of Block	ADDINFO	
70E	O	Additional Text	:ADTX// 10*35x	Free text will be displayed normally only in MT564 2nd event. Only the real time KDOA-MT564 1st event also includes free text.

Tag	Status	Field Name	Format	Field Description
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

SWIFT MT564 Reverse Split – 2. Event

Das folgende Format wird verwendet für den MT564 in dem zweiten Event (TA280), wobei der erste Event auf der Terminart TA232 Reverse Split basiert.

In der nachfolgenden Formatbeschreibung werden Änderungen gegenüber dem heute verwendeten MT564-Format in TA280 auf Basis von Bezugsrechten/Interim-Gattungen durch Fettschrift hervorgehoben.

Tag	Status	Field Name	Format	Field Description
Mandatory Sequence A General Information				
16R	M	Start of Block	GENL	
20C	M	CA Reference	:CORP//16x	The current logic has to be used: here "280" + BID (13 digit)
20C	M	Sender's Reference	:SEME//16x	The current logic will be used
23G	M	Function of the Message	4!c	The current logic will be used Possible codes: NEWM, REPL, REPE, CANC, RMDR. REPE will be used in the new MT564 REPE triggered by the first occurrence of balances in ISIN-partial right.
22F	M	CA Event Indicator	:CAEV//EXOF	Always "EXOF"
22F	M	Mandatory/Voluntary Indicator	:CAMV//CHOS	General usage of CHOS in the 2nd event.
98C	O	Preparation Date	:PREP//8!n6!n	The current logic will be used
25D	M	Processing Status	:PROC//4!c	The current logic will be used
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	Additional subsequence for the referencing to the 1st event.
13A	O	Linked Message	:LINK//564	Linkage to the MT564 (1st event)
20C	M	Corporate Action Reference	:CORP//16x	20C::CORP from the 1st event. Here: "232" + BID. The BID is equal for both events.
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	An MT568 with free text for more detailed event explanations will be linked always to the MT564 2nd event and to the real time KDOA MT564 in the 1st event, which is sent as of CBF entitlement date / record date.
13A	O	Linked Message	:LINK//568	Linkage to a following MT568.
20C	M	Corporate Action Reference	:CORP//16x	The linked CORP is identical with the 20C::CORP here in this message ("280" + BID).
16S	M	End of Block	LINK	
End of Subsequence A1 Linkages				
Repetitive Optional Subsequence A1 Linkages				
16R	M	Start of Block	LINK	

Tag	Status	Field Name	Format	Field Description
20C	M	Previous Message Reference	:PREV//16x	The current logic will be used
16S	M	End of Block	LINK	

End of Subsequence A1 Linkages

16S	M	End of Block	GENL	
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End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	
35B	M	Identification of FI	[ISIN!e12!c] [4*35x]	ISIN-interim in the event.

Repetitive Mandatory Subsequence B2 Account Information

16R	M	Start of Block	ACCTINFO	
97A	M	Safekeeping Account	:SAFE//35x	As long as ISIN-interim is not credited on customers account, the MT564 2nd event is adapted to customers account with holdings in ISIN-underlying. With crediting of ISIN-interim on ex date / record date + 1 bd, the workflow will be adapted to customers account with holdings in ISIN-interim.
93B	O	Total Eligible for CA	:ELIG//4!c/[N]15d	Display only in the new MT564 REPE due to the new occurrence of balances in ISIN-interim by KADI batch processing of 1st event (TA232). Then display of the new (first) balance in ISIN-interim, credited to customer's account.
93B	O	Uninstructed balance	:UNBA//4!c/[N]15d	<u>Before CBF entitlement date</u> No display of this field because no balances in ISIN-interim exist. <u>On CBF entitlement date before KADI-processing of TA232</u> No display of this field because no balances in ISIN-interim exist. <u>On CBF entitlement date after STD-processing</u> Display of the credited quantity in ISIN-interim (= 93B::ELIG) in the new MT564 REPE. <u>As of ex date / record date + 1 bd</u> CASCADE-holdings on main account in ISIN-interim EOD + STD.
16S	M	End of Block	ACCTINFO	

