

Usage Guideline

seev.001 Meeting Event Notification (S-R2025)

OneClearstream - General Meeting Messages (SR2025) - Clients

This document describes a usage guideline restricting the base message **MX seev.001.001.12**. You can also consult [this information online](#).

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25 November 2025

Table of Contents

Message Functionality 3

1 Restriction summary 5

2 Structure 6

3 ISO 20022 Rules 10

4 Message Building Blocks 12

5 Message Components 24

6 Message Datatypes 140

Legal Notices 182

Message Functionality

Overview

The Meeting Notification message is sent by Clearstream to clients/account holders or their respective third-party service providers. Clearstream uses multiple sources for the sourcing of information regarding general meetings, including service providers, depositories or issuer agents. Based on the information received by these sources, the Meeting Notification message is created and provided to clients.

Collection Description

Guidelines applicable after Clearstream's Release in 24 November 2025 including changes from the Swift Release (SR2025)

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

Version changes :

v.2 From v.1 : Provision of the Building Number information in the Rights Holder section is no longer mandatory for Registration instructions.

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2025) - Clients / seev.001 Meeting Event Notification (SR2025) message is composed of 14 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

b. NotificationGeneralInformation

General information about the event notification type and status.

c. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

d. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

e. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

f. MeetingDetails

Dates and details of the shareholders meeting.

g. Issuer

Institution that is the issuer of the security to which the meeting applies.

h. IssuerAgent

Agent of the issuer.

i. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

j. Resolution

Detailed information of a resolution proposed to the vote.

k. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

l. PowerOfAttorneyRequirements

Information about the use of power of attorney.

m. AdditionalInformation

Additional narrative information about the meeting event.

n. SupplementaryData







Additional information that cannot be captured in the structured fields and/or any other specific block.




1 Restriction summary

This Usage Guideline restricts the seev.001.001.12 message.









Restriction Type	See page
Removed elements	17, 23, 33, 34, 34, 36, 37, 38, 38, 41, 42, 43, 51, 58, 76, 84, 85, 86, 86, 87, 114, 115, 116, 116, 117, 117, 121, 125, 126, 127, 127, 127
Make mandatory	41, 120, 121, 122
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	83
Conditional rules	21, 84, 114, 131
Formal rules	12, 12, 12, 12, 12, 12, 13, 13, 13, 13, 13, 13, 13, 14, 14, 14, 14, 14, 14, 14, 15, 15, 15, 15, 15, 15
Fixed values	70
Truncates	n.a.
Comments	23, 38, 50, 58, 134, 135, 135, 136, 139
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.



2 Structure

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingNotificationV12</i>	<MtgNtfctn>		[1..1]		12
	Pagination	<Pgntn>		[0..1]		16
	PageNumber	<PgNb>		[1..1]		82
	LastPageIndicator	<LastPgInd>		[1..1]		82
	NotificationGeneralInformation	<NtfctnGnllnf>		[1..1]		16
	NotificationIdentification	<NtfctnId>		[0..1]		78
	NotificationType	<NtfctnTp>		[1..1]		78
	NotificationStatus	<NtfctnSts>		[1..1]		79
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		79
	ConfirmationOfHoldingRequired	<ConfOfHldgReqrd>		[0..1]		79
	NotificationUpdate	<NtfctnUpd>		[0..1]		16
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]		80
	ReconfirmInstructions	<RcnfrmInstrs>		[0..1]		80
	EventsLinkage	<EvtsLkg>		[0..*]		17
	EventIdentification	<EvtId>		[1..1]		65
	LinkageType	<LkgTp>		[0..1]		66
	Meeting	<Mtg>		[1..1]		17
	MeetingIdentification	<MtgId>		[1..1]		68
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		68
	Type	<Tp>		[1..1]		68
	Classification	<Clssfctn>		[0..1]		68
	AnnouncementDate	<AnncmntDt>		[0..1]		69
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]		69
	Participation	<Prtcptn>		[0..*]		69
	Attendance	<Attndnc>		[0..1]		69
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]		70
	EventProcessingWebSiteAddress	<EvtPrpgWebSiteAdr>		[0..1]		70
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]		70

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfScitiesOutsdng>		[0..1]		71
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		71
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]		71
	ProxyChoice	<PrxyChc>		[0..1]		72
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		72
	ResultPublicationDate	<RsltPblctnDt>		[0..1]		73
	SecuritiesBlockingPeriodEndDate	<ScitiesBlckgPrdEndDt>		[0..1]		73
	EntitlementFixingDate	<EntitlmntFxdDt>		[0..1]		73
	RegistrationSecuritiesDeadline	<RegnScitiesDdln>		[0..1]		74
	RegistrationSecuritiesMarketDeadline	<RegnScitiesMktDdln>		[0..1]		74
	EnrolmentMarketDeadline	<EnrlmntMktDdln>		[0..1]		74
	EnrolmentDeadline	<EnrlmntDdln>		[0..1]		75
	MeetingDetails	<MtgDtls>		[1..5]		18
	DateAndTime	<DtAndTm>		[1..1]		62
	DateStatus	<DtSts>		[0..1]		63
	QuorumRequired	<QrmReqrd>		[0..1]		63
	Location	<Lctn>		[1..5]		63
	QuorumQuantity	<QrmQty>		[0..1]		63
	URLAddress	<URLAdr>		[0..1]		64
	Issuer	<Issr>		[1..1]		19
	Identification	<Id>		[1..1]		57
	URLAddress	<URLAdr>		[0..1]		58
	IssuerAgent	<IssrAgt>		[0..10]		19
	Identification	<Id>		[1..1]		56
	Role	<Role>		[0..1]		57
	Security	<Scty>		[1..200]		20
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		121
	Position	<Pos>		[0..1000]		122
	Resolution	<Rsln>		[0..1000]		20
	IssuerLabel	<IssrLbl>		[1..1]		112
	Description	<Desc>		[0..*]		112

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ListingGroupResolutionLabel	<ListgGrpRslnLbl>		[0..1]		112
	Type	<Tp>		[0..1]		112
	ForInformationOnly	<ForInfOnly>		[1..1]		112
	VoteType	<VoteTp>		[0..1]		113
	Status	<Sts>		[1..1]		113
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		113
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		113
	VoteInstructionType	<VoteInstrTp>		[0..*]		113
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		114
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		114
	Entitlement	<Entitlmnt>		[0..1]		114
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp- prvl>		[0..*]		115
	URLAddress	<URLAdr>		[0..1]		115
	Vote	<Vote>		[0..1]		21
	SecuritiesQuantityRequiredToVote	<SctiesQtyReqrToVote>		[0..1]		130
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		130
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		130
	VoteDeadline	<VoteDdln>		[0..1]		130
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		131
	VoteMethods	<VoteMthds>		[0..1]		131
	VotingBallotElectronicAddress	<VtngBlItElctrcnAdr>		[0..1]		132
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		132
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		133
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		133
	BeneficialOwnerDisclosure	<BnfclOwnrDsclsr>		[0..1]		133
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		134
	IncentivePremium	<IncntivPrm>		[0..1]		134
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		134
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		135
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		135
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		136

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousInstructionInvalidityIndicator	<PrvsInstrInldtyInd>		[0..1]		136
	PowerOfAttorneyRequirements	<PwrOfAttnyRqrmnts>		[0..1]		22
	LegalRequirement	<LglRqrmnt>		[0..4]		105
	OtherDocumentation	<OthrDcmnttn>		[0..1]		106
	DocumentSubmissionDeadline	<DocSubmissnDdlIn>		[0..1]		106
	AdditionalInformation	<AddtlInf>		[0..1]		22
	Disclaimer	<Dsclmr>		[0..*]		32
	ProcessingTextForNextIntermediary	<PrpgTxtForNxtlIntrmy>		[0..*]		33
	SupplementaryData	<SplmtryData>		[0..*]		23
	PlaceAndName	<PlcAndNm>		[0..1]		124
	Envelope	<Envlp>		[1..1]		124

3 ISO 20022 Rules

R1 Resolution1Guideline

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

Error handling: Undefined

R2 VoteInstructionType1Guideline

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

Error handling: Undefined

R3 EntitlementDate1Guideline

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

Error handling: Undefined

R4 PreviousNotificationIdentificationRule ✓

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then NotificationUpdate/PreviousNotificationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00486

- *Error Text:* PreviousNotificationIdentification should be present.

R5 AgentRole1Rule

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

Error handling: Undefined

R6 VoteAsPerIntermediary1Rule ✓

If Vote/VoteMethod/VoteThroughNetwork/VoteChannel code value is VOPI (VoteAsPerIntermediaryInformation), then at least one occurrence of AdditionalInformation/ProcessingTextForNextIntermediary must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00596
- *Error Text:* Invalid message contents for AdditionalInformation/ProcessingTextForNextIntermediary. It must be present.

R7 PaginationRule ✓

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00651
- *Error Text:* NotificationIdentification is absent.

4 Message Building Blocks

Note The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2025) - Clients / seev.001 Meeting Event Notification (SR2025) message definition.

Usage Guideline on Root Node

- on seev.001.001.12/

- "Date Code" UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Vote/RevocabilityMarketDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Description" is mandatory for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Resolution/Description] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Vote" section is mandatory for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Date Code" UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Vote/EarlyVoteWithPremiumDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- Participation section is mandatory for complete notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Meeting/Participation] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Early Vote With Premium Deadline" is mandatory for events with "Early Incentive Premium":

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote/EarlyIncentivePremium] must be present when at

least one occurrence of [MeetingNotificationV12/Vote/EarlyVoteWithPremiumDeadline] is present

- on seev.001.001.12/

- "Date Code" UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote/VoteWithPremiumDeadline/DateCode] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- Proxy and Proxy Not Allowed are mutually exclusive:

For each [Full Message/Document/MeetingNotificationV12], the following elements are mutually exclusive: [MeetingNotificationV12/Meeting/ProxyChoice/Proxy] , [MeetingNotificationV12/Meeting/ProxyChoice/ProxyNotAllowed] and one of them must be present

- on seev.001.001.12/

- Date Code UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/MeetingDetails/DateAndTime/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- Date Status not used when Date Code UKWN is present:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/MeetingDetails/DateStatus] cannot be present when at least one occurrence of [MeetingNotificationV12/MeetingDetails/DateAndTime/DateCode/Unknown] is present

- on seev.001.001.12/

- "Payment Date" is mandatory for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote/IncentivePremium/PaymentDate] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- Date Code UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Meeting/EntitlementFixingDate/Date/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- Location Code UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/MeetingDetails/Location/LocationCode] cannot be present when at least

one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- Title is mandatory if Description is absent:

Either Title or Description will be present across all resolutions

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Resolution/Description/Title] must be present when [MeetingNotificationV12/Resolution/Description/Description] is absent

- on seev.001.001.12/

- Notification Update is mandatory for Notification Type REPL or RMDR:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/NotificationUpdate] must be present when [MeetingNotificationV12/NotificationGeneralInformation/NotificationType/New] is absent

- on seev.001.001.12/

- Date Code UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Meeting/RegistrationSecuritiesMarketDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Vote With Premium Deadline" is mandatory for events with "Incentive Premium":

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote/IncentivePremium] must be present when at least one occurrence of [MeetingNotificationV12/Vote/VoteWithPremiumDeadline] is present

- on seev.001.001.12/

- Date Time will be used for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/MeetingDetails/DateAndTime/DateOrDateTime/DateTime] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Payment Date" is mandatory for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote/EarlyIncentivePremium/PaymentDate] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- on seev.001.001.12/

- "Date Code" UKWN not possible for complete event notifications:

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Vote/VoteWithPremiumMarketDeadline/DateCode] must be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.12/**

- **Date not possible for complete event notifications:**

Instead, Date Time will be used for complete event notifications

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/MeetingDetails/DateAndTime/DateOrDateTime/Date] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.12/**

- **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Vote/RevocabilityDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.12/**

- **Date Code UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Meeting/RegistrationSecuritiesDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.12/**

- **Description is mandatory if Title is absent:**

Either Title or Description will be present across all resolutions

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/Resolution/Description/Description] must be present when [MeetingNotificationV12/Resolution/Description/Title] is absent

- **on seev.001.001.12/**

- **"Date Code" UKWN not possible for complete event notifications:**

For each [Full Message/Document/MeetingNotificationV12], [MeetingNotificationV12/Vote/VoteDeadline/DateCode] cannot be present when at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationStatus/EventCompletenessStatus/Complete] is present

- **on seev.001.001.12/**

- **Meeting Instruction Identification is mandatory if Pagination is present:**

For each [Full Message/Document/MeetingNotificationV12], at least one occurrence of [MeetingNotificationV12/NotificationGeneralInformation/NotificationIdentification] must be present when at least one occurrence of [MeetingNotificationV12/Pagination] is present

4.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

The **Pgntn** block contains the following elements (see datatype "Pagination1" on page 81 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		82
	LastPageIndicator	<LastPgInd>		[1..1]		82

4.2 NotificationGeneralInformation

XML Tag: NtfctnGnllnf

Presence: [1..1]

Definition: General information about the event notification type and status.

The **NtfctnGnllnf** block contains the following elements (see datatype "NotificationGeneralInformation4" on page 78 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]		78
	NotificationType	<NtfctnTp>		[1..1]		78
	NotificationStatus	<NtfctnSts>		[1..1]		79
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		79
	ConfirmationOfHoldingRequired	<ConfOfHldgReqrd>		[0..1]		79

4.3 NotificationUpdate

XML Tag: NtfctnUpd

Presence: [0..1]

Definition: Information specific to notification amendment as for instance the identification to a previous meeting notification.

The **NtfctnUpd** block contains the following elements (see datatype "[NotificationUpdate2](#)" on [page 79](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]		80
	ReconfirmInstructions	<RcnfrmlInstrs>		[0..1]		80

4.4 EventsLinkage

XML Tag: EvtsLkg

Presence: [0..*]

Definition: Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

The **EvtsLkg** block contains the following elements (see datatype "[MeetingEventReference1](#)" on [page 65](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		65
	LinkageType	<LkgTp>		[0..1]		66

Usage Guideline details

- This element(seev.001.001.12/EventsLinkage) is removed.

