

## Consent to Provision of Financial Transaction Information

1. Customer who consents hereto (the "Customer")

Name:

Address:

Identification No.:

*(Investment Registration Certificate no., Business Registration no.)*

2. Financial Institution that will provide the Transaction Information:

The Hongkong and Shanghai Banking Corporation Limited

3. Scope of Transaction Information to be provided:

Information on the Customer and financial transactions involving cash, deposit, trust, securities, listed derivatives, OTC derivatives or other financial assets effectuated by the Customer (including foreign exchange, loan transactions, transactions relating to dealing and brokerage service, trustee service, mergers and acquisitions advisory service, corporate finance service, etc.) ("Transaction Information")

4. Persons or Institutions to which Transaction Information will be provided and purpose of the provision:

- a) Any domestic and overseas head offices, branches, subsidiaries or affiliates of the Financial Institution as identified in 2 above (collectively, the "Affiliate"), any domestic and overseas regulatory authorities, courts and law enforcement agencies, and institutions (including tax authority, exchanges and repositories) designated by any domestic or overseas regulatory authority or under any domestic or overseas laws and regulations, etc. for such purposes as reporting, approval, audit, inspection, supervision, accounting, risk management, prohibition of financial crimes including money laundering, internal control, discussion for new product development, internal processing for provision of service, compliance with domestic and overseas laws and regulations and subpoena, request, requirement and order thereunder, and other dispute resolution proceedings; and
- b) The Affiliates with whom such Financial Institution is working together for performance of joint business activities (including dealing, underwriting, brokerage, intermediation, referral, arrangement, agency or advisory service, etc. in relation to mergers and acquisitions advisory, corporate finance, dealing and brokerage of financial investment instruments or loans, etc.) under the relevant laws including the Financial Investment Services and Capital Markets Act.

5. Effective Period:

This Consent shall be valid for one year until such Financial Institution receives from the Customer consenting hereto the written revocation of such Consent by mail or e-mail. Provided, however, that if the Financial Institution notifies the Customer in December each year by mail or e-mail that the Customer may revoke the Consent by mail or e-mail in case the Customer does not consent to the provision of financial transaction information pursuant to this Consent, the effective period of this Consent shall be automatically extended by one year.

The Customer hereby requests that the Financial Institution as identified in 2 above shall send, by mail or e-mail, a written notice to the Customer in December each year, after the Customer signs this form, to notify the Customer of provision of the financial transaction information of the Customer to third parties pursuant to this Consent.

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## Consent to Provision of Financial Transaction Information (cont)

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6. Right to refuse to give consent and disadvantage the Customer possibly could face if the Customer refuse to give consent

The Customer may refuse this Consent. However, as the provision of the aforementioned Transaction Information is prerequisite for the Customer to execute or implement financial transactions with the Financial Institution, thus, without the Consent pursuant to this Consent form, it is not possible for the Customer to establish and maintain financial relationship with the financial institution.

Pursuant to Article 4 of Act on Real Name Financial Transactions and Confidentiality and Article 8 of the Enforcement Decree of the same Act, I/we hereby consent to your provision of any information or data regarding my/our financial transactions as prescribed above.

Date: \_\_\_\_\_

Consented by: \_\_\_\_\_ *(Registered seal or signature)*

Name: \_\_\_\_\_

Title: \_\_\_\_\_