End of Subsequence B2 Account Information

16S	M	End of Block	USECU	
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End of Sequence B Underlying Securities

Optional Sequence C Intermediate Securities

				No usage of sequence C.
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Tag	Status	Field Name	Format	Field Description
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End of Sequence C Intermediate Securities

Repetitive Optional Sequence E Corporate Action Options (SECU)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//SECU	SECU as the first option
17B	M	Default Processing Flag	:DFLT//N	Default is No.
98C	O	Early Response Deadline Date / Time	:EARD//8!n6!n	The current logic will be used
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used
98C	O	Market Deadline Date / Time	:MKDT//8!n6!n	The current logic will be used
69A	O	Period of Action	:PWAL//8!n/8!n	The current logic will be used

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: ISIN-interim will be debited after subscription of new shares.
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the subscription result in a debit of the partial rights
35B	M	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]	ISIN-interim
98B	M	Payment Date	::PAYD//4!c	98B::PAYD//UKWN
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//CRED	The ISIN-final will be credited.
35B	M	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]	Final ISIN or “ZZ0000000000”, if unknown
92D	O	New to Old	:NEW0//15d/15d	Conversion ratio for ISIN-interim to ISIN-final
98B	M	Payment Date	:PAYD//4!c	98B::PAYD//UKWN
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

70E	O	Additional Text	:ADTX// 10*35x	The current logic will be used
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (SLLE)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	002
22F	M	CA Option Code	:CAOP//SLLE	SLLE – sell of ISIN-interim – as the second option
17B	M	Default Processing Flag	:DFLT//N	The current logic will be used
98C	O	Response Deadline Date / Time	:RDDT//8!n6!n	The current logic will be used
69A	O	Period of Action	:PWAL//8!n/8!n	Display of the period from ex date until CBF deadline for conversion

Tag	Status	Field Name	Format	Field Description
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Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	New / additional repetition of subsequence E1: ISIN-interim will be debited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//DEBT	DEBT – the sell result in a debit of ISIN-interim
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-interim
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Security Movement

Repetitive Optional Subsequence E2 Cash Movements

16R	M	Start of Block	CASHMOVE	New / additional repetition of subsequence E2: Cash will be credited due to a sell
22H	M	Credit/Debit Indicator	:CRDB//CRED	CRED – the sell result in a credit of cash
97A	O	Cash Account	:CASH//35x	The current logic for this field has to be used.
98A, B	M	Payment Date	:PAYD//8!n :PAYD//4!c	98B::PAYD//ONGO
16S	M	End of Block	CASHMOVE	

End of Subsequence E2 Cash Movements

70E	O	Additional Text	:ADTX// 10*35x	
16S	M	End of Block	CAOPTN	

End of Sequence E Corporate Action Options

Repetitive Optional Sequence E Corporate Action Options (NOAC)

16R	M	Start of Block	CAOPTN	
13A	O	CA Option Number	:CAON//3!c	003
22F	M	CA Option Code	:CAOP//NOAC	The current logic will be used
17B	M	Default Processing Flag	:DFLT//Y	NOAC as the third option

Repetitive Optional Subsequence E1 Securities Movement

16R	M	Start of Block	SECMOVE	
22H	M	Credit/Debit Indicator	:CRDB//DEBT	The current logic will be used
35B	M	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	ISIN-interim
98B	M	Payment Date	:PAYD//4!c	98B::PAYD//UKWN
16S	M	End of Block	SECMOVE	

End of Subsequence E1 Securities Movement

16S	M	End of Block	CAOPTN	
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End of Sequence E Corporate Action Options

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO	
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Tag	Status	Field Name	Format	Field Description
70E	0	Additional Text	:ADTX// 10*35x	The SWIFT-Text out of WSS has to be displayed here in the 2nd event.
70E	0	Narrative Version	:TXNR //10*35x	
16S	M	End of Block	ADDINFO	

