Xact File Transfer

PDF report guide

Xact File Transfer PDF report guide

June 2022

Document number: 6258

Foreword

The guide provides customers of Clearstream Banking with an overview of the reports available via Xact File Transfer in PDF format. This includes all delivery options: Xact File Transfer via VPN, Xact File Transfer via SWIFTNet File Act and Xact File Transfer via Internet.

All reports in PDF format are based on ISO 15022 SWIFT standards hence the message type in the report title. SWIFT tags have been replaced by a short field description but otherwise the content of the report is with a few exceptions identical to that of the SWIFT message.

How this guide is organised

This guide is organised as follows:

- Section "1. Introduction" on page 1-1 describes receipt of reports in PDF (human readable) format.

- Sections 2-6 describe each of the reports in PDF format, under the following headings:
  - "2. Cash reports" on page 2-1
  - "3. Settlement reports" on page 3-1
  - "4. Custody reports" on page 4-1
  - "5. Triparty Collateral Management reports" on page 5-1
  - "6. Miscellaneous reports" on page 6-1.

Related Xact File Transfer documentation

Note: Unless otherwise indicated, all publications referred to in this document are available on the Clearstream Banking web site www.clearstream.com under Publications and Downloads.

Related documentation for Xact File Transfer includes the following:

- Xact File Transfer via Internet - User Guide
- Xact File Transfer Data Interchange Specifications
- Xact via SWIFT User Guide

Note: For details of scheduling reports online for delivery via Xact File Transfer, please refer to the Xact Web Portal User Manual.

Where to get assistance

For further information or if you have specific questions regarding Xact File Transfer and/or communications with Clearstream Banking, please contact Client Services Connectivity Support as follows:

	Luxembourg	Frankfurt	London
Tel:	+352-243-38110	+49-(0) 69-2 11-1 15 90	+44-(0)20-786 27100
Fax:	+352-243-638110	+49-(0) 69-2 11-6 1 15 90	+44 (0) 20-786 27254
Email:	connectlux@clearstream.com	connectfranfurt@clearstream.com	connectlondon@clearstream.com

Before contacting Clearstream Banking, please ensure that you have the following information to hand:

- Your organisation name and account number with Clearstream Banking;
- Your telephone number, fax number and email address;
- Details of the problem (please have full details available);
- If you have received an error message, full details of the error, with the error message number;
- If connected via virtual private network (VPN):
  - Your Xact File Transfer address; and
  - The CONNECT:Direct version number and the operating system where it has been installed;
- If connected via SWIFTNet FileAct:
  - Your organisation's Distinguished Name (DN); and
  - If you are using FTI, any FTI error code received;
- If connected via the internet:
  - Your Xact File Transfer address (that is the address of your filestore).

Customers should note that, as is normal practice within financial organisations, Clearstream Banking has implemented telephone line recording to ensure that the interests of Clearstream Banking and of its customers are protected against misunderstandings or miscommunications.

Areas subject to telephone line recording include Client Services, the Treasury Dealing Room and back office operations. The recorded lines are the subject of an ongoing formal maintenance and quality control programme to ensure their continued effective and appropriate deployment and operation.

Contents

Contents

1. Introduction

The PDF (Portable Document File) format is a file format that has captured all the elements of a printed document as an electronic image that you can view, navigate, print or forward to someone else. It is a universal file format that preserves all fonts and formatting. To view the files, you need the Adobe Acrobat Reader, which you can download free of charge from the Adobe web site.

Customers can use Xact Web Portal to define and submit their reporting requirements directly as scheduled report requests for retrieval via Xact Web Portal, Xact File Transfer or Xact via SWIFT.

Reports scheduled in PDF for multiple accounts will be grouped within a single PDF file. If you select more than one account during the same subscription, you will receive one PDF file. However, reports generated in the same subscription will only be grouped if they share the same mode and frequency; that is, for example, a daily delta report and a monthly complete report will never be grouped. Accounts that are grouped are accessible by a bookmark, which is activated on opening the PDF file.

Availability of reports in PDF format

The following table shows the reports that are available via Xact File Transfer in PDF format:

Report	Intraday	Daily	Weekly	Monthly	Quarterly	Yearly	Complete	Delta
Cash reports								
MT940 Money Suspense	✓	✓	✓	✓			✓	
MT940 Money Suspense for Value Today	✓						✓	
MT942 Interim Money Suspense	✓	✓						✓
MT950 Cash Balance	✓	✓	✓	✓	✓	✓	✓	✓
MT950 Money Statement	✓	✓	✓	✓			✓	✓
Settlement reports								
MT535 Statement of Holdings	✓	✓	✓	✓	✓	✓	✓	✓
MT536 Statement of Transactions	✓	✓	✓	✓			✓	✓
MT537 Statement of Pending Transactions	✓	✓	✓	✓			✓	✓
MT538 Statement of Intra-Position Advices	✓	✓	✓	✓			✓	✓
MT586 Statement of Allegements	✓	✓	✓	✓			✓	✓
Statement of Repaired Transactions			✓	✓				
Custody reports								
MT564 Income Pre-Advice Report	✓	✓					✓	✓
MT564/568 Corporate Action Notification	✓							
MT566 Corporate Action Confirmation	✓							
Market Claims Report		✓						
Corporate Actions Reference Data Report		✓					✓	✓
Tripartite Repo reports								
Triparty Collateral Management Daily Exposure Report		✓						
Triparty Collateral Management Summary reports		✓						
Triparty Collateral Management Securities Forecast reports		✓						
Triparty Collateral Management Custody Events Report		✓						

Report (continued)	Intraday	Daily	Weekly	Monthly	Quarterly	Yearly	Complete	Delta
MT569 Triparty Collateral and Exposure Statement	✓	✓						
Miscellaneous reports								
Securities Lending and Borrowing Commissions Report		✓	✓	✓				
MT935 Monthly Interest Scales Report				✓				
Urgent Uninstructed Notifications Report	✓						✓	
US Tax Report - All Payments				✓	✓			
US Tax Report - Non-US Person						✓		
US Tax Report - US Person						✓		

Report naming convention

The naming structure for PDF files is as follows:

ACCOUNT.CUSTOMERREPORTNAME.REPTYP.FREQ.MODE.ENDPERIOD.BDATE.REPORTID.PDF

where:

ACCOUNT = Customer account number (5 Digits). For multiple accounts, this reverts to **MULTI**.

CUSTOMERREPORTNAME = Customer Report Name. This is the name that is input by the customer at the report subscription within Xact Web Portal (maximum 60 characters).

> **Note:** CUSTOMERREPORTNAME can contain only characters that are supported by UNIX file names.

REPTYP = Report type. This can have the following values:

> CACONF, CANOTIF, CASHBAL, CIRPR, INTERIMMONEYSUSP, IPAR, MIS, MONEYSTMNT, MONEYSUSP, SLBC, STMNTOFALLGMNT, STMNTOFHOLD, STMNTOFPEND, STMNTOFREPR, STMNTOTXN, TRPCOLGIVSTMNT, TRPCOLRECSTMNT,USTAXALL, USTAXNONUSPERSON, USTAXUSPERSON, UUNOTIF

FREQ = Report frequency. This can have the following values:

> ALWAYS, CONT, INTRADAY, DAILY, WEEKLY, MONTHLY, QUARTERLY, YEARLY

MODE = Either Complete or Delta

ENDPERIOD = Business day processing time (HHMM). This can have the following values:

> 0000, 0100, 0200, 0300, 0400,0500, 0600, 0630, 0730, 0800, 0830, 0930, 1000, 1030, 1130, 1200, 1300, 1330, 1400, 1430, 1530, 1600, 1630, 1700, 1730, 1800, 1930, 2000, 2100, 2115, 2200, 2230, 2300 or, if FREQ = ALWAYS or CONT, the effective report generation time.

BDATE = Business date (YYYYMMDD)

REPORTID = A number uniquely identifying the generated report (internal technical number)

PDF = File extension: fixed = **PDF**

2. Cash reports

The following table lists the Clearstream Banking cash reports in portable document format (PDF):

SWIFT message	Clearstream Banking report	Page
MT940	Money Suspense Report	**−940−1**
MT940	Money Suspense for Value Today Report	**−94T−1**
MT942	Interim Money Suspense Report	**−942−1**
MT950	Cash Balance Report	**−95C−1**
MT950	Money Statement Report	**−95M−1**

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MT940 - Money Suspense Report

Availability and timing

The MT940 Money Suspense report is available, in Complete form only, multiple times intraday and at end of day on a Daily, Weekly, or Monthly basis.

Report content

The MT940 Money Suspense report lists all pending cash instructions as well as all cash countervalues of against payment securities instructions that are reported in the MT537 Statement of Pending Transactions report. It includes the following information:

- The opening and closing balances;
- All cash countervalues on pending against payment securities transactions;
- All pending cash transactions;
- Anticipated net balances;
- Forward available balances for the next five business days;
- Cancelled transactions (which are reported in the first report following the cancellation but not included in the balances).

Report sorting

The MT940 Money Suspense report is sorted by currency code followed by statuses in the following order:

Instruction Processing statuses
Matching statuses
Settlement statuses

No transactions to report

If there are no pending transactions for a currency, only the opening and closing balances are provided.

MT940 - Detailed field descriptions (Money Suspense)

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: for each currency reported, an opening balance followed by:
  - Information on pending transactions;
  - The closing balance, the anticipated net balance and one or more forward available balances.

MT940 Money Suspense

Sample MT94x Money Suspense

Report from *01–Mar–2005 08:00* to *01–Mar–2005 14:00*

clearstream
Banking

Frequency: *Intraday*

Report generated on: *01–Mar–2005 14:06*

Complete/Delta: *Complete*

Account: CBL - 12345 - CUSTOMER NAME

Currency			Balance Type	Last Movement		Amount	
Activity							
Transaction Indicator	Your Reference	Our Reference	ISIN Code Common Code	Req. Settl. Date	Exp. Settl. Date	**Amount**	**Status** **Reason**
Settlement Parties							Timestamp
AUD - Statement Number: 038			Opening	19-Apr-2005 14:36		7.507.874,00	
Settlement							
Pre-Advice of Funds	806	806		01-Mar-2005	01-Mar-2005	850.476,00	Pending Settlement Unconfirmed 01-Mar-2005 11:35
Delivery Against Payment	360	0000360	AU0000XCLWH5 000011254705	24-Mar-2005	24-Mar-2005	8.358.350,00	Pending Settlement Awaiting Settlement Date 25-Feb-2005 09:36
Receiving Agent: WPACAU2SNOM - WESTPAC BANKING CORPORATION SYDNEY (CUSTODIAN SERVICES) - Account OTHER - 139070							
AUD			Closing Anticipated Net	01-Mar-2005 01-Mar-2005		16.716.700,00 8.358.350,00	
CAD - Statement Number: 038			Opening	09-Mar-2005 17:34		-681.344,81	
Pre-Advice of Funds	798	798		28-Feb-2005	01-Mar-2005	681.344,81	Pending/Failing Settlement Unconfirmed 01-Mar-2005 13:32
CAD			Closing Anticipated Net	01-Mar-2005 01-Mar-2005		0,00 0,00	
CHF - Statement Number: 038			Opening	07-Feb-2005 10:27		0,00	

Report generated on : 01-Mar-2005 14:06
SE trades included : No - Filtered by currency: AUD, CAD, CHF, DKK, CZK, EUR, GBP, HUF, JPY, NOK, NZD, PLN, SEK, USD
12345.Sample MT94X_MONEY_SUSPENSE.MONEYSUSP.INTRADAY.COMPLETE.1400.20050301

1 ...

Account: CBL - 12345 - CUSTOMER NAME

Currency			Balance Type	Last Movement		Amount	
Activity							
Transaction Indicator	Your Reference	Our Reference	ISIN Code Common Code	Req. Settl. Date	Exp. Settl. Date	**Amount**	**Status** **Reason**
Settlement Parties							Timestamp
CHF			Closing	01-Mar-2005		0,00	
DKK - Statement Number: 038			Opening	19-Apr-2005 14:36		-36.897.978,08	
Matching							
Receipt Against Payment	SINO05OMRL004578	CU04578	DK0009920894 000014384618	25-Feb-2005	01-Mar-2005	-8.951.780,82	Unmatched Matching Instruction Not Found 24-Feb-2005 12:52
Delivering Agent: EOC - 94626 ABN AMRO BK NV							
Settlement							
Pre-Advice of Funds	808	808		01-Mar-2005	01-Mar-2005	36.897.978,00	Pending Settlement Unconfirmed 01-Mar-2005 11:35
DKK			Closing Anticipated Net	01-Mar-2005 01-Mar-2005		-8.951.780,90 -8.951.780,90	
CZK - Statement Number: 038			Opening	02-Feb-2005 07:19		1.811,47	
CZK			Closing	01-Mar-2005		1.811,47	
EUR - Statement Number: 038			Opening	09-Mar-2005 17:34		-1,61	
EUR			Closing	01-Mar-2005		-1,61	
GBP - Statement Number: 035			Opening	01-Mar-2005 14:00		0,00	

Report generated on : 01-Mar-2005 14:06
SE trades included : No - Filtered by currency: AUD, CAD, CHF, DKK, CZK, EUR, GBP, HUF, JPY, NOK, NZD, PLN, SEK, USD
12345.Sample MT94X_MONEY_SUSPENSE.MONEYSUSP.INTRADAY.COMPLETE.1400.20050301

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MT940 - Money Suspense Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Report frequency	This can be Intraday, Daily, Weekly, or Monthly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This will always be Complete. For the delta version, refer to the MT942 Interim Money Suspense report.
Account identification	The customer's account number and name.

Section 2: Report content (for each currency)

Currency	The ISO currency code followed by the statement number. The statement number is incremented per report and per currency.
Activity	The activities (Instruction Processing, Matching and Settlement) by which the pending transactions are sorted.
Transaction Indicator	
Withdrawal	For pending payments and other debits.
Transfer	For pending foreign exchange transactions and other intra-account transfers.
Pre-Advice of Funds	For pending pre-advices of funds and other credits.
Charges	For charges and other expenses from the previous month that will be debited to the account on the 15th of the month (or on the next business day after that if the 15th is not a business day).
IF Alternative	For cash instructions related to Clearstream Funds Net
IF Vanilla	For cash instructions related to Vestima
Interest	For debit and credit interest that will be posted to the account.
Delivery Against Payment	For cash countervalue of a delivery against payment transaction.
Receipt Against Payment	For cash countervalue of a receipt against payment transaction.
Internal Transfer	For internal transfer of funds between own accounts
External Transfer	For External Transfer of funds.
Cash Management	For cash instructions related to cash management related such as a realignment
Subscription	For cash instructions related to subscription
Redemption/Withdrawal	For cash instructions related to redemption/withdrawal
Withholding Tax Payment	For cash instructions related to withholding tax
New Issues Distribution	For cash instructions related to New Issues
Tripartite Collateral Management	For cash instructions related to Tripartite Collateral Management
Coupon Payment	For cash instructions related to coupon payment
Dividends/Warrants	For cash instruction related to dividends or warrants
Securities Lending	For cash instruction related to Securities Lending
Loan Deposit	For cash instruction related to Loan Deposit
Compensation Claims	For cash instruction related to Compensation Claims
Miscellaneous	For cash instructions not related to the other transaction indicators
Settlement Parties	For pending receipt and delivery transactions against payment, this is your counterparty and your counterparty's settlement parties.
Your Reference	The reference of the original instruction. On CBL generated transactions, this field contains "NONREF".
Our Reference	CBL's seven-digit transaction reference.
ISIN Code	The ISIN of the security of the underlying settlement transaction.
Common Code	The Common Code of the security of the underlying settlement transaction.
Req. Settl. Date	The requested settlement or value date.

Exp. Settl. Date	The earliest date on which the transaction can settle.
Amount	The amount of the underlying transaction that will be credited/debited on the account.
Status	See "Appendix A. Status and reason values" on page A-1.
Reason	See "Appendix A. Status and reason values" on page A-1.
Timestamp	The date and time on which the status changed.
Balance Type	
Opening	For the opening balance.
Closing	For the closing balance. This is the sum of the opening balance and all pending transactions irrespective of the expected settlement date.
Anticipated Net	Only available on intraday and daily frequencies. This is the sum of the opening balance plus all pending transactions with the exception of cancellations with an expected settlement date equal to the date of the report.
Forward Available	Only available on intraday and daily frequencies. This balance is calculated as the Anticipated Net but for the next five business days.
Last Movement	The date on which the balance last changed.
Amount	The total amount in each of the balances.

MT940 - Money Suspense For Value Today Report

Availability and timing

The MT940 Money Suspense For Value Today report is available, in Complete form only, multiple times intraday.

Report content

The MT940 Money Suspense For Value Today report lists all pending cash instructions as well as all cash countervalues of against payment securities instructions that are reported in the MT537 Statement of Pending Transactions report with an expected settlement date earlier than or equal to the report date. It includes the following information:

- The opening and closing balances;
- All cash countervalues on pending against payment securities transactions;
- All pending cash transactions;
- Anticipated net balances;
- Cancelled transactions (which are reported in the first report following the cancellation but not included in the balances).

Report sorting

The MT940 Money Suspense For Value Today report is sorted by currency code followed by statuses in the following order:

Instruction Processing statuses
Matching statuses
Settlement statuses

No transactions to report

If there are no pending transactions for a currency, only the opening and closing balances are provided.

MT940 - Detailed field descriptions (Money Suspense For Value Today)

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: for each currency reported, an opening balance followed by:
  - Information on pending transactions;
  - The closing balance and other balances.

MT940 Money Suspense For Value Today

Sample MT940VT

Report from *01-Mar-2005 11:00* to *01-Mar-2005 12:00*

Frequency: Intraday

Report generated on: *01-Mar-2005 12:05*

Complete/Delta: *Complete*

Account: CBL - 12345 - CUSTOMER NAME

Currency				Balance Type	Last Movement		Amount	
Activity								
Transaction Indicator	Your Reference	Our Reference	ISIN Code Common Code		Req. Settl. Date	Exp. Settl. Date	**Amount**	**Status** **Reason**
Settlement Parties								Timestamp
EUR - Statement Number: 011				**Opening**	**25-Feb-2005 02:31**		**3.560,06**	
Matching								
Receipt Against Payment	PTMA20C	PTMA20C	XS0206342510 000020634251		01-Mar-2005	01-Mar-2005	-500.000,00	Unmatched Disagreement Trade Date 01-Mar-2005 11:53
Delivering Agent: CBL - 55555 UNPUBLISHED								
Settlement								
Receipt Against Payment	PTMA20B	PTMA20B	XS0206342510 000020634251		01-Mar-2005	01-Mar-2005	-500.000,00	Pending Settlement Insufficient Money 28-Feb-2005 16:35
Delivering Agent: CBL - 55555 UNPUBLISHED								
EUR				**Closing** Anticipated Net	**01-Mar-2005** 01-Mar-2005		**-996.439,94** -996.439,94	
USD - Statement Number: 010				**Opening**	**25-Feb-2005 02:31**		**4.326,27**	
USD				**Closing** Anticipated Net	**01-Mar-2005** 01-Mar-2005		**4.326,27** 4.326,27	

End of MT940 Money Suspense For Value Today Report

Report generated on : 25-Apr-2005 11:05
SE trades included : No - Filtered by currency: All
12345.SampleMT940VT.MONEYSUSPTODAY.INTRADAY.COMPLETE.1200.20050301

End of Report

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MT940 - Money Suspense For Value Today Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Report frequency	This will always be Intraday.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This will always be Complete.
Account identification	The customer's account number and name.

Section 2: Report content (for each currency)

Currency	The ISO currency code followed by the statement number. The statement number is incremented per report and per currency.
Activity	The activities (Instruction Processing, Matching and Settlement) by which the pending transactions are sorted.
Transaction Indicator	
Withdrawal	For pending payments and other debits.
Transfer	For pending foreign exchange transactions and other intra-account transfers.
Pre-Advice of Funds	For pending pre-advices of funds and other credits.
Charges	For charges and other expenses from the previous month that will be debited to the account on the 15th of the month (or on the next business day after that if the 15th is not a business day).
IF Alternative	For cash instructions related to Clearstream Funds Net
IF Vanilla	For cash instructions related to Vestima
Interest	For debit and credit interest that will be posted to the account.
Delivery Against Payment	For cash countervalue of a delivery against payment transaction.
Receipt Against Payment	For cash countervalue of a receipt against payment transaction.
Internal Transfer	For internal transfer of funds between own accounts
External Transfer	For External Transfer of funds.
Cash Management	For cash instructions related to cash management related such as a realignment
Subscription	For cash instructions related to subscription
Redemption/Withdrawal	For cash instructions related to redemption/withdrawal
Withholding Tax Payment	For cash instructions related to withholding tax
New Issues Distribution	For cash instructions related to New Issues
Tripartite Collateral Management	For cash instructions related to Tripartite Collateral Management
Coupon Payment	For cash instructions related to coupon payment
Dividends/Warrants	For cash instruction related to dividends or warrants
Securities Lending	For cash instruction related to Securities Lending
Loan Deposit	For cash instruction related to Loan Deposit
Compensation Claims	For cash instruction related to Compensation Claims
Miscellaneous	For cash instructions not related to the other transaction indicators
Settlement Parties	For pending receipt and delivery transactions against payment, this is your counterparty and your counterparty's settlement parties.
Your Reference	The reference of the original instruction. On CBL generated transactions, this field contains "NONREF".
Our Reference	CBL's seven-digit transaction reference.
ISIN Code	The ISIN of the security of the underlying settlement transaction.
Common Code	The Common Code of the security of the underlying settlement transaction.
Req. Settl. Date	The requested settlement or value date.
Exp. Settl. Date	The earliest date on which the transaction can settle.

Amount	The amount of the underlying transaction that will be credited/debited on the account.
Status	See "Appendix A. Status and reason values" on page A-1.
Reason	See "Appendix A. Status and reason values" on page A-1.
Timestamp	The date and time on which the status changed.
Balance Type	
Opening	For the opening balance.
Closing	For the closing balance. This is the sum of the opening balance and all pending transactions irrespective of the expected settlement date.
Anticipated Net	Only available on intraday and daily frequencies. This is the sum of the opening balance plus all pending transactions with the exception of cancellations with an expected settlement date equal to the date of the report.
Forward Available	Only available on intraday and daily frequencies. This balance is calculated as the Anticipated Net but for the next five business days.
Last Movement	The date on which the balance last changed.
Amount	The total amount in each of the balances.

MT942 - Interim Money Suspense Report

Availability and timing

The MT942 Interim Money Suspense report is available, in Delta form only, multiple times intraday and at end of day on a Daily basis. The report is also available on a Continuous basis, 24 hours a day, at fixed intervals and consists of up to four updated reports per hour.

Report content

The MT942 Interim Money Suspense report lists new pending cash instructions as well as all cash countervalues of against payment securities instructions that are reported in the MT537 Statement of Pending Transactions report and those that have changed status since the last report. It includes the following information:

- All cash countervalues on pending against payment securities transactions;
- All pending cash transactions;
- Cancelled transactions (which are reported in the first report following the cancellation but not included in the balances).

Report sorting

The MT942 Interim Money Suspense report is sorted by currency code followed by statuses in the following order:

Instruction Processing statuses
Matching statuses
Settlement statuses

No transactions to report

If there are no pending transactions for a currency, it is not reported.

MT942 - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: for each currency reported, information on pending transactions.

MT942 Interim Money Suspense

Report from *01–Mar-2005 12:00 to 01–Mar-2005 13:00*   **clearstream**
Banking

Frequency: *Intraday*   Report generated on: 01–Mar-2005 13:15   Complete/Delta: *Delta*

Account: CBL - 12345 - CUSTOMER NAME

Currency

Activity

Transaction Indicator	Your Reference	Our Reference	ISIN Code Common Code	Req. Settl. Date	Exp. Settl. Date	Amount	Status Reason
Settlement Parties **Narrative**							Timestamp

CAD - Statement Number: 012

Settlement

Delivery Against Payment	TR29300	TR29300	CA7800871021 000002101629	01-Mar-2005	01-Mar-2005	132.400,00	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91784 VALCOURT GUERNSEY LTD							01-Mar-2005 12:22

CHF - Statement Number: 015

Delivery Against Payment	EK91300	EK91300	CH0020446166 000021059862	01-Mar-2005	01-Mar-2005	77.958,35	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 90308 UBS AG/EQUITIES/CONVWTS							01-Mar-2005 12:22
Delivery Against Payment	YB24900	YB24900	CH0004311335 000008816263	01-Mar-2005	02-Mar-2005	57.985,00	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91479 DEUTSCHE BANK AG TSP SECURITIES							01-Mar-2005 12:22

EUR - Statement Number: 019

Withdrawal	AA005AA	4783201		28-Apr-2005	28-Apr-2005	-1,00	Pending Settlement Awaiting Settlement Date
							01-Mar-2005 12:22

Report generated on: 01-Mar-2005 13:15
SE trades included : No - Filtered by currency: ARS, AUD, BGN, BRL, CAD, CHF, CZK, DKK, EEK, EUR, GBP, HKD, HRK, HUF, IDR, ILS, ISK, JPY, KRW, KWD, LTL, LVL, MXN, MYR, NOK, NZD, PHP, PLN, ROL, SEK, SGD, SIT, SKK, THB, TRY, USD, UYU, XAU, ZAR
12345.Sample MT94X.INTERIMMONEYSUSP.INTRADAY.DELTA.1300.20050301

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Account: CBL - 12345 - CUSTOMER NAME

Currency

Activity

Transaction Indicator	Your Reference	Our Reference	ISIN Code Common Code	Req. Settl. Date	Exp. Settl. Date	Amount	Status Reason
Settlement Parties **Narrative**							Timestamp
Receipt Against Payment	0230BOBY05253900	0K53900	CH0020703481 000021337820	01-Mar-2005	01-Mar-2005	-455.000,00	Pending Settlement New Issues
Delivering Agent: CBL - 55555 CBL COUNTERPARTY NAME							01-Mar-2005 12:22
Receipt Against Payment	0230BOC123568100	ER68100	CH0020703481 000021337820	01-Mar-2005	01-Mar-2005	-24.625,00	Pending Settlement New Issues
Delivering Agent: CBL - 55555 CBL COUNTERPARTY NAME							01-Mar-2005 12:22
Receipt Against Payment	0230BOC229499100	8699100	CH0020431638 000021187143	01-Mar-2005	01-Mar-2005	-12.252,00	Pending Settlement Counterparty Insufficient Securities
Delivering Agent: CBL - 55555 CBL COUNTERPARTY NAME							01-Mar-2005 12:22
Delivery Against Payment	CS13158	CS13158	DE0005520399 000017080229	01-Mar-2005	01-Mar-2005	158.000,00	Pending/Failing Settlement Lack of Securities
Receiving Agent: CBF - 55555 CBL COUNTERPARTY NAME							01-Mar-2005 12:22
Delivery Against Payment	0230BOBV80336300	A336300	XS0213026197 000021302619	25-Feb-2005	02-Mar-2005	49.110,00	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91672 UBS AG (SUB-ACC.CAP.MKTS)							01-Mar-2005 12:22
Delivery Against Payment	0230BOC242897100	BW97100	DE0003075107 000016231754	01-Mar-2005	02-Mar-2005	1.005.662,88	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91672 UBS AG (SUB-ACC.CAP.MKTS)							01-Mar-2005 12:22
Delivery Against Payment	0230BOC281219400	MK19400	DE000A0DYW70 000021299707	01-Mar-2005	02-Mar-2005	29.816,25	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91672 UBS AG (SUB-ACC.CAP.MKTS)							01-Mar-2005 12:22
Delivery Against Payment	0230BOC286764900	O364900	DE000A0DYW70 000021299707	01-Mar-2005	02-Mar-2005	993.875,00	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91672 UBS AG (SUB-ACC.CAP.MKTS)							01-Mar-2005 12:22
Delivery Against Payment	0230BOC289702500	OX02500	FR0000488413 000014371966	01-Mar-2005	02-Mar-2005	160.217,10	Pending/Failing Settlement Lack of Securities
Receiving Agent: EOC - 91672 UBS AG (SUB-ACC.CAP.MKTS)							01-Mar-2005 12:22

Report generated on: 01-Mar-2005 13:15
SE trades included : No - Filtered by currency: ARS, AUD, BGN, BRL, CAD, CHF, CZK, DKK, EEK, EUR, GBP, HKD, HRK, HUF, IDR, ILS, ISK, JPY, KRW, KWD, LTL, LVL, MXN, MYR, NOK, NZD, PHP, PLN, ROL, SEK, SGD, SIT, SKK, THB, TRY, USD, UYU, XAU, ZAR
12345.Sample MT94X.INTERIMMONEYSUSP.INTRADAY.DELTA.1300.20050301

2 ...

