

Identification of Beneficial Owner (for corporates/organisations)

IRC/LEI Name:

IRC/LEI Number (if applicable):

1. According to The Act on Reporting and Use of Specified Financial Transaction Information, financial institutions are required to identify the Beneficial Owner when opening new accounts.

Please complete the below three steps as necessary for opening a new account by a legal person (corporation) or an entity (organisation). The following steps are to identify the Beneficial Owner (natural person) of the legal person (corporation) or entity (organisation). Once the Beneficial Owner (natural person) is identified, please provide the following information.

- Name:
- Date of birth:
- Nationality:

Note: The Hongkong and Shanghai Banking Corporation Limited, Seoul Branch (HSBC) may request for further supporting documents for above verification as necessary.

Whom to identify	Please select	Information to identify
<p>[Step 1] A person who owns over 25% of the total number of voting stocks issued by the relevant legal person or entity (including the total investment amount) or other investment shares (including securities depository receipts that are related to the stocks or other investment shares).</p> <p>If unable to identify, go to Step 2.</p>	<input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____
<p>[Step 2] A person falling under one of the following items:</p> <p>(i) Shareholders, etc. who hold the largest number of stocks on the basis of the total number of voting stocks issued by the relevant legal person or entity or other investment shares;</p> <p>(ii) Shareholders, etc. who have by majority appointed representative, executive officers, directors, etc. on his/her sole discretion or by agreement or contract with other Shareholders, etc.;</p> <p>(iii) The person who has effective control over the relevant legal person or entity, which is clearly different from Shareholders, etc. falling under item (i) or (ii) above.</p> <p>If unable to identify, proceed to Step 3.</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____
<p>[Step 3] A representative of the legal person (corporate) or the entity (organisation).</p> <p>For Overseas Investment Vehicles, please provide information of the legal representative of its Asset Management Company</p>	<input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____

2.[Optional] In case the shareholder of above [Step 1] and [Step 2] (i) are identified as a legal person (corporate) or entity (organisation), identify person falling under one of the following (A) or (B).

Whom to identify	Please select	Information to identify
(A) A person who owns over 25% of the total number of voting stocks issued.	<input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____
(B) A person falling under any of the following items: (i) Shareholders, etc. who hold the largest number of stocks on the basis of the total number of voting stocks issued by the relevant legal person or entity or other investment shares; (ii) Shareholders, etc. who have by majority appointed representative, executive officers, directors, etc. on his/her sole discretion or by agreement or contract with other Shareholders, etc.; (iii) The person who has effective control over the relevant legal person or entity, which is clearly different from Shareholders, etc. falling under item (i) or (ii) above.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____

3. [Optional] In case the identified person under item 2. above is legal person (corporate) or entity (organisation), please identify person falling under one of the following (A) or (B) of the relevant legal person (corporate) or entity (organisation).

Repeat process as necessary until the person is identified for Beneficial Owner Information.

Whom to identify	Please select	Information to identify
(A) a person who owns over 25% of the total number of voting stocks issued.	<input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____
(B) a person falling under any of the following items: (i) Shareholders, etc. who hold the largest number of stocks on the basis of the total number of voting stocks issued by the relevant legal person or entity or other investment shares; (ii) Shareholders, etc. who have by majority appointed representative, executive officers, directors, etc. on his/her sole discretion or by agreement or contract with other Shareholders, etc.; (iii) The person who has effective control over the relevant legal person or entity, which is clearly different from Shareholders, etc. falling under item (i) or (ii) above.	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Name: _____ Date of Birth: _____ Nationality: _____

4. In case there are multiple persons identified, please indicate all identified persons' Name, Date of Birth and Nationality in the relevant sections.

5. We, (the name of IRC/LEI) _____ hereby confirm that the information provided herewith is true, accurate and complete. This information will apply to all existing and future sub-accounts opened at HSBC under the mentioned IRC/LEI. We also understand and agree that this information will be transferred to securities companies for their use in account opening.

6. In case there is any change to the above information, we will advise HSBC accordingly, and we will be held responsible for any consequences arising out of incorrect, inaccurate or incomplete information.

Authorised signatory: _____

Name: _____

Date: _____