

Usage Guideline

seev.006 Meeting Instruction Status (S-R2025)

OneClearstream - General Meeting Messages (SR2025) - Clients

This document describes a usage guideline restricting the base message **MX seev.006.001.11**. You can also consult [this information online](#).

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Message Functionality

Overview

The Meeting Notification message is sent by Clearstream to clients/account holders or their respective third-party service providers in response to an instruction to inform about the processing status.

Collection Description

Guidelines applicable after Clearstream's Release in 24 November 2025 including changes from the Swift Release (SR2025)

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

Version changes :

v.2 From v.1 : Provision of the Building Number information in the Rights Holder section is no longer mandatory for Registration instructions.

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2025) - Clients / seev.006 Meeting Instruction Status (SR2025) message is composed of 9 building blocks

- a. InstructionType
Type of instruction.
- b. MeetingReference
Set of elements to allow the unambiguous identification of a meeting.
- c. FinancialInstrumentIdentification
Security for which the meeting is organised.
- d. InstructionTypeStatus
Type of instruction status.
- e. Position
Balance status for securities that are eligible for the vote.
- f. ConfirmingParty
Party that confirms the receipt of the vote cast.
- g. VoteCastingParty
Party that casts the voting ballot.
- h. RightsHolder
Owner of the voting rights.

i. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1 Restriction summary


This Usage Guideline restricts the seev.006.001.11 message.

Restriction Type	See page
Removed elements	12, 13, 14, 16, 18, 20, 35, 36, 40, 40, 41, 41, 41, 42, 42, 42, 42, 43, 43, 46, 46, 48, 57, 60, 60, 64, 64, 70, 71, 72, 73, 77, 118
Make mandatory	77
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	n.a.
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	n.a.
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

2 Structure

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingInstructionStatusV11</i>	<MtgInstrSts>		[1..1]		
	InstructionType	<InstrTp>		[1..1]		9
	InstructionIdentification	<InstrId>	{Or	[1..1]		31
	InstructionCancellationIdentification	<InstrCxlId>	Or}	[1..1]		31
	MeetingReference	<MtgRef>		[1..1]		9
	MeetingIdentification	<MtgId>		[1..1]		33
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		33
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		34
	Type	<Tp>		[1..1]		34
	Classification	<Clssfctn>		[0..1]		34
	Location	<Lctn>		[0..5]	⊘	34
	Issuer	<Issr>		[0..1]		35
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		10
	ISIN	<ISIN>		[0..1]	M	77
	OtherIdentification	<OthrId>		[0..*]	⊘	77
	Description	<Desc>		[0..1]		77
	InstructionTypeStatus	<InstrTpSts>		[1..1]		10
	InstructionStatus	<InstrSts>	{Or	[1..*]		32
	CancellationStatus	<CxlSts>	Or}	[1..1]		32
	Position	<Pos>		[0..1]		10
	AccountIdentification	<AcctId>		[0..1]		22
	BlockchainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		22
	AccountOwner	<AcctOwnr>		[0..1]		22
	HoldingBalance	<HldgBal>		[1..3]		22
	ConfirmingParty	<CnfrmgPty>		[1..1]		11
	LegalPerson	<LglPrsn>	{Or	[1..1]		47
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]	⊘	47
	VoteCastingParty	<VoteCstgPty>		[1..1]		11

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		47
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		47
	RightsHolder	<RghtsHldr>		[0..250]		11
	LegalPerson	<LglPrsn>	{Or	[1..1]		51
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		52
	SupplementaryData	<SplmtryData>		[0..*]		12
	PlaceAndName	<PlcAndNm>		[0..1]		79
	Envelope	<Envlp>		[1..1]		79

3 ISO 20022 Rules

R1 Status1Rule ✓

If InstructionType/InstructionIdentification is present, then InstructionTypeStatus/InstructionStatus must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00081
- *Error Text:* Invalid message content for InstructionIdentification

R2 Status2Rule ✓

If InstructionType/InstructionCancellationIdentification is present, then InstructionTypeStatus/CancellationStatus must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00082
- *Error Text:* Invalid message content for InstructionCancellationIdentification

4 Message Building Blocks

Note The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2025) - Clients / seev.006 Meeting Instruction Status (SR2025) message definition.

4.1 InstructionType

XML Tag: InstrTp

Presence: [1..1]

Definition: Type of instruction.

The **InstrTp** block contains the following elements (see datatype "InstructionType2Choice" on page 31 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InstructionIdentification	<InstrId>	{Or	[1..1]		31
	InstructionCancellationIdentification	<InstrCxlId>	Or}	[1..1]		31

4.2 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "MeetingReference10" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		33
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		33
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		34
	Type	<Tp>		[1..1]		34
	Classification	<Clsfctn>		[0..1]		34
	Location	<Lctn>		[0..5]	🚫	34
	Issuer	<Issr>		[0..1]		35

4.3 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Security for which the meeting is organised.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19](#)" on page 75 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	M	77
	OtherIdentification	<OthrId>		[0..*]	🚫	77
	Description	<Desc>		[0..1]		77

4.4 InstructionTypeStatus

XML Tag: InstrTpSts

Presence: [1..1]

Definition: Type of instruction status.

The **InstrTpSts** block contains the following elements (see datatype "[InstructionTypeStatus7Choice](#)" on page 32 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InstructionStatus	<InstrSts>	{Or	[1..*]		32
	CancellationStatus	<CxlSts>	Or}	[1..1]		32

4.5 Position

XML Tag: Pos

Presence: [0..1]

Definition: Balance status for securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "[EligiblePosition17](#)" on page 20 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		22
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		22
	AccountOwner	<AcctOwnr>		[0..1]		22
	HoldingBalance	<HldgBal>		[1..3]		22







4.6 ConfirmingParty


XML Tag: CnfrmgPty

Presence: [1..1]

Definition: Party that confirms the receipt of the vote cast.

The **CnfrmgPty** block contains the following elements (see datatype "[PartyIdentification226Choice](#)" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		47
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		47







4.7 VoteCastingParty

XML Tag: VoteCstgPty

Presence: [1..1]

Definition: Party that casts the voting ballot.

The **VoteCstgPty** block contains the following elements (see datatype "[PartyIdentification226Choice](#)" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		47
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		47







4.8 RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "[PartyIdentification246Choice](#)" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		51
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		52







4.9 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on page 78 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		79
	Envelope	<Envlp>		[1..1]		79

Usage Guideline details

- This element(seev.006.001.11/SupplementaryData) is removed.

5 Message Components

Note The following chapter identifies the message components.

5.1 CancellationProcessingStatus2

Definition: Status advising on the processing of the cancellation request.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Status	<Sts>		[1..1]		13
	AdditionalInformation	<AddtlInf>		[0..1]	🚫	13

Used in element(s)

"ProcessingStatus" on page 15

5.1.1 Status

XML Tag: Sts

Presence: [1..1]

Definition: Status code.

Datatype: "CancellationStatus6Code" on page 81

5.1.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 87


Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/CancellationStatus/DetailedCancellationStatus/InstructionCancellationStatus/ProcessingStatus/AdditionalInformation) is removed.

5.2 CancellationStatus31Choice

Definition: Status applying to the instruction cancellation request received.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GlobalCancellationStatus	<GblCxlSts>	{Or	[1..1]		14
	DetailedCancellationStatus	<DtldCxlSts>	Or}	[1..*]		14

Used in element(s)

"CancellationStatus" on page 32







5.2.1 GlobalCancellationStatus


XML Tag: GblCxlSts

Presence: [1..1]

Definition: Status applicable globally to the meeting instruction cancellation request message.

The **GblCxlSts** block contains the following elements (see datatype "CancellationStatus32Choice" on page 15 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingStatus	<PrpgSts>	{Or	[1..1]		15
	Rejected	<Rjctd>	Or	[1..1]		15
	PendingCancellation	<PdgCxl>	Or}	[1..1]		16

Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/CancellationStatus/GlobalCancellationStatus) is removed.







5.2.2 DetailedCancellationStatus

XML Tag: DtldCxlSts

Presence: [1..*]

Definition: Status of individual instruction cancellation request that were received within the instruction cancellation message identified in InstructionType/InstructionCancellationIdentification.

The **DtldCxlSts** block contains the following elements (see datatype "DetailedInstructionCancellationStatus15" on page 17 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionCancellationIdentification	<SnglInstrCxlId>		[1..1]		18

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		18
	SubAccountIdentification	<SubAcctId>		[0..1]	🚫	18
	InstructionCancellationStatus	<InstrCxlSts>		[1..1]		18

5.3 CancellationStatus32Choice

Definition: Status applying to the instruction cancellation request received.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingStatus	<PrcgSts>	{Or	[1..1]		15
	Rejected	<Rjctd>	Or	[1..1]		15
	PendingCancellation	<PdgCxl>	Or}	[1..1]	🚫	16

Used in element(s)

"GlobalCancellationStatus" on page 14, "InstructionCancellationStatus" on page 18

5.3.1 ProcessingStatus

XML Tag: PrcgSts

Presence: [1..1]

Definition: Status advising on the processing of the instruction cancellation request.

The **PrcgSts** block contains the following elements (see datatype "[CancellationProcessingStatus2](#)" on page 13 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Status	<Sts>		[1..1]		13
	AdditionalInformation	<AddtlInf>		[0..1]	🚫	13







5.3.2 Rejected


XML Tag: Rjctd

Presence: [1..1]

Definition: Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

The **Rjctd** block contains the following elements (see datatype "[RejectedStatus31Choice](#)" on page 71 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpcfdRsn>	{Or	[1..1]		72
	Reason	<Rsn>	Or}	[1..*]		72







5.3.3 PendingCancellation

XML Tag: PdgCxl

Presence: [1..1]

Definition: Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

The **PdgCxl** block contains the following elements (see datatype "[PendingCancellationStatus12Choice](#)" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpcfdRsn>	{Or	[1..1]		57
	Reason	<Rsn>	Or}	[1..*]		58

Usage Guideline details

- This element([see v.006.001.11/InstructionTypeStatus/CancellationStatus/GlobalCancellationStatus/PendingCancellation](#)) is removed.

5.4 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		17
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		17
	CityOfBirth	<CityOfBirth>		[0..1]		17
	CountryOfBirth	<CtryOfBirth>		[0..1]		17

Used in element(s)

"DateAndPlaceOfBirth" on page 51, "DateAndPlaceOfBirth" on page 54

5.4.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 84

5.4.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 87

5.4.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 87

5.4.4 CountryOfBirth

XML Tag: CtryOfBirth







Presence: [0..1]


Definition: Country where a person was born.