MT942 - Interim Money Suspense Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Report frequency	This can be Intraday, Daily or Continuous.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This will always be Delta.
Account identification	The customer's account number and name.

Section 2: Report content (for each currency)

Currency	The ISO currency code followed by the statement number. The statement number is incremented per report and per currency.
Activity	The activities (Instruction Processing, Matching and Settlement) by which the pending transactions are sorted.
Transaction Indicator	
Withdrawal	For pending payments and other debits.
Transfer	For pending foreign exchange transactions and other intra-account transfers.
Pre-Advice of Funds	For pending pre-advices of funds and other credits.
Charges	For charges and other expenses from the previous month that will be debited to the account on the 15th of the month (or on the next business day after that if the 15th is not a business day).
IF Alternative	For cash instructions related to Clearstream Funds Net
IF Vanilla	For cash instructions related to Vestima
Interest	For debit and credit interest that will be posted to the account.
Delivery Against Payment	For cash countervalue of a delivery against payment transaction.
Receipt Against Payment	For cash countervalue of a receipt against payment transaction.
Internal Transfer	For internal transfer of funds between own accounts
External Transfer	For External Transfer of funds.
Cash Management	For cash instructions related to cash management related such as a realignment
Subscription	For cash instructions related to subscription
Redemption/Withdrawal	For cash instructions related to redemption/withdrawal
Withholding Tax Payment	For cash instructions related to withholding tax
New Issues Distribution	For cash instructions related to New Issues
Tripartite Collateral Management	For cash instructions related to Tripartite Collateral Management
Coupon Payment	For cash instructions related to coupon payment
Dividends/Warrants	For cash instruction related to dividends or warrants
Securities Lending	For cash instruction related to Securities Lending
Loan Deposit	For cash instruction related to Loan Deposit
Compensation Claims	For cash instruction related to Compensation Claims
Miscellaneous	For cash instructions not related to the other transaction indicators
Settlement Parties	For pending receipt and delivery transactions against payment, this is your counterparty and your counterparty's settlement parties.
Your Reference	The reference of the original instruction. On CBL generated transactions, this field contains "NONREF".
Our Reference	CBL's seven-digit transaction reference.
ISIN Code	The ISIN of the underlying settlement transaction.
Common Code	The Common Code of the underlying settlement transaction.
Req. Settl. Date	The requested settlement or value date.
Exp. Settl. Date	The earliest date the transaction can settle.
Amount	The amount of the underlying transaction that will be credited/debited on the account.
Status	See "Appendix A. Status and reason values" on page A-1.

| Reason | See "Appendix A. Status and reason values" on page A-1. |
| Timestamp | The date and time on which the status changed. |

MT942 - Interim Money Suspense Report

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MT950 - Cash Balance Report

Availability and timing

The MT950 Cash Balance report is available in Complete and Delta forms multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly, Monthly, Quarterly, or Yearly basis.

Report content

The MT950 Cash Balance report contains, for all currencies selected at subscription level, an opening/closing balance and other balances.

- Complete reports:

  Customers can choose to report all or specified currencies open on the account, with the additional option to exclude currencies for which the balance is zero. A zero balance will nevertheless be reported if it became zero since the last report.

- Delta reports

  Customers can choose to report all or specified currencies open on the account. A zero balance will not be reported unless it became zero since the last Complete or Delta report.

Report sorting

The MT950 Cash Balance report is sorted by currency code.

MT950 - Detailed field descriptions (Cash Balance)

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: a series of sub-balances.

If zero balances have been requested, all currencies selected at subscription level will be reported with all sub-balances unless a sub-balance has been zero for the last thirteen months.

If zero balances have not been requested, only currencies with a non-zero booked balance will be reported unless it became zero since the last report. Also, a sub-balance is only reported if non-zero or if it became zero since the last report.

MT950 Cash Balance

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Account: CBL - 12345 - CUSTOMER NAME

Currency	Balance Type	Value Date	Last Movement	Amount
EUR	**Booked**	**04-Sep-2006**	**04-Sep-2006 07:30**	**-51.450.249,67**
	Pending - Securities	04-Sep-2006	04-Sep-2006 07:30	51.711.199,03
	Confirmed Fund - Securities	04-Sep-2006	04-Sep-2006 07:30	0,00
	Confirmed Fund - Cash	04-Sep-2006	04-Sep-2006 07:30	0,00
	Unconfirmed Fund - Cash	04-Sep-2006	04-Sep-2006 07:30	1.740.000,00
	Anticipated Net		**04-Sep-2006 07:30**	**2.000.949,36**

End of MT950 Cash Balance Report

Report generated on: 05-Oct-2006 08:33

Null balances included: Yes - Filtered by currency: All

12345.CBLR4_MT950_CB.CASHBAL.INTRADAY.DELTA.0730.20060904

End of Report

June 2022
2–95C-2

Clearstream Banking Luxembourg
Xact File Transfer PDF report guide

MT950 - Cash Balance Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Report frequency	This can be Intraday, Daily, Weekly, Monthly, Quarterly, or Yearly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This can be Complete or Delta.
Account identification	The customer's account number and name.

Section 2: Report content (for each currency)

Currency	The ISO currency code.
Balance Type	
Booked	For the booked balance.
Confirmed Fund-Securities	(+) Securities delivery against payment transactions with status "Awaiting Next Settlement Cycle"; (-) Securities receipt against payment transactions with status "Awaiting Next Settlement Cycle".
Pending-Securities	(+) Securities delivery against payment transactions with status other than "Awaiting Next Settlement Cycle"; (-) Securities receipt against payment transactions with status other than "Awaiting Next Settlement Cycle".
Confirmed Fund-Cash	(+) Pre-Advice of Funds with status "Awaiting Next Settlement Cycle"; (-) Withdrawal of Funds with status "Awaiting Next Settlement Cycle".
Unconfirmed Fund-Cash	(+) Pre-Advice of Funds with status other than "Awaiting Next Settlement Cycle"; (-) Withdrawal of Funds with status other than "Awaiting Next Settlement Cycle".
Anticipated Net	The sum of the Booked, Confirmed Fund-Securities, Pending-Securities, Confirmed Fund-Cash and Unconfirmed Fund-Cash balances.
Value Date	The value date of the last movement impacting the balance.
Last Movement	The date and time on which the balance was last impacted.
Amount	The total amount in each of the balances.

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MT950 - Money Statement Report

Availability and timing

The MT950 Money Statement report is available in Complete and Delta forms multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly, or Monthly basis.

Report content

The MT950 Money Statement report contains, for all currencies selected at subscription level, an opening/closing balance and other balances, plus all cash movements since the last report.

- Complete reports:

  Customers can choose to report all or specified currencies open on the account, with the additional option to exclude currencies for which the balance is zero (unless the balance was impacted by movements since the last report).

  These two parameters are indicated on the bottom of each page of the report. The report also includes non-zero balances in currencies for which there have been no movements since the last report.

- Delta reports

  Customers can choose to report all or specified currencies open on the account. A zero balance will not be reported unless it became zero since the last Complete or Delta report. Currencies with non-zero balances are only reported if there have been movements since the last Complete or Delta report.

Report sorting

The MT950 Money Statement report is sorted by currency code followed by value date, credit/debit indicator and amount in descending order.

No transactions to report

If there are no transactions for a currency, only the balances are provided.

MT950 - Detailed field descriptions (Money Statement)

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: for each currency reported, an opening balance followed by:
  - Information on movements;
  - The closing balance and other balances.

MT950 Money Statement

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Banking

Account: CBL - 12345 - CBL CUSTOMER NAME

Currency				Balance Type	Last Statement	Value Date	Amount
Transaction Indicator	Your Reference	Our Reference	ISIN Code Common Code		Entry Date	Value Date	Amount
EUR - Statement Number: 002				**Opening**	**04-Sep-2006 06:30**	**04-Sep-2006**	**-51.450.249,67**
EUR				**Closing**	**04-Sep-2006 07:30**	**04-Sep-2006**	**-51.450.249,67**
				Pending - Securities	04-Sep-2006 07:30	04-Sep-2006	51.711.199,03
				Confirmed Fund - Securities	04-Sep-2006 07:30	04-Sep-2006	0,00
				Confirmed Fund - Cash	04-Sep-2006 07:30	04-Sep-2006	0,00
				Unconfirmed Fund - Cash	04-Sep-2006 07:30	04-Sep-2006	1.740.000,00
				Anticipated Net	**04-Sep-2006 07:30**	**04-Sep-2006**	**2.000.949,36**

End of MT950 Money Statement Report

Report generated on: 05-Oct-2006 08:33

Null balances included: Yes - Filtered by currency: All

12345.CBLR4_MT950_MS.MONEYSTMNT.INTRADAY.DELTA.0730.20060904

End of Report

June 2022
2–95M-2

Clearstream Banking Luxembourg
Xact File Transfer PDF report guide

MT950 - Money Statement Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Report frequency	This can be Intraday, Daily, Weekly, or Monthly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This can be Complete or Delta.
Account identification	The customer's account number and name.

Section 2: Report content (for each currency)

Currency	The ISO currency code followed by the statement number. The statement number is incremented per report and per currency.
Transaction Indicator	
Withdrawal	For pending payments and other debits.
Transfer	For pending foreign exchange transactions and other intra-account transfers.
Pre-Advice of Funds	For pending pre-advices of funds and other credits.
Income	For credits from redemptions, interest, and dividend payments.
Charges	For charges and other expenses from the previous month that will be debited to the account on the 15th of the month (or on the next business day after that if the 15th is not a business day).
IF Alternative	For cash instructions related to Clearstream Funds Net
IF Vanilla	For cash instructions related to Vestima
Interest	For debit and credit interest that will be posted to the account.
Delivery Against Payment	For cash countervalue of a delivery against payment transaction.
Receipt Against Payment	For cash countervalue of a receipt against payment transaction.
Internal Transfer	For internal transfer of funds between own accounts
External Transfer	For External Transfer of funds.
Cash Management	For cash instructions related to cash management related such as a realignment
Subscription	For cash instructions related to subscription
Redemption/Withdrawal	For cash instructions related to redemption/withdrawal
Withholding Tax Payment	For cash instructions related to withholding tax
New Issues Distribution	For cash instructions related to New Issues
Tripartite Collateral Management	For cash instructions related to Tripartite Collateral Management
Coupon Payment	For cash instructions related to coupon payment
Dividends/Warrants	For cash instruction related to dividends or warrants
Securities Lending	For cash instruction related to Securities Lending
Loan Deposit	For cash instruction related to Loan Deposit
Compensation Claims	For cash instruction related to Compensation Claims
Miscellaneous	For cash instructions not related to the other transaction indicators
Your Reference	The reference of the original instruction. On CBL generated transactions, this field contains "NONREF".
Our Reference	Contains CBL's seven digit transaction or Corporate Action event reference.
ISIN Code	The ISIN of the security of the underlying settlement or custody transaction.
Common Code	The Common Code of the security of the underlying settlement or custody transaction.
Entry Date	The entry date of the underlying transaction.
Value Date	The value date with which the cash was posted to the account.
Amount	The amount of the underlying transaction that was credited/debited on the account.
Balance Type	
Opening	For the opening balance.
Closing	For the closing balance.

Confirmed Fund-Securities	(+) Securities delivery against payment transactions with status "Awaiting Next Settlement Cycle"; (-) Securities receipt against payment transactions with status "Awaiting Next Settlement Cycle".
Pending-Securities	(+) Securities delivery against payment transactions with status other than "Awaiting Next Settlement Cycle"; (-) Securities receipt against payment transactions with status other than "Awaiting Next Settlement Cycle".
Confirmed Fund-Cash	(+) Pre-Advice of Funds with status "Awaiting Next Settlement Cycle"; (-) Withdrawal of Funds with status "Awaiting Next Settlement Cycle".
Unconfirmed Fund-Cash	(+) Pre-Advice of Funds with status other than "Awaiting Next Settlement Cycle"; (-) Withdrawal of Funds with status other than "Awaiting Next Settlement Cycle".
Anticipated Net	The sum of the Booked, Confirmed Fund-Securities, Pending-Securities, Confirmed Fund-Cash and Unconfirmed Fund-Cash balances.
Last Statement	The date and time on which the balance was last impacted.
Value Date	The value date of the last movement impacting the balance.
Amount	The total amount in each of the balances.

3. Settlement reports

The following table lists the Clearstream Banking settlement reports available in portable document format (PDF):

SWIFT message	Securities statement	Page
MT535	Statement of Holdings report	**−535−1**
	Holdings Distribution by Markets report	**4−HDMR-1**
MT536	Statement of Transactions report	**−536−1**
MT537	Statement of Pending Transactions report	**−537−1**
MT538	Statement of Intra-Position Advices report	**−538−1**
MT586	Statement of Settlement Allegements report	**−586−1**
	Statement of Repaired Transactions report	**3−SRT-1**

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MT535 - Statement of Holdings Report

Availability and timing

The MT535 Statement of Holdings report is available either as a single report or consolidated statement in Complete and Delta forms multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly, Monthly, or Yearly basis.

Report content

Clearstream Banking uses the MT535 Statement of Holdings report to report on the holdings that it services for the customer. The report can contain all holding information (Complete) or only changes since the previously sent report (Delta).

At subscription level, customers can select to receive zero balances:

- On a complete report, this means the report will contain all securities for which there was a non-zero position in the last thirteen months.
- On a delta report, a security for which the position has become zero since the last report will automatically be reported.

Customers also have the option to receive zero sub-balances: if selected, the report contains sub-balances that have become zero since the last report or that have been impacted by movements.

Customers can also select to receive the holding and collateral value per security in EUR, USD, or the currency of the instrument and the total holding value in EUR or USD. These three parameters are indicated on the bottom of each pages of the report.

Report sorting

The MT535 Statement of Holdings report is sorted by ISIN or Common Code based on account setup.

Consolidated report

The MT535 Statement of Holdings report can be scheduled as a consolidated statement including all positions held by all accounts selected in the subscription aggregated at the security level.

No holdings to report

If there are no holdings to report, an MT535 Statement of Holdings report is provided containing only the header section.

MT535 - Detailed field descriptions

The report is composed of three sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on each security being reported;
- Section 3 - Report content: holding value per balance type and currency of instrument and total nominals per instrument type and currency; and, if selected the list of accounts in the Consolidated statement.

MT535 Statement of Holding

Example Consolidated Report

Report from 13-Apr-2011 10:30 to 13-Apr-2011 11:30

Frequency: *Intraday*

Report generated on: 08-Jun-2011 15:01

Complete/Delta: *Complete*

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Consolidated Statement: Example Consolidated Report

ISIN / Common Code - Security Place of Safekeeping				Next Coupon Date		Maturity Date
Market Price **Accrued Interest** **Pool Factor**	**Balance Type**	**Quantity**	**Last Movement**	**Holding Value** **Eligible Collateral Value**		**Exchange Rate**

	/ 000042207241 - SHS PRIVIL.INV.CAD.MKT N.A(LU0138011936) SP					
BGLLLULLXXX - BGL BNP PARIBAS LUXEMBOURG						
Actual Amount USD 149,07	Aggregate Balance	UNIT	102,29	28-May-2010	USD	15.248,37	1
	Available	UNIT	102,29	28-May-2010	USD	1,52	
	Held Free	UNIT	102,29	28-May-2010			

AT0000385356	/ 000014160043 - EUR 5 AUSTRIA, REP.OF 02-2012			15-Jul-2011		15-Jul-2012
OEKOATWWXXX - OESTERREICHISCHE KONTROLLBANK AG VIENNA						
	Aggregated (amortised)	AMOR	2.500.000				
Percentage 103,9	Aggregate Balance	FAMT	2.500.000	13-Dec-2010	USD	3.877.003,19	1,4411
USD 133.745,94	Available	FAMT	2.500.000	13-Dec-2010	USD	3.760.693,09	
1.000000	Held Free	FAMT	2.500.000	13-Dec-2010			

Report generated on: 08-Jun-2011 15:01

Null balances included: Yes - Null sub-balances included: Yes - Total Holding Value Currency: USD - Security Aggregate Holding and Collateral Values Currency: USD

CONSO.EXAMPLE_MT535_CONSOLIDATED.STMNTOFHOLD.INTRADAY.COMPLETE.1130.20110413

MULTIPLE ACCOUNTS SELECTION, SEE REPORT TRAILER FOR FULL LIST

1 …

Consolidated Statement: Example Consolidated Report

ISIN / Common Code - Security Place of Safekeeping				Next Coupon Date		Maturity Date
Market Price **Accrued Interest** **Pool Factor**	**Balance Type**	**Quantity**	**Last Movement**	**Holding Value** **Eligible Collateral Value**		**Exchange Rate**

Account Holding Value | **Total Holding Value per Currency of Instruments**

Total Holding Value	USD	999.999.999,99	AUD	999.999,99
Total On Loan	USD	0,00	BEF	99.999
Total Borrowed	USD	-999.999,99	CAD	9.999.999,99
Total Pledged for Collateral	USD	0,00	CHF	9.999,99
Total Eligible Collateral Value	USD	999.999.999,99	DEM	999.999,99

Total of Nominal for Bonds per Currency

AUD	9.999.999,99
BEF	9.999.999
CAD	9.999.999,99
CHF	999.999,99
DEM	999.999,99

Total of Nominal for Shares	999.999,99
Total of Nominal for Warrants	99,99

Multiple Accounts Selection

12345 99999

End of MT535 Statement of Holding Report

Report generated on: 08-Jun-2011 15:01

Null balances included: Yes - Null sub-balances included: Yes - Total Holding Value Currency: USD - Security Aggregate Holding and Collateral Values Currency: USD

CONSO.EXAMPLE_MT535_CONSOLIDATED.STMNTOFHOLD.INTRADAY.COMPLETE.1130.20110413

MULTIPLE ACCOUNTS SELECTION, SEE REPORT TRAILER FOR FULL LIST

End of Report

MT535 - Statement of Holdings Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Report frequency	This can be Intraday, Daily, Weekly, Monthly, or Yearly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This can be Complete or Delta.
Account identification	The customer's account number and name (only shown on single account statements).
Consolidated Statement	The customer's consolidated account name as subscribed (only shown on Consolidated statements).

Section 2: Report content (for each security)

ISIN Code / Common Code	The ISIN, Common Code, and description of the security.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Next Coupon Date	The date of the next coupon payment.
Maturity Date	The maturity date of the financial instrument.
Market Price	The price, expressed as: • An "Actual Amount" followed by the currency and unit price for equities, warrants, and funds; and • A "Percentage" followed by the percentage value for debt instruments.
Accrued Interest	The accrued interest amount, based on the aggregate balance, expressed in EUR, USD, or the currency of the financial instrument.
Pool Factor	The pool factor rate, expressed as a decimal defining the outstanding principal of the financial instrument.
Balance Type - Aggregate / Available	Calculated as:
Held Free	Uncommitted position available on the account.
+ On loan	Balance of securities that are on loan.
+ Pledged for Collateral	Balance of securities that have been used as collateral for a borrowing of securities.
+ Pending On Loan Delivery / Not Available	Balance of securities that are reserved for ASLplus loans.
Blocked	Balance of securities that are blocked.
+ Pending for Redemption	Balance of securities that will be redeemed and can no longer be used for settlement.
+ Pending Delivery	Balance of securities committed for domestic deliveries (that is, provisioned).
+ Blocked Pending Corp Act	Balance of securities blocked for corporate action events.
+ Blocked for Certification	Balance of securities blocked under TEFRA D rules.
- Borrowed	Balance of securities that have been borrowed to settle an un-provisioned delivery. This balance is not included in either the Aggregate /Available or /Not Available balances.
Quantity	The quantity of securities for each balance type, expressed as: • FAMT (face amount) for debt instruments; • UNIT for equities, warrants, and funds; or • AMOR for the current amortised face value of a bond.
Last Movement	The date and time on which the aggregate and sub-balances were last impacted.
Holding Value	The holding value (including accrued interest) based on the aggregate balance, expressed in EUR, USD, or the currency of the financial instrument.
Eligible Collateral Value	Value of the positions eligible for collateral purposes.
Exchange Rate	The exchange rate used to calculate the holding value. When the currency of the holding value requested is the same as the currency of the financial instrument, this is "1".

Section 3: Report content (for different balance types and per currency of instrument)

Total Holding Value	The sum of the holding value of each security on the report.
Total On Loan	The value of all securities out on loan. This is also included in "Total Holding Value".
Total Borrowed	The value of all securities borrowed. This is not included in "Total Holding Value".
Total Value for Cash Financing	The sum of the value of the Held Free, Pending for Redemption, On Loan, Blocked and Blocked Pending Corp Act and Pending On Loan Delivery sub-balances.
Total Pledged for Collateral	The value of all securities pledged as collateral for borrowed securities.
Total Eligible for Collateral Value	The value of all securities eligible for collateral purposes.
Total Nominal	The sum of the nominals per currency for bonds, or sum for units for shares and warrants.
Multiple Accounts Selection	List of accounts included in the Consolidated statement. This is not present for single account reports.

MT536 – Statement of Transactions Report

Availability and timing

The MT536 Statement of Transactions report is available in Delta form multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly or Monthly basis.

Report content

The MT536 Statement of Transactions report contains transactions that have settled since the last report. A customer's positions might be impacted by any of the following transactions:

- An over-the-counter (OTC) trade;
- A Stock Exchange (SE) trade;
- A custody event;
- Another customer's activity such as transfer, loan, collateral etc.;
- An internal Clearstream Banking settlement activity (Lending, Borrowing, blocking of securities).

Report sorting

The MT536 Statement of Transactions report is sorted first by currency code. Stock Exchange trades are then listed first, followed by the OTC trades.

No transactions to report

If there are no settled transactions to report, an MT536 Statement of Transactions report is provided containing only the header section.

MT536 – Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on transactions that have settled since the last report.

For each security, an opening and closing balance is provided.

Note: Lending, borrowing and collateral activity are reported as delivery and receipt transactions free of payment but do not impact the aggregate balance. (For example, securities that are lent are debited from the "Available" sub-balance and credited to the On Loan sub-balance.) Therefore, if the transaction reflects an inter sub-balance movement, the opening balance +/- the movements may not result in the closing balance.

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Sample MT536   Report from *01–Mar-2005 11:00* to *01–Mar-2005 12:00*   Statement number: *018*

Frequency: *Intraday*   Report generated on: *01–Mar-2005 12:02*   Complete/Delta: *Delta*

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security Place of Safekeeping		Balance Type	Last Movement		Quantity Quantity
Transaction Type	Your Reference	Req. Settl. Date Value Date	Effective Settl. Date Settlement Amount		
Settlement Condition	Our Reference	Settlement Parties			
Input Media	Corp. Action Reference				
Narrative					

CH0019390399	/ 000020041005 - WAR UBS AG(PERLES FTSE/XINHUA IDX)040909				
INSECHZZXXX-SIS SEGAINTERSETTLE AG ZURICH		Opening	01-Mar-2005 11:00	UNIT	7.792

Settlement - Trade	0230BOBY03566900	22-Feb-2005 01-Mar-2005	01-Mar-2005 EUR 35.352,40	UNIT	-40
Deliver Against Payment	0366900	Place of Settlement: CEDELULL - CLEARSTREAM BANKING S.A., LUXEMBOURG G			
SWIFT		LUXEMBOURG			
		Receiving Agent: CBL - /CEDE/66666 COUNTERPARTY NAME			

Narrative: /VALU/20050301

		Closing	01-Mar-2005 12:00	UNIT	7.752

DE0001137073	/ 000020141344 - EUR 2,50 BRD 04-2006				
DAKVDEFFXXX-CLEARSTREAM BANKING AG FRANKFURT AM MAIN		Opening	01-Mar-2005 11:00	FAMT	23.901.000

Lend./Borrow. - Secs Lending	NONREF	01-Mar-2005	01-Mar-2005	FAMT	7.254.367,41
Receive Free	L174941	Place of Settlement: CEDELULLXXX - CLEARSTREAM BANKING S.A., LUXEMBOURG G			
Delivery Without Matching		LUXEMBOURG			
INTERNAL		Delivering Agent: CBL - /CEDE/57711 UNPUBLISHED			
Narrative: LOAN LR17533 CLOSED LR17533 LOAN FEES LEND 40,39+ DASL: BORROW BARCLAYS BANK PL LONDON					

Lend./Borrow. - Secs Lending	NONREF	01-Mar-2005	01-Mar-2005	FAMT	761.493,2
Receive Free	L174946	Place of Settlement: CEDELULLXXX - CLEARSTREAM BANKING S.A., LUXEMBOURG G			
Delivery Without Matching		LUXEMBOURG			
INTERNAL		Delivering Agent: CBL - /CEDE/57711 UNPUBLISHED			
Narrative: LOAN LR17554 CLOSED LR17554 LOAN FEES LEND 4,24+					

		Closing	01-Mar-2005 12:00	FAMT	23.901.000

DE0001141398	/ 000013484465 - EUR 4,00 DEUTSCHE BUNDESBANK 01-2007				
DAKVDEFFXXX-CLEARSTREAM BANKING AG FRANKFURT AM MAIN		Opening	01-Mar-2005 11:00	FAMT	547.695.200

Report generated on : 01-Mar-2005 12:02
12345.Sample MT536.STMNTOFTXN.INTRADAY.DELTA.1200.20050301   1 ...

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time up to which settled transactions are reported.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Report frequency	This can be Intraday, Daily, Weekly, or Monthly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This is Delta on intraday, Complete on Daily, Weekly, and Monthly reports.
Account identification	The customer's account number and name.

MT536 - Statement of Transactions Report

Section 2: Report content (for each security for which one or more settled transaction is reported)

ISIN Code / Common Code	The ISIN or Common Code of the security of the underlying settlement transaction and a description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Balance Type	
Opening	The opening balance of securities on the account.
Closing	The closing balance of securities on the account.
Last Movement	The date and time on which a transaction for the security was last posted.
Quantity	The quantity of securities for each balance type, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Transaction Type	
Settlement - Trade	For an OTC trade.
Settlement - Int. Transfer	For a transfer of securities without matching to another CBL account.
Settlement - Ext. Transfer	For a transfer of securities without matching to a Euroclear account.
Settlement - Turnaround	For a back-to-back transaction.
Citibank DTC	For a DTC confirmation received from CBL's depository Citibank.
Pledged Coll. - Trade	For a movement of securities in/out of a "Pledged For Collateral" position.
CORP - *description of event*	For a movement resulting from a corporate action event.
Triparty Repo - Opening	For the opening leg of a triparty repo.
Triparty Repo - Closing	For the closing leg of a triparty repo.
Triparty Repo - Substitution	For a triparty repo collateral substitution.
Triparty Repo - Top-up	For a triparty repo collateral top-up.
Lend./Borrow. - Secs Borrowing	For a borrowing of securities.
Lend./Borrow. - Secs Lending	For a lending of securities.
Lend./Borrow. - Trade	For a loan reservation.
SE Trade	For a Stock Exchange trade.
SE Trade - Partial Delivery	For a Stock Exchange trade with partial delivery.
Transaction Type *is followed by*	Deliver Against Payment, Deliver Free, Receive Against Payment, or Receive Free
Settlement Condition	"Delivery Without Matching" for transfers of securities between customer accounts without matching.
Input Media	The input medium by which the instruction was received. For internally generated instructions, this is "INTERNAL".
Narrative	• On CBL generated transactions, details of the transaction. • On customer initiated transactions, the narrative received on the original instruction. The last entry is the value date preceded by /VALU/.
Your Reference	The reference of the original instruction. On CBL generated transactions, including the receipt side of a transfer of securities without matching, this field contains "NONREF".
Our Reference	CBL's seven-digit transaction reference.
Corp. Action Reference	The Corporate Action reference of related event.
Req. Settl. Date	The requested settlement date of the transaction.
Settlement Parties	Your counterparty's settlement parties.
Value Date	The value date with which the cash countervalue of an against payment securities transaction has been posted to the account.
Effective Settl. Date	The effective settlement date.
Settlement Amount	The cash countervalue of the transaction.
Quantity	The quantity of securities credited or debited, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.

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MT537 - Statement of Pending Transactions Report

Availability and timing

The MT537 Statement of Pending Transactions report is available in Complete and Delta forms multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly, or Monthly basis. The report is also available on a Continuous basis, 24 hours a day, at fixed intervals and consists of up to four updated reports per hour.

Report content

The MT537 Statement of Pending Transactions report contains all transactions that are pending on the account or that have been cancelled (reported once).