End of Sequence F Additional Information

2.3.3 Anpassungen im „Zwei Event Szenario“ bei freiwilligen Kapitalmaßnahmen unter Zwischenschaltung einer Interims-ISIN

Im Falle von freiwilligen Kapitalmaßnahmen und Zwischenschaltung einer Interims-ISIN kommt heute schon ein „Zwei Event Szenario“ zur Anwendung. Die Vorabinformation zur freiwilligen Kapitalmaßnahme wird über einen SWIFT MT564 Workflow in der KADI Terminart TA280 (CAEV = TEND, BIDS oder EXOF) dargestellt. Bei Abwicklung der Kapitalmaßnahme wird für die Cash-Line ein MT564 Workflow in der Terminart TA123 oder TA124 und für die Securities-Line ein MT564 Workflow in der Terminart TA232 aufgesetzt.

Nachfolgend werden die Änderungen auf Basis der German Market Practice aufgeführt.

Squeeze Out (TA123)

- Als Corporate Action Event Indicator 22F::CAEV wird unverändert „TEND“ verwendet.
- Im MT564 und MT566 wird zusätzlich der Indikator 22F::OFFE//SQUE in den Corporate Action Details aufgenommen.
- Bei der Ausbuchung der Stücke über die KADI Terminart TA260 wird in der MT566 Bestätigung ebenso der Indikator 22F::OFFE//SQUE angezeigt.

Abfindung / Rückkauf von Aktien (TA124)

- Als Corporate Action Event Indicator 22F::CAEV wird künftig „EXOF“ verwendet (heute „TEND“).
- Der Indikator CAEV = EXOF wird auch in der anschließenden Ausbuchung der Stücke in der Terminart TA222 verwendet (heute „TEND“).

Übernahme-/Abfindungs-/Umtauschangebote (TA232)

Bei Teilnahme an einer freiwilligen Kapitalmaßnahme mit Bezug der Stücke erfolgt die Abwicklung der Securities-Line in der obligatorischen Kapitalmaßnahme TA232. Die SWIFT MT564- und MT566-Nachrichten in der TA232 weisen heute den CAEV-Indikator „PARI“ aus. Dieser Indikator wird künftig auf „EXOF“ geändert.

2.4 Einführung des MT564 – „For Info Only“

Für die Terminart 281, der Terminart von CBF zur Information über Mandatory Events ohne Auswirkung auf Bestände, und die Terminart 280, der Terminart zur Information über Voluntary Events, werden ab November 2013 SWIFT MT564 Nachrichten zusätzlich für bestimmte Werte des WM Datenfeldes UD008A (Umtauschgrund) versendet.

Für OGAW relevante Informationen von Fonds, die vorab angekündigt wurden, ohne dass ein Termin oder weitere Daten zur Durchführung der entsprechenden Non-Income-Maßnahme bekannt sind, werden MT564 mit dem Processing Status ‚INFO‘ für die Terminart 281 versendet. Ein MT564 wird für folgende Werte des Feldes Umtauschgrund, die OGAW relevante Informationen von Fonds beinhalten, erstellt:

- DW - Mitteilung an die Anteilhaber wegen allgemeiner Änderungen des Verwaltungsreglements
- DY - Fondsverschmelzung in Verbindung mit dauerhaftem Datenträger gemäß OGAW IV UmsG
- DZ - Fondsschließung in Verbindung mit dauerhaftem Datenträger gemäß OGAW IV UmsG
- EA - Aussetzung der Rücknahme von Anteilen in Verbindung mit dauerhaftem Datenträger gemäß OGAW IV UmsG
- EB - Wiederaufnahme der Rücknahme von Anteilen in Verbindung mit dauerhaftem Datenträger gemäß OGAW IV UmsG
- EC - Änderungen der Vertragsbedingungen in Verbindung mit dauerhaftem Datenträger gemäß OGAW IV UmsG
- ED - Änderungen bei der Berechnung des Anteilwertes bzw. der Anlagegrenzen in Verbindung mit dauerhaftem Datenträger gemäß OGAW IV UmsG