4.5 Meeting


XML Tag: Mtg

Presence: [1..1]

Definition: Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

The **Mtg** block contains the following elements (see datatype "[MeetingNotice9](#)" on [page 67](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]		68
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]		68
	Type	<Tp>		[1..1]		68
	Classification	<Clssfctn>		[0..1]		68
	AnnouncementDate	<AnncmntDt>		[0..1]		69
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]		69
	Participation	<Prtcptn>		[0..*]		69
	Attendance	<Attndnc>		[0..1]		69
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]		70
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]		70
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]		70
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSciesOutsdng>		[0..1]		71
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		71
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]		71
	ProxyChoice	<PrxyChc>		[0..1]		72
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		72
	ResultPublicationDate	<RsltPblctnDt>		[0..1]		73
	SecuritiesBlockingPeriodEndDate	<SciesBlckgPrdEndDt>		[0..1]		73
	EntitlementFixingDate	<EnttlmntFxdDt>		[0..1]		73
	RegistrationSecuritiesDeadline	<RegnSciesDdln>		[0..1]		74
	RegistrationSecuritiesMarketDeadline	<RegnSciesMktDdln>		[0..1]		74
	EnrolmentMarketDeadline	<EnrlmntMktDdln>		[0..1]		74
	EnrolmentDeadline	<EnrlmntDdln>		[0..1]		75







4.6 MeetingDetails

XML Tag: MtgDtls

Presence: [1..5]

Definition: Dates and details of the shareholders meeting.

The **MtgDtls** block contains the following elements (see datatype "[Meeting7](#)" on page 62 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndTime	<DtAndTm>		[1..1]		62
	DateStatus	<DtSts>		[0..1]		63
	QuorumRequired	<QrmReqrd>		[0..1]		63
	Location	<Lctn>		[1..5]		63
	QuorumQuantity	<QrmQty>		[0..1]		63
	URLAddress	<URLAdr>		[0..1]		64







4.7 Issuer


XML Tag: Issr

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "IssuerInformation3" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		57
	URLAddress	<URLAdr>		[0..1]		58







4.8 IssuerAgent

XML Tag: IssrAgt

Presence: [0..10]

Definition: Agent of the issuer.

The **IssrAgt** block contains the following elements (see datatype "IssuerAgent3" on page 56 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		56
	Role	<Role>		[0..1]		57







4.9 Security


XML Tag: Scty

Presence: [1..200]

Definition: Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

The **Scty** block contains the following elements (see datatype "SecurityPosition20" on page 121 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		121
	Position	<Pos>		[0..1000]		122







4.10 Resolution




XML Tag: Rsltn

Presence: [0..1000]

Definition: Detailed information of a resolution proposed to the vote.

The **Rsltn** block contains the following elements (see datatype "Resolution8" on page 111 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		112
	Description	<Desc>		[0..*]		112
	ListingGroupResolutionLabel	<ListgGrpRsltnLbl>		[0..1]		112
	Type	<Tp>		[0..1]		112
	ForInformationOnly	<ForInfOnly>		[1..1]		112
	VoteType	<VoteTp>		[0..1]		113
	Status	<Sts>		[1..1]		113
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		113
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		113
	VoteInstructionType	<VoteInstrTp>		[0..*]		113
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		114
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		114
	Entitlement	<Entitlmt>		[0..1]		114

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VotingRightsThresholdForApproval	<VtngRghtsThrshld-ForApprvl>		[0..*]		115
	URLAddress	<URLAdr>		[0..1]		115

Usage Guideline details

- on seev.001.001.12/Resolution

- Resolution:

If

Event Confirmation Status is COMP and Participation Method is among {EVOT, PRXY, PHYS, PHNV}

Then

Resolution is a mandatory field and will be filled

Else

Resolution is an optional field and may be filled

4.11 Vote

XML Tag: Vote

Presence: [0..1]

Definition: Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

The **Vote** block contains the following elements (see datatype "[VoteParameters9](#)" on page 129 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantityRequired-ToVote	<SctiesQtyReqrdToVote>		[0..1]		130
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		130
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		130
	VoteDeadline	<VoteDdln>		[0..1]	⚠	130
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		131
	VoteMethods	<VoteMthds>		[0..1]		131
	VotingBallotElectronicAddress	<VtngBlItElctrncAdr>		[0..1]		132
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		132
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		133

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		133
	BeneficialOwnerDisclosure	<BnfcOwnrDsclsr>		[0..1]		133
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		134
	IncentivePremium	<IncntivPrm>		[0..1]	⚠	134
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]	⚠	134
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]	⚠	135
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]	⚠	135
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		136
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvldtyInd>		[0..1]		136

4.12 PowerOfAttorneyRequirements

XML Tag: PwrOfAttnyRqrmnts

Presence: [0..1]

Definition: Information about the use of power of attorney.

The **PwrOfAttnyRqrmnts** block contains the following elements (see datatype "[PowerOfAttorneyRequirements4](#)" on page 105 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		105
	OtherDocumentation	<OthrDcmnttn>		[0..1]		106
	DocumentSubmissionDeadline	<DocSubmissnDdln>		[0..1]		106

4.13 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional narrative information about the meeting event.

The **AddtlInf** block contains the following elements (see datatype "[CorporateEventNarrative4](#)" on page 32 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Disclaimer	<Dsclmr>		[0..*]		32
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		33

Usage Guideline details

- on [seev.001.001.12/AdditionalInformation](#)

Comment:

In case additional Power of Attorney (POA) requirements apply, the seev.001 message will include a relevant warning narrative.







4.14 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on page 123 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		124
	Envelope	<Envlp>		[1..1]		124

Usage Guideline details







- This element([seev.001.001.12/SupplementaryData](#)) is removed.

5 Message Components

Note The following chapter identifies the message components.

5.1 AdditionalRightCode1Choice

Definition: Choice of additional right code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]		24

Used in element(s)

"AdditionalRight" on page 26

5.1.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Identifies the additional right code.

Datatype: "AdditionalRight1Code" on page 141







5.1.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: This code can be used in case another reason is required.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	SchemeName	<SchmeNm>		[0..1]		46
	Issuer	<Issr>		[1..1]		46

5.2 AdditionalRightThreshold2Choice

Definition: Choice of additional right threshold.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRightThreshold	<AddtlRghtThrshld>	{Or	[1..1]		25
	AdditionalRightThresholdPercentage	<AddtlRghtThrshldPctg>	Or}	[1..1]		25

Used in element(s)

"AdditionalRightThreshold" on page 27

5.2.1 AdditionalRightThreshold

XML Tag: AddtlRghtThrshld

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

Datatype: "Max35Text" on page 156

5.2.2 AdditionalRightThresholdPercentage

XML Tag: AddtlRghtThrshldPctg

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

Datatype: "Percentage14Rate" on page 163

5.3 AdditionalRights4

Definition: Information about additional rights that can be granted for a meeting.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRight	<AddtlRght>		[1..1]		26
	AdditionalRightInformationURLAddress	<AddtlRghtInfURLAdr>		[0..1]		26
	AdditionalRightDeadline	<AddtlRghtDdlIn>		[0..1]		26
	AdditionalRightMarketDeadline	<AddtlRghtMktDdlIn>		[0..1]		26
	AdditionalRightThreshold	<AddtlRghtThrshld>		[0..1]		27

Used in element(s)

["AdditionalProcedureDetails" on page 70](#)







5.3.1 AdditionalRight

XML Tag: AddtlRght

Presence: [1..1]

Definition: Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

The **AddtlRght** block contains the following elements (see datatype ["AdditionalRightCode1Choice"](#) on page 24 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]		24

5.3.2 AdditionalRightInformationURLAddress

XML Tag: AddtlRghtInfURLAdr

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

Datatype: ["Max2048Text"](#) on page 155







5.3.3 AdditionalRightDeadline

XML Tag: AddtlRghtDdln

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

The **AddtlRghtDdln** block contains the following elements (see datatype ["DateFormat58Choice"](#) on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.3.4 AdditionalRightMarketDeadline

XML Tag: AddtlRghtMktDdln

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

The **AddtlRghtMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.3.5 AdditionalRightThreshold

XML Tag: AddtlRghtThrshld

Presence: [0..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

The **AddtlRghtThrshld** block contains the following elements (see datatype "[AdditionalRightThreshold2Choice](#)" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRightThreshold	<AddtlRghtThrshld>	{Or	[1..1]		25
	AdditionalRightThresholdPercentage	<AddtlRghtThrshldPctg>	Or}	[1..1]		25

5.4 Attendance2

Definition: Information and conditions provided for the physical attendance to the meeting.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdmissionConditions	<AdmssnConds>		[0..7]		28
	ConfirmationInformation	<ConfInf>		[0..1]		28
	ConfirmationDeadline	<ConfDdln>		[0..1]		28
	ConfirmationMarketDeadline	<ConfMktDdln>		[0..1]		28

Used in element(s)

"[Attendance](#)" on page 69







5.4.1 AdmissionConditions

XML Tag: AdmssnConds

Presence: [0..7]

Definition: Conditions for physical admittance to the general meeting.

The **AdmssnConds** block contains the following elements (see datatype "[AttendanceAdmissionConditions2](#)" on page 29 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		29
	AdditionalInformation	<AddtlInf>		[0..1]		29

5.4.2 ConfirmationInformation

XML Tag: ConfInf

Presence: [0..1]

Definition: Specifies how to order the attendance card or to give notice of attendance.

Datatype: "[Max350Text](#)" on page 156







5.4.3 ConfirmationDeadline

XML Tag: ConfDdln

Presence: [0..1]

Definition: Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

The **ConfDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







5.4.4 ConfirmationMarketDeadline

XML Tag: ConfMktDdln

Presence: [0..1]

Definition: Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.







The **ConfMktDdIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.5 AttendanceAdmissionConditions2

Definition: Physical attendance admission conditions for a meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		29
	AdditionalInformation	<AddtlInf>		[0..1]		29

Used in element(s)

"[AdmissionConditions](#)" on [page 28](#)

5.5.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Condition for physical admittance to the general meeting.

Datatype: "[AttendanceAdmissionConditions2Code](#)" on [page 144](#)

5.5.2 AdditionalInformation

XML Tag: AddtlInf







Presence: [0..1]

Definition: Additional information on the conditions for physical admittance to the general meeting.

Datatype: "[Max350Text](#)" on [page 156](#)

5.6 CommunicationAddress11

Definition: Communication device number or electronic address used for communication.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		30
	URLAddress	<URLAdr>		[0..1]		30

Used in element(s)

"VotingBallotElectronicAddress" on page 132

5.6.1 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 155

5.6.2 URLAddress

XML Tag: URLAdr







Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 155

5.7 CommunicationAddress12

Definition: Communication device number or electronic address used for communication.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	URLAddress	<URLAdr>		[0..1]		30

Used in element(s)

"ElectronicVote" on page 128

5.7.1 URLAddress

XML Tag: URLAdr







Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 155

5.8 ContactIdentification1

Definition: Information needed to contact a physical person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		31
	NamePrefix	<NmPrfx>		[0..1]		31
	GivenName	<GvnNm>		[0..1]		31
	Role	<Role>		[0..1]		31
	PhoneNumber	<PhneNb>		[0..1]		32
	FaxNumber	<FaxNb>		[0..1]		32
	EmailAddress	<EmailAdr>		[0..1]		32

Used in element(s)

["ContactPerson" on page 64](#)

5.8.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["Max35Text" on page 156](#)

5.8.2 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: ["NamePrefix1Code" on page 161](#)

5.8.3 GivenName

XML Tag: GvnNm

Presence: [0..1]

Definition: First name of a person.

Datatype: ["Max35Text" on page 156](#)

5.8.4 Role

XML Tag: Role

Presence: [0..1]

Definition: Role of the party in the activity.

Datatype: ["Max35Text" on page 156](#)

5.8.5 PhoneNumber

XML Tag: PhneNb

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: ["PhoneNumber" on page 164](#)

5.8.6 FaxNumber

XML Tag: FaxNb

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: ["PhoneNumber" on page 164](#)

5.8.7 EmailAddress

XML Tag: EmailAdr







Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 155](#)

5.9 CorporateEventNarrative4

Definition: Provides disclaimer narrative information about the event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Disclaimer	<Dsclmr>		[0..*]		32
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		33

Used in element(s)

["AdditionalInformation" on page 22](#)

5.9.1 Disclaimer

XML Tag: Dsclmr

Presence: [0..*]

Definition: Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "[LanguageSpecifiedNarrative1](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🚫 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		59
	AdditionalInformation	<AddtlInf>		[1..1]		59

5.9.2 ProcessingTextForNextIntermediary

XML Tag: PrcgTxtForNxtIntrmy

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

Datatype: "[Max8000Text](#)" on page 158

5.10 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🚫 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]	🚫	33
	DateTime	<DtTm>	Or}	[1..1]	🚫	34

Used in element(s)

"[AnnouncementDate](#)" on page 69, "[Date](#)" on page 39, "[DateOrDateTime](#)" on page 38

5.10.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: "[ISODate](#)" on page 152

Usage Guideline details

- This element([seev.001.001.12/Vote/VoteMarketDeadline/DateOrDateTime/Date](#)) is removed.

- This element(seev.001.001.12/Vote/VoteDeadline/DateOrDateTime/Date) is removed.

5.10.2 DateTime

XML Tag: DtTm

Presence: [1..1]

Definition: Specified date and time.







Datatype: ["ISODateTime" on page 152](#)

Usage Guideline details

- This element(seev.001.001.12/Meeting/AnnouncementDate/DateTime) is removed.

5.11 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		34
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		34
	CityOfBirth	<CityOfBirth>		[0..1]		35
	CountryOfBirth	<CtryOfBirth>		[0..1]		35

Used in element(s)

["DateAndPlaceOfBirth" on page 93](#), ["DateAndPlaceOfBirth" on page 96](#)

5.11.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: ["ISODate" on page 152](#)

5.11.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: ["Max35Text" on page 156](#)

5.11.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: ["Max35Text" on page 156](#)

5.11.4 CountryOfBirth

XML Tag: CtryOfBirth







Presence: [0..1]


Definition: Country where a person was born.

Datatype: ["CountryCode" on page 146](#)

5.12 DateCode34Choice

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		35
	Proprietary	<Prtry>	Or}	[1..1]		35

Used in element(s)

["DateCode" on page 39](#)

5.12.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType10Code" on page 147](#)







5.12.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 47](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47


Usage Guideline details

- This element(seev.001.001.12/Meeting/SecuritiesBlockingPeriodEndDate/DateCode/Proprietary) is removed.

5.13 DateFormat1

Definition: Format to express a date and a date mode.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>		[1..1]		36
	DateMode	<DtMd>		[0..1]		36

Used in element(s)

"EntitlementFixingDate" on page 73







5.13.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date at which the event occurs.

The **Dt** block contains the following elements (see datatype "DateFormat3Choice" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37

5.13.2 DateMode

XML Tag: DtMd

Presence: [0..1]

Definition: Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".







Datatype: ["DateMode1Code" on page 147](#)

Usage Guideline details

- This element([seev.001.001.12/Meeting/EntitlementFixingDate/DateMode](#)) is removed.