Datatype: "CountryCode" on page 82

5.5 DetailedInstructionCancellationStatus15

Definition: Status applying to individual cancellation instructions of a meeting instruction cancellation request message.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionCancellationIdentification	<SnglInstrCxIld>		[1..1]		18
	AccountIdentification	<AcctId>		[0..1]		18
	SubAccountIdentification	<SubAcctId>		[0..1]		18
	InstructionCancellationStatus	<InstrCxIsts>		[1..1]		18

Rules**R4 SubAccount1Rule ✓**

If SubAccountIdentification is present, then AccountIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

Used in element(s)

"DetailedCancellationStatus" on page 14

5.5.1 SingleInstructionCancellationIdentification

XML Tag: SnglInstrCxlId

Presence: [1..1]

Definition: Identification of the specific individual instruction cancellation request from the original meeting instruction cancellation request message identified in InstructionType/ InstructionCancellationIdentification, for which the status is provided.

Datatype: "Max35Text" on page 87

5.5.2 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 87

5.5.3 SubAccountIdentification

XML Tag: SubAcctId

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 87

Usage Guideline details

- **This element(seev.006.001.11/InstructionTypeStatus/CancellationStatus/DetailedCancellationStatus/SubAccountIdentification) is removed.**

5.5.4 InstructionCancellationStatus

XML Tag: InstrCxlSts

Presence: [1..1]

Definition: Status of an individual meeting instruction cancellation request.

The **InstrCxlSts** block contains the following elements (see datatype "[CancellationStatus32Choice](#)" on page 15 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingStatus	<PrcgSts>	{Or	[1..1]		15
	Rejected	<Rjctd>	Or	[1..1]		15
	PendingCancellation	<PdgCxl>	Or}	[1..1]		16

5.6 DetailedInstructionStatus21

Definition: Status of an individual instruction of a meeting instruction.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		19
	AccountIdentification	<AcctId>		[0..1]		20
	SubAccountIdentification	<SubAcctId>		[0..1]	🚫	20
	InstructionStatus	<InstrSts>		[1..1]		20

Rules

R5 SubAccount1Rule ✓

If SubAccountIdentification is present, then AccountIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

Used in element(s)

["InstructionStatus"](#) on page 32

5.6.1 SingleInstructionIdentification

XML Tag: SnglInstrId

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message element InstructionIdentification, for which the status is provided.

Datatype: "Max35Text" on page 87

5.6.2 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 87

5.6.3 SubAccountIdentification

XML Tag: SubAcctId

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 87

Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/InstructionStatus/SubAccountIdentification) is removed.







5.6.4 InstructionStatus

XML Tag: InstrSts

Presence: [1..1]

Definition: Status of the individual meeting instruction.







The **InstrSts** block contains the following elements (see datatype "InstructionStatus13Choice" on page 30 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingStatus	<PrcgSts>	{Or	[1..1]		30
	Rejected	<Rjctd>	Or	[1..1]		30
	Pending	<Pdg>	Or}	[1..1]		31

5.7 EligiblePosition17

Definition: Information about a securities position and rights holders.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		22
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		22
	AccountOwner	<AcctOwnr>		[0..1]		22
	HoldingBalance	<HldgBal>		[1..3]		22

Rules

R6 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R7 AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R8 AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R9 AccountIdentificationOrBlockChainAddress3Rule ✓

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)"Position" on page 10

5.7.1 AccountIdentification

XML Tag: AcctId*Presence:* [0..1]*Definition:* Identification of the securities account.*Datatype:* "Max35Text" on page 87

5.7.2 BlockchainAddressOrWallet

XML Tag: BlckChainAdrOrWllt*Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "Max140Text" on page 86

5.7.3 AccountOwner

XML Tag: AcctOwnr*Presence:* [0..1]*Definition:* Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 48 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		48
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		49

5.7.4 HoldingBalance

XML Tag: HldgBal*Presence:* [1..3]*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.







The **HldgBal** block contains the following elements (see datatype "HoldingBalance13" on page 26 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		27
	BalanceType	<BalTp>		[1..1]		27

5.8 FinancialInstrumentQuantity45Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		23
	FaceAmount	<FaceAmt>	Or	[1..1]		23
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		23

Rules

R10 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"Quantity" on page 78

5.8.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 83

5.8.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 85

5.8.3 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 87

5.9 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

❌ Removed - ❌ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		24
	SchemeName	<SchmeNm>		[0..1]		24
	Issuer	<Issr>		[1..1]		24

Used in element(s)

"Proprietary" on page 36

5.9.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 88

5.9.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 87

5.9.3 Issuer

XML Tag: Issr







Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 87

5.10 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25

Used in element(s)

["Proprietary" on page 28](#),
 ["Proprietary" on page 57](#),
 ["Proprietary" on page 59](#),
 ["Proprietary" on page 70](#),
 ["Proprietary" on page 71](#)

5.10.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 83](#)

5.10.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 87](#)

5.10.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max35Text" on page 87](#)

5.11 GenericIdentification36

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

Used in element(s)

"ProprietaryIdentification" on page 40, "ProprietaryIdentification" on page 42

5.11.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 87

5.11.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 87

5.11.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 87

5.12 HoldingBalance13

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		27
	BalanceType	<BalTp>		[1..1]		27

Used in element(s)

"HoldingBalance" on page 22







5.12.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat14](#)" on page 78 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		78
	Quantity	<Qty>		[1..1]		78

5.12.2 BalanceType

XML Tag: BalTp







Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType3Code](#)" on page 114

5.13 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		27
	Proprietary	<Prtry>	Or}	[1..1]		28

Used in element(s)

"[Type](#)" on page 39

5.13.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "[ExternalFinancialInstrumentIdentificationType1Code](#)" on page 83

5.13.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 87

5.14 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		28
	Proprietary	<Prtry>	Or}	[1..1]		28

Used in element(s)

"IdentificationType" on page 38

5.14.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 119

5.14.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25

5.15 InstructionProcessingStatus6

Definition: Status advising on the processing of the instruction.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Status	<Sts>		[1..1]		29
	AttendanceCardNumber	<AttdncCardNb>		[0..1]		29
	AdditionalInformation	<AddtlInf>		[0..1]		29

Rules

R11 AttendanceCardNumber1Rule ✓

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00493
- *Error Text:* Invalid Status code value. AttendanceConfirmed should be present.

Used in element(s)

"ProcessingStatus" on page 30

5.15.1 Status

XML Tag: Sts

Presence: [1..1]

Definition: Status code.

Datatype: "Status11Code" on page 117

5.15.2 AttendanceCardNumber

XML Tag: AttdncCardNb

Presence: [0..1]

Definition: Number of the admission ticket that has been granted by the custodian or the issuer.

Datatype: "Max35Text" on page 87

5.15.3 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 87

5.16 InstructionStatus13Choice

Definition: Status applying globally to the instruction received.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingStatus	<PrcgSts>	{Or	[1..1]		30
	Rejected	<Rjctd>	Or	[1..1]		30
	Pending	<Pdg>	Or}	[1..1]		31

Used in element(s)

"InstructionStatus" on page 20

5.16.1 ProcessingStatus

XML Tag: PrcgSts

Presence: [1..1]

Definition: Status advising on the processing of the instruction.

The **PrcgSts** block contains the following elements (see datatype "InstructionProcessingStatus6" on page 29 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Status	<Sts>		[1..1]		29
	AttendanceCardNumber	<AttdncCardNb>		[0..1]		29
	AdditionalInformation	<AddtlInf>		[0..1]		29

5.16.2 Rejected

XML Tag: Rjctd

Presence: [1..1]

Definition: Status advising on the rejection of the instruction and on the reason for the rejection.

The **Rjctd** block contains the following elements (see datatype "RejectedStatus55Choice" on page 72 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpctdRsn>	{Or	[1..1]	🚫	73

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Reason	<Rsn>	Or	[1..*]		73







5.16.3 Pending


XML Tag: Pdg

Presence: [1..1]

Definition: Status advising that the instruction is pending and advising on the reason for the pending status.







The **Pdg** block contains the following elements (see datatype "[PendingStatus70Choice](#)" on page 60 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpctdRsn>	{Or	[1..1]		60
	Reason	<Rsn>	Or	[1..*]		60

5.17 InstructionType2Choice

Definition: Type of instruction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InstructionIdentification	<InstrId>	{Or	[1..1]		31
	InstructionCancellationIdentification	<InstrCxId>	Or	[1..1]		31

Used in element(s)

"[InstructionType](#)" on page 9

5.17.1 InstructionIdentification

XML Tag: InstrId

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the global status or the status(es) of one or more individual instructions are provided.

Datatype: "[Max35Text](#)" on page 87

5.17.2 InstructionCancellationIdentification

XML Tag: InstrCxId

Presence: [1..1]

Definition: Identification of the original meeting instruction cancellation request message for which the global status or the status(es) of one or more individual instruction cancellation requests are provided.

Datatype: "Max35Text" on page 87

5.18 InstructionTypeStatus7Choice

Definition: Choice of instruction type status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InstructionStatus	<InstrSts>	{Or	[1..*]		32
	CancellationStatus	<CxlSts>	Or}	[1..1]		32

Used in element(s)

"InstructionTypeStatus" on page 10

5.18.1 InstructionStatus

XML Tag: InstrSts

Presence: [1..*]

Definition: Status of each individual meeting instruction(s) included in the instruction message identified in InstructionType/InstructionIdentification.

The **InstrSts** block contains the following elements (see datatype "DetailedInstructionStatus21" on page 19 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		19
	AccountIdentification	<AcctId>		[0..1]		20
	SubAccountIdentification	<SubAcctId>		[0..1]	🚫	20
	InstructionStatus	<InstrSts>		[1..1]		20

5.18.2 CancellationStatus

XML Tag: CxlSts

Presence: [1..1]

Definition: Status of the meeting instruction cancellation request message or of the individual meeting instruction cancellation request(s). The message is identified in InstructionType/InstructionCancellationIdentification.