CBL uses the ISO 15022 standard to report the processing, matching and settlement statuses of an instruction. The status code may be accompanied by a reason code to further describe the status.

Each transaction is reported with its last or most relevant status/reason.

Report sorting

The MT537 Statement of Pending Transactions report is sorted by status, in the following order:

Instruction Processing statuses (last or most relevant)
Matching statuses
Settlement statuses

Transactions with the same status/reason are then sorted by security code.

No transactions to report

If there are no pending transactions to report, an MT537 Statement of Pending Transactions report is provided containing only the header section. Empty reports will not be reported if the customer has subscribed to Continuous reporting.

MT537 - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;

- Section 2 - Report content: information on transactions that are pending (Complete report) or transactions whose status has changed since the last report (Delta report).

MT537 Statement of Pending Transactions

Sample MT537

Report from *01–Mar–2005 12:00* to *01–Mar–2005 13:00*

Frequency: *Intraday*

Report generated on: *01–Mar–2005 13:05*

clearstream
Banking

Statement number: *021*

Complete/Delta: *Delta*

Account: CBL - 12345 - CUSTOMER NAME

Activity
ISIN / Common Code - Security
Place of Safekeeping

Transaction Type	Your Reference	Req. Settl. Date	Exp. Settl. Date	Req. Settl. Amount	Quantity	Status
Settlement Condition	Our Reference	Settlement Parties				Reason
Input Media - Processing Ind.	Best Matching Instruction					Timestamp
Narrative						

Matching

GB0032785924   / 000016861898 - GBP 4 UNITED KINGDOM OF GREAT BRITAIN 03-2009
CRSTGB22XXX - CRESTCO LIMITED LONDON

Settlement - Trade	1714011	28-Feb-2005 01-Mar-2005 GBP		100,00	FAMT -100	Unmatched
Deliver Against Payment	1714011	Place of Settlement: MGTCBEBE - EUROCLEAR BANK S.A / N.V BRUSSELS				Matching Instruction Not Found
CREATIONDIRECT - Optional		Receiving Agent: EOC - /ECLR/94285 CRED SUISSE				01-Mar-2005 11:55
		Buyer: SBILGB2LXXX - CITIGROUP GLOBAL MARKETS LIMITED LONDON				

Settlement

GB0032785924   / 000016861898 - GBP 4 UNITED KINGDOM OF GREAT BRITAIN 03-2009
CRSTGB22XXX - CRESTCO LIMITED LONDON

Settlement - Trade	1714016	28-Feb-2005 01-Mar-2005 GBP		100,00	FAMT -100	Pending/Failing Settlement
Deliver Against Payment	1714016	Place of Settlement: CRSTGB22 - CRESTCO LIMITED LONDON				Lack of Securities
CREATIONDIRECT		Receiving Agent: OTHER - /CRST/6750				25-Feb-2005 16:22
		Buyer: SBILGB2LXXX - CITIGROUP GLOBAL MARKETS LIMITED LONDON				
		Delivering Agent: CRSTGB22 - CRESTCO LIMITED LONDON				

XS0207129965   / 000020712996 - EUR FL.R CAPITALIA SPA(1)04-2009
DEUTGB22XXX - ******

Settlement - Trade	05003426611	01-Mar-2005 02-Mar-2005 EUR		8.026.260,00	FAMT -8.000.000	Pending/Failing Settlement
Deliver Against Payment	3426611	Place of Settlement: MGTCBEBEECL - EUROCLEAR BANK S.A / N.V				Lack of Securities
SWIFT - Optional		BRUSSELS				01-Mar-2005 10:02
		Receiving Agent: EOC - /ECLR/93146 CALYON FIN				

Account: CBL - 12345 - CUSTOMER NAME

Activity
ISIN / Common Code - Security
Place of Safekeeping

Transaction Type	Your Reference	Req. Settl. Date	Exp. Settl. Date	Req. Settl. Amount	Quantity	Status
Settlement Condition	Our Reference	Settlement Parties				Reason
Input Media - Processing Ind.	Best Matching Instruction					Timestamp
Narrative						

XS0211093041   / 000021109304 - EUR 7,00 LEHMAN BROS.TREAS.CO.BV.05-2015
CHASGB2LXXX - JPMORGAN CHASE BANK, N.A. LONDON (EUROPEAN HEADQUARTERS)

Settlement - Trade	05003461411	01-Mar-2005 02-Mar-2005 EUR		4.988.356,00	FAMT -5.000.000	Pending/Failing Settlement
Deliver Against Payment	3461411	Place of Settlement: MGTCBEBEECL - EUROCLEAR BANK S.A / N.V				Lack of Securities
SWIFT - Optional		BRUSSELS				01-Mar-2005 10:02
		Receiving Agent: EOC - /ECLR/92904 LEHMAN BROTHERS INTER.				
		(EUROPE)				

End of MT537 Statement of Pending Transactions Report

MT537 - Statement of Pending Transactions Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time up to which pending transactions are reported.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Report frequency	This can be Intraday, Daily, Weekly, Monthly or Continuous.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This can be Complete or Delta.
Account identification	The customer's account number and name.

Section 2: Report content (for each pending or cancelled transaction)

ISIN Code / Common Code	The ISIN or Common Code of the security of the underlying settlement transaction and a description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: - CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or - The local CSD (for securities deposited with CBL's domestic depository).
Transaction Type	
Settlement - Trade	For an OTC trade.
Settlement - Int. Transfer	For a transfer of securities without matching to another CBL account.
Settlement - Ext. Transfer	For a transfer of securities without matching to a Euroclear account.
Settlement - Turnaround	For a back-to-back transaction.
Citibank DTC	For a DTC confirmation received from CBL's depository Citibank.
Pledged Coll. - Trade	For a movement of securities in/out of a "Pledged For Collateral" position.
CORP - *description of event*	For a movement resulting from a corporate action event.
Triparty Repo - Opening	For the opening leg of a triparty repo.
Triparty Repo - Closing	For the closing leg of a triparty repo.
Triparty Repo - Substitution	For a triparty repo collateral substitution.
Triparty Repo - Top-up	For a triparty repo collateral top-up.
Lend./Borrow. - Secs Borrowing	For a borrowing of securities.
Lend./Borrow. - Secs Lending	For a lending of securities.
Lend./Borrow. - Trade	For a loan reservation.
SE Trade	For a Stock Exchange trade.
SE Trade - Partial Delivery	For a Stock Exchange trade with partial delivery.
Transaction Type *is followed by*	Deliver Against Payment, Deliver Free, Receive Against Payment, or Receive Free
Settlement Condition	"Delivery Without Matching" for transfers of securities between customer accounts without matching.
Input Media	The input medium by which the instruction was received. For internally generated instructions, this is "INTERNAL".
Narrative	- On CBL generated transactions, details of the transaction. - On customer initiated transactions, the narrative received on the original instruction.
Processing Ind.	"Mandatory" if the transaction is excluded from the optional settlement period. "Optional" if the transaction is included in the optional settlement period.
Your Reference	The reference of the original instruction. On CBL generated transactions, including the receipt side of a transfer of securities without matching, this field contains "NONREF".
Our Reference	CBL's seven-digit transaction reference.
Best Matching Instruction	Reference of the counterparty's instruction that best matches your instruction or CBL's reference for domestic allegements.
Req. Settl. Date	The requested settlement date of the transaction.
Settlement Parties	Your counterparty's settlement parties.
Exp. Settl. Date	The expected date of settlement as forecast by CBL.

Exp. Value Date	The expected value date of the instruction.
Req. Settl. Amount	The requested cash countervalue of the transaction.
Quantity	The quantity of securities to be credited or debited, expressed as:
	• FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.
Status	See "Appendix A. Status and reason values" on page A-1.
Reason	See "Appendix A. Status and reason values" on page A-1.
	For internal and Bridge transactions that have been potentially matched, the reason is followed by the value of the unmatched field on the counterparty's instruction.
Timestamp	The date and time on which the status changed.

MT538 - Statement of Intra-Position Advices Report

Availability and timing

The MT538 Statement of Intra-Position Advices report is available in Delta forms multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly, or Monthly basis.

Report content

The MT538 Statement of Intra-Position Advices report contains movements between the sub-balance related to securities lending, securities loan reservation, collateral and blocking/unblocking activity.

Report sorting

The MT538 Statement of Intra-Position Advices report is sorted by financial instrument followed by settlement date.

No transactions to report

If there are no movements to report, an MT538 Statement of Intra-Position Advices report is provided containing only the header section.

MT538 - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on movements that have occured since the last report.

MT538 Statement of Intra-Position Advices

CBLR4 MT538 1

Frequency: *Intraday*

Report from *04-Sep-2006 11:30* to *04-Sep-2006 12:00*

Report generated on: *10-Oct-2006 14:15*

Statement number: *007*

Complete/Delta: *Delta*

clearstream
Banking

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security
Place of Safekeeping

From Balance	To Balance	Settlement Date		Quantity	Narrative
FR0000186199	**/ 000009747087 - EUR 4,00 FRANCE OAT 99-2009**				
SICVFRPPXXX-EUROCLEAR FRANCE PARIS					
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	11.951	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	11.951	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	11.951	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	11.951	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	89.827	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	899	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	10.380	TRANSFER FROM FREE ACCOUNT
Held Free	Pledged for Collateral	04-Sep-2006	FAMT	120.848	TRANSFER FROM FREE ACCOUNT
Pledged for Collateral	Held Free	04-Sep-2006	FAMT	120.848	TRANSFER FROM PLEDGE ACCOUNT
XS0071922156	**/ 000007192215 - PTE FL.R EUROP. INVEST. BK 96-2006**				
DEUTDEFFXXX-DEUTSCHE BANK AG FRANKFURT AM MAIN					
Pledged for Collateral	Held Free	04-Sep-2006	FAMT	31.400.000	TRANSFER FROM PLEDGE ACCOUNT
XS0129648621	**/ 000012964862 - EUR 6,75 FIAT FIN.&TRADE 01-2011**				
CITIGB2LMAG-******					
On Loan	Held Free	04-Sep-2006	FAMT	10.000	LOAN LX06089 CLOSED LX06089 FEES LOAN FEES LEND 0,06+
On Loan	Held Free	04-Sep-2006	FAMT	4.000	LOAN LX06102 CLOSED LX06102 FEES LOAN FEES LEND 0,02+
On Loan	Held Free	04-Sep-2006	FAMT	10.000	LOAN LX06286 CLOSED LX06286 FEES LOAN FEES LEND 0,06+
XS0196448129	**/ 000019644812 - EUR 4,625 EUROP.INVEST.BK 04-2020**				
PARBLULLXXX-BNP PARIBAS SECURITIES SERVICES LUXEMBOURG					
On Loan	Held Free	04-Sep-2006	FAMT	100.000	LOAN LX02744 CLOSED LX02744 FEES LOAN FEES LEND 24,40+
XS0205935470	**/ 000020593547 - EUR 5,50 RBS GROUP PLC (UK 1,5) 04-XX**				
IRVTGB2XXXX-BANK OF NEW YORK LONDON					
On Loan	Held Free	04-Sep-2006	FAMT	16.000	LOAN LX04415 REIMBURSED LX04415 FEES LOAN FEES LEND 2,73+

Report generated on: 10-Oct-2006 14:15

12345.CBLR4_MT538_1.INTRAPOSSTMNT.INTRADAY.DELTA.1200.20060904

1 ...

MT538 - Statement of Intra-Position Advices Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time up to which pending transactions are reported.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Report frequency	This can be Intraday, Daily, Weekly or Monthly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This is Delta on Intraday, Complete of Daily, Weekly and Monthly reports.
Account identification	The customer's account number and name.

Section 2: Report content (for each pending or cancelled transaction)

ISIN Code / Common Code	The ISIN or Common Code of the security of the underlying settlement transaction and a description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
From Balance	The balance from which the securities are debited.
Held Free	Uncommitted position available on the account.
On Loan	Balance of securities that are on loan.
Pledged for Collateral	Balance of securities that have been used as collateral for a borrowing of securities.
Blocked	Balance of securities that are blocked.
Block Pending Corp Act	Balance of securities blocked for corporate action events.
Blocked for Certification	Balance of securities blocked under TEFRA D rules.
Pending On Loan Delivery	Balance of securities that are reserved for ASLplus loans.
To Balance	The balance from which securities are debited.
Held Free	Uncommitted position available on the account.
On Loan	Balance of securities that are on loan.
Pledged for Collateral	Balance of securities that have been used as collateral for a borrowing of securities.
Blocked	Balance of securities that are blocked.
Blocked Pending Corp Act	Balance of securities blocked for corporate action events.
Blocked for Certification	Balance of securities blocked under TEFRA D rules.
Pending On Loan Delivery	Balance of securities that are reserved for ASLplus loans.
Settlement Date	The date on which the securities have been moved.
Quantity	The quantities of securities credited or debited, expressed as_ • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants and funds
Narrative	The narrative as currently reported on confirmations of receipt and delivery free transactions related to intra-position movements.

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MT586 - Statement of Allegements Report

Availability and timing

The MT586 Statement of Allegements report is available in Complete and Delta forms multiple times intraday and, in Complete form only, at end of day on a Daily, Weekly, or Monthly basis. The report is also available on a Continuous basis, 24 hours a day, at fixed intervals and will consist of up to four updated reports per hour.

Report content

The MT586 Statement of Allegements report contains the following:

- all alleged transactions from your CBL, EOC, or CBF counterparties for which CBL has not received a matching instruction from you.

- all domestic allegements for which CBL has not received an instruction from you or in case your instruction mismatches with the allegement.

Allegement removals are reported in the following cases:

- for alleged transactions from a CBL, EOC or CBF counterparty;
  - if a matching instruction has been received
  - if counterparty cancelled his instruction
- for domestic allegements;
  - if a matching instruction has been received
  - if counterparty cancelled his instruction
  - if a matching or settlement status has been received from the local market

Report sorting

The MT586 Statement of Allegements report is sorted by security code.

No allegements to report

If there are no allegements to report, an MT586 Statement of Allegements report is provided containing only the header section. Empty reports will not be reported if the customer has subscribed to Continuous reporting.

MT586 - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on alleged transactions.

MT586 Statement of Allegement

Sample MT586   Report from *03-Apr-2006 09:30 to 03-Apr-2006 10:30*   Statement number: *003*

Frequency: *Intraday*   Report generated on: *03-Apr-2006 15:56*   Complete/Delta: *Delta*

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security
Place of Safekeeping
Place of Listing

Allegement Status Transaction Type	Counterparty Reference Best Matching Instruction	Req. Settl. Date Trade Date	Settlement Amount Trade Price	Quantity
Processing Indicator		Settlement Parties		

XS0205537581   / 000020553758 - EUR 1,20 ARGENTINA PAR (EX-GDP) 04-2038
IRVTGB2XXXX - BANK OF NEW YORK LONDON

Removed					
Trade	8386098	29-Mar-2006		FAMT	13.295
Deliver Free		24-Mar-2006			
Mandatory		Place of Settlement: MGTCBEBEECL - EUROCLEAR BANK S.A / N.V BRUSSELS			
		Receiving Agent: CBL - /CEDE/12345			
		Delivering Agent: EOC - /ECLR/91030			

XS0131127036   / 000013112703 - EUR 7 URUGUAY, REP.ORIENTAL OF 01-2011
CHASGB2LXXX - JPMORGAN CHASE BANK, N.A. LONDON (EUROPEAN HEADQUARTERS)

Trade	10305	31-Mar-2006		FAMT	10.000
Deliver Free	NONREF	31-Mar-2006			
Optional		Place of Settlement: CEDELULLXXX - CLEARSTREAM BANKING S.A., LUXEMBOUR G LUXEMBOURG			
		Receiving Agent: CBL - /CEDE/12345			
		Delivering Agent: CBL - /CEDE/88463			

Report generated on: 03-Apr-2006 15:56

Internal/Bridge/Clearstream Banking Frankfurt: NO - Domestic: YES

12345.SAMPLE_MT586.STMNTOFALLGMNT.INTRADAY.DELTA.1030.20060403   *1 ...*

MT586 - Statement of Allegements Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time up to which alleged transactions are reported.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Report frequency	This can be Intraday, Daily, Weekly, Monthly or Continuous.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This can be Complete or Delta.
Account identification	The customer's account number and name.

Section 2: Report content (for each alleged transaction)

ISIN Code / Common Code	The ISIN or Common Code of the security of the underlying settlement transaction and a description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Transaction Type	This is always "Trade".
Transaction Type *is followed by*	Deliver Against Payment, Deliver Free, Receive Against Payment, or Receive Free
Processing Indicator	The optional settlement period flag as present on the counterparty's instruction: • Optional: counterparty instruction eligible for mandatory and optional periods; or • Mandatory: counterparty instruction eligible for mandatory period only.
Counterparty Reference	Your counterparty transaction reference.
Best Matching Instruction	The reference of the customer instruction that best matches the allegement.
Req. Settl. Date	The requested settlement date as specified on the allegement.
Trade Date	The trade date as specified on the allegement.
Place of Listing	The place of listing as specified on the allegement.
Settlement Parties	Your counterparty's settlement parties.
Place of Settlement	The BIC and name of the (I)CSD of your counterparty or its local agent (indicating whether your counterparty is a participant of CBL, EOC, CBF or the domestic CSD in which transaction is to settle).
Delivering Agent	The counterparty account number on deliveries (your receipts). On receipts (your deliveries), this field contains your account number. For domestic allegements, this fields contains your counterparty's local agent on deliveries (your receipts).
Receiving Agent	The counterparty account number on receipts (your deliveries). On deliveries (your receipts), this field contains your account number. For domestic allegements, this fields contains your counterparty's local agent on receipts (your deliveries).
Buyer	Your counterparty on deliveries (your receipts).
Seller	Your counterparty on receipts (your deliveries).
Settlement Amount	The ISO currency code and settlement amount as specified on the allegement.
Trade Price	The deal price as specified on the allegement.
Quantity	The quantity of securities to be received or delivered, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.

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Statement of Repaired Transactions

Availability and timing

The Statement of Repaired Transactions report is available in Complete form only, at end of day on a weekly or monthly basis.

Report content

Whenever a domestic depository performs a repair on a domestic instruction, Clearstream Banking will receive detailed information from the depository identifying the repaired instructions with the reason for the repair. Customers then receive repair status/reasons via the Statement of Repaired Transactions.

Report sorting

The Statement of Repaired Transactions report is sorted by security code.

No transactions to report

If there are no settled transactions to report, a Statement of Repaired Transactions report is provided containing only the header section.

Statement of repaired transactions – Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;

- Section 2 - Report content: information on repaired transactions.

Statement of Repaired Transactions

Statement_of_Repaired_sample

Report from *02-Apr-2007* to *30-Apr-2007*

Frequency: *Monthly*

Report generated on: *31-Aug-2007 14:43*

Account: CBL - 12345 - CLEARSTREAM BANKING LUXEMBOURG

Place of Safekeeping
ISIN / Common Code - Security

Transaction Type	Your Reference Our Reference	Req. Settl. Date	Req. Settl. Amount		Quantity	Status Timestamp
Narrative		Settlement Parties				Reason

SICVFRPPXXX - EUROCLEAR FRANCE PARIS
FR0000120271   / 000001179616 - SHS TOTAL

Deliver Against Payment	AS-543-REPR-T6 V5EPRT6	14-May-2007	EUR	1,07	UNIT	-1	**Repaired** 31-Aug-2007 14:43
		Place of Settlement: SICVFRPP - EUROCLEAR FRANCE PARIS Receiving Agent: OTHER - /SICV/30 Buyer: GSILGB2XXXX - GOLDMAN SACHS INTERNATIONAL LONDON - Account - 46450U Delivering Agent: PARBFRPP - BNP PARIBAS SECURITIES SERVICES, FRANCE PARIS					Processing Batch disagreement/repair Processing Batch disagreement/repair

SICVFRPPXXX - EUROCLEAR FRANCE PARIS
FR0000120271   / 000001179616 - SHS TOTAL

Deliver Against Payment	AS-543-REPR-T7 V5EPRT7	13-May-2007	EUR	1,07	UNIT	-1	**Repaired** 31-Aug-2007 14:43
		Place of Settlement: SICVFRPP - EUROCLEAR FRANCE PARIS Receiving Agent: OTHER - /SICV/30 Buyer: GSILGB2XXXX - GOLDMAN SACHS INTERNATIONAL LONDON - Account - 46450U Delivering Agent: PARBFRPP - BNP PARIBAS SECURITIES SERVICES, FRANCE PARIS					Processing Batch disagreement/repair Processing Batch disagreement/repair

Report generated on: 31-Aug-2007 14:43

12345.Statement_of_Repaired_sample.STMNTOFREPR.MONTHLY.COMPLETE.1930.20070430   *1 ...*

Account: CBL - 12345 - CLEARSTREAM BANKING LUXEMBOURGG

Place of Safekeeping
ISIN / Common Code - Security

Transaction Type	Your Reference Our Reference	Req. Settl. Date	Req. Settl. Amount		Quantity	Status Timestamp
Narrative		Settlement Parties				Reason

INSECHZZXXX - SIS SEGAINTERSETTLE AG ZURICH
CH0012829898   / 000020592664 - SHS EMMI AG

Receive Free	AS-540-REPR-T9 UBEPRT9	13-May-2007			UNIT	1	**Repaired** 31-Aug-2007 14:43
		Place of Settlement: INSECHZZ - SIS SEGAINTERSETTLE AG ZURICH Delivering Agent: OTHER - /SCOM/DE105453 Seller: BCITITMMXXX - INTESA SANPAOLO SPA (FORMERLY BANCA INTESA SPA) MILANO (HEAD OFFICE) - Account - 4043 Receiving Agent: UBSWCHZH - UBS AG ZURICH (HEAD OFFICE/ADMINISTRATION)					Processing Batch disagreement/repair

End of Statement of Repaired Transactions Report

Report generated on: 31-Aug-2007 14:43

12345.Statement_of_Repaired_sample.STMNTOFREPR.MONTHLY.COMPLETE.1930.20070430   *End of Report*

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date and time up to which settled transactions are reported.
Statement number	A number incremented per report per account per frequency.
Report frequency	This can be Weekly or Monthly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This always Complete.
Account identification	The customer's account number and name.

Section 2: Report content (for each security for which one or more repaired transaction is reported)

ISIN / Common Code	The ISIN and Common Code of the security of the underlying settlement transaction and a description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Transaction Type	Deliver Against Payment, Deliver Free, Receive Against Payment, or Receive Free
Narrative	Reason text associated with the Reason "See Narrative".
Your Reference	The reference of the original instruction.
Our Reference	CBL's seven-digit transaction reference.
Req. Settl. Date	The requested settlement date of the transaction.
Settlement Parties	Your counterparty's settlement parties.
Settlement Amount	The cash countervalue of the transaction.
Quantity	The quantity of securities credited or debited, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.
Status	Always Repaired.
Reason	See "Appendix B. Repair reason values" on page B-1
Timestamp	The date and time on which the status changed.

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4. Custody reports

The following table lists the Clearstream Banking custody reports available in portable document format (PDF):

SWIFT message	Securities statement	Page
MT564	Income Pre-Advice Report (IPAR)	**4–564-1**
MT564	Claim and Reversal Advice (CRA)	**4–564CRA-1**
MT564/568	Corporate Action Notification	**4–564/568–1**
MT566	Corporate Action Confirmation	**4–566C-1**
Market Claims Report (MCR)		**4–MCR-1**
Corporate Actions Reference Data Report		**4–CARD-1**

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MT564 - Income Pre-Advice Report (IPAR)

Availability and timing

The MT564 Income Pre-Advice Report (IPAR) is available in complete form after 03:00, after 08:00, after the day-time processing (around 19:30) and at 22:30, providing information about future cash and securities proceeds and capital repayments for all the securities that are held in their accounts.

Customers can also subscribe to the Continuous IPAR in delta form that includes important payment details that have been updated (for example, rate, record date) on the business day prior to value date (VD-1).

Report content

The MT564 IPAR contains information on future income proceeds and capital repayments for all securities held on the account. Any income, non-income and redemption payments that are not paid on the expected payment date continue to be reported up to payment but for a maximum of 30 calendar days after the expected payment date.

The report covers the dividend payments, interest payments and redemptions, both partial and final, that are expected in the five business days following the report on a rolling basis. Entitled balances (on record date) are fixed after the daytime processing that reflects the end of day balances. Two types of IPAR are available:

- The **Complete** report covers all cash and securities proceeds and redemptions, both partial and final that are expected to be payable to the account during the succeeding five business days.
- The **Delta** report covers only those proceeds that are new and/or changed since the last report that was retrieved, thereby allowing easier identification of new items and reducing reporting costs. The Delta report does not include totals at the end of the report.

When subscribing to the IPAR, you can choose whether these reports should include or exclude pending trades. You can select to receive only specific event types; all or only specific currencies; and information for a period between one and five days in advance.

The IPAR is based on the most accurate and comprehensive information available to CBL at the time of the report, but the final amount or quantity payable may vary owing to the settlement of transactions or to factors that are outside the knowledge and/or control of Clearstream Banking.

The IPAR is not a confirmation of payment, but rather a projection of funds to be expected that will be subject to the conditions for income proceeds specified in the CBL Customer Handbook.

Report sorting

The MT564 IPAR is sorted by payment date followed by payment ISO currency code, security code and event type.

No transactions to report

If there are no future proceeds or capital repayments to report, an MT564 IPAR is provided containing only the header section.

MT564 - Detailed field descriptions (IPAR)

The report is composed of four sections:

- Section 1 - Report header: general information;

- Section 2 - Report content: information on future income proceeds and/or capital repayments;

- Section 3 - Report content: summary information on future income proceeds and/or capital repayments;

- Section 4 - Report content (on complete reports only): summary of total entitled amount per payment date and currency.

MT564 Income Pre-advice Report

clearstream
Banking

MT564 IPAR 1CAS All Event

Frequency: *Always*   Report generated on: *19-Nov-2015 15:31*

Account: CBL - 12345 - CUSTOMER A

Earliest Payment Date: 27-Aug-2015

General Information

Event Type	SOFF - Demerger/Spin-off
Mandatory / Voluntary	Mandatory with choice
Proceeds Type	Non Income
Payment Status	Unconfirmed preliminary announcement
Safekeeping Account	12345
Corporate Action Reference	AC5030939 - Version 4 of 4
Official Corporate Action Reference	RFSOFFCOAF04
Related References	0000000317296321
Notification Timestamp	19-Nov-2015 15:31:11

Underlying Financial Instrument

ISIN / Common Code	EU000A1G1Q17 / 000075314400
Security Name	EUR 3,375 EUROPEAN UNION (52) 12-2032
Type	Bond
Place of Safekeeping	MGTCBEBEXXX - EUROCLEAR BANK S.A / N.V BRUSSELS
Financial Instrument Attributes	
Currency of Denomination	EUR
Minimum Nominal Quantity	FAMT 1

Balances

Settled Position	FAMT	55.000
Pending Delivery Eligible	FAMT	346.000
Pending Receipt Eligible	FAMT	345.000
Eligible	FAMT	55.000

Details

Announcement Date	22-Apr-2015
Record Date	27-Aug-2015
Ex Date	27-Aug-2015
Additional Business Process	Preliminary Advice of Payment

Option 001 Securities Option

Expiration Date	26-Aug-2015
Default Indicator	Yes

Security Movement

Credit / Debit	**Credit**	
ISIN / Common Code	AT0000946652 / 000023272237	
Security Name	SHS SCHOELLER-BLECKMANN OILFIELD (EASDAQ)	
Place of Safekeeping	CLEARSTREAM BANKING AG FRANKFURT AM MAIN	
Attributes		
Minimum Nominal Quantity	UNIT	1
Entitled Quantity	UNIT	200.000
Disposition of Fractions	Fractional part for cash	
Trading Period	22-Aug-2015 - 27-Aug-2015	
Additional for Existing Sec.Rate	1 - 2	
Dividend Ranking Date	27-Aug-2015	
Payment Date	27-Aug-2015	

Report generated on: 19-Nov-2015 15:31
Pending trades: included   Event types: All
Currencies: All
12345.MT564_IPAR_1CAS_ALL_EVENT.IPAR.ALWAYS   1 ...