5.14 DateFormat3Choice

Definition: Choice between the formats to express a date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37

Used in element(s)

["Date" on page 36](#), ["PaymentDate" on page 54](#), ["ResultPublicationDate" on page 73](#)

5.14.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 152](#)

5.14.2 DateCode

XML Tag: DtCd







Presence: [1..1]

Definition: Indicates that date is unknown.


Datatype: ["DateType1Code" on page 148](#)

5.15 DateFormat58Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]	 	38

Used in element(s)

"AdditionalRightDeadline" on page 26, "AdditionalRightMarketDeadline" on page 26, "ConfirmationDeadline" on page 28, "ConfirmationMarketDeadline" on page 28, "DateAndTime" on page 62, "Deadline" on page 109, "DocumentSubmissionDeadline" on page 106, "EarlyVote-WithPremiumDeadline" on page 134, "EnrolmentDeadline" on page 75, "EnrolmentMarketDeadline" on page 74, "IssuerDeadlineForVoting" on page 83, "MarketDeadline" on page 109, "RegistrationSecuritiesDeadline" on page 74, "RegistrationSecuritiesMarketDeadline" on page 74, "ResponseDeadlineForVoting" on page 84, "RevocabilityDeadline" on page 133, "RevocabilityMarketDeadline" on page 133, "VoteDeadline" on page 130, "VoteMarketDeadline" on page 131, "VoteWithPremiumDeadline" on page 135, "VoteWithPremiumMarketDeadline" on page 135







5.15.1 DateOrDateTime


XML Tag: DtOrDtTm

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **DtOrDtTm** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 33 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateTime	<DtTm>	Or}	[1..1]		34

5.15.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 148

Usage Guideline details

- This element(seev.001.001.12/Meeting/EnrolmentMarketDeadline/DateCode) is removed.
- This element(seev.001.001.12/Meeting/EnrolmentDeadline/DateCode) is removed.
- on seev.001.001.12/Vote/VoteDeadline/DateCode

Comment:

rejected if comp

5.16 DateFormat60Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		39
	DateCode	<DtCd>	Or}	[1..1]		39

Used in element(s)

"SecuritiesBlockingPeriodEndDate" on page 73

5.16.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateTime	<DtTm>	Or}	[1..1]		34

5.16.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode34Choice" on page 35 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		35
	Proprietary	<Prtry>	Or}	[1..1]	🚫	35

5.17 EligiblePosition18

Definition: Information about a securities position and rights holders.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	M	41
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⊘	41
	AccountOwner	<AcctOwnr>		[0..1]	⊘	41
	HoldingBalance	<HldgBal>		[0..15]		42
	RightsHolder	<RghtsHldr>		[0..250]	⊘	42

Rules

R9 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R10 AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R11 AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R12 AccountIdentificationOrBlockChainAddress3Rule ✓

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Guidelines

1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

"Position" on page 122

5.17.1 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 156

Usage Guideline details

- **on seev.001.001.12/Security/Position/AccountIdentification**

This element is now mandatory, the minimum occurrence has been changed to : 1

5.17.2 BlockchainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 154

Usage Guideline details

- **This element(seev.001.001.12/Security/Position/BlockchainAddressOrWallet) is removed.**

5.17.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "[PartyIdentification231Choice](#)" on page 90 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		90
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		90

Usage Guideline details

- This element(seev.001.001.12/Security/Position/AccountOwner) is removed.

5.17.4 HoldingBalance

XML Tag: HldgBal

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "[HoldingBalance14](#)" on page 49 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		49
	BalanceType	<BalTp>		[1..1]		50
	SafekeepingPlace	<SfkpgPlc>		[0..1]	⚠	50
	Date	<Dt>		[0..1]	🚫	51

5.17.5 RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "[PartyIdentification246Choice](#)" on page 94 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		94
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		95

Usage Guideline details

- This element(seev.001.001.12/Security/Position/RightsHolder) is removed.

5.18 Entitlement1Choice

Definition: Choice of entitlement calculation method.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EntitlementRatio	<EntitlmntRatio>	{Or	[1..1]		43
	EntitlementDescription	<EntitlmntDesc>	Or}	[1..1]		43

Used in element(s)

"Entitlement" on page 114

5.18.1 EntitlementRatio

XML Tag: EntitlmntRatio

Presence: [1..1]

Definition: Number of votes assigned to one security.

Datatype: "DecimalNumber" on page 149

5.18.2 EntitlementDescription

XML Tag: EntitlmntDesc







Presence: [1..1]

Definition: Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

Datatype: "Max35Text" on page 156

5.19 EventStatus1

Definition: Notification status of an event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		44
	EventConfirmationStatus	<EvtConfSts>		[1..1]		44

Used in element(s)

"NotificationStatus" on page 79

5.19.1 EventCompletenessStatus

XML Tag: EvtCmpltnsSts

Presence: [1..1]

Definition: Specifies whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 149

5.19.2 EventConfirmationStatus

XML Tag: EvtConfSts







Presence: [1..1]

Definition: Specifies the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 150

5.20 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		44
	FaceAmount	<FaceAmt>	Or}	[1..1]		45

Used in element(s)

"SecuritiesQuantityRequiredToVote" on page 130, "TotalNumberOfSecuritiesOutstanding" on page 71

5.20.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 149

5.20.2 FaceAmount

XML Tag: FaceAmt







Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 153](#)

5.21 FinancialInstrumentQuantity45Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		45
	FaceAmount	<FaceAmt>	Or	[1..1]		45
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		46

Rules

R13 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["Quantity" on page 123](#)

5.21.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 149](#)

5.21.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 153](#)

5.21.3 DigitalTokenUnit

XML Tag: DgtITknUnit







Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 155

5.22 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	SchemeName	<SchmeNm>		[0..1]		46
	Issuer	<Issr>		[1..1]		46

Used in element(s)

"Proprietary" on page 24, "Proprietary" on page 76

5.22.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 156

5.22.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 156

5.22.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 156

5.23 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

Used in element(s)

"Proprietary" on page 35, "Proprietary" on page 52, "Proprietary" on page 85, "Proprietary" on page 125, "Proprietary" on page 127, "Type" on page 49

5.23.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 150

5.23.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 156

5.23.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 156

5.24 GenericIdentification36

Definition: Identification using a proprietary scheme.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Issuer	<Issr>		[1..1]		48
	SchemeName	<SchmeNm>		[0..1]		48

Used in element(s)

"ProprietaryIdentification" on page 86, "ProprietaryIdentification" on page 88

5.24.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 156

5.24.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 156

5.24.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 156

5.25 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		49
	Identification	<Id>		[0..1]		49

Used in element(s)

"Proprietary" on page 117







5.25.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

5.25.2 Identification

XML Tag: Id







Presence: [0..1]



Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "[Max35Text](#)" on page 156

5.26 HoldingBalance14

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		49
	BalanceType	<BalTp>		[1..1]		50
	SafekeepingPlace	<SfkpgPlc>		[0..1]		50
	Date	<Dt>		[0..1]		51

Used in element(s)

"[HoldingBalance](#)" on page 42

5.26.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat14](#)" on page 122 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		123
	Quantity	<Qty>		[1..1]		123

5.26.2 BalanceType

XML Tag: BalTp

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 171

5.26.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat42Choice](#)" on page 115 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	116
	Country	<Ctry>	Or	[1..1]	🚫	116
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]	🚫	116
	TypeAndIdentification	<TpAndId>	Or	[1..1]		117
	Proprietary	<Prtry>	Or}	[1..1]	🚫	117

Usage Guideline details

- on [seev.001.001.12/Security/Position/HoldingBalance/SafekeepingPlace](#)

Comment:

An event will be created for each Safekeeping place of a given ISIN.
The event notifications will mention the respective safekeeping place.

5.26.4 Date

XML Tag: Dt

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 152

Usage Guideline details

- This element(seev.001.001.12/Security/Position/HoldingBalance/Date) is removed.

5.27 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		51
	Proprietary	<Prtry>	Or}	[1..1]		51

Used in element(s)

"Type" on page 81

5.27.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 151

5.27.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 156

5.28 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		52
	Proprietary	<Prtry>	Or}	[1..1]		52

Used in element(s)

"IdentificationType" on page 77

5.28.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 175

5.28.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 47 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

5.29 IncentivePremium6

Definition: Cash premium made available if the securities holder consents or participates to an event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		53

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		53
	Type	<Tp>		[1..1]		53
	PaymentDate	<PmtDt>		[0..1]		54

Used in element(s)

"EarlyIncentivePremium" on page 134, "IncentivePremium" on page 134

5.29.1 Description

XML Tag: Desc

Presence: [0..1]

Definition: Description of the premium.

Datatype: "Max350Text" on page 156







5.29.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash premium paid per security, per vote or per attendee.

The **Amt** block contains the following elements (see datatype "PriceRateOrAmount8Choice" on page 106 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		106
	Amount	<Amt>	Or}	[1..1]		107







5.29.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Specifies which voting related criteria gives rights to an incentive premium.

The **Tp** block contains the following elements (see datatype "IncentivePremiumType2Choice" on page 54 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerSecurity	<PerScty>	{Or	[1..1]		54

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerVote	<PerVote>	Or	[1..*]		54
	PerAttendee	<PerAttndee>	Or}	[1..1]		55







5.29.4 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date/time for the payment of the premium.







The **PmtDt** block contains the following elements (see datatype "DateFormat3Choice" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37

5.30 IncentivePremiumType2Choice

Definition: Choice of cash premium paid to the security holder when voting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerSecurity	<PerScty>	{Or	[1..1]		54
	PerVote	<PerVote>	Or	[1..*]		54
	PerAttendee	<PerAttndee>	Or}	[1..1]		55

Used in element(s)

"Type" on page 53

5.30.1 PerSecurity

XML Tag: PerScty

Presence: [1..1]

Definition: Number of securities giving right to a premium.

Datatype: "Number" on page 163







5.30.2 PerVote

XML Tag: PerVote

Presence: [1..*]

Definition: Quantity of votes per type of vote giving right to a premium.

The **PerVote** block contains the following elements (see datatype "[VoteTypeAndQuantity1](#)" on [page 137](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionType	<VoteInstrTp>		[1..1]		137
	VoteQuantity	<VoteQty>		[1..1]		138

5.30.3 PerAttendee

XML Tag: PerAttndee







Presence: [1..1]

Definition: Indicates that the premium is given per attendee.

Datatype: "[YesNoIndicator](#)" on [page 181](#)

5.31 IndividualPerson43

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		55
	EmployingParty	<EmplngPty>		[0..1]		56

Used in element(s)

"[PersonDetails](#)" on [page 107](#)







5.31.1 PreassignedProxy

XML Tag: PrssgndPrxy

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

The **PrssgndPrxy** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on [page 91](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		91
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		92







5.31.2 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.







The **EmplngPty** block contains the following elements (see datatype "PartyIdentification129Choice" on page 85 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		86
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		86
	NameAndAddress	<NmAndAdr>	Or	[1..1]		86
	LEI	<LEI>	Or}	[1..1]		87

5.32 IssuerAgent3

Definition: Identification of the issuer and its role.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		56
	Role	<Role>		[0..1]		57

Used in element(s)

"IssuerAgent" on page 19

5.32.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the issuer agent.

The **Id** block contains the following elements (see datatype "PartyIdentification129Choice" on page 85 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		86
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		86
	NameAndAddress	<NmAndAdr>	Or	[1..1]		86
	LEI	<LEI>	Or}	[1..1]		87

5.32.2 Role

XML Tag: Role







Presence: [0..1]


Definition: Role of the issuer agent.

Datatype: "AgentRole1Code" on page 142

5.33 IssuerInformation3

Definition: Identification of an organisation.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		57
	URLAddress	<URLAdr>		[0..1]		58

Used in element(s)

"Issuer" on page 19







5.33.1 Identification


XML Tag: Id



Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

The **Id** block contains the following elements (see datatype "PartyIdentification129Choice" on page 85 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		86

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		86
	NameAndAddress	<NmAndAdr>	Or	[1..1]		86
	LEI	<LEI>	Or}	[1..1]		87

5.33.2 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.







Datatype: "Max2048Text" on page 155


Usage Guideline details

- This element(seev.001.001.12/Issuer/URLAddress) is removed.

5.34 ItemDescription2

Definition: Language, title and textual description in the specified language of an item.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		58
	Title	<Titl>		[0..1]		59
	Description	<Desc>		[0..*]		59

Used in element(s)

"Description" on page 112

5.34.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 152

Usage Guideline details

- on seev.001.001.12/Resolution/Description/Language

Comment:

At least one occurrence of English in each Resolution

5.34.2 Title






XML Tag: Titl*Presence:* [0..1]*Definition:* Abbreviated description of the item.*Datatype:* "Max1025Text" on page 154


5.34.3 Description

XML Tag: Desc*Presence:* [0..*]*Definition:* Free textual description of the item in the specified language.*Datatype:* "Max8000Text" on page 158

5.35 LanguageSpecifiedNarrative1

Definition: Provides narrative information about an event and the language in which it is specified.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -

 Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		59
	AdditionalInformation	<AddtlInf>		[1..1]		59

Used in element(s)"Disclaimer" on page 32

5.35.1 Language

XML Tag: Lang*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 152

5.35.2 AdditionalInformation

XML Tag: AddtlInf*Presence:* [1..1]*Definition:* Provides additional textual information in the specified language.

Datatype: "Max8000Text" on page 158

5.36 LocationFormat1Choice

Definition: Choice between the formats to indicate the location of the meeting.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>	{Or	[1..1]		60
	LocationCode	<LctnCd>	Or}	[1..1]		60

Used in element(s)

"Location" on page 63

5.36.1 Address

XML Tag: Adr

Presence: [1..1]

Definition: Specifies physical address of the meeting.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 101 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		102
	StreetName	<StrtNm>		[0..1]		102
	BuildingNumber	<BldgNb>		[0..1]		102
	PostCode	<PstCd>		[0..1]		102
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		103
	Country	<Ctry>		[1..1]		103

5.36.2 LocationCode

XML Tag: LctnCd







Presence: [1..1]

Definition: Indicates that the location is unknown.

Datatype: "PlaceType1Code" on page 164

5.37 MailAddress1

Definition: Electronic and physical mail address.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Correspondence	<Crspdc>		[0..5]		61
	EmailAddress	<EmailAdr>		[0..5]		61

Used in element(s)

["VoteByMail" on page 128](#)







5.37.1 Correspondence

XML Tag: Crspdc

Presence: [0..5]

Definition: Physical mail address for correspondence.