The **CxlSts** block contains the following elements (see datatype "[CancellationStatus31Choice](#)" on page 13 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GlobalCancellationStatus	<GblCxlSts>	{Or	[1..1]	🚫	14
	DetailedCancellationStatus	<DtldCxlSts>	Or}	[1..*]		14

5.19 MeetingReference10

Definition: Identification of a meeting.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		33
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		33
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		34
	Type	<Tp>		[1..1]		34
	Classification	<Clssfctn>		[0..1]		34
	Location	<Lctn>		[0..5]	🚫	34
	Issuer	<Issr>		[0..1]		35

Used in element(s)

"[MeetingReference](#)" on page 9

5.19.1 MeetingIdentification

XML Tag: MtgId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "[Max35Text](#)" on page 87

5.19.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "[Max35Text](#)" on page 87

5.19.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODatetime" on page 85

5.19.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 89







5.19.5 Classification


XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 35 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		36
	Proprietary	<Prtry>	Or}	[1..1]		36







5.19.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "PostalAddress1" on page 66 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		66
	AddressLine	<AdrLine>		[0..5]		66
	StreetName	<StrtNm>		[0..1]		66

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BuildingNumber	<BldgNb>		[0..1]		67
	PostCode	<PstCd>		[0..1]		67
	TownName	<TwnNm>		[0..1]		67
	CountrySubDivision	<CtrySubDvsn>		[0..1]		67
	Country	<Ctry>		[1..1]		67

Usage Guideline details

- This element(seev.006.001.11/MeetingReference/Location) is removed.







5.19.7 Issuer




XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.







The **Issr** block contains the following elements (see datatype "PartyIdentification129Choice" on page 39 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		39
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		40
	NameAndAddress	<NmAndAdr>	Or	[1..1]		40
	LEI	<LEI>	Or}	[1..1]		40

5.20 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		36
	Proprietary	<Prtry>	Or}	[1..1]		36

Used in element(s)

"Classification" on page 34

5.20.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 89







5.20.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 24 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		24
	SchemeName	<SchmeNm>		[0..1]		24
	Issuer	<Issr>		[1..1]		24

Usage Guideline details

- This element(seev.006.001.11/MeetingReference/Classification/Proprietary) is removed.

5.21 NameAndAddress5

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		36
	Address	<Adr>		[0..1]		37

Used in element(s)

"NameAndAddress" on page 40

5.21.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["Max350Text" on page 87](#)







5.21.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype ["PostalAddress1" on page 66](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		66
	AddressLine	<AdrLine>		[0..5]		66
	StreetName	<StrtNm>		[0..1]		66
	BuildingNumber	<BldgNb>		[0..1]		67
	PostCode	<PstCd>		[0..1]		67
	TownName	<TwnNm>		[0..1]		67
	CountrySubDivision	<CtrySubDvsn>		[0..1]		67
	Country	<Ctry>		[1..1]		67

5.22 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		37
	IdentificationType	<IdTp>		[0..1]		38

Used in element(s)

["Identification" on page 45](#), ["Identification" on page 50](#), ["Identification" on page 53](#)

5.22.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 87







5.22.2 IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.







The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		28
	Proprietary	<Prtry>	Or}	[1..1]		28

5.23 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		38
	Suffix	<Sfx>		[0..1]		38
	Type	<Tp>		[1..1]		39

Used in element(s)

"OtherIdentification" on page 77

5.23.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 87

5.23.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text" on page 86](#)







5.23.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.







The **Tp** block contains the following elements (see datatype ["IdentificationSource3Choice" on page 27](#) for details)




 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		27
	Proprietary	<Prtry>	Or}	[1..1]		28

5.24 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		39
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		40
	NameAndAddress	<NmAndAdr>	Or	[1..1]		40
	LEI	<LEI>	Or}	[1..1]		40

Used in element(s)

["Issuer" on page 35](#)

5.24.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 81](#)

Usage Guideline details

- This element(seev.006.001.11/MeetingReference/Issuer/AnyBIC) is removed.







5.24.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 25 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

Usage Guideline details

- This element(seev.006.001.11/MeetingReference/Issuer/ProprietaryIdentification) is removed.







5.24.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on page 36 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		36
	Address	<Adr>		[0..1]		37

5.24.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 86

Usage Guideline details

- This element(seev.006.001.11/MeetingReference/Issuer/LEI) is removed.

5.25 PartyIdentification198Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]	🚫	41
	LEI	<LEI>	Or	[1..1]		41
	AnyBIC	<AnyBIC>	Or	[1..1]	🚫	42
	ClientIdentification	<ClnId>	Or	[1..1]	🚫	42
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]	🚫	42

Used in element(s)

"Identification" on page 44, "Identification" on page 46, "Identification" on page 55

5.25.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 87

Usage Guideline details

- This element(seev.006.001.11/VoteCastingParty/LegalPerson/Identification/NationalRegistrationNumber) is removed.
- This element(seev.006.001.11/ConfirmingParty/LegalPerson/Identification/NationalRegistrationNumber) is removed.

5.25.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: ["LEIIdentifier" on page 86](#)

5.25.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 81](#)

Usage Guideline details

- This element([seev.006.001.11/ConfirmingParty/LegalPerson/Identification/AnyBIC](#)) is removed.
- This element([seev.006.001.11/VoteCastingParty/LegalPerson/Identification/AnyBIC](#)) is removed.

5.25.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: ["Max50Text" on page 88](#)

Usage Guideline details

- This element([seev.006.001.11/VoteCastingParty/LegalPerson/Identification/ClientIdentification](#)) is removed.
- This element([seev.006.001.11/ConfirmingParty/LegalPerson/Identification/ClientIdentification](#)) is removed.







5.25.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36" on page 25](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

Usage Guideline details

- This element(see v.006.001.11/ConfirmingParty/LegalPerson/Identification/ProprietaryIdentification) is removed.
- This element(see v.006.001.11/VoteCastingParty/LegalPerson/Identification/ProprietaryIdentification) is removed.

5.26 PartyIdentification221

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		43
	EmailAddress	<EmailAdr>		[0..1]		44
	Identification	<Id>		[1..1]		44

Used in element(s)

"LegalPerson" on page 48







5.26.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

5.26.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 86







5.26.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.







The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 41 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		41
	LEI	<LEI>	Or	[1..1]		41
	AnyBIC	<AnyBIC>	Or	[1..1]		42
	ClientIdentification	<ClntId>	Or	[1..1]		42
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		42

5.27 PartyIdentification222

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		44
	EmailAddress	<EmailAdr>		[0..1]		45
	Identification	<Id>		[1..1]		45

Used in element(s)

"NaturalPerson" on page 47







5.27.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName1](#)" on page 62 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FirstName	<FrstNm>		[1..1]		62
	Surname	<Srmn>		[1..1]		62
	Address	<Adr>		[0..1]		62

5.27.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 86







5.27.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.







The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		37
	IdentificationType	<IdTp>		[0..1]		38

5.28 PartyIdentification224

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		46

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		46
	Identification	<Id>		[0..1]		46

Used in element(s)

["LegalPerson" on page 47](#)







5.28.1 NameAndAddress


XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype ["PersonName2" on page 63](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

5.28.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 86](#)

Usage Guideline details

- This element([seev.006.001.11/VoteCastingParty/LegalPerson/EmailAddress](#)) is removed.
- This element([seev.006.001.11/ConfirmingParty/LegalPerson/EmailAddress](#)) is removed.







5.28.3 Identification





XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.







The **Id** block contains the following elements (see datatype ["PartyIdentification198Choice" on page 41](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		41
	LEI	<LEI>	Or	[1..1]		41
	AnyBIC	<AnyBIC>	Or	[1..1]		42
	ClientIdentification	<ClntId>	Or	[1..1]		42
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		42

5.29 PartyIdentification226Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		47
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		47

Used in element(s)

"ConfirmingParty" on page 11, "VoteCastingParty" on page 11







5.29.1 LegalPerson


XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification224" on page 45 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		46
	EmailAddress	<EmailAdr>		[0..1]		46
	Identification	<Id>		[0..1]		46

5.29.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..1]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification222](#)" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		44
	EmailAddress	<EmailAdr>		[0..1]		45
	Identification	<Id>		[1..1]		45

Usage Guideline details

- This element(seev.006.001.11/ConfirmingParty/NaturalPerson) is removed.

5.30 PartyIdentification231Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		48
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		49

Used in element(s)

"[AccountOwner](#)" on page 22

5.30.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 43 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		43

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		44
	Identification	<Id>		[1..1]		44







5.30.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 49 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		49
	EmailAddress	<EmailAdr>		[0..1]		50
	Identification	<Id>		[1..1]		50
	Nationality	<Ntlty>		[0..1]		50
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		51

5.31 PartyIdentification238

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		49
	EmailAddress	<EmailAdr>		[0..1]		50
	Identification	<Id>		[1..1]		50
	Nationality	<Ntlty>		[0..1]		50
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		51

Used in element(s)

"[NaturalPerson](#)" on page 49







5.31.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 64 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		65
	FirstName	<FrstNm>		[1..1]		65
	Surname	<SrnM>		[1..1]		65
	Address	<Adr>		[0..1]		65

5.31.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 86







5.31.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		37
	IdentificationType	<IdTp>		[0..1]		38

5.31.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on page 82







5.31.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.







The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 16 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		17
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		17
	CityOfBirth	<CityOfBirth>		[0..1]		17
	CountryOfBirth	<CtryOfBirth>		[0..1]		17

5.32 PartyIdentification246Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		51
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		52

Used in element(s)

["RightsHolder"](#) on page 11







5.32.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification269](#)" on page 54 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		55

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		55
	Identification	<Id>		[0..1]		55
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		56
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		56







5.32.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification250" on page 52 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		53
	EmailAddress	<EmailAdr>		[0..1]		53
	Identification	<Id>		[0..1]		53
	Nationality	<Ntlty>		[0..1]		54
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		54
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		54

5.33 PartyIdentification250

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		53
	EmailAddress	<EmailAdr>		[0..1]		53
	Identification	<Id>		[0..1]		53
	Nationality	<Ntlty>		[0..1]		54
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		54

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		54

Used in element(s)

"NaturalPerson" on page 52







5.33.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 64 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		65
	FirstName	<FrstNm>		[1..1]		65
	Surname	<Snm>		[1..1]		65
	Address	<Adr>		[0..1]		65

5.33.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 86







5.33.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 37 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		37
	IdentificationType	<IdTp>		[0..1]		38

5.33.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 82







5.33.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 16 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		17
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		17
	CityOfBirth	<CityOfBirth>		[0..1]		17
	CountryOfBirth	<CtryOfBirth>		[0..1]		17

5.33.6 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrhldrId







Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 87

5.34 PartyIdentification269

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		55
	EmailAddress	<EmailAdr>		[0..1]		55
	Identification	<Id>		[0..1]		55
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		56
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		56

Used in element(s)

"LegalPerson" on page 51







5.34.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

5.34.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 86







5.34.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 41 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		41
	LEI	<LEI>	Or	[1..1]		41
	AnyBIC	<AnyBIC>	Or	[1..1]		42
	ClientIdentification	<ClntId>	Or	[1..1]		42
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		42

5.34.4 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 87

5.34.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn

Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: "CountryCode" on page 82

5.35 PendingCancellationReason7Choice

Definition: Choice between a standard code or a proprietary code to specify the reason why a cancellation request sent for the related instruction is pending.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		56
	Proprietary	<Prtry>	Or}	[1..1]	🚫	57

Used in element(s)

"ReasonCode" on page 58

5.35.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingCancellationReason6Code" on page 92







5.35.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 24 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25

Usage Guideline details

- This element([seev.006.001.11/InstructionTypeStatus/CancellationStatus/DetailedCancellationStatus/InstructionCancellationStatus/PendingCancellation/Reason/ReasonCode/Proprietary](#)) is removed.