Account: CBL - 12345 - CUSTOMER A

Option 002 Cash and Securities

Default Indicator	No

Security Movement

Credit / Debit	**Credit**	
ISIN / Common Code	AT0000946652 / 000023272237	
Security Name	SHS SCHOELLER-BLECKMANN OILFIELD (EASDAQ)	
Place of Safekeeping	CLEARSTREAM BANKING AG FRANKFURT AM MAIN	
Attributes		
Minimum Nominal Quantity	UNIT	1
Disposition of Fractions	Fractional part for cash	
Additional for Existing Sec.Rate	1 - 2	
Payment Date	27-Aug-2015	

Cash Movement   001   Default Processing

Credit / Debit	**Credit**	
Cash Account	12345 - CUSTOMER A	
Cash Price Received per Product	Percentage	3
Payment Date	27-Aug-2015	
Value Date	27-Aug-2015	
Earliest Payment Date	Unknown Date	

Option 003 Sell Entitlement

Default Indicator	No

Cash Movement   001   Default Processing

Credit / Debit	**Credit**
Cash Account	12345 - CUSTOMER A
Payment Date	Ongoing basis

Narrative

Narrative	FREE FORMAT MESSAGES,UNSOLICITED INSTRUCTION and INCORRECTLY FORMATTED MT565 DEADLINE IS 4 BUSINESS HOURS PRIOR TO DEADLINE STATED IN DEDICATED FORMATTED FIELD :98C::EARD// AND/OR :98C::RDDT//.

Report generated on: 19-Nov-2015 15:31
Pending trades: included   *Event types: All*
Currencies: All
12345.MT564_IPAR_1CAS_ALL_EVENT.IPAR.ALWAYS   2 ...

June 2022   Clearstream Banking Luxembourg
4–564–4   Xact File Transfer PDF report guide

Account: CBL - 12345 - CUSTOMER A

End of MT564 Income Pre-advice report

Report generated on: 19-Nov-2015 15:31
Pending trades: included   *Event types: All*
Currencies: All
12345.MT564_IPAR_1CAS_ALL_EVENT.IPAR.ALWAYS   *End of Report*

Clearstream Banking Luxembourg   June 2022
Xact File Transfer PDF report guide   4–564-5

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based.
Complete/Delta indicator	This can be Complete or Delta.
Report frequency	This is Intraday.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content (for each future income proceeds and capital repayment)

Payment Date

This is the date on which the cash or securities should be credited.

- For income proceeds, this is the Earliest Value Date.
- For non-income proceeds, this is the Earliest Payment Date.

General Information

Event Type

ACCU - Accumulation
BIDS - Repurchase Offer
BONU - Bonus Automatic
BPUT - Redemption at the Holder's Option
CAPD - Capital Distribution
CAPG - Capital Gain
CONS - Solicitation of a Holder's Consent
CONV - Conversion Option
DECR - Decrease in Value
DETI - Detachment
DRAW - Partial Redemption by Drawing
DRCA - Cash Distribution from Non-Eligible Securities
DRIP - Dividend Reinvestment
DVCA - Cash Dividend
DVOP - Dividend Option
DVSC - Script Dividend / Payment
DVSE - Stock Dividend
EXOF - Exchange Offer (Mandatory Exchange with Options)
EXOF - Exchange Offer (Mandatory Exchange without Options)
EXOF - Exchange Offer
EXOF - Exchange Offer (Rollover)
EXRI - Bonus
EXRI - Subscription Offer
EXWA - Warrant Exercise (Expiry of a Warrant)
INTR - Interest Payment (Coupon on Warrant)
INTR - Interest Payment (Coupon Straight)
INTR - Interest Payment (Floating Rate Note)
LIQU - Liquidation
MCAL - Early Redemption
MRGR - Merger
PARI - Pari-Passu
PCAL - Partial Redemption by Face Value Reduction

PCAL - Partial Redemption by Drawing

PINK - Payment of Kind

PPMT - Instalment Call

PRED - Partial Redemption Without Reduction of Nominal Value

PRIO - Subscription Offer without Rights

REDM - Currency Option on Redemption

REDM - Liquidation

REDM - Final Redemption

REDM - Redemption in Shares

RHDI - Rights Distribution

RHDI - Choice Dividend Rights Distribution

RHDI - Subscription Rights Distribution

SHPR - Share Issue Premium

SOFF - Demerger/Spin-off

SPLF - Stock Split

SPLR - Reverse Stock Split

TEND - Tender / Acquisition / Takeover / Purchase Offer

Proceeds Type	Income or Non-Income
Payment Status	Preliminary Announcement: Unconfirmed.
Corporate Action Reference	CBL reference and version number.
Notification Timestamp	Date and time of the notification.
Related References	Reference of related corporate action event.

Underlying Financial Instrument

ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Type	Shares, Bond, Warrant, Other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).

Financial Instrument Attributes

Current Factor	The current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond.
Previous Factor	The previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond.
Method of Interest Computation	The computation method to calculate the interest of the related financial instrument.
Maturity Date	The date on which a financial instrument becomes due and assets are to be repaid.
Minimum Nominal Quantity	Minimum nominal quantity of financial instrument that must be purchased/sold.
Warrant Parity	The ratio between the quantity of warrants and the quantity of underlying securities.
Option Style	Specifies how an option can be exercised.

Balances

Blocked	For the balance blocked for corporate action.
Borrowed	For the borrowed balance.
Received Collateral	For the Collateral in / Received Collateral Quantity: quantity of securities received as collateral
Delivered Collateral	For the Collateral out/ Delivered Collateral Quantity: quantity of securities delivered as collateral

Eligible	For the quantity of securities on which an income payment will be based, derived from the settled position plus any lent securities, minus any borrowed securities, plus any securities positions that are blocked as a result of processing custody events or certain types of clearing and settlement events , minus Received Collateral and Pending Receipts, plus Delivered Collateral and Pending Deliveries.
On loan	For the lent balance.
Pending Delivery	For the balance of securities to be delivered pending settlement.
Pending Receipt	For the balance of securities to be received pending settlement.
Settled Position	For the balance of securities to be considered as a settled entitled position held on account. The amount can be zero if the customer has only pending positions.

Details

Annual Interest Rate	The annual interest rate, expressed as a percentage.
Corporate Action Event Stage	The stage in the corporate action event life cycle.
Earliest Payment Date	The earliest execution date; that is, the business day on which the payment is expected to be made. This is in contrast with the payment date, which can fall on a weekend.
Ex Date	Where applicable, the date on or after which securities traded are not eligible for the corporate action event.
Interest Period	The period for which the interest rate has been applied.
Interest Rate	The annual interest rate of the bond coupon payment, expressed as a percentage.
Lottery Date	The date on which the lottery is run and applied to holders' positions (also for partial calls).
Number of Days Accrued	The number of days used for calculating the accrued interest amount.
Record Date	The date for which, at end of day, CBL records holdings in the security as being an entitled position.
Redemption Date	The date on which the securities are to be redeemed in return for the payment of the principal.
Applicable Rate	The rate per hundred at which redemption proceeds will be paid.
% of Total Share Capital Sought	The date on which the valuation of a security is determined.
Accrued Interest Indicator	Indicates whether the holder is entitled to accrued interest.
Occurrence Type Indicator	Specifies the conditions in which the instructions and/or payment of the proceeds occurs.
Additional Business Process	Specifies the additional business process linked to a corporate action event such as a claim compensation or tax refund.
Announcement Date	The official date of announcement of the event to the market or the date Clearstream Banking was notified of the event.
Blocking period	Period during which the security is blocked.
Certification Deadline Date	Deadline by which the beneficial ownership of securities must be declared.
Certification Flag	Includes the value "Yes" in the context of an income event for which certification is required. "No" is not used.
Certification format Indicator	Specifies the certification format required, ie, physical or electronic format.
Change Type	Specifies the type of change announced.
Coupon Number	The coupon number of the underlying security.
Deadline for Tax Breakdown Inst.	The date until which Clearstream Banking will accept tax breakdown instructions.
Discount Rate	Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.
Distribution Type	Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.
Dividend Type	Specifies the conditions in which a dividend is paid.
Early Closing Date	First possible early closing date of an offer if different from the expiry date.
Early Third Party Deadline	Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party.

Election to Counterparty Market Deadline	This is the Buyer Protection deadline by which the seller should send to the market the instructions for a corporate action that were given by the buyer.
Election to Counterparty Response Deadline	The deadline set by the custodian by which the buyer should advise their counterparty of their instructions for a corporate action event.
Effective Date	The date on which a corporate action process is completed.
Guaranteed Participation Date	Last date to buy the securities with the right attached to participate in an elective corporate action.
Index Fixing Date	Date/time at which an index rate will be determined.
Registration Deadline	Deadline to register.
Intermediate Secs Distribution Type	Intermediates securities distribution type.
Maximum price	Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.
Maximum Quantity of Securities	The maximum number of securities the offeror is requesting to complete the event.
Meeting Date	The date scheduled for the company's general meeting.
Minimum price	The minimum or floor price at which the holder can bid.
Minimum Quantity Sought	The minimum quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".
New Denomination Quantity	The new denomination of shares resulting, for example, from a partial redemption by reduction of nominal value.
Number of Days Accrued	The number of days used for calculating the accrued interest account.
Offer Type	Specifies the conditions that apply to the offer.
Offeror	Provides the entity making the offer and is different from the issuing company.
Redemption Charges Flag	For events on investment funds (often in the context of a change in the investment policy of the fund), this field contains "No" to indicate that there are no charges levied on redeeming the fund.
Required Action	An action is required in order to receive the proceeds from a mandatory corporate action.
Special Dividend with Reinvestment	Additional type of dividend can be reported.
Third Party Deadline	Date/Time by which the account owner must instruct directly another party, for example to provide documentation to an issuer agent.
Trading Suspended Date	The last trading date of the basis issue.
Web site address	The web address where additional information on the event can be found.

Options

Gross Dividend Rate (Taxable portion)	For a dividend subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Gross Dividend Rate (Tax Free)	For a dividend not subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Withholding Tax Rate	The percentage of a cash distribution that will be withheld by a tax authority.
Net Dividend Rate	The net amount before foreign exchange (if any) and after tax. Used for dividend payments only, this field contains the non-taxable amount, be it of a wholly non-taxable dividend or of the non-taxable part of a taxable dividend.
Interest Rate	The interest rate of the bond coupon payment, expressed as a percentage.
Cash Price Received Per Product	Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.
Certification Type Indicator	Specifies the type of certification required.
Clearstream Banking deadline	The Clearstream Banking deadline date and time for participation in the event contained in the notification, after which the default action will be applied.

Country of Non-domicile	This field contains a 2-character ISO country code. The beneficial owner has to certify that he is not domiciled in the country indicated.
Default Indicator	If set to "Yes", the option in the sequence will be applied in the absence of an instruction from the account holder by the deadline
Early Response Deadline	Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.
Expiration Date	The termination date for the exercise of a privilege (=the warrant exercise date).
Market Deadline Date	Issuer or issuer's agent deadline to respond, with an election instruction, to an outstanding offer or privilege.
Stock Lending Deadline	Date/time that the account servicer has set as the deadline by which to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.
Period of Action	Specifies the type of certification required.
Revocability Period	Period during which the shareholder can revoke, change or withdraw its instruction.
Withdrawal Allowed Flag	Indicates whether withdrawal of instruction is allowed.
Applied Option Flag	Indicates if an option is different from the default one, will be applied by the account owner.
Minimum Quantity to Instruct	Contains the number indicating the minimum quantity of securities or entitlement rights that are required to obtain an exercisable amount.
Minimum Multiple Quantity to Instruct	Contains the number indicating in what multiple numbers securities or entitlement rights must be presented to obtain an exercisable amount.
Security Movement	
Credit / Debit	Always Debit in an IPAR reporting on capital repayments.
ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Payment Date	The date the securities are credited to the account.
Additional for Existing Securities Rate	Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited.
Financial Transaction Tax Rate	Rate of financial transaction tax.
Disposition of Fractions	Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.
Dividend Ranking Date	The date on which income entitlement accrues to the securities.
Entitled Quantity	The (positive or negative) quantity of securities based on the terms of the event and the balance of the underlying securities to which the account owner is entitled.
Indicative Price	Indicative price of the financial instrument.
Issuer/Offeror Taxability Indicator	Taxable according to the information provided by the Issuer/Offeror.
Market Price	Last reported/known price of a financial instrument in a market.
Maturity Date	The date on which the security proceeds becomes due and principal is paid
Minimum Nominal Quantity	The minimum nominal quantity or lot of securities to be purchased.
Non Eligible Proceeds Indicator	Specifies information regarding outturn resources that cannot be processed by the CSD. Special delivery instruction is required from the account owner for the CA outcome to be credited.
New Securities Issuances Indicator	Indicates whether the securities are newly issued or not.
Trading Period	Period during which rights are tradable in a secondary market.
Type of Income	Income types for securities proceeds.

Cash Movement 00n Default Processing (where n is incremented per withholding tax rate)

Accrued Interest Amount	Amount of interest that has been accrued in between coupon payment periods.
Credit / Debit	Always Credit in an IPAR.
Cash Account	Customer account that will be credited. Cash account and safekeeping account will be different in the case of transfer accounts.
Gross Amount	The amount calculated in the currency of the issuer payment (before tax, foreign exchange and fees).
Tax Withheld	The amount of tax withheld.
Issuer/Offeror Taxability Indicator	Taxable according to the information provided by the Issuer/Offeror.
Solicitation Fee	Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.
Withholding Tax Rate (FTCA)	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
Withholding Tax Rate (NRAT)	Withholding tax related to income subject NRA (Non Resident Alien).
Net Amount	The net amount before foreign exchange (if any) and after deduction of tax. The currency in this field is always the same as that for the Gross Amount.
Entitled Amount	The amount to be paid to the customer account (after foreign exchange).
FATCA Tax Amount	Amount of tax related to FATCA (Foreign Account Tax Compliance Act).
Non Resident Tax Amount	Amount of tax related income subject to NRA (Non Resident Alien).
Exchange Rate	The exchange rate at which the income proceeds are converted.
FX Conversion Resulting Amount	The net amount after foreign exchange.
Value Date	The value date on which the cash is credited to the account.
Cash Incentive Rate	The rate of the cash premium made available if the holder of the securities consents to or participates in an event (for example, consent fees).
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.
Country of Income Source	The country from where the income originates.
Early Solicitation Fee Rate	Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.
Exercise/Conversion Price	The price to be paid for one unit of the proceed security following the exercise of entitlement rights.
Information Conditions	Provides conditional information related to the event.
Market Claim Amount	Amount of money resulting from a market claim.
Gross dividend rate	Cash dividend amount per equity before deductions or allowances have been made.
Net dividend rate	Cash dividend amount per equity after deductions or allowances have been made.
Solicitation Fee Rate	Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

Narrative

This is additional free-format information that applies to the payment.

Section 3:

This section contains a summary of all future income proceeds and/or capital repayments shown under Section 2 but in much shorter form.

Section 4: Report content (on complete reports only)

This section contains a summary of total entitled amount per payment date and currency.

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MT564 - Claim Reversal Advice (CRA)

Availability and timing

The MT564 Claim and Reversal Advice (CRA) is sent throughout the day (every 15 minutes) when:

- a market claim or reverse market claim subject to compensation by Clearstream is detected.
- a cancellation of a reported market claim or reverse market claim occurs.
- a reversal of an income event occurs;
- a reversal of a market claim and reverse market claim occurs;
- a partial reversal in the context of the German tax reform visible to CBF OneClearstream customers occurs.

The report is only available in delta form, not complete.

Report content

The MT564 CRA can include:

- Details of a detected market claim or reverse market claim subject to compensation by Clearstream (for example, reference of the corporate action; reference of the underlying settlement instruction).
- Details of the reported market and reverse market claim that is to be cancelled.
- Details of the reversal of a paid income event.
- Details of the reversal of a compensated market claim or reverse market claim.
- Details of the partial reversal for CBF OneClearstream customers.

The report will be produced for all eligible events or for the events selected by customers upon subscription.

When scheduling the MT564 Market Claim and Reversal Advice, the following options are available:

- Market claims;
- Reversals;
- Tax refunds, if the selected entity is CBF.

Report sorting

The MT564 CRA is sorted by Event type (Income, Non-Income) followed by:

For Income events:

- Value date (ascending), then by
- Payment ISO currency code (ascending), then by
- Event type (ascending), then by
- Corporate Action Reference (ascending)

For Non-Income events:

- Payment date (ascending), then by

- Underlying security code (either Common code or ISIN, depending on the account set-up) (ascending), then by

- Event type (ascending), then by

- Corporate Action Reference (ascending)

No transactions to report

If there are no market claims or reverse market claims to report, it is not reported.

MT564 - Detailed field descriptions (CRA)

The report is composed of two sections:

- Section 1 - Report header: general information;

- Section 2 - Report content: information on detected market claim or reverse market claim subject to compensation by Clearstream Banking.

MT564 Claim and Reversal Advice

Frequency: *Always*   Report generated on: *20-Oct-2017 10:06*

Account: CBL - 12345 - XYZ AG

Earliest Payment Date: Unknown

Claim or Compensation Notification - Message Cancellation Advice

General Information

Event Type	BONU - Bonus Automatic
Mandatory / Voluntary	Mandatory
Proceeds Type	Non Income
Payment Status	Unconfirmed preliminary announcement
Safekeeping Account	12345
Corporate Action Reference	AC6615105
Notification Timestamp	20-Oct-2017 09:49:22
Message Reference	95DC78
Related Message Reference	X000BOZB36116001

Underlying Financial Instrument

ISIN / Common Code	US8552441094 / 000009973575
Security Name	SHS STARBUCKS CORP
Type	Share
Place of Safekeeping	DTCYUS33XXX - DEPOSITORY TRUST COMPANY, THE NEW YORK,NY
Financial Instrument Attributes	
Currency of Denomination	USD
Minimum Nominal Quantity	UNIT 1

Balances

Eligible	UNIT -50

Details

Announcement Date	03-Jul-2017
Record Date	20-Jul-2017
Ex Date	19-Jul-2017
Additional Business Process	Claim or Compensation

Option 001 Securities Option

Default Indicator	Yes

Security Movement

Credit / Debit	**Debit**
ISIN / Common Code	US8552441094 / 000009973575
Security Name	SHS STARBUCKS CORP
Place of Safekeeping	DTCYUS33XXX - DEPOSITORY TRUST COMPANY, THE NEW YORK,NY
Entitled Quantity	UNIT 50
Additional for Existing Sec.Rate	1 - 1
Payment Date	21-Jul-2017

End of MT564 Claim and Reversal Advice

MT564 Claim and Reversal Advice

Frequency: *Always*   Report generated on: *20-Oct-2017 10:06*

Account: CBL - 88888 - XYZ (SCA)

Earliest Value Date: 20-Jul-2017

Claim or Compensation Notification - Pre-Advice

General Information

Event Type	DVCA - Cash Dividend
Mandatory / Voluntary	Mandatory
Proceeds Type	Income
Payment Status	With Entitlement
Safekeeping Account	12345
Corporate Action Reference	0000000485914059
Notification Timestamp	20-Oct-2017 09:49:23
Message Reference	95DC7D
Related Message Reference	199368

Underlying Financial Instrument

ISIN / Common Code	DE0007164600 / 000001114727	
Security Name	SHS SAP SE ORD BR	
Type	Share	
Place of Safekeeping	DAKVDEFFXXX - CLEARSTREAM BANKING AG FRANKFURT AM MAIN	
Financial Instrument Attributes		
Currency of Denomination	EUR	
Minimum Nominal Quantity	UNIT	1

Balances

Eligible	UNIT	2.400

Details

Announcement Date	19-Jul-2017
Record Date	17-Jul-2017
Ex Date	13-Jul-2017
Additional Business Process	Claim or Compensation

Option 001 Cash

Currency	EUR
Default Indicator	Yes

Cash Movement   001   Default Processing

Credit / Debit	Credit	
Cash Account	88888 - XYZ (SCA)	
Gross Amount	EUR	0,00
Withholding Tax Rate	%	26,375
Market Claim Amount	EUR	24.000,00
Net Amount	EUR	24.000,00
Withholding Tax Amount	EUR	0,00
Entitled Amount	EUR	24.000,00
Tax Free Amount	EUR	24.000,00
Gross Dividend Rate (Tax Free)	EUR	10
Net Dividend Rate	EUR	10
Payment Date	20-Jul-2017	
Value Date	20-Jul-2017	
Earliest Payment Date	20-Jul-2017	

Report generated on: 20-Oct-2017 10:06
Event types: All
88888.CBL_-_MT564_-_CLAIM_AND_REVERSAL_ADVICE.CRA.ALWAYS   *1 ...*

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Account: CBL - 88888 - XYZ (SCA)

Claim or Compensation Notification - Pre-Advice

General Information

Event Type	DVCA - Cash Dividend
Mandatory / Voluntary	Mandatory
Proceeds Type	Income
Payment Status	With Entitlement
Safekeeping Account	12345
Corporate Action Reference	0000000485914059
Notification Timestamp	20-Oct-2017 09:49:23
Message Reference	95DC7C
Related Message Reference	199367

Underlying Financial Instrument

ISIN / Common Code	DE0007164600 / 000001114727
Security Name	SHS SAP SE ORD BR
Type	Share
Place of Safekeeping	DAKVDEFFXXX - CLEARSTREAM BANKING AG FRANKFURT AM MAIN
Financial Instrument Attributes	
Currency of Denomination	EUR
Minimum Nominal Quantity	UNIT 1

Balances

Eligible	UNIT 14.000

Details

Announcement Date	19-Jul-2017
Record Date	17-Jul-2017
Ex Date	13-Jul-2017
Additional Business Process	Claim or Compensation

Option 001 Cash

Currency	EUR
Default Indicator	Yes

Cash Movement   001   Default Processing

Credit / Debit	Credit	
Cash Account	88888 - XYZ (SCA)	
Gross Amount	EUR	0,00
Withholding Tax Rate	%	26,375
Market Claim Amount	EUR	140.000,00
Net Amount	EUR	140.000,00
Withholding Tax Amount	EUR	0,00
Entitled Amount	EUR	140.000,00
Tax Free Amount	EUR	140.000,00
Gross Dividend Rate (Tax Free)	EUR	10
Net Dividend Rate	EUR	10
Payment Date	20-Jul-2017	
Value Date	20-Jul-2017	
Earliest Payment Date	20-Jul-2017	

End of MT564 Claim and Reversal Advice

Report generated on: 20-Oct-2017 10:06
Event types: All
88888.CBL_-_MT564_-_CLAIM_AND_REVERSAL_ADVICE.CRA.ALWAYS

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MT564 Claim and Reversal Advice

Frequency: *Always*   Report generated on: *03-Jun-2019 21:18*

Account: CBF - 9999000 - DUMMY BANK AG

Earliest Payment Date: 04-Jun-2019

Partial Reversal - Pre-Advice

General Information

Event Type	DVCA - DVCA
Mandatory / Voluntary	Mandatory
Proceeds Type	Non Income
Payment Status	With Entitlement
Safekeeping Account	2217000
Corporate Action Reference	0000000433074399
Notification Timestamp	03-Jun-2019 20:48:04
Message Reference	000000141089998S
Related Message Reference	TX-RLF005555

Underlying Financial Instrument

ISIN / Common Code	DE0005785802 / 000006947832
Security Name	SHS FRESENIUS MDCL. ORD BR
Type	Share
Place of Safekeeping	DAKVDEFFXXX - CLEARSTREAM BANKING AG FRANKFURT AM MAIN
Currency of Denomination	EUR

Balances

Eligible	UNIT	1.500

Details

Announcement Date	29-May-2019
Record Date	03-Jun-2019
Ex Date	31-May-2019
Additional Business Process	Tax Refund

Option 001 Cash

Default Indicator	Yes

Cash Movement   001   Default Processing

Credit / Debit	Credit		
Cash Account	CDEEURDUBKDEFFXXXDCA001 -		
Gross Amount	EUR	1.200,00	
Withholding Tax Rate	%	26,375	
Withholding Tax Amount	EUR	316,50	
Entitled Amount	EUR	883,50	
Gross Dividend Rate	EUR	0,8	
Gross Dividend Rate (Tax.Portion)	EUR	0,8	Actual Rate
Payment Date	04-Jun-2019		
Value Date	04-Jun-2019		

Narrative

Paying Agent	CBF W/KUNDENSIMULATION CRC
	MERGENTHALERALLEE 61
	DE-65760 ESCHBORN

Narrative

Narrative	TAX RELIEF
	FUND 6001000000000001
	CUSTOMER REF
	KADI-LFNR 99999

Report generated on: *03-Jun-2019 21:18*
Event types: INTR, DVOP, DVCA
9999000.TEST_DUMM_2.CRA.ALWAYS   1 ...

Account: CBF - 9999000 - DUMMY BANK AG

Account: CBF - 9999000 - DUMMY BANK AG

Partial Reversal - Pre-Advice

General Information

Event Type	DVCA - DVCA
Mandatory / Voluntary	Mandatory
Proceeds Type	Non Income
Payment Status	With Entitlement
Safekeeping Account	2217000
Corporate Action Reference	0000000433070739
Notification Timestamp	03-Jun-2019 20:48:04
Message Reference	000000141090000S
Related Message Reference	TX-RFD009998

Underlying Financial Instrument

ISIN / Common Code	DE0005428007 / 000011022235
Security Name	SHS COMDIREKTBANK AG
Type	Share
Place of Safekeeping	DAKVDEFFXXX - CLEARSTREAM BANKING AG FRANKFURT AM MAIN
Currency of Denomination	EUR

Balances

Eligible	UNIT	500

Details

Announcement Date	27-May-2019
Record Date	03-Jun-2019
Ex Date	31-May-2019
Additional Business Process	Tax Refund

Option 001 Cash

Default Indicator	Yes

Cash Movement   001   Default Processing

Credit / Debit	Credit		
Cash Account	CDEEURDUBKDEFFXXXDCA001 -		
Gross Amount	EUR	105,00	
Withholding Tax Rate	%	26,375	
Withholding Tax Amount	EUR	27,69	
Entitled Amount	EUR	2,31	
Gross Dividend Rate	EUR	0,06	
Gross Dividend Rate (Tax.Portion)	EUR	0,21	Actual Rate
Payment Date	04-Jun-2019		
Value Date	04-Jun-2019		
Paying Agent	CBF W/KUNDENSIMULATION CRC MERGENTHALERALLEE 61 DE-65760 ESCHBORN		

Narrative

Narrative	TAX REFUND FUND 6001000000000001 CUSTOMER REF KADI-LFNR 99999

End of MT564 Claim and Reversal Advice

Report generated on: 03-Jun-2019 21:18
Event types: INTR, DVOP, DVCA
9999000.TEST_DUMM_2.CRA.ALWAYS

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Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based.
Complete/Delta indicator	This is Delta.
Report frequency	This is Real-Time.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content (for each detected market claim or reverse market claim)

Payment Date

This is the date on which the cash or securities should be credited.

- For income proceeds, this is the Earliest Value Date.
- For non-income proceeds, this is the Earliest Payment Date.

General Information

Event Type

Equities
ACCU - Accumulation
BONU - Bonus Automatic
CAPD - Capital Distribution
CAPG - Capital Gain
CAPI - Capitalisation
DECR - Decrease in Value
DRCA - Cash Distribution from Non-Eligible Securities
DRIP - Dividend Reinvestment
DVCA - Cash Dividend
DVCA - Currency Option on Dividend
DVOP - Dividend Option
DVSE - Stock Dividend
INTR - Interest Payment (Coupon Straight)
INTR - Interest Payment (Floating Rate Note)
LIQU - Partial Liquidation (without debit of basis security)
PINK - Payment of Kind
PRED - Partial Redemption Without Reduction of Nominal Value
RHDI - Rights Distribution
SHPR - Share Issue Premium
SOFF - Demerger/Spin-off
SPLF - Stock Split

Debt
CAPI - Capitalisation
INTR - Interest Payment (Floating Rate Note)
INTR - Interest Payment (Coupon Straight)
LIQU - Partial Liquidation (without debit of basis security)
PRED - Partial Redemption Without Reduction of Nominal Value

Note: PRED events are eligible for detection in the International market, but are not eligible for compensation, except in Securities Lending.

Proceeds Type	Income or Non-Income
Payment Status	Preliminary Announcement: Unconfirmed.

Corporate Action Reference	CBL reference and version number.
Notification Timestamp	Date and time of the notification.
Related References	Reference of related corporate action event.

Underlying Financial Instrument

ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Type	Shares, Bond, Warrant, Other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).

Financial Instrument Attributes

Currency of denomination	????
Maturity Date	The date on which a financial instrument becomes due and assets are to be repaid.
Minimum Nominal Quantity	Minimum nominal quantity of financial instrument that must be purchased/sold.