The **Crspdc** block contains the following elements (see datatype ["PostalAddress1" on page 101](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		102
	StreetName	<StrtNm>		[0..1]		102
	BuildingNumber	<BldgNb>		[0..1]		102
	PostCode	<PstCd>		[0..1]		102
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		103
	Country	<Ctry>		[1..1]		103

5.37.2 EmailAddress

XML Tag: EmailAdr







Presence: [0..5]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 155](#)

5.38 Meeting7

Definition: Information about the date and location(s) of a general meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndTime	<DtAndTm>		[1..1]		62
	DateStatus	<DtSts>		[0..1]		63
	QuorumRequired	<QrmReqrd>		[0..1]		63
	Location	<Lctn>		[1..5]		63
	QuorumQuantity	<QrmQty>		[0..1]		63
	URLAddress	<URLAdr>		[0..1]		64

Rules

R14 DateStatus1Rule ✓

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00085
- *Error Text:* Invalid message content for DateCode

Used in element(s)

"MeetingDetails" on page 18







5.38.1 DateAndTime

XML Tag: DtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

The **DtAndTm** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.38.2 DateStatus

XML Tag: DtSts

Presence: [0..1]

Definition: Status of the meeting date.

Datatype: ["MeetingDateStatus2Code" on page 158](#)

5.38.3 QuorumRequired

XML Tag: QrmReqrd

Presence: [0..1]

Definition: Indicates whether a minimum number of security representation is required to hold a meeting.

Datatype: ["YesNoIndicator" on page 181](#)







5.38.4 Location

XML Tag: Lctn

Presence: [1..5]

Definition: Location at which the meeting will take place.

The **Lctn** block contains the following elements (see datatype ["LocationFormat1Choice" on page 60](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>	{Or	[1..1]		60
	LocationCode	<LctnCd>	Or}	[1..1]		60







5.38.5 QuorumQuantity

XML Tag: QrmQty

Presence: [0..1]

Definition: Minimum quantity of securities required to hold a meeting.

The **QrmQty** block contains the following elements (see datatype ["QuorumQuantity2Choice" on page 110](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>	{Or	[1..1]		110
	QuorumQuantityPercentage	<QrmQtyPctg>	Or}	[1..1]		110

5.38.6 URLAddress

XML Tag: URLAdr







Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 155

5.39 MeetingContactPerson3

Definition: Information about a contact person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContactPerson	<CtctPrsn>		[0..1]		64
	EmployingParty	<EmplngPty>		[0..1]		65
	PlaceOfListing	<PlcOfListg>		[0..1]		65

Used in element(s)

"ContactPersonDetails" on page 72







5.39.1 ContactPerson

XML Tag: CtctPrsn

Presence: [0..1]

Definition: Identification of the contact person by its name, given name and address.

The **CtctPrsn** block contains the following elements (see datatype "[ContactIdentification1](#)" on page 31 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		31
	NamePrefix	<NmPrfx>		[0..1]		31
	GivenName	<GvnNm>		[0..1]		31
	Role	<Role>		[0..1]		31
	PhoneNumber	<PhneNb>		[0..1]		32
	FaxNumber	<FaxNb>		[0..1]		32
	EmailAddress	<EmailAdr>		[0..1]		32







5.39.2 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Identification of the organisation which is represented by the person or for which the person works.

The **EmplngPty** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 85 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		86
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		86
	NameAndAddress	<NmAndAdr>	Or	[1..1]		86
	LEI	<LEI>	Or}	[1..1]		87

5.39.3 PlaceOfListing

XML Tag: PlcOfListg







Presence: [0..1]

Definition: Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Datatype: "[MICIdentifier](#)" on page 154

5.40 MeetingEventReference1

Definition: Identification of a linked meeting event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		65
	LinkageType	<LkgTp>		[0..1]		66

Used in element(s)

"[EventsLinkage](#)" on page 17







5.40.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked meeting event.

The **EvtId** block contains the following elements (see datatype "[MeetingEventReference1Choice](#)" on page 66 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedIssuerMeetingIdentification	<LkdIssrMtgId>	{Or	[1..1]		66
	LinkedMeetingIdentification	<LkdMtgId>	Or}	[1..1]		66

5.40.2 LinkageType

XML Tag: LkgTp







Presence: [0..1]

Definition: Specifies when the event is to be processed relative to the linked event.

Datatype: "[ProcessingPosition3Code](#)" on page 166

5.41 MeetingEventReference1Choice

Definition: Choice between a meeting event identification or a meeting event official identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedIssuerMeetingIdentification	<LkdIssrMtgId>	{Or	[1..1]		66
	LinkedMeetingIdentification	<LkdMtgId>	Or}	[1..1]		66

Used in element(s)

"[EventIdentification](#)" on page 65

5.41.1 LinkedIssuerMeetingIdentification

XML Tag: LkdIssrMtgId

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

Datatype: "[Max35Text](#)" on page 156

5.41.2 LinkedMeetingIdentification

XML Tag: LkdMtgId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related meeting event.

Datatype: "Max35Text" on page 156

5.42 MeetingNotice9

Definition: Information about a meeting, participation requirements and voting procedures.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]		68
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]		68
	Type	<Tp>		[1..1]		68
	Classification	<Clssfctn>		[0..1]		68
	AnnouncementDate	<AnncmntDt>		[0..1]		69
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]		69
	Participation	<Prtcptn>		[0..*]		69
	Attendance	<Attdnc>		[0..1]		69
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]		70
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]	⚠	70
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]		70
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSciesOutsdng>		[0..1]		71
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]		71
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]		71
	ProxyChoice	<PrxyChc>		[0..1]		72
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		72
	ResultPublicationDate	<RsltPblctnDt>		[0..1]		73
	SecuritiesBlockingPeriodEndDate	<SciesBlckgPrdEndDt>		[0..1]		73
	EntitlementFixingDate	<EnttlmntFxdDt>		[0..1]		73
	RegistrationSecuritiesDeadline	<RegnSciesDdln>		[0..1]		74
	RegistrationSecuritiesMarketDeadline	<RegnSciesMktDdln>		[0..1]		74
	EnrolmentMarketDeadline	<EnrlmntMktDdln>		[0..1]		74

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EnrolmentDeadline	<EnrlmntDdln>		[0..1]		75

Used in element(s)

"Meeting" on page 17

5.42.1 MeetingIdentification

XML Tag: MtgId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

Datatype: "Max35Text" on page 156

5.42.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 156

5.42.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of security holders meeting.

Datatype: "MeetingType4Code" on page 159







5.42.4 Classification


XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 75 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		75
	Proprietary	<Prtry>	Or}	[1..1]		76

5.42.5 AnnouncementDate

XML Tag: AnncmntDt

Presence: [0..1]

Definition: Official meeting announcement date.

The **AnncmntDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		33
	DateTime	<DtTm>	Or}	[1..1]	🚫	34

5.42.6 OneManOneVoteIndicator

XML Tag: OneManOneVoteInd

Presence: [0..1]

Definition: Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

Datatype: "[YesNoIndicator](#)" on page 181

5.42.7 Participation

XML Tag: Prtcptn

Presence: [0..*]

Definition: Method of voting participation to the general meeting and related voting deadline per method of participation.

The **Prtcptn** block contains the following elements (see datatype "[ParticipationMethod2](#)" on page 82 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[1..1]		82
	IssuerDeadlineForVoting	<IssrDdlnForVtng>		[1..1]		83
	SupportedByAccountServicer	<SpprtdByAcctSvcr>		[0..1]	⚠	83
	ResponseDeadlineForVoting	<RspnDdlnForVtng>		[0..1]	🚫	84







5.42.8 Attendance

XML Tag: Attdnc

Presence: [0..1]

Definition: Information and conditions for physical attendance at the general meeting.

The **Attndnc** block contains the following elements (see datatype "[Attendance2](#)" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdmissionConditions	<AdmssnConds>		[0..7]		28
	ConfirmationInformation	<ConfInf>		[0..1]		28
	ConfirmationDeadline	<ConfDdln>		[0..1]		28
	ConfirmationMarketDeadline	<ConfMktDdln>		[0..1]		28

5.42.9 AdditionalDocumentationURLAddress

XML Tag: AddtlDcmnttnURLAdr

Presence: [0..*]

Definition: Address to use over the www (HTTP) service where additional information on the meeting may be found.

Datatype: "[Max2048Text](#)" on page 155

5.42.10 EventProcessingWebSiteAddress

XML Tag: EvtPrcgWebSiteAdr

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "[Max2048Text](#)" on page 155

Usage Guideline details

- on **seev.001.001.12/Meeting/EventProcessingWebSiteAddress**
Single value: <https://xact.clearstream.com>

5.42.11 AdditionalProcedureDetails

XML Tag: AddtlPrcdrDtls

Presence: [0..5]

Definition: Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

The **AddtlPrcdrDtls** block contains the following elements (see datatype "[AdditionalRights4](#)" on [page 25](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRight	<AddtlRght>		[1..1]		26
	AdditionalRightInformationURLAddress	<AddtlRghtInfURLAdr>		[0..1]		26
	AdditionalRightDeadline	<AddtlRghtDdln>		[0..1]		26
	AdditionalRightMarketDeadline	<AddtlRghtMktDdln>		[0..1]		26
	AdditionalRightThreshold	<AddtlRghtThrshld>		[0..1]		27

5.42.12 TotalNumberOfSecuritiesOutstanding

XML Tag: TtlNbOfSciesOutsdng

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as an amount and a currency.

The **TtlNbOfSciesOutsdng** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on [page 44](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		44
	FaceAmount	<FaceAmt>	Or}	[1..1]		45

5.42.13 TotalNumberOfVotingRights

XML Tag: TtlNbOfVtngRghts

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "[Number](#)" on [page 163](#)







5.42.14 ProxyAppointmentNotificationAddress

XML Tag: PrxyAppntmntNtfctnAdr

Presence: [0..1]

Definition: Address where the information on the proxy should be sent.

The **PrxyAppntmntNtfctnAdr** block contains the following elements (see datatype "[PostalAddress1](#)" on [page 101](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		102
	StreetName	<StrtNm>		[0..1]		102
	BuildingNumber	<BldgNb>		[0..1]		102
	PostCode	<PstCd>		[0..1]		102
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		103
	Country	<Ctry>		[1..1]		103







5.42.15 ProxyChoice

XML Tag: PrxyChc

Presence: [0..1]

Definition: Specifies the proxy or whether a proxy is not allowed.

The **PrxyChc** block contains the following elements (see datatype "Proxy5Choice" on page 108 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proxy	<Prxy>	{Or	[1..1]		108
	ProxyNotAllowed	<PrxyNotAllwd>	Or}	[1..1]		108







5.42.16 ContactPersonDetails

XML Tag: CtctPrsnDtls

Presence: [0..12]

Definition: Contact person at the party organising the meeting, at the issuer or at an intermediary.

The **CtctPrsnDtls** block contains the following elements (see datatype "MeetingContactPerson3" on page 64 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContactPerson	<CtctPrsn>		[0..1]		64
	EmployingParty	<EmplngPty>		[0..1]		65

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceOfListing	<PlcOfListg>		[0..1]		65







5.42.17 ResultPublicationDate

XML Tag: RsltPblctnDt

Presence: [0..1]

Definition: Date on which the company publishes the results of its meeting.

The **RsltPblctnDt** block contains the following elements (see datatype "DateFormat3Choice" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		37
	DateCode	<DtCd>	Or}	[1..1]		37







5.42.18 SecuritiesBlockingPeriodEndDate

XML Tag: SctiesBlckgPrdEndDt

Presence: [0..1]

Definition: Date by which the blocking period for the securities should end.

The **SctiesBlckgPrdEndDt** block contains the following elements (see datatype "DateFormat60Choice" on page 39 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		39
	DateCode	<DtCd>	Or}	[1..1]		39







5.42.19 EntitlementFixingDate


XML Tag: EntitlmntFxdDt

Presence: [0..1]

Definition: Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

The **EntitlmntFxdDt** block contains the following elements (see datatype "DateFormat1" on page 36 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>		[1..1]		36
	DateMode	<DtMd>		[0..1]		36







5.42.20 RegistrationSecuritiesDeadline

XML Tag: RegnSctiesDdln

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is specified by an intermediary.

The **RegnSctiesDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







5.42.21 RegistrationSecuritiesMarketDeadline

XML Tag: RegnSctiesMktDdln

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is set by the issuer.

The **RegnSctiesMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







5.42.22 EnrolmentMarketDeadline


XML Tag: EnrlmntMktDdln

Presence: [0..1]

Definition: Date by which enrolment for participation in the general meeting or exercise of voting rights must be instructed. This deadline is set by the issuer.

The **EnrlmntMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







5.42.23 EnrolmentDeadline


XML Tag: EnrlmntDdlIn

Presence: [0..1]

Definition: Date by which enrolment for participation in the general meeting or exercise of voting rights must be instructed. This deadline is set by the intermediary.







The **EnrlmntDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)


 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.43 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		75
	Proprietary	<Prtry>	Or}	[1..1]		76

Used in element(s)

["Classification"](#) on page 68

5.43.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: ["MeetingTypeClassification2Code"](#) on page 159







5.43.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification13](#)" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	SchemeName	<SchmeNm>		[0..1]		46
	Issuer	<Issr>		[1..1]		46

Usage Guideline details

- This element(seev.001.001.12/Meeting/Classification/Proprietary) is removed.

5.44 NameAndAddress5

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		76
	Address	<Adr>		[0..1]		76

Used in element(s)

"[NameAndAddress](#)" on page 86

5.44.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "[Max350Text](#)" on page 156







5.44.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on page 101 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		102
	StreetName	<StrtNm>		[0..1]		102
	BuildingNumber	<BldgNb>		[0..1]		102
	PostCode	<PstCd>		[0..1]		102
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		103
	Country	<Ctry>		[1..1]		103

5.45 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		77
	IdentificationType	<IdTp>		[0..1]		77

Used in element(s)

"[Identification](#)" on page 93, "[Identification](#)" on page 96

5.45.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "[Max35Text](#)" on page 156







5.45.2 IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.







The **IdTp** block contains the following elements (see datatype "[IdentificationType45Choice](#)" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		52
	Proprietary	<Prtry>	Or}	[1..1]		52

5.46 NotificationGeneralInformation4

Definition: Event notification type and status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]		78
	NotificationType	<NtfctnTp>		[1..1]		78
	NotificationStatus	<NtfctnSts>		[1..1]		79
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		79
	ConfirmationOfHoldingRequired	<ConfOfHldgReqrd>		[0..1]		79

Used in element(s)

"[NotificationGeneralInformation](#)" on page 16

5.46.1 NotificationIdentification

XML Tag: NtfctnId

Presence: [0..1]

Definition: Unique identification of the group of meeting notification messages when the notification is split in multiple (paginated) messages.

