

Identification of Beneficial Owner

(For Corporate / Organization use only)

IRC Name:

IRC Number (if applicable):

1. According to the Act on Reporting and Use of Specified Financial Transaction Information, financial institutions are required to identify the beneficial owner when opening new accounts.

Please complete the below 3 steps as necessary for newly opening accounts by a legal person (corporation) or an entity (organization). The following steps are to identify the beneficial owner (natural person) of the legal person (corporation) or entity (organization). Once the beneficial owner (natural person) is identified, please provide the following information.

- (i) Name:
- (ii) Date of Birth:
- (iii) Nationality:

*The Hongkong and Shanghai Banking Corporation Limited, Seoul Branch (“HSBC”) may request for further supporting documents for above verification as necessary.

Whom to identify	Please select	Information to Identify
<p>[Step 1] A person who owns over 25 per cent of the total number of voting stocks issued by the relevant legal person or entity (including the total investment amount) or other investment shares (including securities depositary receipts that are related to the stocks or other investment shares). <i>If unable to identify, go to Step 2.</i></p>	[]	<p>Name :</p> <p>Date of Birth:</p> <p>Nationality :</p>
<p>[Step 2] A person falling under one of the following items ;</p> <p>(i). Shareholders, etc. who hold the largest number of stocks on the basis of the total number of voting stocks issued by the relevant legal person or entity or other investment shares;</p> <p>(ii). Shareholders, etc. who have by majority appointed representative, executive officers, directors, etc. on his/her sole discretion or by agreement or contract with other Shareholders, etc.;</p> <p>(iii). The person who has effective control over the relevant legal person or entity, which is clearly different from Shareholders, etc. falling under Item (i) or (ii) above. <i>If unable to identify, go to Step 3.</i></p>	[]	Name :
	[]	Date of Birth :
	[]	Nationality :
<p>[Step 3] A Representative of the legal person (corporate) or the entity (organization). *In case of Overseas Investment Vehicles, please provide information of the legal representative of its Asset Management Company.</p>	[]	<p>Name :</p> <p>Date of Birth :</p> <p>Nationality :</p>

2. [Optional] In case the shareholder of above [Step 1] and [Step 2]-(i) are identified as a legal person (corporate) or entity (organization), identify person falling under one of the following (A) or (B) ;

Whom to identify	Please select	Information to Identify
(A) a person who owns over 25 per cent of the total number of voting stocks issued.	[]	Name : Date of Birth : Nationality :
(B) a person falling under any of the following items ; (i). Shareholders, etc. who hold the largest number of stocks on the basis of the total number of voting stocks issued by the relevant legal person or entity or other investment shares;	[]	Name :
(ii). Shareholders, etc. who have by majority appointed representative, executive officers, directors, etc. on his/her sole discretion or by agreement or contract with other Shareholders, etc.;	[]	Date of Birth : Nationality :
(iii). The person who has effective control over the relevant legal person or entity, which is clearly different from Shareholders, etc. falling under Item (i) or (ii) above.	[]	

3. [Optional] In case the identified person under item 2. above is legal person (corporate) or entity (organization), please identify person falling under one of the following (A) or (B) of the relevant legal person (corporate) or entity (organization).

*Repeat process as necessary until the PERSON is identified for Beneficial Owner Information

Whom to identify	Please select	Information to Identify
(A) a person who owns over 25 per cent of the total number of voting stocks issued.	[]	Name : Date of Birth : Nationality :
(B) a person falling under any of the following items ; (i). Shareholders, etc. who hold the largest number of stocks on the basis of the total number of voting stocks issued by the relevant legal person or entity or other investment shares;	[]	Name :
(ii). Shareholders, etc. who have by majority appointed representative, executive officers, directors, etc. on his/her sole discretion or by agreement or contract with other Shareholders, etc.;	[]	Date of Birth : Nationality :
(iii). The person who has effective control over the relevant legal person or entity, which is clearly different from Shareholders, etc. falling under Item (i) or (ii) above.	[]	

4. In case there are multiple persons identified, please indicate ALL identified person's Name, Date of Birth and Nationality in the relevant sections.

We, (the name of IRC applicant), hereby confirm that the information provided herewith is true, accurate and complete. This information will apply to all existing and future sub-accounts opened at HSBC under the mentioned IRC. We also understand and agree that this information will be transferred to securities companies for their use in account opening.

In case there is any change in the above information, we will advise HSBC accordingly, and we will be held responsible for any consequences arising out of incorrect, inaccurate or incomplete information.

Authorized Signatory: _____

Name: _____

Date: _____