Balances

Blocked	For the balance blocked for corporate action.
Borrowed	For the borrowed balance.
Received Collateral	For the Collateral in / Received Collateral Quantity: quantity of securities received as collateral
Delivered Collateral	For the Collateral out/ Delivered Collateral Quantity: quantity of securities delivered as collateral
Eligible	For the quantity of securities on which an income payment will be based, derived from the settled position plus any lent securities, minus any borrowed securities, plus any securities positions that are blocked as a result of processing custody events or certain types of clearing and settlement events, minus Received Collateral and Pending Receipts, plus Delivered Collateral and Pending Deliveries.
On loan	For the lent balance.
Pending Delivery	For the balance of securities to be delivered pending settlement.
Pending Receipt	For the balance of securities to be received pending settlement.
Settled Position	For the balance of securities to be considered as a settled entitled position held on account. The amount can be zero if the customer has only pending positions.

Details

Annual Interest Rate	The annual interest rate, expressed as a percentage.
Corporate Action Event Stage	The stage in the corporate action event life cycle.
Earliest Payment Date	The earliest execution date; that is, the business day on which the payment is expected to be made. This is in contrast with the payment date, which can fall on a weekend.
Ex Date	Where applicable, the date on or after which securities traded are not eligible for the corporate action event.
Interest Period	The period for which the interest rate has been applied.
Interest Rate	The annual interest rate of the bond coupon payment, expressed as a percentage.
Lottery Date	The date on which the lottery is run and applied to holders' positions (also for partial calls).
Number of Days Accrued	The number of days used for calculating the accrued interest amount.
Record Date	The date for which, at end of day, CBL records holdings in the security as being an entitled position.
Redemption Date	The date on which the securities are to be redeemed in return for the payment of the principal.
Applicable Rate	The rate per hundred at which redemption proceeds will be paid.
% of Total Share Capital Sought	The date on which the valuation of a security is determined.
Accrued Interest Indicator	Indicates whether the holder is entitled to accrued interest.

Occurrence Type Indicator	Specifies the conditions in which the instructions and/or payment of the proceeds occurs.
Additional Business Process	Specifies the additional business process linked to a corporate action event such as a claim compensation or tax refund.
Announcement Date	The official date of announcement of the event to the market or the date Clearstream Banking was notified of the event.
Blocking period	Period during which the security is blocked.
Certification Deadline Date	Deadline by which the beneficial ownership of securities must be declared.
Certification Flag	Includes the value "Yes" in the context of an income event for which certification is required. "No" is not used.
Certification format Indicator	Specifies the certification format required, ie, physical or electronic format.
Change Type	Specifies the type of change announced.
Coupon Number	The coupon number of the underlying security.
Deadline for Tax Breakdown Inst.	The date until which Clearstream Banking will accept tax breakdown instructions.
Discount Rate	Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.
Distribution Type	Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.
Dividend Type	Specifies the conditions in which a dividend is paid.
Early Closing Date	First possible early closing date of an offer if different from the expiry date.
Early Third Party Deadline	Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party.
Election to Counterparty Market Deadline	This is the Buyer Protection deadline by which the seller should send to the market the instructions for a corporate action that were given by the buyer.
Election to Counterparty Response Deadline	The deadline set by the custodian by which the buyer should advise their counterparty of their instructions for a corporate action event.
Effective Date	The date on which a corporate action process is completed.
Guaranteed Participation Date	Last date to buy the securities with the right attached to participate in an elective corporate action.
Index Fixing Date	Date/time at which an index rate will be determined.
Registration Deadline	Deadline to register.
Intermediate Secs Distribution Type	Intermediates securities distribution type.
Maximum price	Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.
Maximum Quantity of Securities	The maximum number of securities the offeror is requesting to complete the event.
Meeting Date	The date scheduled for the company's general meeting.
Minimum price	The minimum or floor price at which the holder can bid.
Minimum Quantity Sought	The minimum quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".
New Denomination Quantity	The new denomination of shares resulting, for example, from a partial redemption by reduction of nominal value.
Number of Days Accrued	The number of days used for calculating the accrued interest account.
Offer Type	Specifies the conditions that apply to the offer.
Offeror	Provides the entity making the offer and is different from the issuing company.
Redemption Charges Flag	For events on investment funds (often in the context of a change in the investment policy of the fund), this field contains "No" to indicate that there are no charges levied on redeeming the fund.

Required Action	An action is required in order to receive the proceeds from a mandatory corporate action.
Special Dividend with Reinvestment	Additional type of dividend can be reported.
Third Party Deadline	Date/Time by which the account owner must instruct directly another party, for example to provide documentation to an issuer agent.
Trading Suspended Date	The last trading date of the basis issue.
Web site address	The web address where additional information on the event can be found.

Options

Gross Dividend Rate (Taxable portion)	For a dividend subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Gross Dividend Rate (Tax Free)	For a dividend not subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Withholding Tax Rate	The percentage of a cash distribution that will be withheld by a tax authority.
Net Dividend Rate	The net amount before foreign exchange (if any) and after tax. Used for dividend payments only, this field contains the non-taxable amount, be it of a wholly non-taxable dividend or of the non-taxable part of a taxable dividend.
Interest Rate	The interest rate of the bond coupon payment, expressed as a percentage.
Cash Price Received Per Product	Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.
Certification Type Indicator	Specifies the type of certification required.
Clearstream Banking deadline	The Clearstream Banking deadline date and time for participation in the event contained in the notification, after which the default action will be applied.
Country of Non-domicile	This field contains a 2-character ISO country code. The beneficial owner has to certify that he is not domiciled in the country indicated.
Default Indicator	If set to "Yes", the option in the sequence will be applied in the absence of an instruction from the account holder by the deadline
Early Response Deadline	Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.
Expiration Date	The termination date for the exercise of a privilege (=the warrant exercise date).
Market Deadline Date	Issuer or issuer's agent deadline to respond, with an election instruction, to an outstanding offer or privilege.
Stock Lending Deadline	Date/time that the account servicer has set as the deadline by which to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.
Period of Action	Specifies the type of certification required.
Revocability Period	Period during which the shareholder can revoke, change or withdraw its instruction.
Withdrawal Allowed Flag	Indicates whether withdrawal of instruction is allowed.
Applied Option Flag	Indicates if an option is different from the default one, will be applied by the account owner.
Minimum Quantity to Instruct	Contains the number indicating the minimum quantity of securities or entitlement rights that are required to obtain an exercisable amount.
Minimum Multiple Quantity to Instruct	Contains the number indicating in what multiple numbers securities or entitlement rights must be presented to obtain an exercisable amount.
Security Movement	
Credit / Debit	Always Debit in an IPAR reporting on capital repayments.
ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.

Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either:
	• CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or
	• The local CSD (for securities deposited with CBL's domestic depository).
Payment Date	The date the securities are credited to the account.
Additional for Existing Securities Rate	Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited.
Financial Transaction Tax Rate	Rate of financial transaction tax.
Disposition of Fractions	Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.
Dividend Ranking Date	The date on which income entitlement accrues to the securities.
Entitled Quantity	The (positive or negative) quantity of securities based on the terms of the event and the balance of the underlying securities to which the account owner is entitled.
Indicative Price	Indicative price of the financial instrument.
Issuer/Offeror Taxability Indicator	Taxable according to the information provided by the Issuer/Offeror.
Market Price	Last reported/known price of a financial instrument in a market.
Maturity Date	The date on which the security proceeds becomes due and principal is paid
Minimum Nominal Quantity	The minimum nominal quantity or lot of securities to be purchased.
Non Eligible Proceeds Indicator	Specifies information regarding outturn resources that cannot be processed by the CSD. Special delivery instruction is required from the account owner for the CA outcome to be credited.
New Securities Issuances Indicator	Indicates whether the securities are newly issued or not.
Trading Period	Period during which rights are tradable in a secondary market.
Type of Income	Income types for securities proceeds.
Cash Movement 00n Default Processing (where n is incremented per withholding tax rate)	
Accrued Interest Amount	Amount of interest that has been accrued in between coupon payment periods.
Credit / Debit	Always Credit in an IPAR.
Cash Account	Customer account that will be credited. Cash account and safekeeping account will be different in the case of transfer accounts.
Gross Amount	The amount calculated in the currency of the issuer payment (before tax, foreign exchange and fees).
Tax Withheld	The amount of tax withheld.
Issuer/Offeror Taxability Indicator	Taxable according to the information provided by the Issuer/Offeror.
Solicitation Fee	Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.
Withholding Tax Rate (FTCA)	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
Withholding Tax Rate (NRAT)	Withholding tax related to income subject NRA (Non Resident Alien).
Net Amount	The net amount before foreign exchange (if any) and after deduction of tax. The currency in this field is always the same as that for the Gross Amount.
Entitled Amount	The amount to be paid to the customer account (after foreign exchange).
FATCA Tax Amount	Amount of tax related to FATCA (Foreign Account Tax Compliance Act).
Non Resident Tax Amount	Amount of tax related income subject to NRA (Non Resident Alien).
Exchange Rate	The exchange rate at which the income proceeds are converted.
FX Conversion Resulting Amount	The net amount after foreign exchange.
Value Date	The value date on which the cash is credited to the account.
Cash Incentive Rate	The rate of the cash premium made available if the holder of the securities consents to or participates in an event (for example, consent fees).
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.
Country of Income Source	The country from where the income originates.
Early Solicitation Fee Rate	Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.
Exercise/Conversion Price	The price to be paid for one unit of the proceed security following the exercise of entitlement rights.
Information Conditions	Provides conditional information related to the event.
Market Claim Amount	Amount of money resulting from a market claim.
Gross dividend rate	Cash dividend amount per equity before deductions or allowances have been made.
Net dividend rate	Cash dividend amount per equity after deductions or allowances have been made.
Solicitation Fee Rate	Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

Narrative

This is additional free-format information that applies to the payment.

MT564/568 - Corporate Action Notification

Availability and timing

The timing of pre-notifications and notifications is largely dependent on the type of corporate action event and how much notice Clearstream Banking has received. Typically, the following approach is adopted:

Pre-notifications

Pre-notifications are sent to customers between the announcement date and the record date to advise them of a prospective securities event entitlement.

Notifications

Notifications are sent to customers on or after record date to advise them that they have received a securities event entitlement based on their holding on record date. For corporate action events that are not subject to a record date, Clearstream Banking will send notifications to customers upon receipt of the information.

The majority of reports are released in Clearstream Banking's batches at 08:00, 11:00, 14:00, 16:00 and 20:00 (Central European Time). However, notifications that require urgent attention are released throughout the working day.

Subscriptions may exclude securities in default when selecting "For income events, exclude securities in default". This will only affect event types CAPD, DECR, DRAW, DVCA, EXWA, INTR, PCAL, PRED and REDM.

Amendments

As soon as Clearstream Banking becomes aware of changes in the conditions of a corporate action event for which a notification has been sent, a subsequent notification with function "Replacement" is sent to the entitled holders.

Reminders

Reminders are sent to those customers who have not yet sent their instructions. A reminder is sent with function "Reminder" to entitled holders on the fifth business day prior to the Clearstream Banking deadline date. Additional reminders are sent on case by case basis and, if necessary, closer to the deadline.

MT564/568 - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on corporate action event.

MT564/568 Corporate Action Notification

MT564 CA YZ
Frequency: *Always*

Account: CBL - 12345 - Customer

ISIN / Common Code - Security Name - Type
Place of Safekeeping

DE000DB1FYC6 / 000034348987 - UNT DEUTSCHE BK AG(DE) (5 ALPHA) XXXXXX   - Warrant
DAKVDEFFXXX - CLEARSTREAM BANKING AG FRANKFURT AM MAIN

Your instruction requested - Urgent - Reminder
Redemption at the Holder's Option

General Information

Corporate Action Reference	0000000068749028
Mandatory / Voluntary	Voluntary
Processing Status	Announcement with complete details

Balances

Settled Position	UNIT	300
Eligible	UNIT	300
Instructed	UNIT	0
Uninstructed	UNIT	300

Details

Announcement Date	24-Nov-2009

Option 001 Cash

Default Indicator	No
Clearstream Banking Deadline	16-Dec-2009 10:00
Period Of Action	24-Nov-2009 - 18-Dec-2009
Market Deadline Date / Time	18-Dec-2009 15:00

Cash Movement

Credit / Debit	Credit
Cash Account	xxxxx
Value Date	31-Mar-2010
Payment Date	31-Mar-2010

Option 002 No Action

Default Indicator	Yes

Narrative

Party Contact Narrative

ATTENTION: SECURITIES ADM/CORPORATE ACTIONS/REORG

JOCHEN EMNET DOMESTIC CORP ACT FRANKFURT, PHONE: +49 69 21 11 9481

Additional Text

++ WARNING ++ EARLY DEADLINE: 16-DEC-2009 09:00 CENTRAL EUROPEAN TIME

++ ADDITIONAL INFORMATION ++ AMOUNT: TO BE ANNOUNCED

++ DEFAULT ACTION ++ NO ACTION TAKEN BY CLEARSTREAM

MT564 /568 - Corporate Action Notification

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report frequency	This is "Always".
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content

ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Type	Shares, Bond, Warrant, Other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either:

- CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or
- The local CSD (for securities deposited with CBL's domestic depository).

Action Status - Function

Certification Request - Reminder	New, Replacement, Reminder or Withdrawal
Certification Request - Request	New, Replacement, Reminder or Withdrawal
For your information - Notification	New, Replacement, Reminder or Withdrawal
For your information - Pre-notification	New, Replacement, Reminder or Withdrawal
For your information - Reminder	New, Replacement, Reminder or Withdrawal
For your information - Urgent	New, Replacement, Reminder or Withdrawal
General Information	New, Replacement, Reminder or Withdrawal
Withdrawn	New, Replacement, Reminder or Withdrawal
Your instruction requested - Reminder	New, Replacement, Reminder or Withdrawal
Your instruction requested - Request	New, Replacement, Reminder or Withdrawal
Your instruction requested - Urgent	New, Replacement, Reminder or Withdrawal

Event Type	Corporate Action event name.

General Information

Corporate Action Reference	CBL reference and version number.
Mandatory/Voluntary	
Mandatory	Used on mandatory events without choice.
Mandatory with choice	Used on mandatory events with choice.
Voluntary	Used on elective events.
Processing Status	Announcement with complete details
	Preliminary Announcement: Confirmed
	Preliminary Announcement: Unconfirmed
Related Events	The related event and its reference.
Financial Instrument Attributes	
Current Factor	The current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond.
Next Factor	The new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond.
FRN Reset Date	The date on which the interest rate of a floating rate note will be calculated and reset (=fixing date).
Warrant Parity	The ratio between the quantity of warrants and the quantity of underlying securities.
Method of Interest Computation	The computation method to calculate the interest of the related financial instrument.
Option Style	Specifies how an option can be exercised.
Currency of Denomination	Currency in which a financial instrument is currently denominated.

Balances

Blocked	For the balance blocked for corporate action.
Borrowed	For the borrowed balance.
Confirmed	Balance that the payment applies to (less or equal to the total eligible balance) or the borrowed balance.
Received Collateral	For the Collateral in / Received Collateral Quantity: quantity of securities received as collateral
Delivered Collateral	For the Collateral out/ Delivered Collateral Quantity: quantity of securities delivered as collateral
Eligible	For the quantity of securities on which an income payment will be based, derived from the settled position plus any lent securities, minus any borrowed securities, plus any securities positions that are blocked as a result of processing custody events or certain types of clearing and settlement events , minus Received Collateral and Pending Receipts, plus Delivered Collateral and Pending Deliveries.
Eligible Full Period Units	Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.
Eligible Part Way Period Units	Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the UK.
Instructed	For the quantity of securities for which one or more instructions have been received. This is only used when the notification is a Reminder.
On loan	For the lent balance.
Pending Delivery	For the balance of securities to be delivered pending settlement.
Pending Receipt	For the balance of securities to be received pending settlement.
Settled Position	For the balance of securities to be considered as a settled entitled position held on account. This quantity is derived from the booked position plus securities on loan, minus borrowed securities, plus pledged, drawn and blocked positions.
Uninstructed	For the quantity of securities for which no instruction has been received. This is only used when the notification is a Reminder.

Details

Accrued Interest Indicator	Indicates whether the holder is entitled to accrued interest.
Additional Business Process	Specifies the additional business process linked to a corporate action event such as a claim compensation or tax refund.
Announcement Date	The official date of announcement of the event to the market or the date Clearstream Banking was notified of the event.
Annual Interest Rate	The interest rate of the bond coupon payment, expressed as a percentage.
Bid Interval Rate	Acceptable price increment used for submitting a bid.
Blocking Period	Period during which the security is blocked.
Charges/Fees	The charges or exercise fees applied to the transaction.
Certification Flag	Includes the value "Yes" in the context of an income event for which certification is required. "No" is not used.
Certification Deadline date	Deadline by which the beneficial ownership of securities must be declared.
Clearstream Banking Deadline	The Clearstream Banking deadline date and time to participate in the event contained in the notification, after which the default action will be applied.
Consent Record Date	The date that the offeror of securities will use to determine the beneficiary that is eligible to participate in a consent based on the registered owner of securities (for example, the beneficial owner of consent record).
Certification format indicator	Specifies the certification format required, ie, physical or electronic format.
Electronic Format	Certification must be provided in electronic format
Physical Format	Certification must be provided in physical format.

Change Type	Specifies the type of change announced.
Name	Change of the issuing company's name.
Terms	Change in the terms of the security
Corporate Action Event Stage	The stage in the corporate action event life cycle.
Coupon Number	The coupon number of the underlying security.
Deadline for Tax Breakdown Inst.	The date until which Clearstream Banking will accept tax breakdown instructions.
Discount Rate	Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.
Distribution Type	Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.
Dividend Type	Specifies the conditions in which a dividend is paid.
Final	Payment of a dividend, less the amount already paid through interim dividends.
Interim	Dividend declared and paid before annual earnings have been determined.
Regular	Dividend paid at regular interval, for example, quarterly.
Reinvestment of a Fund Cash Distribution	Automatic Reinvestment of Cash distributed by accumulating funds.
Special	Dividend in addition to the regular dividend being paid by the company.
Consent to change	Specifies the type of consent announced.
Information Type	Specifies the type of information event.
Occurrence Type Indicator	Specifies the conditions in which the instructions and/or payment of the proceeds occurs.
Early Closing Date	First possible early closing date of an offer if different from the expiry date.
Early Third Party Deadline	Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party.
Election to Counterparty Market Deadline	This is the Buyer Protection deadline by which the seller should send to the market the instructions for a corporate action that were given by the buyer.
Election to Counterparty Response Deadline	The deadline set by the custodian by which the buyer should advise their counterparty of their instructions for a corporate action event.
Effective Date	The date on which a corporate action process is completed.
Guaranteed Participation Date	Last date to buy the securities with the right attached to participate in an elective corporate action.
Ex Date	Where applicable, the date on or after which securities traded are not eligible for the corporate action event.
Exercise Period	The period during which rights or warrants are exercisable.
Expiration Date	The termination date for the exercise of a privilege (=the warrant exercise date).
Interest Period	The dates that define the period for which the interest rate has been applied.
Intermediate Securities Distribution Type	Intermediates securities distribution type.
Bonus Rights	Distribution of bonus rights.
Dividend Option	Distribution of dividend option.
Subscription Rights	Distribution of subscription rights.
Market Deadline	Issuer or issuer's agent deadline to respond, with an election instruction, to an outstanding offer or privilege.
Maximum Price	Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.
Maximum Quantity of Securities	The maximum number of securities the offeror is requesting to complete the event.
New Maturity Date	The date on which a financial instrument becomes due and assets are to be repaid.
Meeting Date	The date scheduled for the company's general meeting.
Minimum Price	The minimum or floor price at which the holder can bid.
Minimum Quantity Sought	The minimum quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

Name	The new name of a company following a name change.
New Denomination Quantity	The new denomination of shares resulting, for example, from a partial redemption by reduction of nominal value.
New Shares to Rights Rate	The quantity of securities derived from the exercise of a given quantity of rights or warrants.
Number of Days Accrued	The number of days used for calculating the accrued interest account.
Offer Type	Specifies the conditions that apply to the offer.
Squeeze Out Bid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
Offeror	Provides the entity making the offer and is different from the issuing company.
Payment Date	The date on which security is credited to the holder's account.
Place of Meeting	Place of the company's meeting for the scheduled meeting date.
% of Total Share Capital Sought	The percentage of the outstanding shares that an offeror is seeking to take over.
Quotation Setting Date	The date on which the valuation of a security is determined.
Record Date	The date for which, at end of day, CBL records holdings in the security as being an entitled position.
Redemption Charges Flag	For events on investment funds (often in the context of a change in the investment policy of the fund), this field contains "No" to indicate that there are no charges levied on redeeming the fund.
Redemption Date	The date on which the securities are to be redeemed in return for the payment of the principal.
Redemption Rate	The rate per 100 at which redemption proceeds will be paid.
Reinvestment Price	The price at which a cash disbursement will be reinvested in securities.
Required Action	An action is required in order to receive the proceeds from a mandatory corporate action.
Special Dividend with Reinvestment	Additional type of dividend can be reported.
Subscription Price	The price at which new securities can be subscribed. This also applies for the exercise of warrants.
Third Party Deadline	Date/Time by which the account owner must instruct directly another party, for example to provide documentation to an issuer agent.
Trading Period	The period during which intermediate securities are tradable in a secondary market.
Trading Suspended Date	The last trading date of the basis issue.
Web Site Address	The web address where additional information on the event can be found.
Withholding Tax Rate	The default tax rate applicable to the event.
Additional Text	Reported in the following cases only: • Withdrawal of the event or offer. • Interest rate fixing with one of the following code words: /INTD/ with the number of days in the period; or /INTM/ with the method of interest rate calculation.

Option 00n (Option name)

If more than one option is available, 001, 002 etc. are assigned to identify the different options.

Offer Type	Specifies the conditions that apply to the offer.
Option Status	Specifies the status of the option.
Certification Type Indicator	Specifies the type of certification required.
Default Indicator	If set to "Yes", the option in the sequence will be applied in the absence of an instruction from the account holder by the deadline
Applied Option Flag	Indicates if an option is different from the default one, will be applied by the account owner.
Withdrawal Allowed Flag	Indicates whether withdrawal of instruction is allowed.
Currency	One repetition of the Option sequence is sent for each currency in which the proceeds are payable. In the absence of an instruction from the holder, the proceeds will be paid in the currency for which the flag in field Default Indicator is set to "Yes".
Additional for Existing Sec. Rate	The quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited. For example, 1-1 means that one new share will be credited for each underlying share, resulting in 2 shares.

MT564 /568 - Corporate Action Notification

Cash Incentive Rate	The rate of the cash premium made available if the holder of the securities consents to or participates in an event (for example, consent fees).
Cash Price Received Per Product	Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.
Charges/Fees	The charges or exercise fees applied to the transaction.
Clearstream Banking Deadline	The Clearstream Banking deadline date and time for participation in the event contained in the notification, after which the default action will be applied.
Country of Non-domicile	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
Stock Lending Deadline	Date/time that the account servicer has set as the deadline by which to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.
Conversion Date	The date by which convertible securities are converted
Dividend Ranking Date	The date on which securities are accruing income entitlement
Exchange Rate	The exchange rate at which the proceeds are converted (when available).
Exercise/Conversion Price	The price to be paid for one unit of the proceed security following the exercise of entitlement rights.
Exercise Period	Period during which rights/warrants are exercisable
Gross Dividend Rate (Taxable portion)	For a dividend subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Gross Dividend Rate (Tax Free)	For a dividend not subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Interest Rate	The interest rate applicable to the event/payment.
Minimum Quantity to Instruct	Contains the number indicating the minimum quantity of securities or entitlement rights that are required to obtain an exercisable amount.
Minimum Multiple Quantity to Instruct	Contains the number indicating in what multiple numbers securities or entitlement rights must be presented to obtain an exercisable amount
Net Dividend Rate	The non-taxable amount, be it of a wholly non-taxable dividend or of the non-taxable part of a taxable dividend. Used for dividend payments only.
New to Old Rate	The ratio of underlying to converted securities in a conversion event.
Offer Price Received	The price at which securities are offered by the holder for purchase or sale.
Payment Date	Date/time at which the distribution is due to take place (cash and/or securities).
Period of Action	Period during which the specified option, or all options of the event, remains valid, for example, offer period.
Reinvestment Price	The price at which a cash disbursement will be reinvested into securities
Revocability Period	Period during which the shareholder can revoke, change or withdraw its instruction.
Subscription Price	The price at which new securities can be subscribed. This also applies for the exercise of warrants.
Market Price	Last reported/known price of a financial instrument in a market.
Trading Period	Period during which rights are tradable in a secondary market.
Withholding Tax Rate	The default tax rate applicable to the event.
Security Movement	
Credit/Debit	Indicates whether the movement of securities is a "Credit" or a "Debit" to the account
ISIN	The ISIN Code of the instrument
Common Code	The Common Code of the instrument
Description	The description of the instrument
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either:

- CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or
- The local CSD (for securities deposited with CBL's domestic depository).

Issuer/Offeror Taxability Indicator	Proceeds are taxable according to the information provided by the issuer/offeror.
Exercise/Conversion Price Attributes	Price at which a security will be exercised or converted.
Maturity Date	The date on which the security proceeds becomes due and principal is paid.
Minimum Nominal Quantity	The minimum nominal quantity or lot of securities to be purchased.

Method of Interest Computation	The computation method to calculate the interest of the related financial instrument.
Entitled Quantity	The (positive or negative) quantity of securities based on the terms of the event and the balance of the underlying securities to which the account owner is entitled.
Fractions Handling	
Buy up	Buy up to next whole number
Round down	Round the entitlement down to the last full unit, discarding fractions
Round up	Round the entitlement up to next full unit
Take cash	Take cash in lieu of fractions
Take fraction	Take distribution of fractions
Indicative Price	Indicative price of the financial instrument.
New to Old Rate	The ratio of underlying to converted securities in a conversion event.
New Shares to Rights Rate	The quantity of securities derived from the exercise of a given quantity of rights or warrants.
Financial Transaction Tax Rate	Rate of financial transaction tax.
Dividend Ranking Date	The date on which income entitlement accrues to the securities.
Payment Date	The date on which a security is credited to the holder's account.
Type of Income	Income types for securities proceeds
Cash Movement	
Applicable Rate	Rate applicable to the event announced.
Solicitation Fee Rate	Cash rate made available in an offer in order to encourage participation in the offer.
Withholding Tax Rate (FTCA)	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
Withholding Tax Rate (NRAT)	Withholding tax related to income subject NRA (Non Resident Alien).
Issuer/Offeror Taxability Indicator	Taxable according to the information provided by the Issuer/Offeror.
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.
Country of Income Source	The country from where the income originates.
Credit / Debit	Indicates whether the cash will be credited or debited
Cash Account	The customer cash account to which cash will be debited/credited
Early Solicitation Fee Rate	Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.
Payment Date	The date on which the payment is expected to take place, which may be a non-business day. This date is the one to be considered in interest calculations.
Value Date	The value date on which the cash is expected to be credited or debited to the account

Narrative

Party Contact Narrative	
Information Conditions	Provides conditional information related to the event.
Additional Text	
Certification Breakdown Narrative	Additional information about the type of certification /breakdown required.
Narrative Version	Provides information that can be ignored for automatic processing.

MT566 - Corporate Action Confirmation

Availability and timing

The MT566 Corporate Action Confirmation is sent throughout the day at the time of payment execution.

Report content

Corporate Action Confirmations are sent to advise the amounts and quantities of cash and/or security proceeds that are posted to the account for income and non-income events.

The MT566 Confirmation of Income and Redemption Proceeds and MT566 Corporate Action Confirmation services are aligned as elements of the same standard service. Each credit/debit is reported separately and reversals to posted entries are also reported with cross-references to the initial event in each case.

Proceeds are credited subject to confirmation from Clearstream Banking's depository, of receipt of the securities and/or cash.

An MT566 will be sent for each instruction option received from the customer. If the customer's instruction contained multiple options (for example, Exercise, Sell Entitlement), a confirmation will be sent for each option.

N.B.: Compensations are reported and can be identified by the presence of Market Claim amount in the Cash Movement subsequence

MT566 - Detailed field descriptions (Corporate Action Confirmation)

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on corporate action events and postings.