Für den Umtauschgrund DY (Fondsverschmelzung), der bei vollständigen Angaben bereits über die Terminart 232 als Fusion prozessiert wird, wird als Corporate Action Indikator ‚MRGR‘ ausgewiesen und ein MT564 mit dem bestehenden Format für die Terminart 281 erzeugt, für die anderen Werte des Feldes ‚Umtauschgrund‘ wird auch der Corporate Action Indikator ‚INFO‘ ausgewiesen und ein MT564 mit einem gesonderten, verkürzten Format ohne die Sequenzen C und E erstellt.

Für weitere vorab angekündigte, nicht als OGAW relevant benannte Informationen von Fonds ohne Terminennung wird ebenfalls ein MT564, in der Regel mit dem Processing Status ‚PREU‘ erzeugt. Dies gilt für folgende Werte für den Inhalt des Feldes ‚Umtauschgrund‘ (UD008A):

- BX - Rückgabe von Anteilen/Aktien
- BZ - Plan of Arrangement
- DC - Wechsel der Gesellschaftsform
- DF - Hinweis auf Spruchstellenverfahren
- DG - Insolvenzverfahren eröffnet
- DH - Insolvenzverfahren aufgehoben
- DI - Insolvenzverfahren eingestellt
- DJ - Insolvenzverfahren Ausland
- DK - Vergleichsverfahren beantragt
- DL - Vergleichsverfahren eröffnet
- DM - Vergleichsverfahren abgelehnt
- DN - Vergleichsverfahren aufgehoben

- DO – Konkursantrag
- DP – Konkursverfahren eröffnet
- DQ – Konkursverfahren eingestellt

Für den bei Angabe eines Datums bereits selektierten Umtauschgrund BX (.Rückgabe von Anteilen/Aktien') wird ein MT564 auf Basis des bestehenden Formates der Terminart 280 mit dem Corporate Action Indikator .EXOF' erstellt, für die anderen Werte des Feldes .Umtauschgrund' ein MT564 der Terminart 281 mit der Corporate Action Indikator .INFO' und dem verkürzten Format ohne die Sequenzen D und E.

Für die Umtauschgründe DY (.Fondsverschmelzung'), DZ (.Fondsschließung'), EA (.Aussetzung der Rücknahme von Anteilen'), EB (.Wiederaufnahme der Rücknahme'), EC (.Änderungen der Vertragsbedingungen') und BX (.Rückgabemöglichkeit von Anteilen bzw. Aktien') werden weiterhin bei Angabe eines Termins ein MT564 der Terminarten 232 beziehungsweise 281 oder 280 nach den bestehenden Formaten erzeugt, für die Terminart 232 erfolgt die entsprechende Verarbeitung des Events.

Der Versand des MT564 für Vorabinformationen für Fonds erfolgt nur am Tag der Einstellung des entsprechenden Segments in WSS; es wird kein weiterer SWIFT-Zyklus generiert.

Kunden, die heute schon die MT564 Vorabinformation für Non Income Mandatory Events beziehen, erhalten automatisch auch den MT564 „For Info only“.

Format MT564 ,For Info only'

		Name	at / Description
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Mandatory Sequence A General Information

16R	M	Start of Block	GENL
20C	M	CA Reference	:CORP//16x
20C	M	Sender's Reference	:SEME//16x
23G	M	Function of the Message	NEWM
22F	M	CA Event Indikator	:CAEV// INFO
22F	M	Mandatory/Voluntary indicator	:CAEV//MAND
98C	C	Preparation Date	:PREP//8!n6!n
25D	M	Processing Status	:PROC// INFO for UMT-GRUND = DW, DZ, EA, EB, EC, ED, EF :PROC// PREU, PREC, COMP for UMT-GRUND = BZ, DC, DF, DG, DH, DI, DJ, DK, DL, DM, DN, DO, DP, DQ
16S	M	End of Block	GENL