5.36 PendingCancellationStatus12Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpctdRsn>	{Or	[1..1]		57
	Reason	<Rsn>	Or}	[1..*]		58

Used in element(s)

["PendingCancellation"](#) on page 16

5.36.1 NoSpecifiedReason

XML Tag: NoSpctdRsn

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 92







5.36.2 Reason

XML Tag: Rsn

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.







The **Rsn** block contains the following elements (see datatype "[PendingCancellationStatusReason10](#)" on page 58 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		58
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		59

5.37 PendingCancellationStatusReason10

Definition: Reason for a pending cancellation status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		58
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		59

Rules

R13 AdditionalReasonInformationRule

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

R14 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

Error handling: Undefined

Used in element(s)

["Reason" on page 58](#)

5.37.1 ReasonCode

XML Tag: RsnCd

Presence: [1..1]

Definition: Reason for the pending status.

The **RsnCd** block contains the following elements (see datatype "[PendingCancellationReason7Choice](#)" on page 56 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		56
	Proprietary	<Prtry>	Or}	[1..1]	🚫	57

5.37.2 AdditionalReasonInformation

XML Tag: AddtlRsnInf

Presence: [0..1]

Definition: Additional information about the pending status.

Datatype: "[Max350Text](#)" on page 87

5.38 PendingReason67Choice

Definition: Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		59
	Proprietary	<Prtry>	Or}	[1..1]	🚫	59

Used in element(s)

"[ReasonCode](#)" on page 61

5.38.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "[PendingReason25Code](#)" on page 99

5.38.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25

Usage Guideline details

- This element([seev.006.001.11/InstructionTypeStatus/InstructionStatus/InstructionStatus/Pending/Reason/ReasonCode/Proprietary](#)) is removed.

5.39 PendingStatus70Choice

Definition: Choice between a reason and no reason for the general meeting instruction processing pending status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpctdRsn>	{Or	[1..1]	🚫	60
	Reason	<Rsn>	Or}	[1..*]		60

Used in element(s)

"Pending" on page 31

5.39.1 NoSpecifiedReason

XML Tag: NoSpctdRsn

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 92

Usage Guideline details

- This element([seev.006.001.11/InstructionTypeStatus/InstructionStatus/InstructionStatus/Pending/NoSpecifiedReason](#)) is removed.

5.39.2 Reason

XML Tag: Rsn

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is pending.

The **Rsn** block contains the following elements (see datatype "[PendingStatusReason26](#)" on page 61 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		61
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		62

5.40 PendingStatusReason26

Definition: Reasons for a pending instruction status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		61
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		62

Rules

R15 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

"Reason" on page 60

5.40.1 ReasonCode

XML Tag: RsnCd

Presence: [1..1]

Definition: Reason for the pending status.

The **RsnCd** block contains the following elements (see datatype "[PendingReason67Choice](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		59
	Proprietary	<Prtry>	Or}	[1..1]	🚫	59

5.40.2 AdditionalReasonInformation

XML Tag: AddtlRsnInf

Presence: [0..1]

Definition: Additional information about the pending status.

Datatype: "Max350Text" on page 87

5.41 PersonName1

Definition: Identifies a person via its first name and surname.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FirstName	<FrstNm>		[1..1]		62
	Surname	<SrnM>		[1..1]		62
	Address	<Adr>		[0..1]		62

Used in element(s)

"NameAndAddress" on page 44

5.41.1 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 87

5.41.2 Surname

XML Tag: SrnM

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 87

5.41.3 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress26](#)" on page 67 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		68
	AddressLine	<AdrLine>		[0..5]		68
	StreetName	<StrtNm>		[0..1]		68
	BuildingNumber	<BldgNb>		[0..1]		68
	PostBox	<PstBx>		[0..1]		69
	PostCode	<PstCd>		[0..1]		69
	TownName	<TwnNm>		[0..1]		69
	CountrySubDivision	<CtrySubDvsn>		[0..1]		69
	Country	<Ctry>		[1..1]		69

5.42 PersonName2

Definition: Identifies a person via its first name and surname.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

Used in element(s)

"NameAndAddress" on page 43, "NameAndAddress" on page 46, "NameAndAddress" on page 55

5.42.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "[Max350Text](#)" on page 87







5.42.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 67 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		68
	AddressLine	<AdrLine>		[0..5]		68
	StreetName	<StrtNm>		[0..1]		68
	BuildingNumber	<BldgNb>		[0..1]		68
	PostBox	<PstBx>		[0..1]		69
	PostCode	<PstCd>		[0..1]		69
	TownName	<TwnNm>		[0..1]		69
	CountrySubDivision	<CtrySubDvsn>		[0..1]		69
	Country	<Ctry>		[1..1]		69

Usage Guideline details

- This element(seev.006.001.11/VoteCastingParty/LegalPerson/NameAndAddress/Address) is removed.
- This element(seev.006.001.11/ConfirmingParty/LegalPerson/NameAndAddress/Address) is removed.

5.43 PersonName3

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		65
	FirstName	<FrstNm>		[1..1]		65
	Surname	<Snm>		[1..1]		65
	Address	<Adr>		[0..1]		65

Used in element(s)

["NameAndAddress" on page 49](#), ["NameAndAddress" on page 53](#)

5.43.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: ["NamePrefix2Code" on page 91](#)

5.43.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: ["Max350Text" on page 87](#)

5.43.3 Surname

XML Tag: Srm

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: ["Max350Text" on page 87](#)






5.43.4 Address


XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress26" on page 67](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -







 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		68
	AddressLine	<AdrLine>		[0..5]		68
	StreetName	<StrtNm>		[0..1]		68
	BuildingNumber	<BldgNb>		[0..1]		68
	PostBox	<PstBx>		[0..1]		69
	PostCode	<PstCd>		[0..1]		69
	TownName	<TwnNm>		[0..1]		69

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CountrySubDivision	<CtrySubDvsn>		[0..1]		69
	Country	<Ctry>		[1..1]		69

5.44 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		66
	AddressLine	<AdrLine>		[0..5]		66
	StreetName	<StrtNm>		[0..1]		66
	BuildingNumber	<BldgNb>		[0..1]		67
	PostCode	<PstCd>		[0..1]		67
	TownName	<TwnNm>		[0..1]		67
	CountrySubDivision	<CtrySubDvsn>		[0..1]		67
	Country	<Ctry>		[1..1]		67

Used in element(s)

"Address" on page 37, "Location" on page 34

5.44.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 80

5.44.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 88

5.44.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 88

5.44.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 86

5.44.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 86

5.44.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 87

5.44.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 87

5.44.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 82

5.45 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		68
	AddressLine	<AdrLine>		[0..5]		68
	StreetName	<StrtNm>		[0..1]		68
	BuildingNumber	<BldgNb>		[0..1]		68
	PostBox	<PstBx>		[0..1]		69
	PostCode	<PstCd>		[0..1]		69
	TownName	<TwnNm>		[0..1]		69
	CountrySubDivision	<CtrySubDvsn>		[0..1]		69
	Country	<Ctry>		[1..1]		69

Used in element(s)

"Address" on page 62, "Address" on page 63, "Address" on page 65

5.45.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 80

5.45.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 88

5.45.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 88

5.45.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 86

5.45.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 86

5.45.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 86

5.45.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 87

5.45.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 87

5.45.9 Country

XML Tag: Ctry







Presence: [1..1]


Definition: Nation with its own government.

Datatype: "CountryCode" on page 82

5.46 RejectedReason29Choice

Definition: Choice between a standard code or proprietary code to specify the reason why the instruction or cancellation request has a rejected status.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		70
	Proprietary	<Prtry>	Or}	[1..1]		70

Used in element(s)

"ReasonCode" on page 74

5.46.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason51Code" on page 100







5.46.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 24 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25

Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/CancellationStatus/DetailedCancellationStatus/InstructionCancellationStatus/Rejected/Reason/ReasonCode/Proprietary) is removed.

5.47 RejectedReason57Choice

Definition: Choice between a standard code and proprietary code to specify the reason why the instruction or cancellation request has a rejected status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		71
	Proprietary	<Prtry>	Or}	[1..1]	🚫	71

Used in element(s)

"ReasonCode" on page 75

5.47.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason82Code" on page 101

5.47.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25


Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/InstructionStatus/InstructionStatus/Rejected/Reason/ReasonCode/Proprietary) is removed.

5.48 RejectedStatus31Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing rejected status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpcfdRsn>	{Or	[1..1]		72
	Reason	<Rsn>	Or}	[1..*]		72

Used in element(s)

"Rejected" on page 15

5.48.1 NoSpecifiedReason

XML Tag: NoSpcfdRsn

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 92

Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/CancellationStatus/DetailedCancellationStatus/InstructionCancellationStatus/Rejected/NoSpecifiedReason) is removed.







5.48.2 Reason

XML Tag: Rsn

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.







The **Rsn** block contains the following elements (see datatype "RejectedStatusReason28" on page 73 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		74
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		74

5.49 RejectedStatus55Choice

Definition: Choice between a reason and no reason for the general meeting instruction processing rejected status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NoSpecifiedReason	<NoSpcdfRsn>	{Or	[1..1]		73
	Reason	<Rsn>	Or}	[1..*]		73

Used in element(s)

"Rejected" on page 30

5.49.1 NoSpecifiedReason

XML Tag: NoSpcdfRsn

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 92

Usage Guideline details

- This element(seev.006.001.11/InstructionTypeStatus/InstructionStatus/InstructionStatus/Rejected/NoSpecifiedReason) is removed.