MT566 Corporate Action Confirmation

Sample MT566

Frequency: Always

Report generated on: *09-Sep-2004 10:53*

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security - Type
Place of Safekeeping

HK2244224427   / 000019624986 - SHS CSR2 DEPCLOSED   - Share
XHKCHKH1XXX - HONG KONG SECURITIES CLEARING COMPA NY LIMITED HONG KONG
Liquidation

General Information

Corporate Action Reference	0000000028202810
Processing Status	Announcement with complete details

Balances

Settled Position	UNIT	37.000
Pending Delivery	UNIT	0
Pending Receipt	UNIT	0
Confirmed	UNIT	37.000

Details

Announcement Date	06-Jul-2004

Confirmation 001 Put Securities HKD

Security Movement
Credit / Debit	Debit
ISIN	HK2244224427
Common Code	000019624986
Description	SHS CSR2 DEPCLOSED
Place of Safekeeping	HONG KONG SECURITIES CLEARING COMPA NY LIMITED HONG KONG
Posting Quantity	UNIT 37.000
Posting Date	07-Jul-2004

Cash Movement
Credit / Debit	Debit
Cash Account	12345 - CUSTOMER ACCOUNT
Gross Amount	HKD 37.000.000,00
Posting Amount	HKD 37.000.000,00
Posting Date	07-Jul-2004
Value Date	07-Jul-2004

Additional Information

Narrative
SECURITIES ADM/CORPORATE

ACTIONS/REORG

RESPONSIBLE NAME: CECILE VALTON

MARKET TEAM: CUST OPS CUSTODIAN

CORP. ACT. FFM, PHONE NUMBER: +352

24 33 6526, FAX NUMBER:

0049/69/211-6-14090

End of MT566 Corporate Action Confirmation Report

MT566 - Corporate Action Confirmation

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report frequency	This is "Intraday".
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content

ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Type	Shares, Bond, Warrant, Other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Event Type	Corporate Action event name.

General Information

Corporate Action Reference	CBL reference and version number.
Processing Status	Announcement with complete details.
Related Events	The related event and its reference.

Balances

Blocked	For the balance blocked for corporate action.
Borrowed	For the borrowed balance.
Confirmed	For the quantity of securities to which the payment applies.
On loan	For the lent balance.
Pending Delivery	For the balance of securities to be delivered pending settlement.
Pending Receipt	For the balance of securities to be received pending settlement.
Settled Position	The balance of securities to be considered as a settled entitled position held on account. The amount can be zero if the customer has only pending positions.
Affected Balance	Balance that has been affected by the process run through the event.
Unaffected Balance	Balance that has not been affected by the process run through the event.

Details

Additional Business Process	When the posting relates to a compensation (that is, a claim) on the associated event, this field is reported with "Compensation Payment".
Announcement Date	The official date of announcement of the event to the market or the date Clearstream Banking was notified of the event.
Consent Record Date	The date that the offeror of securities will use to determine the beneficiary that is eligible to participate in a consent based on the registered owner of securities (for example, the beneficial owner of consent record).
Ex Date	Where applicable, the date on or after which securities traded are not eligible for the corporate action event.
% of Total Share Capital Sought	The percentage of the outstanding shares that an offeror is seeking to take over.
Number of Days Accrued	Number of days used for calculating the accreued interest amount.
Record Date	The date for which, at end of day, CBL records holdings in the security as being an entitled position.
Exercise/Conversion Price	Price at which a security will be exercised or converted.
Maximum Price	Maximum or cap price at which a holder can bid, for example on a Dutch auction offer
Minimum Price	The minimum or floor price at which a holder can bid.
New Maturity Date	Date/time to which the maturity date of an interest bearing security is extended.

Previous Factor	Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.
Next Factor	Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.
Change Type	Specifies the type of change announced.
Distribution Type	Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.
Announcement Date	Date/time at which the issuer announced that a corporate action event will occur
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount e.g. reinvestment price.
Interest Period	The period for which the interest rate has been applied.
Lottery Date	The date on which the lottery is run and applied to holders' positions (also for partial calls).
Record Date	The date for which, at end of day, CBL records holdings in the security as being an entitled position.
Redemption Date	The date on which the securities are to be redeemed in return for the payment of the principal.
Redemption Rate	The rate per hundred at which redemption proceeds will be paid.
Occurrence Type Indicator	Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

Confirmation 001 (Option name) (Currency option)

Pro-Ration Date	The proportionate allocation used for the offer.
Gross Dividend Rate	The cash dividend amount per share before deductions or allowances have been made.
Cash in Lieu of Shares Prices	Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

Security Movement

Credit/Debit	Indicates whether the movement of securities is a "Credit" or a "Debit" to the account.
ISIN	The ISIN Code of the instrument.
Common Code	The Common Code of the instrument.
Description	The description of the instrument.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Posting Quantity	The quantity of securities that are credited or debited.
Transaction Tax Rate	Rate of financial transaction tax.
Posting Date	The date on which the securities are posted to the safekeeping account.
Type of Income	Income types for securities proceeds.

Cash Movement

Credit/Debit	Indicates whether the cash is a "Credit" or a "Debit" to the account.
Cash Account	Customer account that will be credited or debited. Cash account and safekeeping account will be different in the case of transfer accounts.
Charges/Fees	Charges/fees applied.
Cash in Lieu of Shares	The cash disbursement in lieu of shares (usually in lieu of a fractional quantity).
Gross Amount	The cash amount before deductions and allowances have been made.
Cash Incentive	The cash premium made available if the securities holder consents or participates to an event, for example, consent fees or solicitation fees.
Country of Income Source	The country from where the income originates.
Interest Amount	The interest component of a bond coupon payment.
Issuer/Offeror Taxability Indicator	Taxable according to the information provided by the Issuer/Offeror.
Net Cash Amount	The cash amount after deductions and allowances have been made.
Principal	The principal amount.
Posting Amount	The cash amount posted to the account.
Amount of Tax Credit	The amount of cash that is represented by taxes that have been previously paid.

Reclaim of Taxes	The reclaim of cash that was paid in excess of actual tax obligation.
Tax Arrear Amount	Amount of money paid by the Tax Authorities in addition to the payment of the tax refund itself.
Tax Free Amount	The cash amount that has not been subject to withholding.
Tax Withheld	The cash amount withheld by local tax authority.
Value Added Tax Amount	The amount of Value Added Tax.
FATCA Tax Amount	Amount of tax related to FATCA (Foreign Account Tax Compliance Act).
Non Resident Tax Amount	Amount of tax related income subject to NRA (Non Resident Alien).
Posting Date	The date on which the cash is paid to the cash account.
Value Date	The value date of the payment.
Exercise/Conversion Price	Price at which a security will be exercised or converted.
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount eg, reinvestment price.
Cash Incentive Rate	Rate of the cash premium made available if the securities holder consents2 or participates to an event, for example consent fees.
Interest rate	Annual rate of a financial instrument.
Net Dividend Rate	Cash dividend amount per equity after deductions or allowances have been made.
Applicable Rate	Rate applicable to the event announced, for example, redemption rate for a redemption event.
Solicitation Fee Rate	Cash rate made available in an offer in order to encourage participation in the offer.
Withholding Tax Rate	Percentage of a cash distribution that will be withheld by a tax authority.
Cash Price Received per Product	Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

Additional Information

Narrative	Additional free-format information that applies to the payment

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Holdings Distribution by Markets report

Availability and timing

The Holdings Distribution by Markets report is available in Complete at end of day on a Daily, Weekly or Monthly basis.

Report content

The Holdings Distribution by Markets report offers customers an alternative view of their holding to monitor exposures by markets in order to optimise risk management. It summarises customer's holdings by account with each Clearstream Banking Luxembourg domestic market depository; it summarises customer's holdings by account with each Clearstream Banking Luxembourg international market depository.

At subscription level, customers can select to receive the report for a unique account or as a Consolidated Statement by selecting the according tick box.

Customers can also select to receive the Depository Holding Value per holding in EUR or USD. These two parameters are indicated on the bottom of each pages of the report.

Report sorting

The Holdings Distribution by Markets report is sorted alphabetically by country code (for Domestic subsection) or by depository name (for International subsection).

Consolidated report

The Holdings Distribution by Markets report can be scheduled as a consolidated statement including aggregated positions held at depository by all accounts selected in the subscription.

No holdings to report

If there are no holdings to report, a Holdings Distribution by Markets report is provided containing only the header section.

Holdings Distribution by Markets report - Detailed field descriptions

The report is composed of three sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on each holding value being reported with two subsections:
  - Domestic: shows positions for Clearstream Banking's domestic depositories with type of depository, listed alphabetically by country;
  - International: shows positions for Clearstream Banking's international depositories with type of depository, listed alphabetically by depository;
- Section 3 - Report content: For consolidated statement, list of multiple accounts.

Holdings Distribution by Market

ABC Bank

Report from *08-Apr-2011* to *11-Apr-2011*

Statement number: *001*

Frequency: *Daily*

Report generated on: *11-May-2011 15:01*

Complete/Delta: *Complete*

Consolidated Statement: ABC Bank

Domestic (Depository type: D = Direct link ; D1 = Direct Link with an operating agent ; I = Indirect link ; R = Remote access)

Country	Place of Safekeeping / Depository	Depository Type	Bond Holding Value		Other Holding Value		Depository Total Holding Value
BE	BANQUE NATIONALE DE BELGIQUE BRUSSELS / ING BELGIUM NV/SA (FORMERLY BANK BRUSSELS LAMBERT SA), BRUSSELS BRUSSELS (HEAD OFFICE)	D1	USD	1.723.073,12			1.723.073,12
CH	SIX SIS AG ZURICH / UBS AG ZURICH (HEAD OFFICE/ADMINISTRATION)	I	USD	5.555,13	USD	2.199.094,79	2.204.649,92
DE	CLEARSTREAM BANKING AG FRANKFURT AM MAIN / CLEARSTREAM BANKING AG FRANKFURT AM MAIN	D	USD	37.345.458,53	USD	121.667,73	37.467.126,26
DK	VP SECURITIES A/S COPENHAGEN / DANSKE BANK A/S COPENHAGEN	D1	USD	201.021,66			201.021,66
FR	EUROCLEAR FRANCE PARIS / BNP PARIBAS SECURITIES SERVICES, FRANCE PARIS	D1	USD	16.969.458,98			16.969.458,98
IT	MONTE TITOLI S.P.A. MILANO / INTESA SANPAOLO SPA MILANO (HEAD OFFICE)	D1	USD	2.364.511,76			2.364.511,76
SE	EUROCLEAR SWEDEN AB STOCKHOLM / SKANDINAVISKA ENSKILDA BANKEN STOCKHOLM	I	USD	150.325,80			150.325,80
US	DEPOSITORY TRUST COMPANY, THE NEW YORK,NY / CITIBANK NA(US)	I	USD	359.292,32	USD	49.293,00	408.585,32
	Domestic Holding Values - TOTAL:		USD	59.118.697,30	USD	2.370.055,52	61.488.752,82

Consolidated Statement: ABC Bank

International (Depository type: CD = Common Depository ; CSP = Common Service Provider ; SD = Specialized Depository ; TA = Transfer Agent)

Country	Place of Safekeeping / Depository	Depository Type	Bond Holding Value		Other Holding Value		Depository Total Holding Value
	LUXEMBOURG / ROYAL BANK OF CANADA LONDON	CSP	USD	288.147,37			288.147,37
	SOCIETE GENERALE BANK AND TRUST S.A. LUXEMBOURG	CSP	USD	3.711.142,87			3.711.142,87
	EUROPEAN FUNDS SERVICES S.A. LUXEMBOURG / CLEARSTREAM BANKING S.A., LUXEMBOURG LUXEMBOURG	TA			USD	69.713,17	69.713,17
	FIDELITY INVESTMENTS LUXEMBOURG S.A. LUXEMBOURG / CLEARSTREAM BANKING S.A., LUXEMBOURG LUXEMBOURG	TA			USD	527.590,38	527.590,38
	HSBC BANK PLC LONDON (ALL U.K. OFFICES) / HSBC BANK PLC	CD	USD	40.531.660,16			40.531.660,16
	IRVTGB2XGPT / BKNY MELLON, LDN	CD	USD	73.161.819,89	USD	16.516,97	73.178.336,86
	IRVTLULXLTS / BNY (LUX) SA	SD	USD	2.103.296,01			2.103.296,01
	PICTET ET CIE (EUROPE) S.A. LUXEMBOURG / PICTET ET CIE (EUROPE) S.A. LUXEMBOURG	TA			USD	786.768,74	786.768,74
	RBC DEXIA INVESTOR SERVICES BANK S.A. LUXEMBOURG (INVESTORS SERVICES) / RBC DEXIA INVESTOR SERVICES BANK S.A. LUXEMBOURG (INVESTORS SERVICES)	TA			USD	57.723,49	57.723,49
	SOCIETE GENERALE BANK AND TRUST S.A. LUXEMBOURG / SOCIETE GENERALE BANK AND TRUST S.A. LUXEMBOURG	SD	USD	1.989,61			1.989,61
	STATE STREET BANK LUXEMBOURG S.A. LUXEMBOURG / STATE STREET BANK LUXEMBOURG S.A. LUXEMBOURG	TA			USD	25.832,32	25.832,32
	International Holding Values - TOTAL:		USD	280.533.882,75	USD	10.540.637,28	291.074.520,03

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time on which the current report is based.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Report frequency	This can be Daily, Weekly or Monthly.
Report date and time	The date and time on which the report was generated.
Complete/Delta indicator	This can be Complete.
Account identification	The customer's account number and name.

Section 2: Report content (for each holding value)

Country	The ISO country code of the place of safekeeping. If not available, the country code of the depository. For international depositories, the value remains blank.
Place of Safekeeping	The name of the appropriate institution, either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
DepositoryType	The code describes the type of link with CBL. For Domestic securities: • D = Direct link • D1 = Direct link with an operating agent • I = Indirect link • R = Remote access For International securities: • CD = Common Depository • CSP = Common Service Provider • SD = Specialised Depository • TA = Transfer Agent
Bond Holding Value	The sum of all holding values (including amortised values) for debt instruments held at the depository
Other Holding Value	The sum of all holding values for non-debt instruments (e.g. shares, warrants) held at the depository
Total Holding Value	The sum of both Bond and Other holding values held at the depository

Section 3: Report content

Multiple Accounts Selection	List of accounts included in the Consolidated statement. This is not present for single account reports.

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Market Claims Report (MCR)

Availability and timing

The Market Claims Report is available after daytime processing (around 19:00 CET) in complete or delta versions.

Report content

A Market Claim (or compensation) is created when the actual settlement of a security trade takes place after the entitlement date of an associated income or non-income distribution to which the buyer of the security is entitled. Proceeds are distributed to effective holders of securities on entitlement date without always taking the late settlement of security trades into account.

The Market Claims Report provides a complete overview, account by account, of all potential market claims related to a customer's trades in securities. Market claims will be reported starting from the record date of a related event up to the settlement of the related pending security instruction, and, if Clearstream Banking processes the payment resulting from a market claim, reporting will continue until Clearstream Banking has executed the payment.

Payments stop being reported if the instruction or the payment has been cancelled. A market claim will be reported for a maximum of 32 calendar days after payment date of the related event.

A new version of the report will be available after daytime processing on each Clearstream Banking business day.

Two types of Market Claims Report are available:

- The Complete report covers all currently valid market claims.
- The Delta report covers only new market claims and market claims that have changed since the last report.

Report sorting

The Market Claim Report is sorted by market, event type, record date and ISIN/CC (depending on the customer profile)

No transactions to report

If there are no market claims to report, an empty report is provided containing only the header section.

Market Claims - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;

- Section 2 - Report content: information on market claims;

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The date until which the report is based.
Statement number	A number incremented per report per account per frequency. All intraday frequencies are considered as one and so the statement number is incremented on each intraday statement requested.
Complete/Delta indicator	This can be Complete or Delta.
Report frequency	This can be Daily only.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content

General Information

Market	Market of origin of the security.
Event Type	Corporate action event name
Corporate Action Reference	CBL reference and version number.

Financial Instrument

Market	Market of origin of the security.
ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Type	Shares, bond, warrant, other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).
Depository	A BIC followed by the name of the appropriate institution representing the CBL depository where the securities are held.

Event Details

Record Date	The date for which, at the end of day, CBL records holdings in the security as being an entitled position.
Ex Date	Where applicable, the date on or after which securities traded are not eligible for a corporate action event.
Payment Date	The date on which the payment took place. This date is the one considered in interest calculations.

Tax Rate	The default tax rate applied to the event.
Gross Amount	The amount calculated in the currency of the issuer payment (before tax, foreign exchange and fees).

Underlying Trade Details

Your Reference	The reference of the original instruction.
Place of Settlement	The BIC and name of the (I)CSD that settled the instruction.
Delivering /Receiving Agent	The counterparty account number.
Trade Date	The trade date of the instruction.
Requested Settl. Date	The requested settlement date of the transaction.
Effective Settl. Date	The effective settlement date.
Quantity	The quantity of securities credited or debited, expressed as:
	• FAMT (face amount) for debt instruments; or
	• UNIT for equities, warrants, and funds.

Market Claim Details

Claim Status	**Status value of the the claim. It can have the following values:**
PENDING	Applies to all market claims related to pending security instructions, for internal, Bridge and domestic instructions and independently of whether Clearstream Banking will or will not compensate the market claim.
TO BE COMPENSATED	Applies to market claims related to internal instructions that are settled and where Clearstream Banking will compensate the market claim.
AWAITING LOCAL MARKET FEEDBACK	Applies to market claims related to domestic instructions that are settled and where Clearstream Banking expects a related depository input.
NO COMPENSATION BY CB	Applies to market claims related to settled Bridge instructions and to all settled internal and domestic instructions where Clearstream Banking will not compensate.
COMPENSATED	Applies to market claims related to internal or domestic instructions where Clearstream Banking has compensated the entitlement.
ENTITLEMENT ADJUSTED BY CB	Applies to market claims related to internal instructions where Clearstream Banking has adjusted the record date position with ex-trades.
CANCELLED	Will be applied if the related instruction is cancelled, or in case the market claim is cancelled for other reasons.
EXPIRED	Will be applied if the related instruction is still pending on payment date plus 32 calendar days.
Reason	**Reason for cancellation. This can have the following values:**
INSTRUCTION NO LONGER ELIGIBLE	The underlying securities trade has been cancelled.
SECURITIES EVENT DATES CHANGED	Ex-date, record date or payment date of the related corporate action event has changed.
SECURITIES EVENT WITHDRAWN	Related corporate action event has been withdrawn from the market.
CANCELLED BY LOCAL MARKET	The market claim has been cancelled by the local market.
Your/Counterparty Claim	Indicate if the market claim is yours or the counterparty's.

Example of market claims report

Market Claims Report

MktClaim Report COMPLETE
Statement Number: *005*
Frequency:*Daily*

<div style="text-align:right">

clearstream
Banking
Claims until: *23-Aug-2005*
Complete/Delta:*Complete*
Report generated on: *11-Oct-2005 09:43*

</div>

Account : CBL - 82700 - CLEARSTREAM BANKING

General Information

Market	FINLAND
Event Type	DVCA
EVENT ID	0000000037674906

Financial Instrument

ISIN / Common Code	FI0009000681 / 000004174526
Security Name	SHS NOKIA
Instrument Type	Share
Place of Safekeeping	APKEFIHHXXX - FINNISH CENTRAL SECURITIES DEPOSITO RY LTD. HELSINKI
Depository	NDEAFIHH - NORDEA BANK FINLAND PLC HELSINKI

Event Details

Record Date	22-Aug-2005	
Ex-Date	26-Aug-2005	
Payment Date	25-Aug-2005	
Tax Rate	%	0,28
Gross Taxable Amnt	EUR	1,00

Underlying Trade Details

Your Reference	2123017	
Place of Settlement	APKEFIHHXXX - FINNISH CENTRAL SECURITIES DEPOSITO RY LTD. HELSINKI	
Receiving Agent	NDEAFIHH BILLLULL/02200085890535	
Trade Date	19-Aug-2005	
Requested Sett. Date	22-Aug-2005	
Effective Sett. Date	22-Aug-2005	
Quantity	UNIT	40

Market Claim Details

Claim Status	AWAITING LOCAL MARKET FEEDBACK
Your/Counterparty Claim	Your Counterparty Claim

Report generated on: 11-Oct-2005 09:43
Sorted by:Market,Event,Record Date,ISIN,Place of Settlement
Markets: All
Event Types: All   Place of Settlement (Clearstream, Euroclear, Domestic)
82700.MKTCLAIM REPORT COMPLETE.CMCR.DAILY.COMPLETE.1930.20050823   1 ...

Corporate Actions Reference Data Report

Availability and timing

The Corporate Action Reference Data Report is available daily at 06:00 CET.

Report content

The content of the report is based on the MT564/568 Corporate Action Notification.

It contains information on Corporate Action Notifications and Income Pre-advices (up to 5 days in advance) even if customers do not have any holding in the securities.

It is produced for the list of securities that customers must submit using Xact File Transfer via Internet when scheduling the report. Alternatively, the Corporate Action Notifications reported can be produced for the list of securities blocked in Triparty Repo transactions. In this case, no list of securities must be provided to Clearstream Banking.

Two types of Corporate Action Reference Data Report are available:

- The Complete report shows all active Corporate Action Notifications and Income Pre-advices (up to 5 days in advance) found for the listed securities (or securities Blocked for Repo)
- The Delta report shows only active Corporate Action Notifications and Income Pre-advices (up to 5 days in advance) found for the listed securities (or securities Blocked for Repo) that are new or changed since the last report was produced. The Delta report allows easier identification of new items and may reduce reporting costs.

Subscriptions may exclude securities in default when selecting "For income events, exclude securities in default". This will only affect event types CAPD, DECR, DRAW, DVCA, EXWA, INTR, PCAL, PRED and REDM.

No transactions to report

If there are no Corporate Action Notifications or Income Pre-advices to report, only the header is shown. The report content shows "No Activity".

Corporate Action Reference Data Report - Detailed field descriptions

The report is composed of five sections:

- Section 1 - Report header: general information
- Section 2 - Report content: information on live Corporate Action events and Income Pre-advice
- Section 3 (optional) - List of securities without any live event
- Section 4 (optional) - List of inactive Corporate Action events and Income Pre-advice
- Section 5 (optional) - List of withdrawn Corporate Action events and Income Pre-advice.

Corporate Actions Reference Data

Sample_CARefData_report

clearstream
Banking

Frequency: *Daily*

Report generated on: *23-Jan-2007 17:50*

ISIN / Common Code - Security Name - Type
Place of Safekeeping

DE0008474040 / 000001114913 - SHS DWS INTER RENTA - Share
DAKVDEFFXXX - CLEARSTREAM BANKING AG FRANKFURT AM MAIN

REPLACEMENT
Capital Gain

General Information

Corporate Action Reference	0000000041371829
Payment Status	Unconfirmed
Mandatory / Voluntary	Mandatory

Details

Record Date	23-Nov-2006
Ex Date	24-Nov-2006
Earliest Payment Date	24-Nov-2006

Option 001 Cash

Default Indicator	Yes	
Gross Dividend Rate (Taxable Portion)	EUR	11,22
Withholding Tax Rate	%	0
Cash Movement		
Credit / Debit	Credit	
Payment Date	24-Nov-2006	
Value Date	24-Nov-2006	

Narrative

Additional Text

++ CASH MOVEMENT ++ DENOMINATIONS: 1000.00

Disclaimer

Notification of corporate actions: The following notification can not be reproduced or transmitted in any form or by any means, electronic or mechanical, if neither you nor the addressee is entitled to participate in the relevant corporate action. You are aware that you shall bear sole responsibility for any and all harmful consequences, losses or damages, which may be suffered for any reason whatsoever by yourselves, the addressee, third parties or Clearstream Banking by reproducing or transmitting the notification.

Report generated on: 23-Jan-2007 17:50
CARD.OUTPUT.Sample_CARefData_report.DAILY.DELTA.0500.20070123

1 ...

June 2022
4–CARD-2

Clearstream Banking Luxembourg
Xact File Transfer PDF report guide

THE FOLLOWING EVENTS HAVE BEEN SET TO INACTIVE

ISIN / Common Code		Security Name	Event Type	Corporate Action Reference
IT0000062197	/ 000003480500	SHS BANCA LOMBARDA SPA (ORD)	Rights Distribution	0000000039436527
IT0000062197	/ 000003480500	SHS BANCA LOMBARDA SPA (ORD)	Other event	0000000039378919
IT0000062197	/ 000003480500	SHS BANCA LOMBARDA SPA (ORD)	Cash Dividend	0000000039341199
DE0008474040	/ 000001114913	SHS DWS INTER RENTA	Cash Dividend	0000000038218708

THE FOLLOWING EVENTS HAVE BEEN WITHDRAWN

ISIN / Common Code		Security Name	Event Type	Corporate Action Reference
FR0109571929	/ 000027362222	EUR 3,30 BNP PARIBAS (CD) 291106	Interest Payment	0000000041034502
FR0109571929	/ 000027362222	EUR 3,30 BNP PARIBAS (CD) 291106	Final Maturity	0000000041042826

End of Corporate Actions Reference Data

Report generated on: 23-Jan-2007 17:50
CARD.OUTPUT.Sample CARefData report.DAILY.DELTA.0500.20070123

End of Report

Clearstream Banking Luxembourg
Xact File Transfer PDF report guide

June 2022
4–CARD-3

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report frequency	This is "Daily".
Report date and time	The date and time on which the report was generated.

Section 2: Report content

ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	
Type	Shares, Bond, Warrant, Other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either:
	CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or
	The local CSD (for securities deposited with CBL's domestic depository).
Action Status - Function	Replacement
Event Type	Corporate Action event name.

General Information

Corporate Action Reference	CBL reference and version number.
Mandatory/Voluntary	
Mandatory	Used on mandatory events without choice.
Mandatory with choice	Used on mandatory events with choice.
Voluntary	Used on elective events.
Processing Status	Announcement with complete details
	Preliminary Announcement: Confirmed
	Preliminary Announcement: Unconfirmed
Related Events	The related event and its reference.
Financial Instrument Attributes	
Current Factor	The current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond.
Next Factor	The new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond.
FRN Reset Date	The date on which the interest rate of a floating rate note will be calculated and reset (=fixing date).
Minimum Exercisable Quantity	The number indicating the minimum quantity of securities or entitlement rights to obtain an exercisable amount.
Min. Multiple Exercisable Quantity	The number indicating in what multiple numbers securities or entitlement rights must be presented to obtain an exercisable amount.
Warrant Parity	The ratio between the quantity of warrants and the quantity of underlying securities.
Method of Interest Computation	The computation method to calculate the interest of the related financial instrument.
Currency of Denomination	Currency in which a financial instrument is currently denominated.

Details

Accrued Interest Indicator	Indicates whether the holder is entitled to accrued interest.
Additional Business Process	Specifies the additional business process linked to a corporate action event such as a claim compensation or tax refund.
Announcement Date	The official date of announcement of the event to the market or the date Clearstream Banking was notified of the event.
Annual Interest Rate	The interest rate of the bond coupon payment, expressed as a percentage.
Bid Interval Rate	Acceptable price increment used for submitting a bid.

Blocking Period	Period during which the security is blocked.
Charges/Fees	The charges or exercise fees applied to the transaction.
Certification Flag	Includes the value "Yes" in the context of an income event for which certification is required. "No" is not used.
Clearstream Banking Deadline	The Clearstream Banking deadline date and time to participate in the event contained in the notification, after which the default action will be applied.
Consent Record Date	The date that the offeror of securities will use to determine the beneficiary that is eligible to participate in a consent based on the registered owner of securities (for example, the beneficial owner of consent record).
Corporate Action Event Stage	The stage in the corporate action event life cycle.
Coupon Number	The coupon number of the underlying security.
Deadline for Tax Breakdown Inst.	The date until which Clearstream Banking will accept tax breakdown instructions.
Discount Rate	Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.
Distribution Type	Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.
Dividend Type	Specifies the conditions in which a dividend is paid.
Final	Payment of a dividend, less the amount already paid through interim dividends.
Interim	Dividend declared and paid before annual earnings have been determined.
Regular	Dividend paid at regular interval, for example, quarterly.
Reinvestment of a Fund Cash Distribution	Automatic Reinvestment of Cash distributed by accumulating funds.
Special	Dividend in addition to the regular dividend being paid by the company.
Early Closing Date	First possible early closing date of an offer if different from the expiry date.
Early Third Party Deadline	Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party.
Effective Date	The date on which a corporate action process is completed.
Effective Date	The date on which a corporate action process is completed.
Ex Date	Where applicable, the date on or after which securities traded are not eligible for the corporate action event.
Exercise Period	The period during which rights or warrants are exercisable.
Expiration Date	The termination date for the exercise of a privilege (=the warrant exercise date).
Interest Period	The dates that define the period for which the interest rate has been applied.
New Maturity Date	The date on which a financial instrument becomes due and assets are to be repaid.
Maximum Price	Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.
Maximum Quantity of Securities	The maximum number of securities the offeror is requesting to complete the event.
Meeting Date	The date scheduled for the company's general meeting.
Minimum Exercisable Quantity	Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.
Minimum Price	The minimum or floor price.
Minimum Quantity Sought	The minimum quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".
Multiple Exercisable Quantity	Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.
Name	The new name of a company following a name change.
New Denomination Quantity	The new denomination of shares resulting, for example, from a partial redemption by reduction of nominal value.
New Shares to Rights Rate	The quantity of securities derived from the exercise of a given quantity of rights or warrants.
Number of Days Accrued	The number of days used for calculating the accrued interest amount.
Offer Type	Specifies the conditions that apply to the offer.