End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU
35B	M	Identification of FI	[ISIN!e12!c] [4*35x]

Repetitive Mandatory Sequence B2 Account Information

16R	M	Start of Block	ACCTINFO
97A	M	Safekeeping Account	:SAFE//35x
93B	O	Settlement Position	:SETT//4!c/[N]15d
16S	M	End of Block	ACCTINFO

End of Subsequence B2 Account Information

16S	M	End of Block	USECU
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End of Sequence B Underlying Securities

Optional Sequence F Additional Information

16R	M	Start of Block	ADDINFO
70E	O	Additional Text	:ADTX// For UMT-GRUND = DW, DZ, EA, EB, EC, ED, EF: English text of Table U20 for key value of field UD008A + '' + Value of field UD193 (webpage address)
16S	M	End of Block	ACCTINFO

End of Sequence F Additional Information

2.5 MT566 Bestätigungen für manuelle Gelbbuchungen

Für Gelbbuchungen, die CBF auf Grund einer Kapitalmaßnahme (Income und Non-Income) manuell eingestellt hat, werden zukünftig nach erfolgreicher Geldregulierung über TARGET2 auch MT566 Bestätigungen versendet. Es werden dabei die heutigen Versandzeitpunkte des MT566 Income sowie eine zusätzliche Übertragung um 15:45 Uhr nach DD3 verwendet.

Soweit eine Referenzierung von der manuellen Gelbbuchung zu einem Event möglich ist, enthält die MT566 Bestätigung die Corporate Action Reference ID sowie weitere Details zum Event. Das Nachrichtenformat der MT566-Bestätigung kann vier verschiedene Ausprägungen aufweisen, die nachfolgend dargestellt werden:

- MT566 Manuelle Gelbbuchung mit einer Verlinkung zu einem Income Event
- MT566 Manuelle Gelbbuchung mit einer Verlinkung zu einem Non Income Event
- MT566 Manuelle Gelbbuchung mit einer Verlinkung zu einem Voluntary Event
- MT566 Manuelle Gelbbuchung ohne Verlinkung (Default-MT566)

Eine separate Bestellung der MT566 Bestätigung für manuelle Gelbbuchungen ist nicht erforderlich. Kunden, die heute schon den MT566 für Income und Non Income bestellt haben, erhalten hierzu automatisch auch den MT566 für manuelle Gelbbuchungen.

SWIFT MT566 Manuelle Geldbuchung mit Link zu einem Income-Event

Tag	Status	Field Name	Format	Field Description
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Mandatory Sequence A General Information

16R	M	Start of Block	GENL	N/A
20C	M	CA Reference	:CORP//16x	3-digit event type code + BID from WSS
20C	M	Sender's Reference	:SEME//16x	Technical reference from CBF
23G	M	Function of the Message	4!c	NEWM
22F	M	CA Event Indicator	:CAEV//4!c	Same logic as specified for MT566 automatic bookings.
98C	O	Preparation Date	:PREP//8!n6!n	Date and time when the message was generated
16S	M	End of Block	GENL	N/A

End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	N/A
97A	M	Safekeeping Account	:SAFE//35x	Number of customer's account in CASCADE
35B	M	Identification of FI	[[ISIN!e12!c] [4*35x]	Underlying Security ISIN + Name
93B	M	Confirmed Balance	:CONB//4!c/[N]15d	Nominal value of underlying ISIN which the payment applies.
16S	M	End of Block	USECU	N/A

End of Sequence B Underlying Securities

Optional Sequence C Corporate Action Details

16R	M	Start of Block	CADETL	N/A
98A	O	Ex-Dividend or Distribution Date	:XDTE//8!n	Ex date of the event
98A	O	Record Date	:RDTE//8!n	Record date of the event
98A	O	Effective date	:EFFD//8!n	Effective date
92A or 92F	O	Interest rate	:INTR//[N]15d :INTR//3!a15d	Annual rate of a financial instrument
16S	M	End of Block	CADETL	N/A

