

Stock Exchange Transactions Go OTC (SET-GO) CSD Migration (Phase 2) - Customer Readiness Statement

Clearstream Banking AG
Customer Readiness
Project SET-GO (EA.08)
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Germany

Email: T2S-Support@clearstream.com

Represented entity

Company name

Business Partner ID

Name

Telephone

Email

CBF will introduce the SET-GO CSD Migration (Phase 2) on the business day 4 July 2022. Migrated or new instructions will be created in a "OTC-like" mode

As a customer of Clearstream Banking AG (CBF) we, the undersigned, hereby declare that we are ready for the introduction of SET-GO functionalities on Clearstream systems and the migration of pending stock exchange instructions in custody type CSC and NCSC-T. We confirm that:

Internal processes and systems (front to back office and, if applicable, third-party software) are ready for the introduction of SET-GO CSD Migration (Phase 2), that is the processing of stock exchange transactions with custody type CSC and NCSC-T in a "OTC-like" mode:

Yes

Internal processes and systems are ready for the migration of pending stock exchange instructions as described in the SET-GO Customer Migration Guide:

Yes

The CBF July Release 2022 Guided Customer Simulation for SET-GO CSD Migration (Phase 2) successfully passed internal test procedures (if signed up):

Yes Not applicable

Authorised signature(s)^{a b}

Signature

Signature

First name

Surname

First name

Surname

Ms Mr

Ms Mr

Salutation

Title

Salutation

Title

Place

Place

Date

Date

a. Signature must be deposited with CBF.

b. The company's signature policy stipulates whether one or two authorised signatures are required.