Squeeze Out Bid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
Offeror	Provides the entity making the offer and is different from the issuing company.
Payment Date	The date on which security is credited to the holder's account.
% of Total Share Capital Sought	The percentage of the outstanding shares that an offeror is seeking to take over.
Quotation Setting Date	The date on which the valuation of a security is determined.
Record Date	The date for which, at end of day, CBL records holdings in the security as being an entitled position.
Consent to change	Specifies the type of consent announced.
Information Type	Specifies the type of information event.
Occurrence Type Indicator	Specifies the conditions in which the instructions and/or payment of the proceeds occurs.
Redemption Charges Flag	For events on investment funds (often in the context of a change in the investment policy of the fund), this field contains "No" to indicate that there are no charges levied on redeeming the fund.
Redemption Date	The date on which the securities are to be redeemed in return for the payment of the principal.
Redemption Rate	The rate per 100 at which redemption proceeds will be paid.
Reinvestment Price	The price at which a cash disbursement will be reinvested in securities.
Subscription Price	The price at which new securities can be subscribed. This also applies for the exercise of warrants.
Third Party Deadline	Date/Time by which the account owner must instruct directly another party, for example to provide documentation to an issuer agent.
Trading Period	The period during which intermediate securities are tradable in a secondary market.
Trading Suspended Date	The last trading date of the basis issue.
Web Site Address	The web address where additional information on the event can be found.
Withholding Tax Rate	The default tax rate applicable to the event.
Additional Text	Reported in the following cases only:

- Withdrawal of the event or offer.
- Interest rate fixing with one of the following code words:
  /INTD/ with the number of days in the period; or
  /INTM/ with the method of interest rate calculation.

Option 00n (Option name)

If more than one option is available, 001, 002 etc. are assigned to identify the different options.

Option Status	Specifies the status of the option.
Certification Type Indicator	Specifies the type of certification required.
Default Indicator	If set to "Yes", the option in the sequence will be applied in the absence of an instruction from the account holder by the deadline
Withdrawal Allowed Flag	Indicates whether withdrawal of instruction is allowed.
Stock Lending Deadline	Date/time that the account servicer has set as the deadline by which to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.
Currency	One repetition of the Option sequence is sent for each currency in which the proceeds are payable. In the absence of an instruction from the holder, the proceeds will be paid in the currency for which the flag in field Default Indicator is set to "Yes".
Additional for Existing Sec. Rate	The quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited. For example, 1-1 means that one new share will be credited for each underlying share, resulting in 2 shares.
Cash Incentive Rate	The rate of the cash premium made available if the holder of the securities consents to or participates in an event (for example, consent fees).
Cash Price Received Per Product	Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.
Charges/Fees	The charges or exercise fees applied to the transaction.
Clearstream Banking Deadline	The Clearstream Banking deadline date and time for participation in the event contained in the notification, after which the default action will be applied.
Conversion Date	The date by which convertible securities are converted.
Dividend Ranking Date	The date on which securities are accruing income entitlement.

Exchange Rate	The exchange rate at which the proceeds are converted (when available).
Exercise/Conversion Price	The price to be paid for one unit of the proceed security following the exercise of entitlement rights.
Exercise Period	Period during which rights/warrants are exercisable
Gross Dividend Rate (Taxable portion)	For a dividend subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Gross Dividend Rate (Tax Free)	For a dividend not subject to tax, the cash dividend amount per share before deductions or allowances have been made.
Interest Rate	The interest rate applicable to the event/payment.
Net Dividend Rate	The non-taxable amount, be it of a wholly non-taxable dividend or of the non-taxable part of a taxable dividend. Used for dividend payments only.
New Shares to Rights Rate	The quantity of securities derived from the exercise of a given quantity of rights or warrants
New to Old Rate	The ratio of underlying to converted securities in a conversion event.
Offer Price Received	The price at which securities are offered by the holder for purchase or sale.
Period of Action	Period during which the specified option, or all options of the event, remains valid, for example, offer period.
Reinvestment Price	The price at which a cash disbursement will be reinvested into securities
Revocability Period	Period during which the shareholder can revoke, change or withdraw its instruction.
Subscription Price	The price at which new securities can be subscribed. This also applies for the exercise of warrants.
Trading Period	Period during which rights are tradable in a secondary market.
Withholding Tax Rate	The default tax rate applicable to the event.
Security Movement	
Credit/Debit	Indicates whether the movement of securities is a "Credit" or a "Debit" to the account
ISIN	The ISIN Code of the instrument
Common Code	The Common Code of the instrument
Description	The description of the instrument
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either:
	• CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or
	• The local CSD (for securities deposited with CBL's domestic depository).
Attributes	
Maturity Date	The date on which the security proceeds becomes due and principal is paid
Minimum Nominal Quantity	The minimum nominal quantity or lot of securities to be purchased.
Method of Interest Computation	The computation method to calculate the interest of the related financial instrument.
Fractions Handling	
Buy up	Buy up to next whole number.
Round down	Round the entitlement down to the last full unit, discarding fractions.
Round up	Round the entitlement up to next full unit.
Take cash	Take cash in lieu of fractions.
Take fraction	Take distribution of fractions.
Indicative Price	Indicative price of the financial instrument.
New to Old Rate	The ratio of underlying to converted securities in a conversion event.
New Shares to Rights Rate	The quantity of securities derived from the exercise of a given quantity of rights or warrants.
Financial Transaction Tax Rate	Rate of financial transaction tax.
Dividend Ranking Date	The date on which income entitlement accrues to the securities.
Payment Date	The date on which a security is credited to the holder's account.
Cash Movement	
Applicable Rate	Rate applicable to the event announced.
Solicitation Fee Rate	Cash rate made available in an offer in order to encourage participation in the offer.
Cash Price Paid per Product	Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

Credit / Debit	Indicates whether the cash will be credited or debited
Early Solicitation Fee Rate	Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.
Payment Date	The date on which the payment is expected to take place, which may be a non-business day. This date is the one to be considered in interest calculations.
Value Date	The value date on which the cash is expected to be credited or debited to the account

Narrative

Information Conditions	Provides conditional information related to the event.
Additional Text	

Disclaimer

Disclaimer

Section 3: Optional report content

This section shows all securities in the scheduled list (or blocked in a Repo transaction) without any live event, if any.

Section 4: Optional report content

This section shows inactive Corporate Action events and Income Pre-advices for all securities in the scheduled list (or blocked in a Repo transaction), if any.

Section 5: Optional report content

This section shows withdrawn Corporate Action events and Income Pre-advices for all securities in the scheduled list (or blocked in a Repo transaction), if any.

5. Triparty Collateral Management reports

The following table lists the Triparty Collateral Management reports available via Xact File Transfer in portable document format (PDF).

Report	Intraday	Daily	Weekly	Monthly	Quarterly	Yearly	Complete	Delta
Triparty Collateral Management Daily Exposure Report		✓						
Triparty Collateral Management Summary reports		✓						
Triparty Collateral Management Securities Forecast Report		✓						
Euroclear Collateral Management Instruction reports		✓						
Triparty Collateral Management Custody Events Report		✓						
Triparty Collateral and Exposure Statement (MT569)	✓	✓						

All reports are available at the start and at the end of the day except for the Euroclear Instruction Repo reports, which are generated upon receipt of seller's instructions.

Triparty Collateral Management Daily Exposure Report

This report details each outstanding collateral management transaction and indicates the margin deficit or the margin excess (net exposure) for that transaction. Each item of collateral is listed with its:

- nominal amount;
- composite rating at Clearstream Banking;
- price;
- accrued interest;
- margin;
- marginal value; and
- security value.

Triparty Collateral Management Summary reports

These reports are:

- **Triparty Collateral Management Collateral Giver Summary report**

  This report summarises the status of all the Collateral Giver's open transactions and all notified trades against all counterparties in Clearstream Banking; it also summarises the net exposure against each of the Giver's counterparties by product.

- **Triparty Collateral Management Collateral Receiver Summary Report**

    This report summarises the status of all the Collateral Receiver's open transactions and all notified trades against all counterparties in Clearstream Banking; it also summarises the net exposure against each of the Receiver's counterparties.

Triparty Collateral Management Securities Forecast reports

These reports are:

- **Summary Report**

    This report is intended for Clearstream Banking AutoAssign Collateral Givers. It gives a snapshot of the potential trading capacity for each counterparty. It takes into account the eligibility profiles set by the relevant Collateral Receivers and shows capacity, both including and excluding securities, which are due to return to the Collateral Giver's Clearstream Banking account as a result of closing triparty collateral management transactions. The information is also organised by security rating and type.

- **Detailed Report**

    A detailed report showing eligible securities is also available on an ad-hoc basis, upon request from the Collateral Giver. For an indication of the potential trading capacity with existing counterparties using an alternative portfolio (either within or outside Clearstream Banking), Sellers may request a sample forecast report by providing a list of security codes (ISIN or common code) and nominal values.

Euroclear Collateral Management Instruction reports

This report is generated when the Collateral Giver gives the list of securities to be delivered to or received from Euroclear to collateralise a Clearstream exposure. Details shown include the instruction type, account number, details of the security, the nominal amount, cash amount, currency, and the deal reference.

Triparty Collateral Management Custody Events Report

This report, which is produced upon request from the Collateral Giver, shows details of coupon payments and redemptions due on securities held in the Collateral Receiver's triparty collateral management account. Details shown include the Collateral Giver's Clearstream Banking account number, details of the security, the record and value date and the net payable amount.

The publication "Triparty Collateral Management Service - Technical release notes" provides further details of the contents of these reports.

Triparty Collateral and Exposure Statement (MT569)

The MT569 report provides an overall summary of the Triparty Collateral Management activity with a breakdown per service type. For each service type a further breakdown by contract is given.

Optionally, all open exposures per contract are provided with the list and valuation of each piece of principal and collateral.

6. Miscellaneous reports

The following table lists miscellaneous Clearstream Banking reports available in portable document format (PDF):

SWIFT message	Securities statement	Page
MT935	Securities Lending and Borrowing Commissions report	**–SLB–1**
	Monthly Interest Scale report	**–935–1**
	Urgent Uninstructed Notifications report	**–UUN–1**
	US Tax report - All Payments	**–TXA–1**
	US Tax report - Non-US Person	**–TXN–1**
	US Tax report - US Person	**–TXU–1**

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Securities Lending and Borrowing Commissions Report

Availability and timing

The Securities Lending and Borrowing Commissions report is available in Complete form only, at end of day on a Daily, Weekly, or Monthly basis.

Report content

The Securities Lending and Borrowing Commissions report contains details of securities loans closed, that incurred a fee, during the period of the report. It also contains the fee on each loan and the total income and/or borrowing fees that will be posted on the account on the 15th of the month or, if that is not a business day, on the first business day thereafter.

Report sorting

The Securities Lending and Borrowing Commissions report is sorted by account, common code, loan type and loan reference.

Detailed field descriptions

The report is composed of three sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: borrowed and lending transactions and the fees or commission incurred or earned;
- Section 3 - Report content: summary of total commissions on borrowed and lent securities.

ISIN / Common Code - Security Instruction - Loan Type	Opening date	Closing Date	Quantity		Handling Fee*	Commission - Current		Commission - Accrued	
Lending - ASLPlus	30-Jun-2010	30-Jul-2010	**FAMT 13.979.000**		EUR	EUR	255,44	EUR	255,44
Loan reference:									
Reference ID:			**Loan value (USD)**	20.168.901,20					
			Bond Price (inc accrued interest):	105,766849					
			EUR/USD FX rate:	1,3598					
			Rate:	0,02					
			Current Commission Start Date:	01-Jul-2010					
			Current Commission End Date:	31-Jul-2010					
Lending - ASLPlus	30-Jun-2010	30-Jul-2010	**FAMT 2.989.000**		EUR	EUR	54,56	EUR	54,56
Loan reference:									
Reference ID:			**Loan value (USD)**	4.312.529,20					
			Bond Price (inc accrued interest):	105,766849					
			EUR/USD FX rate:	1,3598					
			Rate:	0,02					
			Current Commission Start Date:	01-Jul-2010					
			Current Commission End Date:	31-Jul-2010					

DE0001030526 / 000043353276 - EUR 1,75 BRD.SCHATZ 09-2020

ISIN / Common Code - Security Instruction - Loan Type	Opening date	Closing Date	Quantity		Handling Fee*	Commission - Current		Commission - Accrued	
Lending - ASL	30-Jun-2010	30-Jul-2010	**FAMT 100.000**		EUR	EUR	109,97	EUR	109,97
Loan reference:									
Reference ID:			**Loan value (USD)**	144.539,57					
			Bond Price (inc accrued interest):	106,294726					
			EUR/USD FX rate:	1,3598					
			Rate:	0,5					
			Current Commission Start Date:	01-Jul-2010					
			Current Commission End Date:	31-Jul-2010					
Nominal variations:	01-Jul-2010	29-Jul-2010	FAMT	250.000		EUR	107,01		
	30-Jul-2010	31-Jul-2010	FAMT	100.000		EUR	2,96		

USD/EUR Fx Rate :   0,735402

Handling fees are reported each day per loan, but only charged once on the original loan opening
**The loan value and/or loan rate have changed since the last report. These are the current loan rate and value.
Report generated on: 01-Jun-2010 11:32
12345._SLBC_REPORTSLBC.MONTHLY.COMPLETE..20100731

Securities Lending & Borrowing Summary

					Commission - Current		Commission - Accrued	
Opened Loans								
ASL - Total loan value Lent	USD	0,00	ASL - Total commission on Lent	EUR	0,00	EUR	0,00
DASL - Total loan value Lent	USD	0,00	DASL - Total commission on Lent	EUR	0,00	EUR	0,00
ASLPlus - Total loan value Lent	USD	0,00	ASLPlus - Total commission on Lent	EUR	0,00	EUR	0,00
Total	USD	0,00	Total	EUR	0,00	EUR	0,00
ASL - Total loan value Borrowed	USD	0,00	ASL - Total commission on Borrowed	EUR	0,00	EUR	0,00
DASL - Total loan value Borrowed	USD	0,00	DASL - Total commission on Borrowed	EUR	0,00	EUR	0,00
ASLPlus - Total loan value Borrowed	USD	0,00	ASLPlus - Total commission on Borrowed	EUR	0,00	EUR	0,00
Total	USD	0,00	Total	EUR	0,00	EUR	0,00
			Total handling fees			EUR	0,00
Closed Loans								
ASL - Total loan value Lent	USD	24.580.904,20	ASL - Total commission on Lent	EUR	7.385,89	EUR	7.385,89
DASL - Total loan value Lent	USD	0,00	DASL - Total commission on Lent	EUR	0,00	EUR	0,00
ASLPlus - Total loan value Lent	USD	1.779.430.013,74	ASLPlus - Total commission on Lent	EUR	40.494,99	EUR	40.494,99
Total	USD	1.804.010.917,94	Total	EUR	47.880,88	EUR	47.880,88
ASL - Total loan value Borrowed	USD	73.910,00	ASL - Total commission on Borrowed	EUR	-58,59	EUR	-58,59
DASL - Total loan value Borrowed	USD	0,00	DASL - Total commission on Borrowed	EUR	0,00	EUR	0,00
ASLPlus - Total loan value Borrowed	USD	0,00	ASLPlus - Total commission on Borrowed	EUR	0,00	EUR	0,00
Total	USD	73.910,00	Total	EUR	-58,59	EUR	-58,59
			Total handling fees			EUR	0,00
All loans			Total commission on lent	EUR	47.880,88	EUR	47.880,88
			Total commission on borrowed	EUR	-58,59	EUR	-58,59
			Total Handling fees			EUR	0,00

USD/EUR Fx Rate :   0,735402

End of Securities Lending and Borrowing Report

Report generated on: 01-Jun-2010 11:32
12345.SLBC_REPORT.SLBC.MONTHLY.COMPLETE..20100731

End of Report

Securities Lending and Borrowing Commissions Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the date of the last report and the "to" date represents the date and time up to which loan transactions are reported.
Statement number	A number incremented per report per account.
Report frequency	This can be Daily, Weekly, or Monthly.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content (for each securities loan and borrowing)

ISIN/Common Code - Security	The ISIN and Common Code of the security that was borrowed or lent and a description of the instrument.
Instruction - Loan Type	"Borrowing" or "Lending" followed by "DASL" or "ASL" (for Disclosed Automated Securities Lending and Automated Securities Lending service, respectively).
Loan Reference	The loan reference assigned by Clearstream Banking.
Reference ID	The reference of the customer's instruction for which the loan was opened
Opening Date	The date the securities were borrowed or lent.
Closing Date	The date securities were returned.
Loan value	Calculated market value for ASL and DASL loans, and the negotiated loan value for ASLPlus loans (always expressed in USD)
Bond Price	The latest available bond price
FX rate	Foreign exchange rate from base currency of the instrument to USD
Rate	Borrowing/lending rates,
Quantity	The quantity of securities that were borrowed or lent, expressed as "FAMT" (face amount) for debt instruments.
Handling Fee	Fee charged when the loan is opened
Commission-Current	Lending/Borrowing income/fees per loan for the last billing period
Commission-Accrued	Lending/Borrowing income/fees per loan accrued from the loan opening date
Nominal variations	Fluctuations of nominal values during the period of the loan

Section 3: Report content

This section contains a summary of total commissions on borrowed and lent securities.

Opened Loans

- Summary of Opened Loans: total value of opened loans in USD per loan type (ASL, ASLPlus or DASL)

- Summary of Current Commissions: total commissions earned or paid in USD per loan type from the last SLBC report generation until the current SLBC report generation

- Summary of Accrued Commissions: total commissions earned or paid in USD per loan type from the loan opening until the current SLBC report generation

Closed Loans

- Summary of Closed Loans: total value of opened loans in USD per loan type (ASL, ASLPlus or DASL)

- Summary of Current Commissions: total commissions earned or paid in USD per loan type from the last SLBC report generation until the loan closed

- Summary of Accrued Commissions: total commissions earned or paid in USD per loan type from the loan opening until the current SLBC report generation

MT935 - Monthly Interest Scale Report

Availability and timing

The MT935 Monthly Interest Scale report gives a breakdown of the calculation of interest per currency for the previous month. It is calculated on the 9th calendar day of the month and is available after the daytime processing of the next available business day.

Report content

The MT935 Monthly Interest Scale report contains for each day of the previous month the debit and credit interest rate applicable, the end of day balance and the debit or credit interest amount incurred on the overdraft or earned on the long balance.

Report sorting

The MT935 Monthly Interest Scale report is sorted by currency and statement number.

MT935 - Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: the interest scale per currency.

Sample MT935   Report from *01-Jan-2004 to 31-Jan-2004*

Frequency: *Monthly*   Report generated on: *10-Jan-2004 17:06*

Account: CBL - 12345 - CUSTOMER NAME

AUD - Statement number: 002

Effective Date	Debit Interest Rate %	Credit Interest Rate %	Balance	Debit Interest Amount	Credit Interest Amount
01-Jan-04	6,3200	0,0000	564.943,24		
02-Jan-04	6,3300	0,0000	535.825,61		0,00
03-Jan-04	6,3300	0,0000	535.825,61		0,00
04-Jan-04	6,3300	0,0000	535.825,61		0,00
05-Jan-04	6,3200	0,0000	409.252,94		0,00
06-Jan-04	6,3000	0,0000	409.252,94 -		0,00
07-Jan-04	6,3200	0,0000	33.416,15	-5,87	
08-Jan-04	6,3200	0,0000	28.702,74		0,00
09-Jan-04	6,3200	0,0000	28.702,74		0,00
10-Jan-04	6,3200	0,0000	28.702,74		0,00
11-Jan-04	6,3200	0,0000	28.702,74 -		0,00
12-Jan-04	6,4000	0,0000	167.942,17	-29,86	
13-Jan-04	6,3200	0,0000	77.151,80		0,00
14-Jan-04	6,3200	0,0000	4.227,00		0,00
15-Jan-04	6,4000	0,0000	82.640,39 -		0,00
16-Jan-04	6,6400	0,0000	30.686,09 -	-5,66 -	
17-Jan-04	6,6400	0,0000	30.686,09	5,66	
18-Jan-04	6,6400	0,0000	-30.686,09	-5,66	
19-Jan-04	6,5000	0,0000	267.193,75		0,00
20-Jan-04	6,5500	0,0000	-27.037.637,44	-4.919,35	
21-Jan-04	6,4800	0,0000	251.119,02		0,00
22-Jan-04	6,4100	0,0000	143.191,10		0,00
23-Jan-04	6,4400	0,0000	26.975.527,55		0,00
24-Jan-04	6,4400	0,0000	26.975.527,55		0,00
25-Jan-04	6,4400	0,0000	26.975.527,55		0,00
26-Jan-04	6,4400	0,0000	26.926.580,42 -		0,00
27-Jan-04	6,3800	0,0000	678.487,50	-120,24	
28-Jan-04	6,3300	0,0000	10.025,62 -		0,00
29-Jan-04	6,3100	0,0000	740.276,38	-129,75	
30-Jan-04	6,4000	0,0000	1.200.556,75		0,00
31-Jan-04	6,4000	0,0000	1.200.556,75		0,00
			Total	**-5.222,05**	**0,00**
			Net Interest	**-5.222,05**	

Report generated on: 10-Jan-2004 17:06
Filtered by currency: All
12345.MT935_MONTHLY_INTEREST_SCALE_REPORT.020204.ALL.MIS.MONTHLY.COMPLETE.1706.20040110

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The period on which the report is based. The "from" date represents the first day of the previous month and the "to" date represents the last day of the previous month.
Statement number	A number incremented per report per account.
Report frequency	This is always Monthly.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.
Currency	The ISO currency code followed by the statement number. The statement number is incremented per report and per currency.

Section 2: Report content (for each securities loan and borrowing)

Effective Date	The calendar dates for the month.
Debit Interest Rate %	The debit interest rate applied on any overdraft for the effective date.
Credit Interest Rate %	The credit interest rate applied on any long balance for the effective date.
Balance	The end of day balance for the effective date.
Debit Interest Amount	The debit interest incurred on the overdraft.
Credit Interest Amount	The credit interest earned on the long balance.
Total	The total debit and credit interest incurred or earned for the month.
Net	The sum of the total debit and credit interest incurred or earned for the month. This is the amount that will be posted to the account on the 15th of the month or (if the 15th is not a business day) on the first business day thereafter.

Urgent Uninstructed Notifications Report

The Urgent Uninstructed Notifications report gives an overview of partially or fully uninstructed balances, together with the detailed breakdown of instructed balances per option.

Each report is updated with the latest balance information available in Clearstream Banking. Customers can specify the reporting period by selecting the number of business days before the Clearstream Banking event deadline. It is possible to select a date from the first notification sent or up to 21 days before the deadline and up to 3 days after the deadline. Customers can also specify which custody events are to be included in the report, as follows:

- All; or
- Corporate Actions; or
- Tax Certifications.

Availability and timing

The Urgent Uninstructed Notifications report is available in Complete form only, with possible timings (CET) 6:00, 10:00, 12:00, 14:00, 16:00, 18:00, 20:00.

Report content

Uninstructed notifications are reported until they are completely instructed or until the DTP of the second day after the Clearstream Banking deadline date for instructing. Completely instructed corporate action events for which the customer has instructed with "No Action" for at least part of the balance will be reported until the DTP of the second day after the Clearstream Banking deadline.

Report sorting

The Urgent Uninstructed Notifications report is sorted by Missing instructions related to Corporate Actions, Missing instructions related to Tax Allocations and Tax Certifications, and ascending Clearstream Banking deadline.

No uninstructed balances to report

If there are no uninstructed balances to report, an Urgent Uninstructed Notifications report is provided containing only the header section.

Detailed field descriptions

The report is composed of two sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: information on uninstructed notifications.

Urgent Uninstructed Notifications

ICSD Uninstructed Balance Report
Statement number: *744*
Frequency: *Intraday*

clearstream
Banking

Report from *15-Oct-2010* to *18-Oct-2010*
Complete/Delta: *Complete*
Report generated on: *11-Nov-2010 16:46*

Account: CBL - 12345 - Customer A

General Information

Event Type	EXTM - Maturity Extension
Corporate Action Reference	0000000078076820
Notification Timestamp	15-Oct-2010
Default Action	No Action
Clearstream Banking Deadline	14-Oct-2010

Underlying Financial Instrument

ISIN / Common Code	XS0408914124 / 000040891412
Security Name	EUR FL.R DEXIA CRED.LOCAL (799/1-2) 09-2011
Type	Bond
Place of Safekeeping	MGTCBEBEXXX - EUROCLEAR BANK S.A / N.V BRUSSELS

Balances as of 16-Oct-2010 02:00

Eligible	FAMT	206.100.000	**Pending Delivery**	FAMT		0
Settled	FAMT	206.100.000	**Pending Receipt**	FAMT		0
Uninstructed	FAMT	206.100.000	**Borrowed**	FAMT		0
Instructed	FAMT	0	**On Loan**	FAMT		0
Instructed No Action	FAMT	0	**Blocked**	FAMT		0
Total Instructed	FAMT	0	**Delivered Collateral**	FAMT		0
			Received Collateral	FAMT		0

General Information

Event Type	BIDS - Repurchase Offer
Corporate Action Reference	0000000078285536
Notification Timestamp	14-Oct-2010
Default Action	No Action
Clearstream Banking Deadline	18-Oct-2010

Underlying Financial Instrument

ISIN / Common Code	FR0000472326 / 000016255149
Security Name	EUR 4,75 GAZ DE FRANCE 03-2013
Type	Bond
Place of Safekeeping	SICVFRPPXXX - EUROCLEAR FRANCE PARIS

Balances as of 16-Oct-2010 02:00

Eligible	FAMT	4.750.000	**Pending Delivery**	FAMT		0
Settled	FAMT	4.750.000	**Pending Receipt**	FAMT		0
Uninstructed	FAMT	4.450.000	**Borrowed**	FAMT		0
Instructed	FAMT	300.000	**On Loan**	FAMT		0
Instructed No Action	FAMT	0	**Blocked**	FAMT		300.000
Total Instructed	FAMT	300.000	**Delivered Collateral**	FAMT		0
			Received Collateral	FAMT		0

Instructions Details

Sender's Reference	Chosen Option	Description	Instructed Quantity		Timestamp
JH1.7542549.1410	001:CASH	Cash	FAMT	150.000	15-Oct-2010 08:10:08
JH2.7542549.1411	001:CASH	Cash	FAMT	150.000	15-Oct-2010 08:10:08
Total Instructed	**001:CASH**	**Cash**	**FAMT**	**300.000**	

General Information

Event Type	BIDS - Repurchase Offer
Corporate Action Reference	0000000078285541
Notification Timestamp	14-Oct-2010
Default Action	No Action
Clearstream Banking Deadline	18-Oct-2010

Report generated on: 11-Nov-2010 16:46
Event Types: Corporate Actions
12345.ICSD_UNINSTRUCTED_BALANCE_REPORT.UUNOTIF.INTRADAY.COMPLETE.1646.20101111

1 ...

Urgent Uninstructed Notifications Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Deadline period	The deadline period on which the report is based.
Complete/Delta indicator	This is always Complete.
Report frequency	This is always Intraday.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content

Report sub-title

Missing instructions related to Corporate Action or Missing instructions related to Tax Allocations and Tax Certifications.

General Information

Event Type	Corporate Action event name.
Corporate Action Reference	CBL reference.
Notification Timestamp	Date and time of the notification.
Default Action	The option applied in the absence of an instruction from the account holder by the deadline.
Clearstream Banking Deadline	The Clearstream Banking deadline date and time to participate in the event, after which the default action will be applied.
Withholding Tax Rate	The default tax rate applicable to the event.
Tax Breakdown Instr. Deadline	The date until which Clearstream Banking will accept tax breakdown instructions.

