

# Application for instruction blocking in the context of non-income compensation

Clearstream Banking AG  
 Account Administration Frankfurt (OSM)  
 D- 60485 Frankfurt am Main  
 Germany

**Customer (account holder)**

Registered Company name (in full)

Contact person (first name and surname)

Telephone

Fax

Email

Based on the non-income compensation logic for delivery versus payment (DvP) OTC transactions and stock exchange trades, compensation instructions in the form of payment free of delivery (PFoD) can be automatically generated by Clearstream Banking AG ("CBF"). These PFoD instructions are transmitted to TARGET2-Securities (T2S) for settlement as defined in the [CBF Compensation Handbook](#).

As seller (for the related delivery instruction), the customer has the option to create FoP instructions for compensations automatically as "on hold" instructions for CBF accounts eligible for this service. The list of the accounts defined by CBF that are for special purposes and are not applicable for this service are available in the [Appendix](#).

In order to receive this service, the customer must complete and submit this form.

We hereby apply for

Account master

the instruction blocking in the context of non-income compensation for the accounts specified:

\_\_\_\_\_

\_\_\_\_\_

The service shall be effective from

Date (DD.MM.YYYY)

**Authorised signature(s)<sup>1</sup>**

of the account holders/authorised representative

Signature

Signature

Name

Name

Title

Title

Place

Place

Date

Date

1. The company's signature policy stipulates whether one or two authorised signatures are required.

# Appendix

## Sub-accounts for which an instruction hold for non-income compensations cannot be set

Sub-accounts	Account type	Description
005	Partial maturity	This sub-account is for the automatic segregation of debt instruments that have partially matured.
051	Partial maturity	This sub-account is for the automatic segregation of debt instruments that have partially matured.
080	Blocking account GM	In this sub-account, Asset Services can segregate blocked positions on the settlement of corporate action events, for example, with respect to general meetings without a record date.
222-229	DCA Reference	Address only account to represent the DCA number in CASCADE; not set up in T2S.
230	Partial maturity	This sub-account is for the automatic segregation of debt instruments that have partially matured.
251-253	Collateral Providing Account	Sub-account used to segregate positions which can be used as collateral for T2S Auto-Collateralisation. Due to the same day T2S Reimbursement process, no open delivery instructions should exist according to the definition. Against this background, the "blocked" indicator is entered as the default in the account master data.
410	New issues	Unsold bonds
500	Eurex Clearing AG margin/collateral	Sub-account for collateral, margin (standard (pledge)) provided in favour of Eurex Clearing AG and EEX collateral for the forward market
501	Eurex Clearing AG margin/collateral	Sub-account for collateral, clearing fund (title transfer) provided in favour of Eurex Clearing AG
503-509	Eurex Clearing AG margin/collateral	Sub-account for the segregation of client collateral of Eurex Clearing AG
510	Securities lending account	Sub-account for automated securities lending
520-549	Eurex Clearing AG margin/collateral	Sub-account for the segregation of client collateral of Eurex Clearing AG
550	Xemac® collateral	Sub-account used by Xemac® for the management of collateral
551	Xemac® blocking account	Xemac® blocking account
553-559	Eurex Clearing AG margin/collateral	Sub-account for the segregation of client collateral of Eurex Clearing AG
560	Commitment control collateral (replacement risk)	Sub-account for the administration of collateral, as part of Commitment Control - New
561-579	Eurex Clearing AG margin/collateral	Sub-account for the segregation of client collateral of Eurex Clearing AG
580	Eurex Clearing AG margin/collateral	Sub-account for collateral, company capital (title transfer) provided in favour of Eurex Clearing AG
581-584	Eurex Clearing AG margin/collateral	Sub-account for the segregation of client collateral of Eurex Clearing AG

## Sub-accounts for which an instruction hold for non-income compensations cannot be set

Sub-accounts	Account type	Description
600-649	Inter-bank collateral	Sub-account for transactions involving inter-bank collateral. The sub-account is opened under CBF account number of the bank providing the collateral.
650-662	Qualified minority holding	Sub-account for the separation of qualified minority holdings on the distribution of dividends or in connection with corporate action events
670	Customer account	Sub-account for the TEFRA D securities issuing bank/ lead manager
671	Customer account	Sub-account for CBF customer accounts with TEFRA D positions
699	Inter-bank collateral	Account providing collateral to secure overdraft facilities (Creation)
820-827	Tax account - miscellaneous	Tax sub-accounts for securities not originating in the U.S.A.
828-835	Tax reduction - France	Sub-account for partial exemption from French withholding tax
850	Corporate Actions	Sub-account for pending transactions in relation to voluntary corporate action events
966	Inhouse collective safe custody	Sub-account for the delivery of positions in individual safe custody for participation in the "Inhouse Collective Safe Custody" service. This service is not processed on T2S. Against this background, the "blocked" indicator is entered as the default in the account master data.
991	Partial maturity	This sub-account is for the automatic segregation of debt instruments that have partially matured.
995	Non-EUR account - CBF domestic	Reservation account to settle non-EUR transactions in CASCADE (CSC securities versus payment in other currencies) as well as for settlement of securities instructions with foreign CSDs who do not participate in T2S.