

Clearstream Release November 2023

Release Information

July 2023

Clearstream Release November 2023- Release Information

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Foreword

On Monday 20 November 2023, Clearstream¹ will implement a number of changes related to the Swift Standard MT Release 2023. Impacted media channels include Xact via Swift, Xact File Transfer, Xact Web Portal.

This document consolidates and updates the details of these changes to enable clients to make any required changes.

The respective documentation and guides associated with the impacted products and services will be updated to reflect the enhancements in due course.

Note: In some cases, this document will not contain the details of enhancements where a separate announcement has been published to address the needs of a particular product or service.

Further information

For further information about our products and services, please visit www.clearstream.com or contact Clearstream Client Services or your Relationship Officer.

You will find the relevant contact details at www.clearstream.com, under Contacts & Client Services.

¹ Clearstream refers collectively to Clearstream Banking, S.A. (CBL), Clearstream Banking AG (CBF) and LuxCSD S.A. (LuxCSD).

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Executive summary

On Monday 20 November 2023, as part of Clearstream's ongoing commitment to the timeliness and quality of our services to clients, Clearstream will implement quality enhancements to our existing services. The services impacted and a summary of the enhancements can be found below.

Swift Standards release 2023

The enhancements include new and changed information for:

Asset services

- MT564 - Corporate Action Notification
- MT564 - IPAR
- MT564 - CRA
- MT566 - Corporate Action Confirmation

Securities Statement

- MT537 - Statement of Cash Penalties report Report

Changes to the messages are described in more detail below.

Changes apply to all formats (ISO, PDF, XLS and XML) across all connectivity channels (Xact via Swift, Xact File Transfer, Xact Web Portal).

This section describes the changes to the ISO messages. All these changes are reflected as relevant in all corresponding reports in PDF, as well as Xact Web Portal. When displayed in PDF reports or Xact Web Portal lists and detailed views, the ISO fields are expressed as decodes.

Where relevant, this section distinguishes between different scenarios depending on the services used by clients, these are defined as follows:

- If no usage type is defined, it is applicable to CBL accounts, CBF accounts using the OneClearstream service and LuxCSD accounts.
- CBL usage: Applicable to CBL, CBF 6-series accounts and LuxCSD 43xxx accounts.
- CBF usage: Applicable to CBF accounts using the OneClearstream service.
- CBL/LuxCSD usage: Applicable to CBL, CBF 6-series accounts and all LuxCSD accounts.
- CBF/LuxCSD usage: Applicable to CBF, CBF 6-series accounts and all LuxCSD accounts.

Asset services

MT564 – Corporate Action Notification

Field	Description	Usage
E1 Optional Repeatable Subsequence - Securities Movements		
:90a:Price (Qualifier)(Flag)	Qualifier CINL- Cash in lieu of shares price	Option A, B or E: Option A :4!c//4!c/[N]15d Option B :4!c//4!c/3!a15d Option E :4!c//4!c This field contains the cash disbursement in lieu of equities; usually in lieu of fractional quantity. In option E, if the Qualifier is CINL, the Price Code must contain the following code): UKWN Unknown Price.

MT564 – Income Pre-Advice Report (IPAR)

Field	Description	Usage
E1 Optional Repeatable Subsequence - Securities Movements		
:90a:Price (Qualifier)(Flag)	Qualifier CINL- Cash in lieu of shares price	Option A, B or E: Option A :4!c//4!c/[N]15d Option B :4!c//4!c/3!a15d Option E :4!c//4!c This field contains the cash disbursement in lieu of equities; usually in lieu of fractional quantity. In option E, if the Qualifier is CINL, the Price Code must contain the following code): UKWN Unknown Price.

MT564 – Claim and Reversal Advice (CRA)

Field	Description	Usage
E1 Optional Repeatable Subsequence - Securities Movements		
:90a:Price (Qualifier)(Flag)	Qualifier CINL- Cash in lieu of shares price	Option A, B or E: Option A :4!c//4!c/[N]15d Option B :4!c//4!c/3!a15d Option E :4!c//4!c This field contains the cash disbursement in lieu of equities; usually in lieu of fractional quantity. In option E, if the Qualifier is CINL, the Price Code must contain the following code): UKWN Unknown Price.

MT566 – Corporate Action Confirmation

Field	Description	Usage
C Optional Sequence – Corporate Action Details		
:98a:Date/Time (Qualifier)(Flag)	Qualifier ANOU- Announcement Date Qualifier – EFFD-Effective Date	Remove qualifiers
D1 Optional in Optional Subsequence – Securities Movements		
:90a:Price (Qualifier)(Flag)	Qualifier CINL- Cash in lieu of shares price	Option A, B :90A:::4!c//4!c/[N]15d :90B:::4!c//4!c/3!a15d This field contains the cash disbursement in lieu of equities; usually in lieu of fractional quantity.

Securities Statement

MT537 – Statement of Cash Penalties report

Field	Description	Usage
D1a1 Optional in Optional Subsequence		
:17B: Reference (Qualifier)(Reference)	New qualifier CNTI (Continuation flag). Indicates whether or not the penalty is a continuation of the one of the previous page. <ul style="list-style-type: none">• Y the penalty amount is a continuation from the previous page.	Option B: :4!c//1!a

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