End of Sequence C Corporate Action Details

Mandatory Sequence D Corporate Action Confirmation

16R	M	Start of Block	CACONF	N/A
13A	M	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//CASH	Indicates distribution of cash

Repetitive Optional Subsequence D2 Cash Movements

16R	M	Start of Block	CASHMOVE	N/A
22H	M	Credit/Debit Indicator	:CRDB//CRED or DEBT	This field indicates whether the amount is a debit or a credit.
19B	M	Posting Amount	:PSTA//3!a15d	Credit/Debit amount
98A	M	Posting Date	:POST//8!n	Date of cash entry
98A	M	Value Date	:VALU//8!n	Value date of the event

Tag	Status	Field Name	Format	Field Description
92F	0	Gross Dividend Rate or Interest Rate Used for Payment	:GRSS//3!a15d or :INTP//3!a15d	Annual rate of a financial instrument
92J	0	Proportional Disbursement of Dividend – Domestic DE	:GRSS//INCO/3!a15d	Proportion of the distributed amount attributable to German dividends
92J	0	Proportional Disbursement of Interest	:GRSS//INTR/3!a15d	Proportion of the distributed amount attributable to interest
92J	0	Proportional Disbursement of Dividend – Abroad	:GRSS//SOIC/3!a15d	Proportion of the distributed amount attributable to non-German dividends
92J	0	Proportional Disbursement of Real Estate	:GRSS//REES/3!a15d/ACTU	Proportion of the distributed amount attributable to interest
16S	M	End of Block	CASHMOVE	N/A

End of Subsequence D2 Cash Movements

16S	M	End of Block	CACONF	N/A
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End of Sequence D Corporate Action Confirmation

Optional Sequence E Additional Information

16R	M	Start of Block	ADDINFO	N/A
70E	0	Additional Text	:ADTX//10*35x	Details that are not included in the structured fields
70E	0	Additional Text	:TAXE//10*35x	Line 1: "DIV" + currency + amount of domestic dividend share Line 2: "REIT" + currency + amount of domestic REIT share Line 3: "EXCHANGE RATE" + currency 1 + "/" + currency 2 + "/" + exchange rate, if the currency of the assessment basis is not equal to the distribution currency
16S	M	End of Block	ADDINFO	N/A

End of Sequence E Additional Information

SWIFT MT566 Manuelle Gelbbuchung mit Link zu einem Non Income Mandatory-Event

Tag	Status	Field Name	Format	Field Description
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Mandatory Sequence A General Information

16R	M	Start of Block	GENL	N/A
20C	M	CA Reference	:CORP//16x	3-digit event type code + BID from WSS
20C	M	Sender's Reference	:SEME//16x	Technical reference from CBF
23G	M	Function of the Message	4!c	NEWM
22F	M	CA Event Indicator	:CAEV//4!c	Same logic as specified for MT566 automatic bookings.
98C	O	Preparation Date	:PREP//8!n6!n	Date and time when the message was generated
16S	M	End of Block	GENL	N/A

End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	N/A
97A	M	Safekeeping Account	:SAFE//35x	Number of customer's account in CASCADE
35B	M	Identification of FI	[ISIN1!e12!c] [4*35x]	Underlying Security ISIN + Name
93B	M	Confirmed Balance	:CONB/4!c/[N]15d	Nominal value of underlying ISIN which the payment applies.
16S	M	End of Block	USECU	N/A

End of Sequence B Underlying Securities

Optional Sequence C Corporate Action Details

16R	M	Start of Block	CADETL	N/A
98A	O	Ex-Dividend or Distribution Date	:XDTE//8!n	Ex date of the event
98A	O	Record Date	:RDTE//8!n	Record date of the event
16S	M	End of Block	CADETL	N/A