Underlying Financial Instrument

ISIN / Common Code	The ISIN and Common Code of the instrument.
Security Name	The description of the instrument.
Type	Shares, Bond, Warrant, Other.
Place of Safekeeping	A BIC followed by the name of the appropriate institution. The BIC represents either: • CBL's common or specialised depository (for securities deposited with other than CBL's domestic depository); or • The local CSD (for securities deposited with CBL's domestic depository).

Balances at hh:mm

Blocked	For the balance blocked for a corporate action.
Borrowed	For the borrowed balance.
Eligible	For the balance of securities expected to be settled. This quantity is derived from the current Settled balance plus Pending Receipt, minus Pending Delivery balances.
Instructed Cash	
Instructed No Action	
Instructed Securities	
On loan	For the lent balance.
Pending Delivery	For the balance of securities to be delivered pending settlement.
Pending Receipt	For the balance of securities to be received pending settlement.
Settled	For the balance of securities to be considered as a settled entitled position held on account. This quantity is derived from the booked position plus securities on loan, minus borrowed securities, plus pledged, drawn and blocked positions.
Total Instructed	
Uninstructed	

Instructions details

The summary and detailed breakdown by option of all recorded instructions on uninstructed events, showing Sender's Reference, Chosen Option, (Option) Description, Instructed Quantity and (CA Instruction) Timestamp.

A Total Instructed by option is also shown.

Note: Pending instructions which are not yet validated and recorded are not yet taken into account in this report (these are accessible via Xact Web Portal only).

US Tax Report - All Payments Report

Availability and timing

The US Tax Report - All Payments report is available, in Complete form only, at end of day on a Monthly or Quarterly basis.

Report content

The US Tax Report - All Payments report contains details of payments booked and/or amended in the period that are subject to IRS 1441 regulations. The printed reports are summary reports. For full details of each individual payment, please consult the Xact Web Portal US Tax Query.

Report sorting

The US Tax Report - All Payments report is sorted by account, reporting type, ISIN/Common Code and IRS Income Code.

No income payments to report

If there are no income payments to report, a US Tax Report - All payments report is provided containing only the header section.

Detailed field descriptions

The report is composed of three sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: the income payments sorted by reporting type;
- Section 3 - Report content: summary of totals (in USD) sorted by IRS Income Code, IRS Exemption Code, and tax rate.

US Tax Report - All payments

USWTR

Frequency: *Monthly*

Report from *01-Aug-2015 to 01-Sep-2015*

Report generated on: *05-Nov-2015 16:21*

clearstream
Banking

Statement number: *002*

Account: CBL - 12345 - CUSTOMER A

ISIN / Common Code - Security

IRS Income Code	Event Type	Booking Date	Record Date	Value Date	Exchange Rate
CH3 Exemption code	Holding Quantity	Tax Rate	Gross Amount	Net Amount	
CH3 Recipient Type			Federal Tax Withheld by Clearstream	Withholding by other Agents	Total Tax Withheld
CH4 Exemption Code	Status	Beneficial Owner	Owner Type		
CH4 Recipient Type					

1042-S Pooled

AU3CB0017036   / 000028668775 - AUD 6,50 CITIGROUP INC.07-2017

01 - Interest paid by U.S. obligors-general	Coupon Straight	12-Aug-2015		31-Jul-2015		13-Aug-2015		0,7354
10 - QI represents that income is exempt	FAMT	100.000	0%	AUD	3.250,00	AUD	3.250,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

AU3CB0197762   / 000090541013 - AUD 5,50 GE CAPITAL AUSTR. 12-2022

01 - Interest paid by U.S. obligors-general	Coupon Straight	07-Aug-2015		23-Jul-2015		10-Aug-2015		0,73695
10 - QI represents that income is exempt	FAMT	3.380.000	0%	AUD	92.950,00	AUD	92.950,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

AU3CB0204477   / 000087826007 - AUD 4,25 WELLS FARGO AND CO 13-2018

01 - Interest paid by U.S. obligors-general	Coupon Straight	24-Jul-2015		13-Jul-2015		27-Jul-2015		0,7287
10 - QI represents that income is exempt	FAMT	3.160.000	0%	AUD	67.150,00	AUD	67.150,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

AU3CB0204691   / 000088013816 - AUD 4,50 GE CAPITAL AUSTR. (REGS/GCAF30) 13-2

01 - Interest paid by U.S. obligors-general	Coupon Straight	29-Jul-2015		14-Jul-2015		30-Jul-2015		0,7326
10 - QI represents that income is exempt	FAMT	22.140.000	0%	AUD	498.150,00	AUD	498.150,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00

Account: CBL - 12345 - CUSTOMER A

ISIN / Common Code - Security

IRS Income Code	Event Type	Booking Date	Record Date	Value Date	Exchange Rate
CH3 Exemption code	Holding Quantity	Tax Rate	Gross Amount	Net Amount	
CH3 Recipient Type			Federal Tax Withheld by Clearstream	Withholding by other Agents	Total Tax Withheld
CH4 Exemption Code	Status	Beneficial Owner	Owner Type		
CH4 Recipient Type					

13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

AU3CB0204808   / 000088182057 - AUD 4,75 CITIGROUP INC. (REGS/CGRP11) 13-2018

01 - Interest paid by U.S. obligors-general	Coupon Straight	04-Aug-2015		28-Jul-2015		05-Aug-2015		0,73635
10 - QI represents that income is exempt	FAMT	1.600.000	0%	AUD	38.000,00	AUD	38.000,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

AU3CB0211944   / 000095754457 - AUD 5,00 GOLDSAC.GRP.INC (REGS/GSGI13) 13-201

01 - Interest paid by U.S. obligors-general	Coupon Straight	07-Aug-2015		27-Jul-2015		10-Aug-2015		0,7342
10 - QI represents that income is exempt	FAMT	6.720.000	0%	AUD	168.000,00	AUD	168.000,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

AU3CB0223204   / 000109593290 - AUD 4,00 WELLS FARGO AND CO (WFAR09) 14-2019

01 - Interest paid by U.S. obligors-general	Coupon Straight	07-Aug-2015		27-Jul-2015		10-Aug-2015		0,73695
10 - QI represents that income is exempt	FAMT	2.080.000	0%	AUD	41.600,00	AUD	41.600,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
15 - Payee not subject to chapter 4 withholding	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

CA36158ZBR60   / 000060024995 - CAD 4,40 GEN.ELEC.CAP.CA.FD 11-2018

01 - Interest paid by U.S. obligors-general	Coupon Straight	10-Aug-2015		07-Aug-2015		10-Aug-2015		0,75939
10 - QI represents that income is exempt	FAMT	752.000	0%	CAD	16.544,00	CAD	16.544,00	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00
13 - Grandfathered payment	AUTHO	CUSTOMER A		QI - QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099				
37 - Undocumented Pre-existing obligation				FATCA - UNDEF - Undefined				

CA38141GRE66   / 000088561317 - CAD 3,375 GOLDSAC.GRP.INC 13-2018

01 - Interest paid by U.S. obligors-general	Coupon Straight	03-Aug-2015		31-Jul-2015		04-Aug-2015		0,76228
10 - QI represents that income is exempt	FAMT	700.000	0%	CAD	11.812,50	CAD	11.812,50	
12 - Qualified intermediary				USD	0,00	USD	0,00	USD 0,00

US Tax Report - All Payments Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The first and last day of the period on which the current report is based.
Statement number	A number incremented per report per account per frequency.
Report frequency	This can be Monthly or Quarterly.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content (for each reporting type)

Reporting Type	1042-S Nominative, 1042-S Pooled, 1099 General Reporting, 1099 Reporting for OID, 1099 Reporting of Dividends, 1099 Reporting of Interests, or 1099 Reporting of Proceeds From Broker.
ISIN/Common Code - Security	The ISIN and Common Code of the security on which the payment was made and a description of the instrument.
IRS Income Code	The code for the IRS classified corporate event from which the gross income amount stems. This depends on the payment event type and on the type of security.
CH3 Exemption Code	The IRS code that gives the reason for an exemption of withholding tax under Chapter 3.
CH3 Recipient Type	The IRS recipient code that applies to the recipient of a specific income payment.
CH4 Exemption Code	The IRS code that gives the reason for an exemption of withholding tax under Chapter 4.
CH4 Recipient Type	The IRS recipient code that applies to the recipient of a specific income payment.
Event Type	Coupon Floater, Coupon Straight, Coupon Warrant, Dividend, Equity Maturity, Warrant Maturity, Partial Redemption, Drawing Partial Redemption, Pool Factor, Early Redemption, Final Redemption, or Reinvestment.
Holding Quantity	The quantity of securities on which payment was made, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.
Status	
Paid	A taxable or reportable income payment has been processed.
Repaid	A previously "reversed" taxable or reportable income payment has been re-issued with the corrected details
Reversed	A previously "paid" taxable or reportable income payment has been reversed. That is, an income payment has been reversed because, for example, funds have not been received from the CBL depository.
Booking Date	The date on which the proceeds were posted to the account.
Tax Rate	The withholding tax rate applied to the proceeds.
Beneficial Owner	The name of the beneficial owner.
Record Date	The date for which, at end of day, Clearstream Banking records holdings in the security as being an entitled position.
Gross Amount	The ISO currency code and income proceeds in the original currency, before tax.
Owner Type	The code and decode of the type of beneficial owner.
Federal Tax Withheld by Clearstream	The total amount of tax withheld by Clearstream as withholding agent
Value Date	The value date with which the proceeds were posted to the account.
Net Amount	The ISO currency code and income proceeds in the original currency after deduction of tax.
Withholding by other Agents	The total amount of tax withheld by an upstream withholding agent. Therefore when Clearstream does not act as the withholding agent.
Exchange Rate	The exchange rate used to convert the Tax Withheld in USD from the original currency.
Total Tax Withheld	The total amount of tax withheld in USD.

Section 3: Report content

This section contains summary totals (in USD) sorted by IRS Income Code, IRS Exemption Code and tax rate.

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US Tax Report - Non-US Person Report

Availability and timing

The US Tax Report - Non-US Person report is available, in Complete form only, at end of day on a Yearly basis on the 15th of January or, if the 15th of January is not a business day, on the first business day thereafter.

Report content

The US Tax Report - Non-US Person report contains details of payments booked and/or amended in the period that are subject to IRS 1441 regulations. The printed reports are summary reports. For full details of each individual payment, please consult the Xact Web Portal US Tax Query.

Report sorting

The US Tax Report - Non-US Person report is sorted by account, reporting type, ISIN/Common Code and IRS Income Code.

No income payments to report

If there are no income payments to report, a US Tax Report - Non-US Person report is provided containing only the header section.

Detailed field descriptions

The report is composed of three sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: the income payments sorted by reporting type;
- Section 3 - Report content: summary of totals (in USD) sorted by IRS Income Code, IRS Exemption Code, and tax rate.

US Tax Report - Non-US Person

Sample Report

Frequency: Yearly

Report from *01-Jan-2004 to 31-Dec-2004*

Report generated on: *15-Jan-2005 17:02*

clearstream
Banking

Statement number: *001*

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security

IRS Income Code	Event Type	Booking Date	Record Date	Value Date	Exchange Rate
IRS Exemption Code	Holding Quantity	Tax Rate	Gross Amount	Net Amount	Tax Withheld
Recipient Type	Status	Beneficial Owner	Owner Type		

1042-S Nominative

US370425QS20 / 000008383758 - USD 6,125 GMAC 98-2008

01 - Interest paid by US obligors	Coupon Straight	21-Jan-2004	21-Jan-2004	22-Jan-2004	1
09 - QI represents income is exempt	FAMT 20.000	0 % USD	612,50 USD	612,50 USD	0,00
12 - Qualified intermediary	AUTHO	SOCIETE EUROPENNE DE BANQUE	QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US345397SG93 / 000009373349 - USD 5,80 FORD MOTOR CREDIT CO. 99-09

01 - Interest paid by US obligors	Coupon Straight	09-Jan-2004	09-Jan-2004	12-Jan-2004	1
09 - QI represents income is exempt	FAMT 25.000	0 % USD	725,00 USD	725,00 USD	0,00
12 - Qualified intermediary	AUTHO	SOCIETE EUROPENNE DE BANQUE	QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US4282361033 / 000009741399 - SHS HEWLETT PACKARD CO.

06 - Dividends paid by US corporations	Dividend	06-Jan-2004	17-Dec-2003	07-Jan-2004	1
-	UNIT 75	30 % USD	6,00 USD	4,20 USD	1,80
12 - Qualified intermediary	AUTHO	SOCIETE EUROPENNE DE BANQUE	QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US345397SJ33 / 000009984011 - USD 6,70 FORD MOTOR CREDIT CO 99-2004

01 - Interest paid by US obligors	Coupon Straight	15-Jan-2004	15-Jan-2004	16-Jan-2004	1
09 - QI represents income is exempt	FAMT 294.000	0 % USD	9.849,00 USD	9.849,00 USD	0,00
12 - Qualified intermediary	AUTHO	SOCIETE EUROPENNE DE BANQUE	QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US233835AD94 / 000010663075 - USD 7,40 DAIMLERCHRYSLER NORTH AM.00-2005

01 - Interest paid by US obligors	Coupon Straight	19-Jan-2004	19-Jan-2004	20-Jan-2004	1
09 - QI represents income is exempt	FAMT 10.000	0 % USD	370,00 USD	370,00 USD	0,00
12 - Qualified intermediary	AUTHO	SOCIETE EUROPENNE DE BANQUE	QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security

IRS Income Code	Event Type	Booking Date	Record Date	Value Date	Exchange Rate
IRS Exemption Code	Holding Quantity	Tax Rate	Gross Amount	Net Amount	Tax Withheld
Recipient Type	Status	Beneficial Owner	Owner Type		

US370425SH47 / 000017201034 - USD 4,50 GMAC 03-2006

01 - Interest paid by US obligors	Coupon Straight	14-Jan-2004	14-Jan-2004	15-Jan-2004	1
09 - QI represents income is exempt	FAMT 507.000	0 % USD	12.168,00 USD	12.168,00 USD	0,00
12 - Qualified intermediary	AUTHO	SOCIETE EUROPENNE DE BANQUE	QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

1042-S Nominative - TOTALS

IRS Income Code	IRS Exemption Code	Taxe Rate	Gross Amount in USD	Net Amount in USD	Tax Amount in USD
01 Interest paid by US obligors	09	0 %	141.525,89	141.525,89	0,00
06 Dividends paid by US corporations		30 %	6,00	4,20	1,80
			Total Tax Withheld: USD		**1,80**

End of US Tax Report

US Tax Report - Non-US Person Report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The first and last day of the period on which the current report is based.
Statement number	A number incremented per report per account per frequency.
Report frequency	This is always Yearly.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content (for each reporting type)

Reporting Type	1042-S Nominative or 1042-S Pooled.
ISIN/Common Code - Security	The ISIN and Common Code of the security on which the payment was made and a description of the instrument.
IRS Income Code	The code for the IRS classified corporate event from which the gross income amount stems. This depends on the payment event type and on the type of security.
IRS Exemption Code	The IRS code that gives the reason for an exemption of withholding tax.
Recipient Type	The IRS recipient code that applies to the recipient of a specific income payment.
Event Type	Coupon Floater, Coupon Straight, Coupon Warrant, Dividend, Equity Maturity, Warrant Maturity, Partial Redemption, Drawing Partial Redemption, Pool Factor, Early Redemption, Final Redemption, or Reinvestment.
Holding Quantity	The quantity of securities on which payment was made, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.
Status	
Paid	A taxable or reportable income payment has been processed.
Repaid	A previously "reversed" taxable or reportable income payment has been re-issued with the corrected details
Reversed	A previously "paid" taxable or reportable income payment has been reversed. That is, an income payment has been reversed because, for example, funds have not been received from the CBL depository.
Booking Date	The date on which the proceeds were posted to the account.
Tax Rate	The withholding tax rate applied to the proceeds.
Beneficial Owner	The name of the beneficial owner.
Record Date	The date for which, at end of day, Clearstream Banking records holdings in the security as being an entitled position.
Gross Amount	The ISO currency code and income proceeds in the original currency, before tax.
Owner Type	The code and decode of the type of beneficial owner.
Value Date	The value date with which the proceeds were posted to the account.
Net Amount	The ISO currency code and income proceeds in the original currency after deduction of tax.
Exchange Rate	The exchange rate used to convert the Tax Withheld in USD from the original currency.
Tax Withheld	The amount of tax withheld in USD.

Section 3: Report content

This section contains summary totals (in USD) sorted by IRS Income Code, IRS Exemption Code and tax rate.

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US Tax Report - US Person report

Availability and timing

The US Tax Report - US Person report is available, in Complete form only, at end of day on a Yearly basis on the 15th of January or, if the 15th of January is not a business day, on the first business day thereafter.

Report content

The US Tax Report - US Person report contains details of payments booked and/or amended in the period that are subject to IRS 1441 regulations. The printed reports are summary reports. For full details of each individual payment, please consult the Xact Web Portal US Tax Query.

Report sorting

The US Tax Report - US Person report is sorted by account, reporting type, ISIN/Common Code and IRS Income Code.

No income payments to report

If there are no income payments to report, a US Tax Report - US Person report is provided containing only the header section.

Detailed field descriptions

The report is composed of three sections:

- Section 1 - Report header: general information;
- Section 2 - Report content: the income payments sorted by reporting type;
- Section 3 - Report content: summary of totals (in USD) sorted by IRS Income Code, IRS Exemption Code, and tax rate.

US Tax Report - US Person

Sample Report

Frequency: Yearly

Report from *01-Jan-2004 to 31-Dec-2004*

Report generated on: *15-Jan-2005 17:02*

clearstream
Banking

Statement number: *001*

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security

IRS Income Code	Event Type	Booking Date	Record Date	Value Date	Exchange Rate
IRS Exemption Code	Holding Quantity	Tax Rate	Gross Amount	Net Amount	Tax Withheld
Recipient Type	Status	Beneficial Owner	Owner Type		

1099 General Reporting

AU0000KFWHA8   / 000009987878 - AUD 6,25 KFW INTL.FIN. 99-2005

01 - Interest paid by US obligors	Coupon Straight	14-Jan-2004	24-Dec-2003	15-Jan-2004	0,773
09 - QI represents income is exempt	FAMT 9.400.000	0 %	AUD	293.750,00 AUD	293.750,00 USD	0,00
12 - Qualified intermediary	AUTHO UBS AG		QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

AU000SLOC012   / 000011676979 - AUD 7 SUN LIFE OF CANADA FUNDING (11)00-2005

01 - Interest paid by US obligors	Coupon Straight	14-Jan-2004	07-Jan-2004	15-Jan-2004	0,773
09 - QI represents income is exempt	FAMT 500.000	0 %	AUD	17.500,00 AUD	17.500,00 USD	0,00
12 - Qualified intermediary	AUTHO UBS AG		QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US020039DB64   / 000015009608 - USD 7 ALLTEL 02-2012

01 - Interest paid by US obligors	Coupon Straight	31-Dec-2003	31-Dec-2003	02-Jan-2004	1
09 - QI represents income is exempt	FAMT 4.000.000	0 %	USD	140.000,00 USD	140.000,00 USD	0,00
12 - Qualified intermediary	AUTHO UBS AG		QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US025816AQ27   / 000017356488 - USD 4,875 AMERICAN EXPRESS CO. 03-2013

01 - Interest paid by US obligors	Coupon Straight	14-Jan-2004	14-Jan-2004	15-Jan-2004	1
09 - QI represents income is exempt	FAMT 1.120.000	0 %	USD	25.935,00 USD	25.935,00 USD	0,00
12 - Qualified intermediary	AUTHO UBS AG		QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

US026375AG04   / 000014085815 - USD 11,75 AMERICAN GREETINGS (EXCH.) 01-2008

01 - Interest paid by US obligors	Coupon Straight	14-Jan-2004	14-Jan-2004	15-Jan-2004	1
09 - QI represents income is exempt	FAMT 12.000	0 %	USD	705,00 USD	705,00 USD	0,00
12 - Qualified intermediary	AUTHO UBS AG		QI - Qualified Intermediary WITHOUT withholding responsibility and WITHOUT 1099 reporting responsibility		

Account: CBL - 12345 - CUSTOMER NAME

ISIN / Common Code - Security

IRS Income Code	Event Type	Booking Date	Record Date	Value Date	Exchange Rate
IRS Exemption Code	Holding Quantity	Tax Rate	Gross Amount	Net Amount	Tax Withheld
Recipient Type	Status	Beneficial Owner	Owner Type		

1099 General Reporting -TOTALS

IRS Income Code	IRS Exemption Code	Taxe Rate	Gross Amount in USD	Net Amount in USD	Tax Amount in USD
01 Interest paid by US obligors	09	0 %	26.670.585,66	26.670.585,66	0,00
06 Dividends paid by US corporations	09	0 %	5.196,15	5.196,15	0,00
06 Dividends paid by US corporations		30 %	26.729,49	18.710,64	8.018,85
06 Dividends paid by US corporations		15 %	26.191,82	22.263,05	3.928,77
				Total Tax Withheld: USD	**11.947,62**

End of US Tax Report

US Tax Report - US Person report

Section 1: Report header

Report name	The name given to the report by Clearstream Banking.
Customer report name	The name assigned to the report by the customer at subscription level. If not provided, this will default to the report name assigned by Clearstream Banking.
Report period	The first and last day of the period on which the current report is based.
Statement number	A number incremented per report per account per frequency.
Report frequency	This is always Yearly.
Report date and time	The date and time on which the report was generated.
Account identification	The customer's account number and name.

Section 2: Report content (for each reporting type)

Reporting Type	1099 General Reporting, 1099 Reporting for OID, 1099 Reporting of Dividends, 1099 Reporting of Interests, or 1099 Reporting of Proceeds From Broker.
ISIN/Common Code - Security	The ISIN and Common Code of the security on which the payment was made and a description of the instrument.
IRS Income Code	The code for the IRS classified corporate event from which the gross income amount stems. This depends on the payment event type and on the type of security.
IRS Exemption Code	The IRS code that gives the reason for an exemption of withholding tax.
Recipient Type	The IRS recipient code that applies to the recipient of a specific income payment.
Event Type	Coupon Floater, Coupon Straight, Coupon Warrant, Dividend, Equity Maturity, Warrant Maturity, Partial Redemption, Drawing Partial Redemption, Pool Factor, Early Redemption, Final Redemption, or Reinvestment.
Holding Quantity	The quantity of securities on which payment was made, expressed as: • FAMT (face amount) for debt instruments; or • UNIT for equities, warrants, and funds.
Status	
Paid	A taxable or reportable income payment has been processed.
Repaid	A previously "reversed" taxable or reportable income payment has been re-issued with the corrected details
Reversed	A previously "paid" taxable or reportable income payment has been reversed. That is, an income payment has been reversed because, for example, funds have not been received from the CBL depository.
Booking Date	The date on which the proceeds were posted to the account.
Tax Rate	The withholding tax rate applied to the proceeds.
Beneficial Owner	The name of the beneficial owner.
Record Date	The date for which, at end of day, Clearstream Banking records holdings in the security as being an entitled position.
Gross Amount	The ISO currency code and income proceeds in the original currency, before tax.
Owner Type	The code and decode of the type of beneficial owner.
Value Date	The value date with which the proceeds were posted to the account.
Net Amount	The ISO currency code and income proceeds in the original currency after deduction of tax.
Exchange Rate	The exchange rate used to convert the Tax Withheld in USD from the original currency.
Tax Withheld	The amount of tax withheld in USD.

Section 3: Report content

This section contains summary totals (in USD) sorted by IRS Income Code, IRS Exemption Code and tax rate.

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Appendix A. Status and reason values

Status	Reason	Meaning
Cancelled		Your cancellation request is pending confirmation of the cancellation on the local market.
	Cancelled By Yourselves	
	Cancelled By System	Transaction cancelled by Clearstream Banking
Pending Cancellation		
Acknowledged/Accepted	See narrative 1	
Matched		
Unmatched	Disagreement Quantity	
	Disagreement Cum Ex	
	Disagreement	
	Automatic Generation	
	Incorrect Agent	
	Matching Instruction Not Found	
	Not Recognised	
Pending Settlement		Reported on transactions whose requested settlement date is today or in the future.
	Awaiting CBL finality	Instruction is blocked pending CBF finality
	Awaiting Next Settlement Cycle	Settled on domestic market, awaiting next internal settlement processing
	Awaiting Settlement Date	
	Confirmation Not Received	Confirmation not received from depository
	Confirmed	Reported on a confirmed pre-advice of funds
	Disagreement Processing Batch	Instruction mismatched on optional settlement period flag
	Eligible for Today Settlement	The instruction is eligible for settlement and will be presented today for provisioning and settlement.
	New Issues	Reported on the closing date for new issue transactions
	Provisioned	The instruction is not eligible for settlement, it is provisioned and will settle in the future.
	See narrative 1	Reason description entered under Narrative
	See narrative 2	Reason description entered under Narrative
	Unconfirmed	Reported on an unconfirmed pre-advice of funds
Pending/Failing Settlement		Reported on transactions whose requested settlement date has passed.
	Account Servicer Deadline Missed	Customer deadline missed
	Awaiting CBL finality	Instruction is blocked pending CBF finality
	Cancellation/confirmation request	Instruction in suspense for 45 days requiring your cancellation or confirmation
	Confirmed	Reported on a confirmed pre-advice of funds
	Counterparty Insufficient Securities	
	Eligible for Today Settlement	The instruction is eligible for settlement and will be presented today for provisioning and settlement.
	Lack of Securities	
	Provisioned	The instruction is not eligible for settlement, it is provisioned and will settle in the future.
	See narrative 1	Reason description entered under Narrative
	See narrative 2	Reason description entered under Narrative

Status and reason values

Status	Reason	Meaning
	Unconfirmed	Reported on an unconfirmed pre-advice of funds
Pool Incomplete		Only one leg of a back-to-back has been received.

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Status and reason values

Appendix B. Repair reason values

The possible repair reasons are shown below:

BATC   Processing Batch Repair Unrecognised or invalid Processing Batch (i.e. daytime/real-time while only possible to settle in overnight batch).

CADE   Transaction Call Delay Repair Unrecognised or invalid transaction call delay

CAEV   Corporate Action Repair Corporate action pending on the financial instrument instructed.

CASH   Cash Account Repair Unrecognised or invalid cash account. CASY Impossible Cash Settlement System Impossible standing arrangements override instruction for the cash settlement system.

DDAT   Settlement Date Repair Unrecognised or invalid settlement date.

DDEA   Deal Price Repair Unrecognised or invalid deal price.

DEPT   Place of Settlement Repair Unrecognised or invalid Place of Settlement.

DMON   Settlement Amount Repair Unrecognised or invalid settlement amount.

DQUA   Quantity Repair Unrecognised or invalid settlement quantity.

DSEC   Security Repair Unrecognised or invalid financial instrument identification.

DTRD   Trade Date Repair Unrecognised or invalid trade date.

FORF   Forfeit Amount Repair Unrecognised or invalid forfeit amount.

ICAG   Agent Repair Unrecognised or invalid receiving or delivering agent.

ICUS   Receiving or Delivering Custodian Repair Unrecognised or invalid receiving or delivering custodian.

IEXE   Buyer or Seller Repair Unrecognised or invalid buyer or seller.

IIND   Common reference Repair Unrecognised, invalid or missing Common Reference.

LEOG   Letter of Guarantee Indicator Repair Unrecognised or invalid letter of guarantee indicator instructed.

MINO   Minimum Settlement Quantity Repair Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.

MUNO   Multiple Settlement Quantity Repair Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.

NARR   Narrative Reason See narrative field for reason.

NCRR   Settlement Amount Currency Repair Unrecognised or invalid settlement amount currency.

PHYS   Physical settlement impossible Physical settlement is impossible for the instructed financial instrument.

PLCE   Place of Trade Repair Unrecognised or invalid place of trade.

REFE   Reference Repair Instruction has a reference identical to another previously received instruction.

REPA   Termination Transaction Amount Repair Unrecognised or invalid termination transaction amount.

REPO   Repurchase Rate Repair Unrecognised or invalid repurchase rate.

REPP   Premium Amount Repair Unrecognised or invalid premium amount.

RERT   Repurchase Rate Type Repair Unrecognised or invalid repurchase rate type.

RSPR   Spread Rate Repair Unrecognised or invalid spread rate.

RTGS   Impossible to use the RTGS system instructed Impossible to use the RTGS system instructed (NRTG or YRTG).

SAFE   Safekeeping Account Repair Unrecognised or invalid message sender's safekeeping account.

SETR   Settlement Transaction Repair Unrecognised or invalid settlement transaction type (relates to the settlement transaction type codes available for sequence E field 22F, qualifier SETR).

Repair reason values

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