5.49.2 Reason

XML Tag: Rsn

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.







The **Rsn** block contains the following elements (see datatype "RejectedStatusReason54" on page 74 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		75
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		75

5.50 RejectedStatusReason28

Definition: Reason for a rejected cancellation status.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		74
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		74

Rules**R16 AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

"Reason" on page 72







5.50.1 ReasonCode


XML Tag: RsnCd

Presence: [1..1]

Definition: Reason for the rejected status.

The **RsnCd** block contains the following elements (see datatype "RejectedReason29Choice" on page 69 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		70
	Proprietary	<Prtry>	Or}	[1..1]		70

5.50.2 AdditionalReasonInformation

XML Tag: AddtlRsnInf







Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "Max350Text" on page 87

5.51 RejectedStatusReason54

Definition: Reason for a rejected instruction status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReasonCode	<RsnCd>		[1..1]		75
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]		75

Rules

R17 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

"Reason" on page 73







5.51.1 ReasonCode


XML Tag: RsnCd

Presence: [1..1]

Definition: Reason for the rejected status.

The **RsnCd** block contains the following elements (see datatype "RejectedReason57Choice" on page 70 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		71
	Proprietary	<Prtry>	Or}	[1..1]		71

5.51.2 AdditionalReasonInformation

XML Tag: AddtlRsnInf







Presence: [0..1]


Definition: Additional information about the rejection status.

Datatype: "Max350Text" on page 87

5.52 SecurityIdentification19

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	M	77
	OtherIdentification	<OthrId>		[0..*]		77
	Description	<Desc>		[0..1]		77

Rules

R18 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R19 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R20 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R21 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R22 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 10

5.52.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 84](#)

Usage Guideline details

- on [seev.006.001.11/FinancialInstrumentIdentification/ISIN](#)

This element is now mandatory, the minimum occurrence has been changed to : 1







5.52.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype ["OtherIdentification1" on page 38](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		38
	Suffix	<Sfx>		[0..1]		38
	Type	<Tp>		[1..1]		39

Usage Guideline details

- This element([seev.006.001.11/FinancialInstrumentIdentification/OtherIdentification](#)) is removed.

5.52.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: ["Max140Text" on page 86](#)

5.53 SignedQuantityFormat14

Definition: Signed quantity of a security for various quantity formats.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		78
	Quantity	<Qty>		[1..1]		78

Used in element(s)

"Balance" on page 27

5.53.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of securities.

Datatype: "ShortLong1Code" on page 116

5.53.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity45Choice" on page 23 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		23
	FaceAmount	<FaceAmt>	Or	[1..1]		23
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		23

5.54 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		79
	Envelope	<Envlp>		[1..1]		79

Rules

R23 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 12

5.54.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 87

5.54.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

6.1 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 66](#), ["AddressType" on page 68](#)

Restricts

["AddressTypeCode" on page 80](#)

6.2 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 80](#)

6.3 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R12 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

["AnyBIC" on page 39](#), ["AnyBIC" on page 42](#)

6.4 CancellationStatus6Code

Definition: Specifies the cancellation status.

Type: CodeSet

Code	Name	Definition
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

Used in element(s)

["Status" on page 13](#)

Restricts

["CancellationStatusCode" on page 82](#)

6.5 CancellationStatusCode

Definition: Specifies the cancellation status.

Type: CodeSet

Code	Name	Definition
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
CANP	Pending	Cancellation instruction / request is pending. It is not known at this time whether cancellation can be effected.
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.
RECE	Received	Cancellation instruction/request has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
REJT	Rejected	Cancellation instruction / request has been rejected / denied for further processing.
STNP	SentToNextParty	Cancellation instruction/request has been sent to the next party, that is, the next intermediary.

Is restricted by

"CancellationStatus6Code" on page 81

6.6 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R3 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004

– *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 67, "Country" on page 69, "CountryOfBirth" on page 17, "CountryOfIncorporation" on page 56, "Nationality" on page 50, "Nationality" on page 54

6.7 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

"Unit" on page 23

6.8 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
---------	----------------

Used in element(s)

"Identification" on page 25

6.9 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 27](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 84](#)

6.10 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 83](#)

6.11 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}
---------	-----------------------------------

Used in element(s)

["ISIN" on page 77](#)

6.12 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"BirthDate" on page 17

6.13 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"MeetingDateAndTime" on page 34

6.14 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"FaceAmount" on page 23

6.15 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

Used in element(s)

"LEI" on page 40, "LEI" on page 41

6.16 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"BlockchainAddressOrWallet" on page 22, "Description" on page 77

6.17 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 67, "BuildingNumber" on page 68, "PostBox" on page 69, "PostCode" on page 67, "PostCode" on page 69, "Suffix" on page 38

6.18 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

["EmailAddress" on page 44](#), ["EmailAddress" on page 45](#), ["EmailAddress" on page 46](#), ["EmailAddress" on page 50](#), ["EmailAddress" on page 53](#), ["EmailAddress" on page 55](#)

6.19 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

["DigitalTokenUnit" on page 23](#)

6.20 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["AdditionalInformation" on page 13](#), ["AdditionalInformation" on page 29](#), ["AdditionalReasonInformation" on page 59](#), ["AdditionalReasonInformation" on page 62](#), ["AdditionalReasonInformation" on page 74](#), ["AdditionalReasonInformation" on page 75](#), ["FirstName" on page 62](#), ["FirstName" on page 65](#), ["Name" on page 36](#), ["Name" on page 63](#), ["PlaceAndName" on page 79](#), ["Surname" on page 62](#), ["Surname" on page 65](#)

6.21 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
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minLength

1

Used in element(s)

["AccountIdentification" on page 18](#), ["AccountIdentification" on page 20](#), ["AccountIdentification" on page 22](#), ["AttendanceCardNumber" on page 29](#), ["CityOfBirth" on page 17](#), ["CompanyRegisterShareholderIdentification" on page 54](#), ["CompanyRegisterShareholderIdentification" on page 56](#), ["CountrySubDivision" on page 67](#), ["CountrySubDivision" on page 69](#), ["Identification" on page 26](#), ["Identification" on page 37](#), ["Identification" on page 38](#), ["InstructionCancellationIdentification" on page 31](#), ["InstructionIdentification" on page 31](#), ["Issuer" on page 24](#), ["Issuer" on page 25](#), ["Issuer" on page 26](#), ["IssuerMeetingIdentification" on page 33](#), ["MeetingIdentification" on page 33](#), ["NationalRegistrationNumber" on page 41](#), ["Proprietary" on page 28](#), ["ProvinceOfBirth" on page 17](#), ["SchemeName" on page 24](#), ["SchemeName" on page 25](#), ["SchemeName" on page 26](#), ["SingleInstructionCancellationIdentification" on page 18](#), ["SingleInstructionIdentification" on page 19](#), ["SubAccountIdentification" on page 18](#), ["SubAccountIdentification" on page 20](#), ["TownName" on page 67](#), ["TownName" on page 69](#)

6.22 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength

4

minLength

1

pattern

[a-zA-Z0-9]{1,4}

Used in element(s)

["Identification" on page 24](#)

6.23 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength

50

minLength

1

Used in element(s)

["ClientIdentification" on page 42](#)

6.24 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 66, "AddressLine" on page 68, "StreetName" on page 66, "StreetName" on page 68

6.25 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

"Type" on page 34

Restricts

"MeetingTypeV2Code" on page 91

6.26 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Used in element(s)

"Code" on page 36

Restricts

"MeetingTypeClassificationCode" on page 90

6.27 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

"MeetingTypeClassification2Code" on page 89

6.28 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

"MeetingType4Code" on page 89

6.29 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

Code	Name	Definition
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

["NamePrefix" on page 65](#)

Restricts

["NamePrefixCode" on page 92](#)

6.30 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

["NamePrefix2Code" on page 91](#)

6.31 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

Code	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

Used in element(s)

["NoSpecifiedReason" on page 57](#), ["NoSpecifiedReason" on page 60](#), ["NoSpecifiedReason" on page 72](#), ["NoSpecifiedReason" on page 73](#)

Restricts

["SpecialPurposeCode" on page 117](#)

6.32 PendingCancellationReason6Code

Definition: Specifies a reason why the cancellation request has a pending status.

Type: CodeSet

Code	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

Used in element(s)

"Code" on page 56

Restricts

"RejectionReasonV3Code" on page 102

6.33 PendingFailingReasonV2Code

Definition: Specifies the reason the transaction/instruction is pending or failing settlement. Settlement on the instructed settlement date is still possible, status is pending. Settlement on the instructed settlement date is no longer possible, status is failing.

Type: CodeSet

Code	Name	Definition
ACOP	AccountNotOpen	Account must already be opened in order to process the transfer.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADRQ	AdditionalDocumentsRequested	Additional documents have been requested by the transfer agent.
ADS1	AdditionalDocumentsSent1	Additional documents have been sent.
ADS2	AdditionalDocumentsSent2	Upon request of the transfer agent, the intermediary has sent additional documents. The transfer agent has confirmed that all the necessary information is now in place.
AFST	ApplicationFormSent	Application form has been sent to the transfer agent.
AINV	UnderTransferAgentInvestigation	Transfer agent is investigating.
ASTA	AwaitingStatus	At your request, the Intermediary is checking the status of your instruction with the transfer agent.
AUTH	SentToTaxAuthorities	Instruction/event is pending because the reclaim is sent to the tax authorities.

Code	Name	Definition
AWMO	AwaitingMoney	Financial instruments are delivered, but still awaiting money from counterparty.
AWSH	AwaitingSecuritiesFromCounterparty	Financial instruments have not yet been received from the counterparty (if receive against payment trade), the money has been delivered.
BATC	ProcessingBatchDifference	Processing batch differs in the counterparty's instruction, for example, day-time/real-time versus overnight.
BENO	BeneficialOwnershipDisagreement	Disagreement in beneficial ownership.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
BOTH	BothInstructionsOnHold	Counterparty's instruction and your instruction are on hold/frozen/ in a preadvice mode.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
BUMM	BuyerProtectionMismatch	Counterparty disagreement on the chosen corporate action outturn.
BYIY	BuyInProcedure	Buy-in procedure has started on the market (on your behalf if your instruction is a receipt, by the counterparty if your instruction is a delivery).
CAIS	AwaitingSecurities	Awaiting financial instruments from a corporate action or other procedure, for example, conversion, dematerialisation, exchange, registration, stamping, splitting.
CANR	CancellationConfirmationRequested	Instruction was in suspense. Suspense period is finished so your cancellation or confirmation of instruction is required.
CDAC	ConditionalDeliveryAwaitingCancellation	Awaiting cancellation of one of the party.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDEL	ConditionalDelivery	Instruction is in a hold/frozen/preadvice mode as it fulfils predefined conditional delivery rules in the market infrastructure platform for conditional delivery.
CDLC	ConditionalDeliveryCancellationPending	Conditional Delivery is still pending awaiting the cancellation from at least another party.
CDLR	ConditionalDeliveryAwaitingRelease	Instruction is in a hold/frozen/preadvice mode. Market infrastructure platform is awaiting release.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.