End of Sequence C Corporate Action Details

Mandatory Sequence D Corporate Action Confirmation

16R	M	Start of Block	CACONF	N/A
13A	M	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//CASH	Indicates distribution of cash

Repetitive Optional Subsequence D2 Cash Movements

16R	M	Start of Block	CASHMOVE	N/A
22H	M	Credit/Debit Indicator	:CRDB//CRED or DEBT	This field indicates whether the amount is a debit or a credit.
19B	M	Posting Amount	:PSTA//3!a15d	Credit/Debit amount
98A	M	Posting Date	:POST//8!n	Date of cash entry
98A	M	Value Date	:VALU//8!n	Value date of the event
90B	O	Generic Cash Price Paid per Product	:PRPP//4!c/15d	Subscription price
16S	M	End of Block	CASHMOVE	N/A

End of Subsequence D2 Cash Movements

Tag	Status	Field Name	Format	Field Description
16S	M	End of Block	CACONF	N/A

End of Sequence D Corporate Action Confirmation

Optional Sequence E Additional Information

16R	M	Start of Block	ADDINFO	N/A
70E	M	Additional Text	:ADTX//10*35x	Details that are not included in the structured fields
16S	M	End of Block	ADDINFO	N/A

End of Sequence E Additional Information

SWIFT MT566 Manuelle Gelbuchung mit Link zu einem Non Income Voluntary-Event

Tag	Status	Field Name	Format	Field Description
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Mandatory Sequence A General Information

16R	M	Start of Block	GENL	N/A
20C	M	CA Reference	:CORP//16x	3-digit event type code + BID from WSS
20C	M	Sender's Reference	:SEME//16x	Technical reference from CBF
23G	M	Function of the Message	4!c	NEWM
22F	M	CA Event Indicator	:CAEV//4!c	Same logic as specified for MT566 automatic bookings.
98C	O	Preparation Date	:PREP//8!n6!n	Date and time when the message was generated
16S	M	End of Block	GENL	N/A

End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	N/A
97A	M	Safekeeping Account	:SAFE//35x	Number of customer's account in CASCADE
35B	M	Identification of FI	[ISIN1!e12!c] [4*35x]	Underlying Security ISIN + Name
93B	M	Confirmed Balance	:CONB/4!c/[N]15d	Nominal value of underlying ISIN which the payment applies.
16S	M	End of Block	USECU	N/A

End of Sequence B Underlying Securities

Optional Sequence C Corporate Action Details

16R	M	Start of Block	CADETL	N/A
98A	O	Ex-Dividend or Distribution Date	:XDTE//8!n	Ex date of the event
98A	O	Record Date	:RDTE//8!n	Record date of the event
16S	M	End of Block	CADETL	N/A

End of Sequence C Corporate Action Details

Mandatory Sequence D Corporate Action Confirmation

16R	M	Start of Block	CACONF	N/A
13A	M	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//CASH	Indicates distribution of cash

Repetitive Optional Subsequence D2 Cash Movements

16R	M	Start of Block	CASHMOVE	N/A
22H	M	Credit/Debit Indicator	:CRDB//CRED or DEBT	This field indicates whether the amount is a debit or a credit.
19B	M	Posting Amount	:PSTA//3!a15d	Credit/Debit amount
98A	M	Posting Date	:POST//8!n	Date of cash entry
98A	M	Value Date	:VALU//8!n	Value date of the event
90B	O	Generic Cash Price Paid per Product	:PRPP//4!c/15d	Subscription price
16S	M	End of Block	CASHMOVE	N/A

End of Subsequence D2 Cash Movements

Tag	Status	Field Name	Format	Field Description
16S	M	End of Block	CACONF	N/A

End of Sequence D Corporate Action Confirmation

Optional Sequence E Additional Information

16R	M	Start of Block	ADDINFO	N/A
70E	M	Additional Text	:ADTX//10*35x	Details that are not included in the structured fields
16S	M	End of Block	ADDINFO	N/A