Datatype: "[Max35Text](#)" on page 156

5.46.2 NotificationType

XML Tag: NtfctnTp

Presence: [1..1]

Definition: Type of notification.

Datatype: "[NotificationType3Code](#)" on page 162







5.46.3 NotificationStatus

XML Tag: NtfctnSts

Presence: [1..1]

Definition: Status of the details of the event.

The **NtfctnSts** block contains the following elements (see datatype "[EventStatus1](#)" on page 43 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		44
	EventConfirmationStatus	<EvtConfSts>		[1..1]		44

5.46.4 ShareholderRightsDirectiveIndicator

XML Tag: ShrhldrRghtsDrctvInd

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: "[YesNoIndicator](#)" on page 181

5.46.5 ConfirmationOfHoldingRequired

XML Tag: ConfOfHldgReqrd







Presence: [0..1]

Definition: Indicates whether a specific confirmation of holding together with a participation and/or vote instruction is required by the issuer (for instance as required in the German Stock Corporation Act paragraph 67c section 3).

Datatype: "[YesNoIndicator](#)" on page 181

5.47 NotificationUpdate2

Definition: Identification of a previous notification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]		80
	ReconfirmInstructions	<RcnfrmInstrs>		[0..1]		80

Used in element(s)

"[NotificationUpdate](#)" on page 16

5.47.1 PreviousNotificationIdentification

XML Tag: PrvsNtfctnId

Presence: [1..1]

Definition: Identification of a previously sent meeting notification message.

Datatype: "Max35Text" on page 156

5.47.2 ReconfirmInstructions

XML Tag: RcnfrmInstrs







Presence: [0..1]

Definition: Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

Datatype: "YesNoIndicator" on page 181

5.48 NumberOrPercentage2Choice

Definition: Choice between a percentage and number for a threshold.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThresholdPercentage	<ThrshldPctg>	{Or	[1..1]		80
	ThresholdNumber	<ThrshldNb>	Or}	[1..1]		80

Used in element(s)

"Threshold" on page 138

5.48.1 ThresholdPercentage

XML Tag: ThrshldPctg

Presence: [1..1]

Definition: Threshold provided as a percentage rate.

Datatype: "Percentage14Rate" on page 163

5.48.2 ThresholdNumber

XML Tag: ThrshldNb

Presence: [1..1]

Definition: Threshold provided as a decimal number.

Datatype: "Number" on page 163

5.49 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		81
	Suffix	<Sfx>		[0..1]		81
	Type	<Tp>		[1..1]		81

Used in element(s)

"OtherIdentification" on page 120

5.49.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 156

5.49.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 154

5.49.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 51 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		51
	Proprietary	<Prtry>	Or}	[1..1]		51

5.50 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		82
	LastPageIndicator	<LastPgInd>		[1..1]		82

Used in element(s)

"Pagination" on page 16

5.50.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 157

5.50.2 LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: "YesNoIndicator" on page 181

5.51 ParticipationMethod2

Definition: Method of voting participation to a general meeting.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[1..1]		82
	IssuerDeadlineForVoting	<IssrDdlnForVtng>		[1..1]		83
	SupportedByAccountServicer	<SpprtByAcctSvcr>		[0..1]	⚠	83
	ResponseDeadlineForVoting	<RspnDdlnForVtng>		[0..1]	🚫	84

Used in element(s)

"Participation" on page 69

5.51.1 ParticipationMethod

XML Tag: PrtcptnMtd

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

The **PrtcptnMtd** block contains the following elements (see datatype "[ParticipationMethod3Choice](#)" on page 84 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		85
	Proprietary	<Prtry>	Or}	[1..1]	🚫	85

5.51.2 IssuerDeadlineForVoting

XML Tag: IssrDdlnForVtng

Presence: [1..1]

Definition: Deadline for voting on agenda resolutions at the general meeting.

The **IssrDdlnForVtng** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.51.3 SupportedByAccountServicer

XML Tag: SpprtdByAcctSvcr

Presence: [0..1]

Definition: Indicates whether the specific participation method proposed by the issuer is supported by the account servicer.

Datatype: "[YesNoIndicator](#)" on page 181

Usage Guideline details

- on **seev.001.001.12/Meeting/Participation/SupportedByAccountServicer**

- **Serviced markets:**

"Supported By Account Servicer" is set to false for certain markets and participation methods where Clearstream does not currently offer general meeting services.

- on **seev.001.001.12/Meeting/Participation/SupportedByAccountServicer**

– **Supported By Account Servicer:**

If

Participation Method is MAIL or VIRT

Then

Supported By Account Servicer will have the value 'FALSE'

Else

Supported By Account Servicer will have the value 'TRUE'

5.51.4 ResponseDeadlineForVoting

XML Tag: RspnDdlnForVtng

Presence: [0..1]

Definition: Account servicer deadline for voting on agenda resolutions at the general meeting.

The **RspnDdlnForVtng** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

Usage Guideline details

- This element(seev.001.001.12/Meeting/Participation/ResponseDeadlineForVoting) is removed.

5.52 ParticipationMethod3Choice

Definition: Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		85
	Proprietary	<Prtry>	Or}	[1..1]	🚫	85

Used in element(s)

"ParticipationMethod" on page 82

5.52.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a code.

Datatype: "VotingParticipationMethod3Code" on page 180







5.52.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47




Usage Guideline details

- This element(seev.001.001.12/Meeting/Participation/ParticipationMethod/Proprietary) is removed.

5.53 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		86
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		86
	NameAndAddress	<NmAndAdr>	Or	[1..1]		86
	LEI	<LEI>	Or}	[1..1]		87

Used in element(s)

"EmployingParty" on page 56, "EmployingParty" on page 65, "Identification" on page 56, "Identification" on page 57

5.53.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 143

Usage Guideline details

- This element(seev.001.001.12/Issuer/Identification/AnyBIC) is removed.







5.53.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Issuer	<Issr>		[1..1]		48
	SchemeName	<SchmeNm>		[0..1]		48

Usage Guideline details

- This element(seev.001.001.12/Issuer/Identification/ProprietaryIdentification) is removed.







5.53.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on page 76 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		76
	Address	<Adr>		[0..1]		76

5.53.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.







Datatype: "[LEIIdentifier](#)" on page 153

Usage Guideline details

- This element([seev.001.001.12/Issuer/Identification/LEI](#)) is removed.

5.54 PartyIdentification198Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		87
	LEI	<LEI>	Or	[1..1]		88
	AnyBIC	<AnyBIC>	Or	[1..1]		88
	ClientIdentification	<ClntId>	Or	[1..1]		88
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		88

Used in element(s)

"[Identification](#)" on page 89, "[Identification](#)" on page 98

5.54.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: ["Max35Text" on page 156](#)

5.54.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: ["LEIIdentifier" on page 153](#)

5.54.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 143](#)

5.54.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: ["Max50Text" on page 157](#)







5.54.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36" on page 47](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Issuer	<Issr>		[1..1]		48
	SchemeName	<SchmeNm>		[0..1]		48

5.55 PartyIdentification221

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		89
	EmailAddress	<EmailAdr>		[0..1]		89
	Identification	<Id>		[1..1]		89

Used in element(s)

"LegalPerson" on page 90, "LegalPerson" on page 91







5.55.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 99 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		99
	Address	<Adr>		[0..1]		99

5.55.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 155







5.55.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.







The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 87 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		87
	LEI	<LEI>	Or	[1..1]		88
	AnyBIC	<AnyBIC>	Or	[1..1]		88
	ClientIdentification	<ClntId>	Or	[1..1]		88
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		88

5.56 PartyIdentification231Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		90
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		90

Used in element(s)

"AccountOwner" on page 41







5.56.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 88 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		89
	EmailAddress	<EmailAdr>		[0..1]		89
	Identification	<Id>		[1..1]		89

5.56.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 92 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		92
	EmailAddress	<EmailAdr>		[0..1]		93
	Identification	<Id>		[1..1]		93
	Nationality	<Ntlty>		[0..1]		93
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		93

5.57 PartyIdentification232Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		91
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		92

Used in element(s)

["PreassignedProxy"](#) on page 55

5.57.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 88 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		89
	EmailAddress	<EmailAdr>		[0..1]		89
	Identification	<Id>		[1..1]		89







5.57.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..1]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 92 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		92
	EmailAddress	<EmailAdr>		[0..1]		93
	Identification	<Id>		[1..1]		93
	Nationality	<Ntlty>		[0..1]		93
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		93

5.58 PartyIdentification238

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		92
	EmailAddress	<EmailAdr>		[0..1]		93
	Identification	<Id>		[1..1]		93
	Nationality	<Ntlty>		[0..1]		93
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		93

Used in element(s)

"[NaturalPerson](#)" on page 90, "[NaturalPerson](#)" on page 92







5.58.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 100 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		100
	FirstName	<FrstNm>		[1..1]		100
	Surname	<SrnM>		[1..1]		100
	Address	<Adr>		[0..1]		101

5.58.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 155](#)







5.58.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype ["NaturalPersonIdentification1" on page 77](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		77
	IdentificationType	<IdTp>		[0..1]		77

5.58.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: ["CountryCode" on page 146](#)

5.58.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 34 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		34
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		34
	CityOfBirth	<CityOfBirth>		[0..1]		35
	CountryOfBirth	<CtryOfBirth>		[0..1]		35

5.59 PartyIdentification246Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		94
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		95

Used in element(s)

"RightsHolder" on page 42

5.59.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification269](#)" on page 97 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		97
	EmailAddress	<EmailAdr>		[0..1]		98
	Identification	<Id>		[0..1]		98
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		98
	CountryOfIncorporation	<CtryOfIncorptn>		[0..1]		99







5.59.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification250](#)" on page 95 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		95
	EmailAddress	<EmailAdr>		[0..1]		96
	Identification	<Id>		[0..1]		96
	Nationality	<Ntlty>		[0..1]		96
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		96
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		97

5.60 PartyIdentification250

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		95
	EmailAddress	<EmailAdr>		[0..1]		96
	Identification	<Id>		[0..1]		96
	Nationality	<Ntlty>		[0..1]		96
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		96
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		97

Used in element(s)

"[NaturalPerson](#)" on page 95







5.60.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 100 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		100
	FirstName	<FrstNm>		[1..1]		100
	Surname	<SrnM>		[1..1]		100
	Address	<Adr>		[0..1]		101

5.60.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 155







5.60.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		77
	IdentificationType	<IdTp>		[0..1]		77

5.60.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on page 146







5.60.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on [page 34](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		34
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		34
	CityOfBirth	<CityOfBirth>		[0..1]		35
	CountryOfBirth	<CtryOfBirth>		[0..1]		35

5.60.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId







Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "[Max35Text](#)" on [page 156](#)

5.61 PartyIdentification269

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		97
	EmailAddress	<EmailAdr>		[0..1]		98
	Identification	<Id>		[0..1]		98
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		98
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		99

Used in element(s)

"[LegalPerson](#)" on [page 94](#)







5.61.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 99 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		99
	Address	<Adr>		[0..1]		99

5.61.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 155







5.61.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 87 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		87
	LEI	<LEI>	Or	[1..1]		88
	AnyBIC	<AnyBIC>	Or	[1..1]		88
	ClientIdentification	<ClntId>	Or	[1..1]		88
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		88

5.61.4 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "[Max35Text](#)" on page 156

5.61.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn







Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: ["CountryCode" on page 146](#)

5.62 PersonName2

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		99
	Address	<Adr>		[0..1]		99

Used in element(s)

["NameAndAddress" on page 89](#), ["NameAndAddress" on page 97](#)

5.62.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: ["Max350Text" on page 156](#)







5.62.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress26" on page 103](#) for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		104
	AddressLine	<AdrLine>		[0..5]		104
	StreetName	<StrtNm>		[0..1]		104
	BuildingNumber	<BldgNb>		[0..1]		104

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostBox	<PstBx>		[0..1]		104
	PostCode	<PstCd>		[0..1]		104
	TownName	<TwnNm>		[0..1]		105
	CountrySubDivision	<CtrySubDvsn>		[0..1]		105
	Country	<Ctry>		[1..1]		105

5.63 PersonName3

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		100
	FirstName	<FrstNm>		[1..1]		100
	Surname	<SrnM>		[1..1]		100
	Address	<Adr>		[0..1]		101

Used in element(s)

"NameAndAddress" on page 92, "NameAndAddress" on page 95

5.63.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 162

5.63.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 156

5.63.3 Surname

XML Tag: SrnM

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 156







5.63.4 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 103 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		104
	AddressLine	<AdrLine>		[0..5]		104
	StreetName	<StrtNm>		[0..1]		104
	BuildingNumber	<BldgNb>		[0..1]		104
	PostBox	<PstBx>		[0..1]		104
	PostCode	<PstCd>		[0..1]		104
	TownName	<TwnNm>		[0..1]		105
	CountrySubDivision	<CtrySubDvsn>		[0..1]		105
	Country	<Ctry>		[1..1]		105

5.64 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		102
	StreetName	<StrtNm>		[0..1]		102
	BuildingNumber	<BldgNb>		[0..1]		102
	PostCode	<PstCd>		[0..1]		102
	TownName	<TwnNm>		[0..1]		103
	CountrySubDivision	<CtrySubDvsn>		[0..1]		103

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Country	<Ctry>		[1..1]		103

Used in element(s)

["Address" on page 60](#), ["Address" on page 76](#), ["Correspondence" on page 61](#), ["ProxyAppointmentNotificationAddress" on page 71](#), ["VotingBallotRequestAddress" on page 132](#)

5.64.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 141](#)

5.64.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 157](#)

5.64.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 157](#)

5.64.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: ["Max16Text" on page 154](#)

5.64.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 154](#)

5.64.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 156

5.64.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 156

5.64.8 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 146

5.65 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		104
	AddressLine	<AdrLine>		[0..5]		104
	StreetName	<StrtNm>		[0..1]		104
	BuildingNumber	<BldgNb>		[0..1]		104
	PostBox	<PstBx>		[0..1]		104
	PostCode	<PstCd>		[0..1]		104
	TownName	<TwnNm>		[0..1]		105
	CountrySubDivision	<CtrySubDvsn>		[0..1]		105
	Country	<Ctry>		[1..1]		105

Used in element(s)

"Address" on page 99, "Address" on page 101

5.65.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 141

5.65.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 157

5.65.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 157

5.65.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 154

5.65.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 154

5.65.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 154

5.65.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 156

5.65.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 156

5.65.9 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 146

5.66 PowerOfAttorneyRequirements4

Definition: Conditions to get power of attorney.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		105
	OtherDocumentation	<OthrDcmnttn>		[0..1]		106
	DocumentSubmissionDeadline	<DocSubmissnDdln>		[0..1]		106

Guidelines

1. OtherDocumentation1Guideline

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

Used in element(s)

"PowerOfAttorneyRequirements" on page 22

5.66.1 LegalRequirement

XML Tag: LglRqrmnt

Presence: [0..4]

Definition: Authority that must validate the power of attorney.