Code	Name	Definition
CERT	WrongCertificatesNumbers	Certificate number error.
CHAS	EnquirySent	A chaser/enquiry has been sent.
CINV	UnderClientInvestigation	Client has been informed of a problem and is investigating.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable financial instruments in counterparty's account or counterparty does not hold financial instruments.
CLAT	CounterpartyTooLateForSettlement	Counterparty's instruction was too late for settlement, that is the matching or settlement problems was solved too late.
CLHT	ClearingHouseTrade	Instructed settlement date does not agree with the settlement date on the clearing house trade, that is, a specific type of trade in India.
CMON	CounterpartyInsufficientMoney	Insufficient money in counterparty's account.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
CONF	AwaitingConfirmation	Awaiting confirmation from the counterparty.
CPEC	CounterpartyInReceivership	Counterparty is in receivership (form of bankruptcy where a court appointed person - the receiver - manages the affairs of the business).
CSDH	CSDHold	Central securities depository sets the instruction in a hold/frozen/preadvice mode.
CVAL	CSDValidation	Instruction is in a hold/frozen/preadvice mode as it fulfils predefined conditions of a restriction processing type in the market infrastructure platform.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
CYDV	AwaitingCounterpartyDelivery	Counterparty has not sent an instruction to deliver or delivered the shares. Check with counterparty.
CYIN	AwaitingCounterpartyReceipt	Counterparty has not sent an instruction to receive. Check with counterparty.
DENO	UnavailableDeliverableDenominatedQuantity	Quantity instructed does not match the denomination available/deliverable. Physical securities need to be obtained in deliverable denominated quantities.
DEPO	RefusedDepositForIssueOfDepositoryReceipts	Deposit of shares for the issuing of depository receipts has been refused. The allotment granted by the issuer is exceeded by your transaction.

Code	Name	Definition
DISA	NaturalDisaster	Exceptional closing of all financial institutions due to natural disaster, for example, earthquake.
DKNY	CounterpartyReturnedShares	Counterparty has returned or refuses the securities.
DOCC	AwaitingDocumentsOrEndorsementsFrom-Counterparty	Awaiting documents or endorsements from counterparty.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DRJC	DocumentsRejected	Documents are rejected by the transfer agent.
ECRD	ElectionToCounterpartyResponseDeadline-Missed	Received after the election to counterparty response market deadline.
ESCA	Escalation	Special follow-up is taking place.
FLIM	MaximumForeignLimitReached	Insufficient deliverable financial instruments in your account as maximum foreign limit has been reached.
FROZ	SecuritiesFrozenAtCSD	Financial instruments are blocked at the Central Security Depository (CSD) following a corporate event.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
FUTU	AwaitingSettlementDate	Awaiting settlement date. No settlement problems to be reported.
FVER	FinalVerification	Confirmed but finality is to be verified.
GLOB	GlobalFormSecurities	Settlement cannot be executed; financial instruments are in global form.
GUAD	GuaranteedDeliveryIndicatorDifference	Guaranteed delivery indicator differs in the counterparty's instruction.
IAAD	StatusReasonInvestigation	Pending reason being investigated.
IINV	UnderInvestigation	Intermediary is investigating the reason why the transfer is pending.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.
INCA	IncomeAdjustementRequired	Financial instruments require income adjustment, for example, dividend or interest.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

Code	Name	Definition
IPNC	CancelNotPossible	Instruction has been processed and cannot be cancelled.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
LAAW	AwaitingOtherTransaction	Awaiting settlement of a purchase to cover failing positions.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LALO	SecuritiesLoanedOut	Financial instruments are out on loan.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
LIQU	InsufficientCentralBankLiquidity	Central bank liquidity is insufficient.
LPRO	LongerProcessing	Longer processing timeframe for this type of transfer, due to documentation requirements.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MINF	MissingInformation	Information, for example, telephone number, contact person, is missing.
MINO	MinimumSettlementAmount	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
MLAT	MoneySecuritiesTooLateForSettlement	Covering money/financial instruments were received too late for completing settlement on a same day basis.
MONY	InsufficientMoney	Insufficient money in your account.
MTAX	MissingTaxRate	Tax rate is missing.
MUNO	MultipleSettlementAmount	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.
NCON	ConfirmationNotReceived	Confirmation of settlement has not yet been received.
NEWI	NewIssues	Financial instrument is a new issue and not yet available/tradable.
NEXT	NextProcess	Next process is launched. No processing pending problems to be reported.
NMAS	NoMatchingRequired	Instruction has not been matched; matching process is not required.

Code	Name	Definition
NOFX	NoForeignExchangeInstruction	A foreign exchange instruction from you is missing.
NPAY	NoPayment	Payment has not been made by issuer.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
NRCP	TransferAgentNonReceipt	Transfer agent claims non-receipt of physical shares.
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
OBJT	UnderObjection	Financial instruments are stolen, in dispute, under objection etc.
OTHR	Other	Other. See Narrative.
OVER	NormalProcessingPeriodLapsed	The instructed position exceeds the eligible balance.
PART	TradeSettlesInPartials	Trade will settle in partials.
PATD	PartialDiffer	Disagreement on partial indicator preventing partial settlement.
PCAP	PendingCAP	Payment is pending, and does not settle because the actual use of the risk management limit on the counterpart (bilateral limit) prevents settlement.
PCNF	ConfirmationOfPayment	Prior payment credited to account based contractual income collection agreement has been collected from issuer.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
PHCK	PhysicalSecuritiesVerification	Physical financial instruments have been received and are being checked for authenticity.
PHSE	PhysicalDeliveryDelay	Settlement is physical. Financial instruments are being delivered.
PRCY	CounterpartyInstructionOnHold	Counterparty's instruction is a preadvice, that is, for matching only.
PREA	YourInstructionOnHold	Your instruction is a preadvice, that is, for matching only.
PREL	PartiallyReleased	Transaction has been partially released.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
REFS	NotInGoodOrder	Delivery/receipt was refused because physical financial instruments are not in good order.

Code	Name	Definition
REFU	InstructionRefusedOrNotRecognised	Instruction has been refused or not recognised and is represented automatically.
REGT	CertificatesRejected	Certificates have been lodged with the registrar but rejected due to incomplete documentation or foreign ownership limitation reached.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to a corporate action event, realignment, etc.
SDUT	LackOfStampDutyInformation	Stamp duty information is missing.
SETS	SettlementSystemMethodModified	Settlement system/method has been modified at central securities depository to allow settlement.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
STCD	ConfirmationDiscrepancy	Discrepancy in the settlement confirmation.
STST	StockTransferFormSent	Stock transfer form has been sent to the counterparty to sign and forward to the transfer agent.
TAMM	TradeAmendedInMarket	Trade is being amended in the market.
TAPR	TransferAgentProcessing	Transfer is being processed by transfer agent.
USUA	UsualTransactionTime	Usual transfer agent's processing time-frame.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
WCPA	WrongCounterpartyAccount	Wrong counterparty account details.
WCTF	AwaitingCounterpartyResponse	Allegement has been sent out to counterparty. Waiting for the response.
WTRF	AwaitingTransferAgentStatus	Transfer is being processed by the transfer agent, awaiting status from transfer agent.
XCNF	ExpectedConfirmation	Confirmation is expected from transfer agent.
YCOL	CollateralShortage	Insufficient collateral in your account to execute the instruction.

Is restricted by

"PendingReason25Code" on page 99

6.34 PendingReason25Code

Definition: Specifies a reason why the instruction is pending.

Type: CodeSet

Code	Name	Definition
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.

Used in element(s)

"Code" on page 59

Restricts

"PendingFailingReasonV2Code" on page 93

6.35 RejectionReason51Code

Definition: Specifies a reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

Code	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.

Code	Name	Definition
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

Used in element(s)

"Code" on page 70

Restricts

"RejectionReasonV3Code" on page 102

6.36 RejectionReason82Code

Definition: Specifies a reason why the instruction has a rejected status.

Type: CodeSet

Code	Name	Definition
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.

Code	Name	Definition
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
MCAN	EventCancelled	Event has been cancelled.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OPTY	InvalidOptionType	Invalid option type.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.

Used in element(s)

"Code" on page 71

Restricts

"RejectionReasonV3Code" on page 102

6.37 RejectionReasonV3Code

Definition: Specifies the reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

Code	Name	Definition
ACRU	UnexpectedAccruedInterest	Accrued interest is not applicable for this type of security.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
AGID	NotDefinedAgent	Party is not defined as an agent.
AGIN	InvalidAgent	Invalid agent identification supplied.
ASTM	AgreementStartDate	Agreement start date is missing or invalid.
BACK	MissingBackEndOddLotQuantity	Back end odd lot quantity is missing.
BATC	ProcessingBatchRejection	Unrecognised or invalid processing batch, that is, daytime/real-time while only possible to settle in overnight batch.
BDAY	NotBusinessDay	Date is not a business day for the security market.
BENO	BeneficialOwnershipDisagreement	Disagreement in beneficial ownership.
BIDI	MissingBidInterval	Bid interval is missing.
BPAR	BusinessPartnerNumberRejection	Unrecognised or invalid business partner number.
BREF	BankReferenceNumberRejection	Unrecognised or invalid bank reference number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
BUMM	BuyerProtectionMismatch	Counterparty disagreement on the chosen corporate action outcome.
BUSE	TypeOfOrderRejection	Unrecognised or invalid type of order.
CADE	TransactionCallDelay	Unrecognised or invalid transaction call delay.
CADI	CalculationDifference	Primarily used when the Notice of Execution received by the buy-side contains fees, gross trade amount, net trade amount, etc., values that differ from the buy-side's calculations. Buy-side may reject the trade if they feel that the difference in calculations is too high.
CAEV	CorporateActionRejection	Corporate action pending on the financial instrument instructed.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
CASA	NotIdentifiedCashAccount	Unable to identify cash account.
CASH	CashAccount	Unrecognised or invalid cash account.

