

## Declaration of Widely Held Foreign Investment Funds

### (supplementing the application for refund of Austrian dividend tax)

The following declaration is made in relation to the claim for repayment of Austrian dividend tax of:

\_\_\_\_\_ (date)

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Name of the fund whose treaty residence has been confirmed by the tax administration:

\_\_\_\_\_

The fund is widely-held (fulfilled in any case if at least 100 investors).

Percentage of units held by investors entitled to the benefits of a Double Taxation Treaty with Austria: \_\_\_\_\_ %

Method used for determination of entitled investors (for example, Quarterly Determinations, Sales Restrictions, Direct Tracing or other method):

\_\_\_\_\_

Closing date of accounting period: \_\_\_\_\_

Disclosure of investors holding at least 10% in the Investment Vehicle (for these investors residence within the meaning of the respective Taxation Treaty with Austria must be certified by the tax administration of the country of residence).

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Attachments:** Certificates of Residence

The fund management is prepared to disclose to the Austrian tax administration details on the method used to determine the above percentage of investors protected under the Austrian tax treaty network if so requested by the Austrian tax administration. The authorised undersigned declares to the best of his/her knowledge that the information provided in this declaration is correct and that he/she is aware that the case may be subjected to special examinations under the administrative assistance provisions of the respective tax treaty.

Place and date: \_\_\_\_\_

Stamp and authorised signature: \_\_\_\_\_