Datatype: ["PowerOfAttorneyLegalisation1Code" on page 165](#)

5.66.2 OtherDocumentation

XML Tag: OthrDcmnttn

Presence: [0..1]

Definition: Documents needed to obtain a valid power of attorney.

Datatype: ["Max350Text" on page 156](#)







5.66.3 DocumentSubmissionDeadline

XML Tag: DocSubmissnDdlIn

Presence: [0..1]

Definition: Date by which the requested documents must be provided.







The **DocSubmissnDdlIn** block contains the following elements (see datatype ["DateFormat58Choice" on page 37](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.67 PriceRateOrAmount8Choice

Definition: Choice of formats for the price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		106
	Amount	<Amt>	Or}	[1..1]		107

Used in element(s)

["Amount" on page 53](#)

5.67.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Price expressed as a rate, that is percentage.

Datatype: ["Percentage14Rate" on page 163](#)

5.67.2 Amount

XML Tag: Amt







Presence: [1..1]

Definition: Price expressed as a currency and value.

Datatype: ["ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 140](#)

5.68 Proxy11

Definition: Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		107
	PersonDetails	<PrsnDtls>		[0..1]		107

Used in element(s)

["AuthorisedProxy" on page 110](#)

5.68.1 ProxyType

XML Tag: PrxyTp

Presence: [1..1]

Definition: Type of proxy.

Datatype: ["ProxyType3Code" on page 167](#)







5.68.2 PersonDetails

XML Tag: PrsnDtls

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

The **PrsnDtls** block contains the following elements (see datatype ["IndividualPerson43" on page 55](#) for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		55

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmployingParty	<EmplngPty>		[0..1]		56

5.69 Proxy5Choice

Definition: Choice of proxy allowance.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proxy	<Prxy>	{Or	[1..1]		108
	ProxyNotAllowed	<PrxyNotAllwd>	Or}	[1..1]		108

Used in element(s)

"ProxyChoice" on page 72







5.69.1 Proxy

XML Tag: Prxy

Presence: [1..1]

Definition: Proxy assignment and registration information.

The **Prxy** block contains the following elements (see datatype "ProxyAppointmentInformation6" on page 108 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationMethod	<RegnMtd>		[0..1]		109
	Deadline	<Ddln>		[0..1]		109
	MarketDeadline	<MktDdln>		[0..1]		109
	AuthorisedProxy	<AuthrsdPrxy>		[0..10]		110

5.69.2 ProxyNotAllowed

XML Tag: PrxyNotAllwd

Presence: [1..1]

Definition: Indicates that no proxy is allowed for a meeting.

Datatype: "ProxyNotAllowed1Code" on page 166

5.70 ProxyAppointmentInformation6

Definition: Information about the registration of a proxy.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationMethod	<RegnMtd>		[0..1]		109
	Deadline	<DdIn>		[0..1]		109
	MarketDeadline	<MktDdIn>		[0..1]		109
	AuthorisedProxy	<AuthrsdPrxy>		[0..10]		110

Used in element(s)

"Proxy" on page 108

5.70.1 RegistrationMethod

XML Tag: RegnMtd

Presence: [0..1]

Definition: Specifies how to register the proxy.

Datatype: "Max350Text" on page 156

5.70.2 Deadline

XML Tag: DdIn

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the intermediary.

The **DdIn** block contains the following elements (see datatype "DateFormat58Choice" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.70.3 MarketDeadline

XML Tag: MktDdIn

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the issuer.

The **MktDdIn** block contains the following elements (see datatype "DateFormat58Choice" on page 37 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.70.4 AuthorisedProxy

XML Tag: AuthrsdPrxy

Presence: [0..10]

Definition: Proxy person that is authorised by the issuer.

The **AuthrsdPrxy** block contains the following elements (see datatype "Proxy11" on page 107 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		107
	PersonDetails	<PrsnDtls>		[0..1]		107

5.71 QuorumQuantity2Choice

Definition: Choice of quorum quantity.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>	{Or	[1..1]		110
	QuorumQuantityPercentage	<QrmQtyPctg>	Or}	[1..1]		110

Used in element(s)

"QuorumQuantity" on page 63

5.71.1 QuorumQuantity

XML Tag: QrmQty

Presence: [1..1]

Definition: Minimum quantity of securities required to hold a meeting.

Datatype: "Max35Text" on page 156

5.71.2 QuorumQuantityPercentage

XML Tag: QrmQtyPctg







Presence: [1..1]




Definition: Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

Datatype: "Percentage14Rate" on page 163

5.72 Resolution8

Definition: Resolutions at the agenda of a meeting and type of resolution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		112
	Description	<Desc>		[0..*]		112
	ListingGroupResolutionLabel	<ListgGrpRslnLabl>		[0..1]		112
	Type	<Tp>		[0..1]		112
	ForInformationOnly	<ForInfOnly>		[1..1]		112
	VoteType	<VoteTp>		[0..1]		113
	Status	<Sts>		[1..1]		113
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		113
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		113
	VoteInstructionType	<VoteInstrTp>		[0..*]		113
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		114
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		114
	Entitlement	<Enttlmnt>		[0..1]		114
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp-prvl>		[0..*]		115
	URLAddress	<URLAdr>		[0..1]		115

Rules

R17 VotingRightsTresholdForApproval1Rule

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)"Resolution" on page 20







5.72.1 IssuerLabel


XML Tag: IssrLabl*Presence:* [1..1]*Definition:* Number of the resolution as specified by the issuer or its agent.*Datatype:* "Max35Text" on page 156

5.72.2 Description

XML Tag: Desc*Presence:* [0..*]*Definition:* Language, title and textual description of an agenda resolution.

The **Desc** block contains the following elements (see datatype "ItemDescription2" on page 58 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		58
	Title	<Titl>		[0..1]		59
	Description	<Desc>		[0..*]		59

5.72.3 ListingGroupResolutionLabel

XML Tag: ListgGrpRslnLabl*Presence:* [0..1]

Definition: Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

Datatype: "Max35Text" on page 156

5.72.4 Type

XML Tag: Tp*Presence:* [0..1]*Definition:* Type of resolution.*Datatype:* "ResolutionType2Code" on page 169

5.72.5 ForInformationOnly

XML Tag: ForInfOnly*Presence:* [1..1]

Definition: Indicates whether the resolution is listed for information or for voting.

Datatype: ["YesNoIndicator" on page 181](#)

5.72.6 VoteType

XML Tag: VoteTp

Presence: [0..1]

Definition: Impact of vote results on an agenda resolution.

Datatype: ["VoteType1Code" on page 179](#)

5.72.7 Status

XML Tag: Sts

Presence: [1..1]

Definition: Specifies whether the resolution is active or withdrawn.

Datatype: ["ResolutionStatus1Code" on page 168](#)

5.72.8 SubmittedBySecurityHolder

XML Tag: SubmittdBySctyHldr

Presence: [0..1]

Definition: Indicates whether the resolution has been submitted by the security holder.

Datatype: ["YesNoIndicator" on page 181](#)

5.72.9 RightToWithdrawIndicator

XML Tag: RghtToWdrwInd

Presence: [0..1]

Definition: Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

Datatype: ["YesNoIndicator" on page 181](#)







5.72.10 VoteInstructionType


XML Tag: VoteInstrTp

Presence: [0..*]

Definition: Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

The **VoteInstrTp** block contains the following elements (see datatype ["VoteInstructionType1" on page 125](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionTypeCode	<VoteInstrTpCd>		[1..1]		126
	AdditionalInformation	<AddttlInf>		[0..1]		126

Usage Guideline details

- on seev.001.001.12/Resolution/VoteInstructionType

- Vote Instruction Type:

If

Event Confirmation Status = COMP and For Information Only = False

Then

Vote Instruction Type is a mandatory field and will be reported

Else

5.72.11 ManagementRecommendation

XML Tag: MgmtRcmmndtn

Presence: [0..1]

Definition: Specifies how the management of the issuing company wishes the security holders to vote.

Datatype: "VoteInstruction5Code" on page 177

5.72.12 NotifyingPartyRecommendation

XML Tag: NtifngPtyRcmmndtn

Presence: [0..1]

Definition: Specifies how the notifying party recommends that the security holders vote.

Datatype: "VoteInstruction5Code" on page 177

Usage Guideline details

- This element(seev.001.001.12/Resolution/NotifyingPartyRecommendation) is removed.







5.72.13 Entitlement

XML Tag: Entitlmnt

Presence: [0..1]

Definition: Number of votes assigned per resolution to one security.

The **Entitlmnt** block contains the following elements (see datatype "Entitlement1Choice" on page 43 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EntitlementRatio	<EntitlmntRatio>	{Or	[1..1]		43
	EntitlementDescription	<EntitlmntDesc>	Or}	[1..1]		43

Usage Guideline details

- This element(seev.001.001.12/Resolution/Entitlement) is removed.







5.72.14 VotingRightsThresholdForApproval


XML Tag: VtngRghtsThrshldForApprvl

Presence: [0..*]

Definition: Voting rights threshold and threshold basis to have a meeting resolution approved.

The **VtngRghtsThrshldForApprvl** block contains the following elements (see datatype "VotingRight-sThreshold2" on page 138 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Threshold	<Thrshld>		[1..1]		138
	ThresholdBasis	<ThrshldBsis>		[0..1]		138

5.72.15 URLAddress

XML Tag: URLAdr







Presence: [0..1]




Definition: Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.


Datatype: "Max2048Text" on page 155

5.73 SafekeepingPlaceFormat42Choice

Definition: Choice of formats for a place of safekeeping.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		116
	Country	<Ctry>	Or	[1..1]		116
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		116

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TypeAndIdentification	<TpAndId>	Or	[1..1]		117
	Proprietary	<Prtry>	Or}	[1..1]		117

Used in element(s)

["SafekeepingPlace" on page 50](#)







5.73.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndText6"](#) on page 118 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		118
	Identification	<Id>		[0..1]		119

Usage Guideline details

- This element(seev.001.001.12/Security/Position/HoldingBalance/SafekeepingPlace/Identification) is removed.

5.73.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: ["CountryCode"](#) on page 146

Usage Guideline details

- This element(seev.001.001.12/Security/Position/HoldingBalance/SafekeepingPlace/Country) is removed.

5.73.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 146

Usage Guideline details

- This element(seev.001.001.12/Security/Position/HoldingBalance/SafekeepingPlace/DigitalLedgerIdentification) is removed.







5.73.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 118 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		118
	Identification	<Id>		[1..1]		118







5.73.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 48 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		49
	Identification	<Id>		[0..1]		49

Usage Guideline details

- This element(seev.001.001.12/Security/Position/HoldingBalance/SafekeepingPlace/Proprietary) is removed.

5.74 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		118
	Identification	<Id>		[1..1]		118

Used in element(s)

"TypeAndIdentification" on page 117

5.74.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 169

5.74.2 Identification

XML Tag: Id







Presence: [1..1]

Definition: Place of safekeeping.

Datatype: "AnyBICDec2014Identifier" on page 143

5.75 SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		118
	Identification	<Id>		[0..1]		119

Used in element(s)

"Identification" on page 116

5.75.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 170

5.75.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 156

5.76 SecurityIdentification19

Definition: Identification of a security.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	M	120
	OtherIdentification	<OthrId>		[0..*]	🚫	120
	Description	<Desc>		[0..1]	M	121

Rules

R18 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R19 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R20 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity*: Fatal
- *Error Code*: X00194
- *Error Text*: At least one identification must be present.

R21 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R22 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 121

5.76.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 152

Usage Guideline details

- **on seev.001.001.12/Security/FinancialInstrumentIdentification/ISIN**

This element is now mandatory, the minimum occurrence has been changed to : 1







5.76.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "OtherIdentification1" on page 80 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		81
	Suffix	<Sfx>		[0..1]		81
	Type	<Tp>		[1..1]		81

Usage Guideline details

- This element(seev.001.001.12/Security/FinancialInstrumentIdentification/OtherIdentification) is removed.

5.76.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 154

Usage Guideline details

- on seev.001.001.12/Security/FinancialInstrumentIdentification/Description
This element is now mandatory, the minimum occurrence has been changed to : 1

5.77 SecurityPosition20

Definition: Identification of a security and its balance.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		121
	Position	<Pos>		[0..1000]	M	122

Used in element(s)

"Security" on page 20

5.77.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19](#)" on page 119 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	M	120
	OtherIdentification	<OthrId>		[0..*]	🚫	120
	Description	<Desc>		[0..1]	M	121

5.77.2 Position

XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "[EligiblePosition18](#)" on page 40 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	M	41
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	🚫	41
	AccountOwner	<AcctOwnr>		[0..1]	🚫	41
	HoldingBalance	<HldgBal>		[0..15]		42
	RightsHolder	<RghtsHldr>		[0..250]	🚫	42

Usage Guideline details

- on [seev.001.001.12/Security/Position](#)

This element is now mandatory, the minimum occurrence has been changed to : 1

5.78 SignedQuantityFormat14

Definition: Signed quantity of a security for various quantity formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		123

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		123

Used in element(s)

"Balance" on page 49

5.78.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of securities.

Datatype: "ShortLong1Code" on page 173







5.78.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.







The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity45Choice" on page 45 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		45
	FaceAmount	<FaceAmt>	Or	[1..1]		45
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		46

5.79 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		124
	Envelope	<Envlp>		[1..1]		124

Rules

R23 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 23

5.79.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 156

5.79.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

5.80 ThresholdBasis1Choice

Definition: Choice between a threshold basis defined as a predefined code or as a proprietary value.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		124
	Proprietary	<Prtry>	Or}	[1..1]	🚫	125

Used in element(s)

"ThresholdBasis" on page 138

5.80.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and provided as a code value.

Datatype: "ThresholdBasis1Code" on page 174

5.80.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and defined as a proprietary value.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 47 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

Usage Guideline details

- This element(seev.001.001.12/Resolution/VotingRightsThresholdForApproval/ThresholdBasis/Proprietary) is removed.

5.81 VoteInstructionType1

Definition: Vote options for a resolution.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionTypeCode	<VoteInstrTpCd>		[1..1]		126
	AdditionalInformation	<AddtlInf>		[0..1]	🚫	126

Rules

R24 AdditionalInformationRule ✓

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00424
- *Error Text:* Invalid message contents for VoteInstructionTypeCode. A proprietary code must be present.

Used in element(s)

["VoteInstructionType" on page 113](#)

5.81.1 VoteInstructionTypeCode

XML Tag: VoteInstrTpCd

Presence: [1..1]

Definition: Vote option allowed at the resolution level.