Code	Name	Definition
CASY	ImpossibleCashSettlementSystem	Impossible standing arrangements override instruction for the cash settlement system.
CCIX	ClientCollateralInstructionIdentification	Unrecognised or invalid client collateral instruction identification.
CCTI	ClientCollateralTransactionIdentification	Unrecognised or invalid Client Collateral Transaction Identification.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
CINL	MissingCashInLieuPrice	Cash in lieu price is missing.
COMC	CommercializationContractRejection	Unrecognised or invalid commercialisation contract.
COND	MissingConditionalTendersAcceptedFlag	Conditional tenders accepted flag is missing.
CONL	ConcentrationLimitExceeded	Concentration limit was exceeded.
CORR	NotIdentifiedCorrespondentBank	Unable to identify correspondent bank.
CPTY	UnknownDealExposure	Deal or exposure is unknown.
CSAC	CompoundSimpleAccrualCalculationRejection	Compound simple accrual calculation is not supported.
CSRT	MissingCashRate	Cash rate is missing.
CTCT	MissingContact	Contact information is missing.
CTRA	ClosingTransactionAmount	Unrecognised or invalid closing closing amount.
DADR	AddressDetailsRejection	Address details are incorrect or cannot be recognised.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DCBR	DayCountBasis	Day count basis not supported.
DCUS	DeliveringCustodian	Unrecognised or invalid delivering custodian.
DDAT	SettlementDateRejection	Unrecognised or invalid settlement date.
DDEA	DealPrice	Unrecognised or invalid deal price.
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
DEAO	OptionAlreadyDeactivated	Rejected as the deactivation of the option is already completed.
DEPT	ReceivingDeliveringDepository	Unrecognised or invalid depository.
DFLT	MissingDefaultOption	One option must be marked as the default.
DISC	DisagreeWithCallAmount	Party does not agree with the call amount.
DISE	DisagreeWithExposureAmount	Party does not agree with the exposure amount.

Code	Name	Definition
DISP	NotEligibleDisperseSecurity	Disperse security is not eligible in the (ICSD) service provider owner.
DMON	SettlementAmountRejection	Unrecognised or invalid settlement amount.
DORD	RegistrationRequestDataAndOrderRejection	Disagreement between the data on the registration request and the order.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQCC	CurrencyRejection	Invalid instructed currency.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DSET	DeniedSinceAlreadySettled	Cancellation request was denied because the instruction was already settled.
DSNA	NoBuyerProtectionAllowed	Underlying transaction type/status does not allow for a buyer protection.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
DUCK	UnderlyingTransactionCancelled	Buyer Protection instruction rejected since underlying settlement transaction has been cancelled.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
DUST	UnderlyingTransactionAlreadySettled	Buyer Protection instruction was rejected, because the underlying settlement transaction has already been settled.
ECMD	ElectionToCounterpartyMarketDeadlineMissed	Received after the election to counterparty market deadline.
ECRD	ElectionToCounterpartyResponseDeadline-Missed	Received after the election to counterparty response market deadline.
ELEA	ElectionAlreadyAmended	Election advice is already amended.

Code	Name	Definition
ELEC	ElectionAlreadyCancelled	Election advice is already cancelled.
ELIG	NonEligibleSecurity	Security is not eligible.
ENDP	MissingPeriodEndDate	Period ending date or code is missing.
EQTY	QuantityExceeds	Quantity in the execution exceeds the ordered quantity.
EVNM	UnrecognisedIdentification	Unrecognised event number.
EXCL	ClosedExchange	Exchange on which the financial instrument is traded is closed.
EXLI	ExceedsLimit	Countervalue of the order exceeds the allowed trading limit or quote exceeds limit.
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
FEEE	FeeCommissionRejection	Unrecognised or invalid fee or commission.
FIRS	MissingFirstBidIncrement	First bid increment is missing.
FORF	ForfeitAmount	Unrecognised or invalid forfeit amount.
FRAC	MissingFractionalDisposition	Fractional disposition is missing.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
GAMN	GrossAmountLessThanNetAmount	Gross amount is less than net amount.
IACT	IncorrectActivityType	Incorrect activity type specified, for example, pre-release conversion.
ICAG	ReceivingDeliveringParty1	Unrecognised or invalid participant of delivering or receiving depository.
ICID	InvalidCommonIdentification	Unrecognised or invalid common identification.
ICOL	InsufficientCollateral	Insufficient collateral proposed.
ICOP	IncorrectProgramConversion	Invalid or incorrect programme conversion specified.
ICTT	InvalidCollateralTransactionType	This is an unrecognised or invalid Collateral Transaction Type.
ICUS	ReceivingDeliveringParty2	Unrecognised or invalid client of delivering or receiving party 1.
IDIS	InvalidDisbursedSecurityIdentification	Disbursed security identification is missing.
IDRI	InvalidDisclosureRequestIdentification	Disclosure request identification is invalid or unknown.
IDRJ	InvalidDisclosureResponseIdentification	Disclosure response identification is invalid or unknown.
IESP	InvalidEligibilitySetProfile	This is an unrecognised or invalid Eligibility Set Profile.
IEXE	ReceivingDeliveringParty3	Unrecognised or invalid client of delivering or receiving party 2.

Code	Name	Definition
IEXT	InvalidExposureType	This is an unrecognised or invalid Exposure Type (used when a proprietary code is sent).
IIND	CommonReferenceRejection	Unrecognised, invalid or missing common reference.
INCR	InsufficientCredit	Requestor has no insufficient credit to make the trade.
INDE	InvalidCorporateActionInformation	Details specified in the corporate action general information block are inconsistent with those that were specified in the relating original message.
INDT	InvalidDetails	Message details specified in the instruction/request are inconsistent with those that were specified in the relating original message.
INET	InvalidEventType	Corporate action event type does not correspond with the relating corporate action.
INHO	InsufficientBalance	Insufficient balance.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
INIR	InstructionIrrevocable	Instruction is irrevocable.
INMO	InvalidMovementInformation	Details specified in the movement general information block are inconsistent with those that were specified in the relating original message.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/voluntary event type does not correspond with the relating corporate action.
INNA	NarrativeInformationRejection	Unrecognised or invalid service level agreement pre-agreed narrative information.
INPR	InvalidPrice	Price does not comply with the financial instrument's characteristics.
INPS	PlaceOfSafekeeping	Unrecognised, invalid or missing place of safekeeping.
INPT	InvalidEventProcessingType	Event processing type does not correspond with the relating corporate action.
INTE	Intermediary	Intermediary is not recognised or is invalid.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
INUS	InvalidUnderlyingSecurity	Underlying security identification does not correspond with the corporate action reference supplied.

Code	Name	Definition
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
INVB	InvalidBalance	Instruction aims at using an invalid balance.
INVE	InvalidInvestorParty	Unrecognised or invalid investor party. May be used by an executing party to reject an instruction for an investor (or portfolio) for which it is not authorised to act.
INVL	InvalidLink	Invalid or unrecognized link.
INVM	InvalidModificationRequest	Invalid or unrecognized modification request.
INVN	InvalidLotNumber	Invalid or unrecognized lot number.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPBI	InvalidPreferentialBasketIdentification	Unrecognised or invalid Preferential Basket identification.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
IREG	RegistrationDiscrepancy	Invalid registration information.
IRIT	InvalidRateIndexType	Unrecognised or invalid Rate Index Type (used when a proprietary code is sent).
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
ISSC	InvalidOrMissingIssuerAgentContactInformation	Issuer agent contact information is invalid or missing.
ISSR	MissingIssuerAgent	Issuer agent is missing.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
ITPA	InvalidTripartyAgent	Unrecognised or Invalid Triparty Agent
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LAST	MissingLastBidIncrement	Last bid increment is missing.
LATE	MarketDeadlineMissed	Received after market deadline.
LATT	Late	Instruction/Request arrives too late.
LBDR	LookbackDaysRejection	Number of lookback days not supported.

Code	Name	Definition
LEOG	LetterOfGuaranteeIndicatorRejection	Unrecognised or invalid letter of guarantee indicator instructed.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
MAIN	NotMainAgent	Agent identification specified does not correspond to the main agent of the relating event.
MAXP	MissingMaximumPrice	Maximum price is missing.
MCAN	EventCancelled	Event has been cancelled.
MCER	MissingCertification	Certification has not been filled in.
MCRA	MissingCreditAuthorisation	Missing credit authorisation (for pre-release transactions).
MDIS	MissingDisbursedSecurityIdentification	Disbursed security identification is missing.
MFCF	MissingFullConditionalFlag	Full conditional flag is missing.
MICA	MismatchedCAIdentification	Issuer corporate action identification does not correspond with the corporate action identification supplied.
MINO	MinimumSettlementQuantity	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
MINP	MissingMinimumPrice	Minimum price is missing.
MISM	InvalidRequest	Statement/status advice request cannot be executed. The message type requested is not valid for the message reference or statement period concerned.
MLEG	MissingLegalPower	Missing legal power for transfer.
MONY	InsufficientMoney	Insufficient money in your account.
MOSE	MovementAlreadySettled	One or more of the underlying movements have already settled.
MPRI	MissingProrationRoundingIndicator	Proration rounding indicator is missing.
MTAX	MissingTaxRate	Tax rate is missing.
MUNO	MultipleSettlementQuantity	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.
NAMD	AmendmentNotAllowed	Amendment is not allowed.
NARR	NarrativeReason	See narrative field for reason.
NAUT	NotAuthorised	Permission to be processed is not granted.
NCAN	CancellationNotAllowed	Cancellation is not allowed.
NCRR	SettlementAmountCurrencyRejection	Unrecognised or invalid settlement amount currency.