End of Optional Sequence E Additional Information

SWIFT MT566 Manuelle Gelbuchung ohne Link (Default-MT566)

Tag	Status	Field Name	Format	Field Description
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Mandatory Sequence A General Information

16R	M	Start of Block	GENL	N/A
20C	M	CA Reference	:CORP//16x	Default: 0000000000000000
20C	M	Sender's Reference	:SEME//16x	Technical reference from CBF
23G	M	Function of the Message	4!c	NEWM
22F	M	CA Event Indicator	:CAEV//4!c	Segment Indicator Default: OTHR
98C	O	Preparation Date	:PREP//8!n6!n	Date and time when the message was generated
16S	M	End of Block	GENL	N/A

End of Sequence A General Information

Mandatory Sequence B Underlying Securities

16R	M	Start of Block	USECU	N/A
97A	M	Safekeeping Account	:SAFE//35x	Number of customer's account in CASCADE
35B	M	Identification of FI	[ISIN1!e12!c] [4*35x]	Underlying Security ISIN + Name Default: ZZ0000000000
93B	M	Confirmed Balance	:CONB//4!c/[N]15d	Nominal value of underlying ISIN which the payment applies. Default: 0,
16S	M	End of Block	USECU	N/A

End of Sequence B Underlying Securities

Mandatory Sequence D Corporate Action Confirmation

16R	M	Start of Block	CACONF	N/A
13A	M	CA Option Number	:CAON//3!c	001
22F	M	CA Option Code	:CAOP//CASH	Indicates distribution of cash

Repetitive Optional Subsequence D2 Cash Movements

16R	M	Start of Block	CASHMOVE	N/A
22H	M	Credit/Debit Indicator	:CRDB//CRED	This field indicates whether the amount is a debit or a credit.
19B	M	Posting Amount	:PSTA//3!a15d	Credit/Debit amount
98A	M	Posting Date	:POST//8!n	Date of cash entry
98A	M	Value Date	:VALU//8!n	Value date of the event
16S	M	End of Block	CASHMOVE	N/A

End of Subsequence D2 Cash Movements

16S	M	End of Block	CACONF	N/A
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End of Subsequence D Corporate Action Confirmation

Optional Sequence E Additional Information

16R	M	Start of Block	ADDINFO	N/A
70E	M	Additional Text	:ADTX//10*35x	Details that are not included in the structured fields
16S	M	End of Block	ADDINFO	N/A

End of Sequence E Additional Information

2.6 Abschaltung des Custody Payments File

Der Custody Payments File wird ab dem 18. November 2013 nicht mehr an die Kunden ausgegeben. Kunden, die den Custody Payments File noch beziehen, können jederzeit auf das Reporting im SWIFT-Format MT564/566 umstellen und werden gebeten sich diesbezüglich schnellstmöglich mit dem CSD Client Service Core Products & Connectivity in Verbindung zu setzen.

2.7 Sonstige Implementierungen

KADI Terminart 222 – Ausbuchen von Zertifikaten und Optionsscheinen

Zur besseren Unterscheidung, ob eine Ausbuchung von Zertifikaten oder Optionsscheinen wertlos oder unter Zahlung des Gegenwertes erfolgt, werden die freien Texte im MT564 (Sequenz F, 70E::TXNR) und MT566 (Sequenz E, 70E::TXNR) bei TA222 (Ausbuchen der Stücke) wie folgt überarbeitet.

TA222 – Ausbuchen unter Zahlung des Gegenwertes	⇒	70E::TXNR//WITHDRAWAL OF EXERCISED SECURITIES
TA222 – Ausbuchen der wertlosen Stücke	⇒	70E::TXNR//WITHDRAWAL OF WORTHLESS SECURITIES

Weitere Informationen

Weitere Informationen erhalten Sie von Clearstream Banking Customer Service oder Ihrem Relationship Officer.

Leerseite

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