The **VoteInstrTpCd** block contains the following elements (see datatype ["VoteInstructionType1Choice" on page 126](#) for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or}	[1..1]		127
	Proprietary	<Prtry>	Or}	[1..1]	🚫	127

5.81.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information about the vote instruction type code when expressed as a proprietary code.

Datatype: ["Max350Text" on page 156](#)

Usage Guideline details

- This element([see v.001.001.12/Resolution/VoteInstructionType/AdditionalInformation](#)) is removed.

5.82 VoteInstructionType1Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or}	[1..1]		127
	Proprietary	<Prtry>	Or}	[1..1]	🚫	127

Used in element(s)

["VoteInstructionType" on page 137](#), ["VoteInstructionTypeCode" on page 126](#)

5.82.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 178

5.82.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 47 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Issuer	<Issr>		[1..1]		47
	SchemeName	<SchmeNm>		[0..1]		47

Usage Guideline details

- This element(seev.001.001.12/Resolution/VoteInstructionType/VoteInstructionTypeCode/Proprietary) is removed.
- This element(seev.001.001.12/Vote/EarlyIncentivePremium/Type/PerVote/VoteInstructionType/Proprietary) is removed.
- This element(seev.001.001.12/Vote/IncentivePremium/Type/PerVote/VoteInstructionType/Proprietary) is removed.

5.83 VoteMethods5

Definition: Information about the vote methods to be used.

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteThroughNetwork	<VoteThrhNtwk>		[0..1]		128
	VoteByMail	<VoteByMail>		[0..1]		128
	ElectronicVote	<ElctrncVote>		[0..5]		128

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteByTelephone	<VoteByTel>		[0..5]		129

Used in element(s)

"VoteMethods" on page 131







5.83.1 VoteThroughNetwork

XML Tag: VoteThrhNtwk

Presence: [0..1]

Definition: Network address through which a voting party can cast its vote via a structured message.

The **VoteThrhNtwk** block contains the following elements (see datatype "VoteThroughNetwork1Choice" on page 136 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteChannel	<VoteChanI>	{Or	[1..1]		137
	VoteDirectlyToIssuer	<VoteDrctlyToIssr>	Or}	[1..5]		137







5.83.2 VoteByMail

XML Tag: VoteByMail

Presence: [0..1]

Definition: Address where the voting ballot can be sent.

The **VoteByMail** block contains the following elements (see datatype "MailAddress1" on page 61 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Correspondence	<Crspdc>		[0..5]		61
	EmailAddress	<EmailAdr>		[0..5]		61

5.83.3 ElectronicVote

XML Tag: ElctrncVote

Presence: [0..5]

Definition: Electronic address, e-mail or web site, where a security holder can vote.

The **ElctrncVote** block contains the following elements (see datatype "CommunicationAddress12" on page 30 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	URLAddress	<URLAdr>		[0..1]		30

5.83.4 VoteByTelephone

XML Tag: VoteByTel







Presence: [0..5]






Definition: Telephone number providing access to an automated voting system.

Datatype: "Max35Text" on page 156

5.84 VoteParameters9

Definition: Information describing how the voting process is organised.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantityRequired-ToVote	<SctiesQtyReqrdToVote>		[0..1]		130
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		130
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		130
	VoteDeadline	<VoteDdln>		[0..1]		130
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		131
	VoteMethods	<VoteMthds>		[0..1]		131
	VotingBallotElectronicAddress	<VtngBlItElctrcAdr>		[0..1]		132
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		132
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		133
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		133
	BeneficialOwnerDisclosure	<BnfcIOwnrDsclsr>		[0..1]		133
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		134
	IncentivePremium	<IncntivPrm>		[0..1]		134
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		134
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		135
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		135
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		136

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvldtyInd>		[0..1]		136

Used in element(s)

["Vote" on page 21](#)







5.84.1 SecuritiesQuantityRequiredToVote

XML Tag: SctiesQtyReqrdToVote

Presence: [0..1]

Definition: Number of holdings required for a vote.

The **SctiesQtyReqrdToVote** block contains the following elements (see datatype ["FinancialInstrumentQuantity18Choice"](#) on page 44 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		44
	FaceAmount	<FaceAmt>	Or}	[1..1]		45

5.84.2 PartialVoteAllowed

XML Tag: PrtlVoteAllwd

Presence: [1..1]

Definition: Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

Datatype: ["YesNoIndicator"](#) on page 181

5.84.3 SplitVoteAllowed

XML Tag: SpltVoteAllwd

Presence: [1..1]

Definition: Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

Datatype: ["YesNoIndicator"](#) on page 181







5.84.4 VoteDeadline


XML Tag: VoteDdln

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary.

The **VoteDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

Usage Guideline details

- on [seev.001.001.12/Vote/VoteDeadline](#)

- **Vote Deadline:**

If

Event Confirmation Status = COMP and Participation Method = EVOT

Then

Vote Deadline is a mandatory field and will be filled

Else

Vote Deadline is an optional field and may be filled







5.84.5 VoteMarketDeadline

XML Tag: VoteMktDdlIn

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer.

The **VoteMktDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.84.6 VoteMethods

XML Tag: VoteMthds

Presence: [0..1]

Definition: Specifies the different methods that can be used to vote.

The **VoteMthds** block contains the following elements (see datatype "[VoteMethods5](#)" on page 127 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteThroughNetwork	<VoteThrhgNtwk>		[0..1]		128
	VoteByMail	<VoteByMail>		[0..1]		128
	ElectronicVote	<ElctrncVote>		[0..5]		128
	VoteByTelephone	<VoteByTel>		[0..5]		129







5.84.7 VotingBallotElectronicAddress

XML Tag: VtngBlItElctrncAdr

Presence: [0..1]

Definition: Electronic location, e-mail or URL address, where the voting ballot can be requested.

The **VtngBlItElctrncAdr** block contains the following elements (see datatype "CommunicationAddress11" on page 29 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		30
	URLAddress	<URLAdr>		[0..1]		30







5.84.8 VotingBallotRequestAddress

XML Tag: VtngBlItReqAdr

Presence: [0..1]

Definition: Postal address where the voting ballot can be requested.

The **VtngBlItReqAdr** block contains the following elements (see datatype "PostalAddress1" on page 101 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		102
	AddressLine	<AdrLine>		[0..5]		102
	StreetName	<StrtNm>		[0..1]		102
	BuildingNumber	<BldgNb>		[0..1]		102
	PostCode	<PstCd>		[0..1]		102
	TownName	<TwnNm>		[0..1]		103

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CountrySubDivision	<CtrySubDvsn>		[0..1]		103
	Country	<Ctry>		[1..1]		103







5.84.9 RevocabilityDeadline

XML Tag: RvcbltyDdln

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

The **RvcbltyDdln** block contains the following elements (see datatype "DateFormat58Choice" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38







5.84.10 RevocabilityMarketDeadline

XML Tag: RvcbltyMktDdln

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

The **RvcbltyMktDdln** block contains the following elements (see datatype "DateFormat58Choice" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

5.84.11 BeneficialOwnerDisclosure

XML Tag: BnfcOwnrDsclsr

Presence: [0..1]

Definition: Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

Datatype: "YesNoIndicator" on page 181

5.84.12 EarlyIncentivePremium

XML Tag: EarlyIncentivPrm

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

The **EarlyIncentivPrm** block contains the following elements (see datatype "[IncentivePremium6](#)" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		53
	Amount	<Amt>		[1..1]		53
	Type	<Tp>		[1..1]		53
	PaymentDate	<PmtDt>		[0..1]		54

5.84.13 IncentivePremium

XML Tag: IncntivPrm

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting.

The **IncentivPrm** block contains the following elements (see datatype "[IncentivePremium6](#)" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		53
	Amount	<Amt>		[1..1]		53
	Type	<Tp>		[1..1]		53
	PaymentDate	<PmtDt>		[0..1]		54

Usage Guideline details

- on [seev.001.001.12/Vote/IncentivePremium](#)

Comment:

Mandatory if Vote With Premium Deadline is present

5.84.14 EarlyVoteWithPremiumDeadline

XML Tag: EarlyVoteWthPrmDdl

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

The **EarlyVoteWthPrmDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

Usage Guideline details

- on [seev.001.001.12/Vote/EarlyVoteWithPremiumDeadline](#)

Comment:

Mandatory if Early Incentive Premium is present

5.84.15 VoteWithPremiumDeadline

XML Tag: VoteWthPrmDdlIn

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

The **VoteWthPrmDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 37](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

Usage Guideline details

- on [seev.001.001.12/Vote/VoteWithPremiumDeadline](#)

Comment:

Mandatory if Incentive Premium is present

5.84.16 VoteWithPremiumMarketDeadline

XML Tag: VoteWthPrmMktDdlIn

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

The **VoteWthPrmMktDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		38
	DateCode	<DtCd>	Or}	[1..1]		38

Usage Guideline details

- on [seev.001.001.12/Vote/VoteWithPremiumMarketDeadline](#)

Comment:

Mandatory if (Early) Incentive Premium is present

5.84.17 AdditionalVotingRequirements

XML Tag: AddtlVtngRqrmnts

Presence: [0..1]

Definition: Additional information on specific requirements for allowing a person to vote.

Datatype: "[Max350Text](#)" on page 156

5.84.18 PreviousInstructionInvalidityIndicator

XML Tag: PrvsInstrInvltdtyInd

Presence: [0..1]

Definition: Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

Datatype: "[YesNoIndicator](#)" on page 181

5.85 VoteThroughNetwork1Choice

Definition: Choice between voting directly to issuers or voting via alternate network channels.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteChannel	<VoteChanI>	{Or	[1..1]		137
	VoteDirectlyToIssuer	<VoteDrctlyToIssr>	Or}	[1..5]		137

Used in element(s)

["VoteThroughNetwork" on page 128](#)

5.85.1 VoteChannel

XML Tag: VoteChanl

Presence: [1..1]

Definition: Voting is performed via message sent through an alternate network channel, that is, either via the chain of intermediaries or via the channel as described in the processing text for next intermediary information field.

Datatype: ["VoteChannel1Code" on page 176](#)

5.85.2 VoteDirectlyToIssuer

XML Tag: VoteDrctlyToIssr







Presence: [1..5]

Definition: Voting is performed by sending a vote message directly to the issuer.

Datatype: ["AnyBICDec2014Identifier" on page 143](#)

5.86 VoteTypeAndQuantity1

Definition: Type of vote that can be provided for a resolution in a general meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionType	<VoteInstrTp>		[1..1]		137
	VoteQuantity	<VoteQty>		[1..1]		138

Used in element(s)

["PerVote" on page 54](#)







5.86.1 VoteInstructionType


XML Tag: VoteInstrTp

Presence: [1..1]

Definition: Types of vote instruction allowed for resolutions to be voted on in general meeting.

The **VoteInstrTp** block contains the following elements (see datatype ["VoteInstructionType1Choice" on page 126](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		127
	Proprietary	<Prtry>	Or}	[1..1]		127

5.86.2 VoteQuantity

XML Tag: VoteQty







Presence: [1..1]


Definition: Quantity of vote expressed for the specified type of vote instruction.

Datatype: "Number" on page 163

5.87 VotingRightsThreshold2

Definition: Specifies a threshold level and a threshold basis for passing resolutions at general meetings.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Threshold	<Thrshld>		[1..1]		138
	ThresholdBasis	<ThrshldBsis>		[0..1]		138

Used in element(s)

"VotingRightsThresholdForApproval" on page 115







5.87.1 Threshold

XML Tag: Thrshld

Presence: [1..1]

Definition: Voting rights threshold required for a resolution to pass in percentage or in quantity.

The **Thrshld** block contains the following elements (see datatype "NumberOrPercentage2Choice" on page 80 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThresholdPercentage	<ThrshldPctg>	{Or	[1..1]		80
	ThresholdNumber	<ThrshldNb>	Or}	[1..1]		80

5.87.2 ThresholdBasis

XML Tag: ThrshldBsis

Presence: [0..1]

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

The **ThrshldBsis** block contains the following elements (see datatype "[ThresholdBasis1Choice](#)" on [page 124](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		124
	Proprietary	<Prtry>	Or}	[1..1]	🚫	125

Usage Guideline details

- on **seev.001.001.12/Resolution/VotingRightsThresholdForApproval/ThresholdBasis**

Comment:

Mandatory if more than one occurrence of Voting Rights Threshold For Approval Threshold Basis/Code to be different in each occurrence

6 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

6.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 140

Format

fractionDigits	13
minInclusive	0
totalDigits	18

Used in element(s)

"Amount" on page 107

6.2 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Rules

R16 ActiveOrHistoricCurrency ✓

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

– Error severity: Fatal

- *Error Code:* D00006
- *Error Text:* Invalid currency code.

Used in attribute(s)

"ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 140

Restricts

"ParentCurrencyCode" on page 163

6.3 AdditionalRight1Code

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: CodeSet

Code	Name	Definition
AIPS	AgendaItemProposal	Defines a resolution proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
WQPS	WrittenQuestionProposal	Defines a written question proposal.

Used in element(s)

"Code" on page 24

Restricts

"AdditionalRightCode" on page 141

6.4 AdditionalRightCode

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: CodeSet

Code	Name	Definition
AIPS	AgendaItemProposal	Defines a resolution proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
WQPS	WrittenQuestionProposal	Defines a written question proposal.

Is restricted by

"AdditionalRight1Code" on page 141

6.5 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 102](#), ["AddressType" on page 104](#)

Restricts

["AddressTypeCode" on page 142](#)

6.6 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 141](#)

6.7 AgentRole1Code

Definition: Specifies the role of the Issuer agent.

Type: CodeSet

Code	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

Used in element(s)

"Role" on page 57

Restricts

"AgentRoleCode" on page 143

6.8 AgentRoleCode

Definition: Identification of the agent acting as main paying agent or sub paying agent.

Type: CodeSet

Code	Name	Definition
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

Is restricted by

"AgentRole1Code" on page 142

6.9 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules**R15 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 86, "AnyBIC" on page 88, "Identification" on page 118, "VoteDirectlyToIssuer" on page 137

6.10 AttendanceAdmissionConditions2Code

Definition: Specifies the conditions for physical admittance to a general meeting.

Type: CodeSet

Code	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.

Used in element(s)

"Code" on page 29

Restricts

["AttendanceAdmissionConditionsCode" on page 145](#)

6.11 AttendanceAdmissionConditionsCode

Definition: Conditions for physical admittance to general meetings.

Type: CodeSet

Code	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.

Is restricted by

["AttendanceAdmissionConditions2Code" on page 144](#)

6.12 CorporateActionNotificationTypeCode

Definition: Type of notification.