Code	Name	Definition
NFCA	NotFoundCorrespondingAllegement	Instruction has been rejected as the corresponding allegation was cancelled.
NINS	NoInstrumentMarket	Referred instrument does not exist in combination with the mentioned market.
NINV	NoInventory	Requested financial instrument is not available.
NMAT	NoMatchInquiry	No counterparty order has been identified.
NMTY	Mismatch	Mismatch between option number and option type.
NOAC	NoAccessToService	Agent has no access to the service.
NOAP	NotApplicable	Instruction type is not applicable for mandatory events.
NOHO	NoHolding	No holding for the specified underlying security.
NORO	NoRemainingOption	Specified corporate action has no remaining option to disable.
NOSL	NotRegisteredInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
NPAT	NonActiveParty	Party is not active.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
NRGM	NoMatch	More than one instruction match the criteria.
NRGN	NotFoundRejection	Instruction could not be found.
NRJN	NotFoundRejectionForCancellation-ModificationOrTermination	Cancellation, modification or termination request has been rejected since the instruction could not be found.
NTAV	NotAvailable	Reason is not available or unknown.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
ODLT	MissingOddLotPriorityFlag	Odd lot priority flag is missing.
ODNP	OrderDateNotProvided	Order entry date is missing.
OPNM	OptionNumberRejection	Unrecognised option number.
OPTI	InvalidOption	Invalid combination of corporate action option code and corporate action option number.
OPTY	InvalidOptionType	Invalid option type.
OTHR	Other	Other. See Narrative.
OVCH	MissingOversubscriptionChargeFlag	Oversubscription charge flag is missing.
OVFL	MissingOversubscriptionFlag	Oversubscription flag is missing.
OVPR	MissingOversubscriptionPrice	Oversubscription price is missing.

Code	Name	Definition
OWNT	TypeOfOwnershipRejection	Type of ownership indicated is not correct.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PASS	Pass	Counterparty is not interested in the transaction.
PBAS	MissingPriceBasis	Price basis is missing.
PCAT	PendingCounterpartyAcceptance	Pending acceptance from the counterparty.
PDAY	EffectiveDayAfterPaymentDate	Effective date is after the payment date.
PDEA	NoPendingDeactivationInstruction	Cancellation request is rejected as there is no pending deactivation instruction.
PEDA	PendingDeactivation	Specified corporate action option already has a pending deactivation instruction.
PERI	PeriodEndDateBeforeStartDate	Period ending date is before period starting date.
PHYS	PhysicalSettlementImpossible	Physical settlement is impossible for the instructed financial instrument.
PLCE	PlaceOfTrade	Unrecognised or invalid place of trade.
PLIS	PlaceOfListing	Unrecognised or invalid place of listing. Used when the security identified is not listed on the market supplied.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.
PODU	PossibleDuplicate	Instruction has not been matched. It is a possible duplicate instruction.
PRCH	MissingProtectChargeIndicator	Protect charge indicator is missing.
PRIC	PriceExceeds	Price in the execution exceeds the order price.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROR	MissingProrationRate	Proration rate is missing.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
PTYA	InvalidPartyA	Unrecognised or Invalid Party A.
PTYB	InvalidPartyB	Unrecognised or Invalid Party B
PTYP	MissingPayoutType	Payout type is missing.
QUNP	QuantityUnitType	Quantity unit type is not provided.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RCUS	ReceivingCustodian	Unrecognised or invalid receiving agent.

Code	Name	Definition
RDTE	RecordDateAfterPaymentDate	Record date is after payment date.
REDT	RequestedExecutionDateTime	Invalid execution date/time
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
REPA	TerminationTransactionAmount	Unrecognised or invalid termination transaction amount.
REPO	RepurchaseRate	Unrecognised or invalid repurchase rate.
REPP	PremiumAmount	Unrecognised or invalid premium amount.
REQM	MissingRegistrationRequest	Registration request to be completed by the buyer and to be forwarded to the issuer is missing.
REQW	WrongRegistrationRequest	Registration request to be completed by the buyer and to be forwarded to the issuer is wrong. You have used the registration request of a different issuer/registrar.
RERT	RepurchaseRateType	Unrecognised or invalid repurchase rate type.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
RESU	MissingResultingAmount	Resulting amount must be filled in as exchange rate is present.
RITR	MissingRightsTransferrableFlag	Rights transferrable flag is missing.
ROUN	MissingRoundingFactor	Rounding factor is missing.
RREA	DisagreementInRegistrationReason	Registration reason indicated is not correct.
RREP	RejectedSinceRepoEnded	Cancellation request was rejected because the repurchase was cancelled.
RSET	RejectedSinceAlreadySettled	Cancellation request was rejected because the instruction was already settled.
RSPR	SpreadRate	Unrecognised or invalid spread rate.
RTGS	ImpossibleToUseTheRTGSSystemInstructed	Not possible to use the RTGS system instructed (NRTG or YRTG).
SAFA	PartyASafekeepingAccount	Unrecognised or invalid Party A Safekeeping Account
SAFB	PartyBSafekeepingAccount	Unrecognised or Invalid Party B Safekeeping Account.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.

Code	Name	Definition
SAID	SameIdentification	Several messages with the same identification.
SAME	SameCAIdentification	Agent corporate action deactivation instruction with the same identification already exists.
SDUT	LackOfStampDuty	Required stamp duty information is missing.
SECO	MissingOption	Second option is mandatory.
SECT	WrongSecurityType	Security type does not match with the type of corporate action.
SEPR	SettlementProcessRejection	Unrecognised or invalid settlement process instructed.
SERT	MissingSecuritiesRate	Securities rate is missing.
SETR	SettlementTransactionRejection	Unrecognised or invalid settlement transaction type.
SETS	SettlementSystemMethodRejection	Unrecognised or invalid settlement system/method instructed.
SFEE	MissingSolicitationDealerFeeFlag	Solicitation dealer fee flag is missing.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
SIDE	WrongSide	Order is for the wrong side.
SIGN	InvalidSignature	Registration request does not have a valid signature of the owner of the financial instrument.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
SSID	StandingSettlementInstructionRejection	Standing Settlement Instruction is unknown or invalid.
STAM	NotStampedOrSigned	Financial instrument has not been stamped and/or duly signed.
STAN	InvalidStandingInstructionType	Invalid standing instruction type for the specified underlying security.
STAR	MissingPeriodStartDate	Period starting date or code is missing.
STAT	MissingStatutes	Missing statutes or commercial register or other legal documents.
SUCH	MissingSubscriptionChargeFlag	Subscription charge flag is missing.
SUPR	MissingSubscriptionPrice	Subscription price is missing.
SVNO	ServiceNotOffered	Service for which the information was sent is not offered.
TABC	TransactionAmountBreakdownCurrency	Transaction amount breakdown currency differs from transaction amount currency.
TERM	ClosingDateTimeRejection	Unrecognised or invalid closing date/time.
TMAC	TerminationAmountCurrency	Termination amount currency not supported.

Code	Name	Definition
TNAR	TransactionNoticeAlreadyAcceptedOrRejected	A message with the same Transaction Notice Reference, Market Member, and Intermediary has already been processed.
TNIU	TransactionNoticeIDUnknown	The combination of the Transaction Notice Reference, Market Member, and Intermediary is unknown.
TOLA	TooLate	Request has exceeded the allowed time frame.
TQHI	TradedQuantityHigherThanOriginalOrder-Quantity	Market member executed the order exceeding the requested quantity.
TQNP	TradedSecuritiesQuantity	Traded securities quantity not provided.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
TRTY	IncorrectTransactionType	Transaction type is incorrect.
TXAB	TransactionAmountBreakdown	Transaction amount breakdown does not equal the transaction amount.
TXAC	TransactionAmountCurrency	Transaction amount currency not supported.
TXST	TaxStatusRejection	Unrecognised or invalid tax status of the securities instructed.
UKWN	UnknownSymbol	Financial instrument's symbol has not been recognized.
ULNK	InvalidReference	Linked reference is unknown.
UNSP	MissingUnspecifiedPriceAllowed	Unspecified price allowed is missing.
VALR	InvalidRule	Account servicer validation rule rejection.
VALU	ValueDateBeforePaymentDate	Value date is before payment date.
VASU	VariableRateSupportRejection	Unrecognised or invalid variable rate support (repurchase agreement).
XRAT	MissingExchangeRate	Resulting amount is not allowed without an exchange rate.

Is restricted by

"PendingCancellationReason6Code" on page 92, "RejectionReason51Code" on page 100, "RejectionReason82Code" on page 101

6.38 SecuritiesEntryType3Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.

Code	Name	Definition
UNBA	UninstructedBalance	Balance of uninstructed position.

Used in element(s)

"BalanceType" on page 27

Restricts

"SecuritiesEntryTypeCode" on page 115

6.39 SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is un-

Code	Name	Definition
		available because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType3Code" on page 114

6.40 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

"ShortLongPosition" on page 78

Restricts

["ShortLongCode" on page 117](#)

6.41 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

["ShortLong1Code" on page 116](#)

6.42 SpecialPurposeCode

Definition: Specifies special purpose codes.

Type: CodeSet

Code	Name	Definition
ANYM	AnyMIC	Any MIC code.
BLNK	Blank	Blank value.
FALS	False	Negative indication.
NOAP	NotApplicable	Not applicable (N/A).
NORE	NoReason	No reason to report or no reason available to report.
NORP	NotReported	Value not reported by the party.
NTAV	NotAvailable	Not available (N/A).
TRUE	True	Positive indication.

Is restricted by

["NoReasonCode" on page 92](#)

6.43 Status11Code

Definition: Specifies the status of an instruction.

Type: CodeSet

Code	Name	Definition
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.

Code	Name	Definition
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
DREG	DeregistrationCompleted	Rights holder has been deregistered from the meeting participants list.
FRWD	Forwarded	Accepted and sent along the chain.
PACK	Accepted	Instruction has been accepted and is validated for further processing.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	<p>Standing instruction is applied.</p> <div> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.006.001.11/InstructionTypeStatus/InstructionStatus/ProcessingStatus/Status/StandingInstruction) is removed. </div>

Used in element(s)

"Status" on page 29

Restricts

"StatusV2Code" on page 118

6.44 StatusV2Code

Definition: Specifies the status of an instruction.

Type: CodeSet

Code	Name	Definition
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CANP	BeingCancelled	Cancel request is being processed.
COMP	Completed	Processing has been completed.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.

Code	Name	Definition
DREG	DeregistrationCompleted	Rights holder has been deregistered from the meeting participants list.
FRWD	Forwarded	Accepted and sent along the chain.
NOIN	NotReceived	No instruction has been received (to be used in a reminder).
PAAC	AcknowledgedAccepted	Instruction has been acknowledged and accepted and is validated for further processing.
PACK	Accepted	Instruction has been accepted and is validated for further processing.
PDNG	Pending	Instruction is pending.
PPRC	PendingProcessing	Processing of the instruction is pending.
QUED	Queued	Instruction is queued.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
REJT	Rejected	Instruction has been rejected.
STIN	StandingInstruction	Standing instruction is applied.

Is restricted by

"Status11Code" on page 117

6.45 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.

Code	Name	Definition
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

"Code" on page 28

Restricts

"TypeOfIdentificationCode" on page 120

6.46 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

"TypeOfIdentification4Code" on page 119

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