Type: CodeSet

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.

Code	Name	Definition
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

"NotificationType3Code" on page 162

6.13 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R8 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 103, "Country" on page 105, "Country" on page 116, "CountryOfBirth" on page 35, "CountryOfIncorporation" on page 99, "Nationality" on page 93, "Nationality" on page 96

6.14 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 116](#)

6.15 DateMode1Code

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: CodeSet

Code	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

Used in element(s)

["DateMode" on page 36](#)

Restricts

["DateModeCode" on page 147](#)

6.16 DateModeCode

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: CodeSet

Code	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

Is restricted by

["DateMode1Code" on page 147](#)

6.17 DateType10Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

Code	Name	Definition
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 35

Restricts

"DateTypeCode" on page 148

6.18 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

"DateCode" on page 37, "DateCode" on page 38

Restricts

"DateTypeCode" on page 148

6.19 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.

Code	Name	Definition
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType10Code" on page 147, "DateType1Code" on page 148

6.20 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

"EntitlementRatio" on page 43, "Unit" on page 44, "Unit" on page 45

6.21 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Used in element(s)

"EventCompletenessStatus" on page 44

Restricts

"EventCompletenessStatusCode" on page 150

6.22 EventCompletenessStatusCode

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Is restricted by

"EventCompletenessStatus1Code" on page 149

6.23 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Used in element(s)

"EventConfirmationStatus" on page 44

Restricts

"EventConfirmationStatusCode" on page 150

6.24 EventConfirmationStatusCode

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
PDNG	Pending	Occurrence of the event is pending.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Is restricted by

"EventConfirmationStatus1Code" on page 150

6.25 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
---------	----------------

Used in element(s)

"Identification" on page 47

6.26 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

"Code" on page 51

Restricts

"ExternalFinancialInstrumentIdentificationTypeCode" on page 151

6.27 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 151](#)

6.28 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

["ISIN" on page 120](#)

6.29 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

Used in element(s)

["Language" on page 58](#), ["Language" on page 59](#)

6.30 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

["BirthDate" on page 34](#), ["Date" on page 33](#), ["Date" on page 37](#), ["Date" on page 51](#)

6.31 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"DateTime" on page 34

6.32 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"FaceAmount" on page 45, "FaceAmount" on page 45

6.33 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

Used in element(s)
"LEI" on page 87, "LEI" on page 88

6.34 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.
Type: IdentifierSet

Format

pattern	[A-Z0-9]{4,4}
---------	---------------

Used in element(s)
"PlaceOfListing" on page 65

6.35 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.
Type: Text

Format

maxLength	1025
minLength	1

Used in element(s)
"Title" on page 59

6.36 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.
Type: Text

Format

maxLength	140
minLength	1

Used in element(s)
"BlockchainAddressOrWallet" on page 41, "Description" on page 121

6.37 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.
Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 102, "BuildingNumber" on page 104, "PostBox" on page 104, "PostCode" on page 102, "PostCode" on page 104, "Suffix" on page 81

6.38 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

maxLength	2048
minLength	1

Used in element(s)

"AdditionalDocumentationURLAddress" on page 70, "AdditionalRightInformationURLAddress" on page 26, "EventProcessingWebSiteAddress" on page 70, "URLAddress" on page 30, "URLAddress" on page 30, "URLAddress" on page 58, "URLAddress" on page 64, "URLAddress" on page 115

6.39 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

"EmailAddress" on page 30, "EmailAddress" on page 32, "EmailAddress" on page 61, "EmailAddress" on page 89, "EmailAddress" on page 93, "EmailAddress" on page 96, "EmailAddress" on page 98

6.40 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
----------------	----

totalDigits	30
-------------	----

Used in element(s)

["DigitalTokenUnit" on page 46](#)

6.41 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["AdditionalInformation" on page 29](#), ["AdditionalInformation" on page 126](#), ["AdditionalVotingRequirements" on page 136](#), ["ConfirmationInformation" on page 28](#), ["Description" on page 53](#), ["FirstName" on page 100](#), ["Name" on page 76](#), ["Name" on page 99](#), ["OtherDocumentation" on page 106](#), ["PlaceAndName" on page 124](#), ["RegistrationMethod" on page 109](#), ["Surname" on page 100](#)

6.42 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

["AccountIdentification" on page 41](#), ["AdditionalRightThreshold" on page 25](#), ["CityOfBirth" on page 35](#), ["CompanyRegisterShareholderIdentification" on page 97](#), ["CompanyRegisterShareholderIdentification" on page 98](#), ["CountrySubDivision" on page 103](#), ["CountrySubDivision" on page 105](#), ["EntitlementDescription" on page 43](#), ["GivenName" on page 31](#), ["Identification" on page 48](#), ["Identification" on page 49](#), ["Identification" on page 77](#), ["Identification" on page 81](#), ["Identification" on page 119](#), ["Issuer" on page 46](#), ["Issuer" on page 47](#), ["Issuer" on page 48](#), ["IssuerLabel" on page 112](#), ["IssuerMeetingIdentification" on page 68](#), ["LinkedIssuerMeetingIdentification" on page 66](#), ["LinkedMeetingIdentification" on page 66](#), ["ListingGroupResolutionLabel" on page 112](#), ["MeetingIdentification" on page 68](#), ["Name" on page 31](#), ["NationalRegistrationNumber" on page 87](#), ["NotificationIdentification" on page 78](#), ["PreviousNotificationIdentification" on page 80](#), ["Proprietary" on page 51](#), ["ProvinceOfBirth" on page 34](#), ["QuorumQuantity" on page 110](#), ["Role" on page 31](#), ["SchemeName" on page 46](#), ["SchemeName" on page 47](#), ["SchemeName" on page 48](#), ["TownName" on page 103](#), ["TownName" on page 105](#), ["VoteByTelephone" on page 129](#)

6.43 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

"Identification" on page 46

6.44 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

"ClientIdentification" on page 88

6.45 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
---------	------------

Used in element(s)

"PageNumber" on page 82

6.46 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

["AddressLine" on page 102](#), ["AddressLine" on page 104](#), ["StreetName" on page 102](#), ["StreetName" on page 104](#)

6.47 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

maxLength	8000
minLength	1

Used in element(s)

["AdditionalInformation" on page 59](#), ["Description" on page 59](#), ["ProcessingTextForNextIntermediary" on page 33](#)

6.48 MeetingDateStatus2Code

Definition: Specifies a status for a meeting date.

Type: CodeSet

Code	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

Used in element(s)

["DateStatus" on page 63](#)

Restricts

["MeetingDateStatusCode" on page 158](#)

6.49 MeetingDateStatusCode

Definition: Identifies the possible statuses of a meeting date.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Meeting date has been cancelled.
CNFR	Confirmed	Meeting date is confirmed.
NOQO	NoQuorum	Meeting could not take place as the quorum was not reached.
TNTA	Tentative	Meeting date is tentative.

Is restricted by

["MeetingDateStatus2Code" on page 158](#)

6.50 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

["Type" on page 68](#)

Restricts

["MeetingTypeV2Code" on page 160](#)

6.51 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.

Code	Name	Definition
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Used in element(s)

"Code" on page 75

Restricts

"MeetingTypeClassificationCode" on page 160

6.52 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

"MeetingTypeClassification2Code" on page 159

6.53 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

"MeetingType4Code" on page 159

6.54 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

"NamePrefix" on page 31

Restricts

["NamePrefixCode" on page 162](#)

6.55 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

["NamePrefix" on page 100](#)

Restricts

["NamePrefixCode" on page 162](#)

6.56 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

["NamePrefix1Code" on page 161](#), ["NamePrefix2Code" on page 162](#)

6.57 NotificationType3Code

Definition: Specifies a type of notification.

Type: CodeSet

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

Used in element(s)

"NotificationType" on page 78

Restricts

"CorporateActionNotificationTypeCode" on page 145

6.58 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

fractionDigits	0
totalDigits	18

Used in element(s)

"PerSecurity" on page 54, "ThresholdNumber" on page 80, "TotalNumberOfVotingRights" on page 71, "VoteQuantity" on page 138

6.59 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern	[A-Z]{3,3}
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Is restricted by

"ActiveOrHistoricCurrencyCode" on page 140

6.60 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

["AdditionalRightThresholdPercentage" on page 25](#), ["QuorumQuantityPercentage" on page 110](#), ["Rate" on page 106](#), ["ThresholdPercentage" on page 80](#)

6.61 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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Used in element(s)

["FaxNumber" on page 32](#), ["PhoneNumber" on page 32](#)

6.62 PlaceType1Code

Definition: Type of date.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	The type of place is unknown.

Used in element(s)

["LocationCode" on page 60](#)

Restricts

["PlaceTypeCode" on page 164](#)

6.63 PlaceTypeCode

Definition: Type of place.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	The type of place is unknown.

Is restricted by

"PlaceType1Code" on page 164

6.64 PowerOfAttorneyLegalisation1Code

Definition: Indicates the legal constraint on the Power of Attorney.

Type: CodeSet

Code	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.

Used in element(s)

"LegalRequirement" on page 105

Restricts

"PowerOfAttorneyLegalisationCode" on page 165

6.65 PowerOfAttorneyLegalisationCode

Definition: Indicates the legal constraint on the power of attorney.

Type: CodeSet

Code	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.

Is restricted by

"PowerOfAttorneyLegalisation1Code" on page 165

6.66 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

"LinkageType" on page 66

Restricts

"ProcessingPositionCode" on page 166

6.67 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 166

6.68 ProxyNotAllowed1Code

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: CodeSet

Code	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

Used in element(s)

["ProxyNotAllowed" on page 108](#)

Restricts

["ProxyNotAllowedCode" on page 167](#)

6.69 ProxyNotAllowedCode

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: CodeSet

Code	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

Is restricted by

["ProxyNotAllowed1Code" on page 166](#)

6.70 ProxyType3Code

Definition: Specifies the type of proxy person.

Type: CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

Used in element(s)

["ProxyType" on page 107](#)

Restricts

["ProxyTypeCode" on page 167](#)

6.71 ProxyTypeCode

Definition: Specifies the type of proxy person.

Type: CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

Is restricted by

"ProxyType3Code" on page 167

6.72 ResolutionStatus1Code

Definition: Specifies whether a resolution in an agenda is still active or has been deleted.

Type: CodeSet

Code	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Used in element(s)

"Status" on page 113

Restricts

"ResolutionStatusCode" on page 168

6.73 ResolutionStatusCode

Definition: Specifies the status of a resolution in a meeting agenda.

Type: CodeSet

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Is restricted by

"ResolutionStatus1Code" on page 168

6.74 ResolutionType2Code

Definition: Specifies the type of resolution.

Type: CodeSet

Code	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

Used in element(s)

"Type" on page 112

Restricts

"ResolutionTypeCode" on page 169

6.75 ResolutionTypeCode

Definition: Specifies the type of resolution.

Type: CodeSet

Code	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
ORDI	Ordinary	Meeting resolution is ordinary and is not subject to any specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

Is restricted by

"ResolutionType2Code" on page 169

6.76 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.

Code	Name	Definition
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 118

Restricts

"SafekeepingPlaceCode" on page 170

6.77 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 118

Restricts

"SafekeepingPlaceCode" on page 170

6.78 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

"SafekeepingPlace1Code" on page 169, "SafekeepingPlace2Code" on page 170

6.79 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.

Code	Name	Definition
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
UNBA	UninstructedBalance	Balance of uninstructed position.

Used in element(s)

"BalanceType" on page 50

Restricts

"SecuritiesEntryTypeCode" on page 172

6.80 SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.

Code	Name	Definition
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType2Code" on page 171

6.81 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.

Code	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

["ShortLongPosition" on page 123](#)

Restricts

["ShortLongCode" on page 174](#)

6.82 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

["ShortLong1Code" on page 173](#)

6.83 ThresholdBasis1Code

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

Type: CodeSet

Code	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

Used in element(s)

["Code" on page 124](#)

Restricts

["ThresholdBasisCode" on page 174](#)

6.84 ThresholdBasisCode

Definition: Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

Type: CodeSet

Code	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

Is restricted by

"ThresholdBasis1Code" on page 174

6.85 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

"Code" on page 52

Restricts

"TypeOfIdentificationCode" on page 176

6.86 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

"TypeOfIdentification4Code" on page 175

6.87 VoteChannel1Code

Definition: Specifies the network channel through which the vote should be sent.

Type: CodeSet

Code	Name	Definition
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.

Used in element(s)

"VoteChannel" on page 137

Restricts

"VoteChannelCode" on page 177

6.88 VoteChannelCode

Definition: Specifies the network channel through which the vote should be sent.

Type: CodeSet

Code	Name	Definition
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.

Is restricted by

"VoteChannel1Code" on page 176

6.89 VoteInstruction5Code

Definition: Specifies a type of voting instruction that can be part of a recommendation to vote.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

"ManagementRecommendation" on page 114, "NotifyingPartyRecommendation" on page 114

Restricts

"VoteInstructionV2Code" on page 178

6.90 VoteInstruction6Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

"Type" on page 127

Restricts

"VoteInstructionV2Code" on page 178

6.91 VoteInstructionV2Code

Definition: Specifies the possible types of voting instructions.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Is restricted by

"VoteInstruction5Code" on page 177, "VoteInstruction6Code" on page 178

6.92 VoteType1Code

Definition: Specifies the impact of a vote on an agenda resolution in general meetings.

Type: CodeSet

Code	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

Used in element(s)

["VoteType" on page 113](#)

Restricts

["VoteTypeCode" on page 180](#)

6.93 VoteTypeCode

Definition: Specifies the impact of a vote on a proposal.

Type: CodeSet

Code	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

Is restricted by

["VoteType1Code" on page 179](#)

6.94 VotingParticipationMethod3Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

Used in element(s)

["Code" on page 85](#)

Restricts

["VotingParticipationMethodV2Code" on page 181](#)

6.95 VotingParticipationMethodV2Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

Is restricted by

"VotingParticipationMethod3Code" on page 180

6.96 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"BeneficialOwnerDisclosure" on page 133, "ConfirmationOfHoldingRequired" on page 79, "ForInformationOnly" on page 112, "LastPageIndicator" on page 82, "OneManOneVoteIndicator" on page 69, "PartialVoteAllowed" on page 130, "PerAttendee" on page 55, "PreviousInstructionInvalidityIndicator" on page 136, "QuorumRequired" on page 63, "ReconfirmInstructions" on page 80, "RightToWithdrawIndicator" on page 113, "ShareholderRightsDirectiveIndicator" on page 79, "SplitVoteAllowed" on page 130, "SubmittedBySecurityHolder" on page 113, "SupportedByAccountServicer" on page 83

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