

Usage Guideline

seev.007 Meeting Vote Execution - Confirmation (SR2025)

OneClearstream - General Meeting Messages (SR2025) - Clients

This document describes a usage guideline restricting the base message **MX seev.007.001.11**. You can also consult [this information online](#).

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25 November 2025

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Message Functionality

Overview

The Meeting Vote Execution Confirmation (seev.007) is only available if it has been explicitly requested in the instruction (seev.004) and if Clearstream Banking has received the Meeting Vote Execution Confirmation (seev.007) from the respective Clearstream Banking market provider (depository, provider or agent). Clearstream does not perform a reconciliation or validation of received Meeting Vote Execution Confirmation (seev.007) but forwards the message to clients as received from the Clearstream Banking market provider (depository, provider or agent).

Collection Description

Guidelines applicable after Clearstream's Release in 24 November 2025 including changes from the Swift Release (SR2025)

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

Version changes :

v.2 From v.1 : Provision of the Building Number information in the Rights Holder section is no longer mandatory for Registration instructions.

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2025) - Clients / seev.007 Meeting Vote Execution Confirmation (SR2025) message is composed of 8 building blocks

a. **Pagination**

Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

b. **VoteExecutionConfirmationIdentification**

Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

c. **MeetingInstructionIdentification**

Identification of the original meeting instruction message for which the vote execution confirmation is provided.

d. **MeetingReference**

Set of elements to allow the unambiguous identification of a meeting.

e. **FinancialInstrumentIdentification**

Security for which the meeting is organised.

f. **VoteInstructions**

Specifies how a party has voted for each agenda item.







g. VoteInstructionsConfirmationURLAddress

Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

h. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1 Structure

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--|--------------------|----|----------|------------------|------|
| | <i>MeetingVoteExecutionConfirmationV11</i> | <MtgVoteExctnConf> | | [1..1] | | |
| | Pagination | <Pgntn> | | [0..1] | | 8 |
| | PageNumber | <PgNb> | | [1..1] | | 29 |
| | LastPageIndicator | <LastPgInd> | | [1..1] | | 29 |
| | VoteExecutionConfirmationIdentification | <VoteExctnConfId> | | [0..1] | | 8 |
| | MeetingInstructionIdentification | <MtgInstrId> | | [1..1] | | 8 |
| | MeetingReference | <MtgRef> | | [1..1] | | 8 |
| | MeetingIdentification | <MtgId> | | [1..1] | | 22 |
| | IssuerMeetingIdentification | <IssrMtgId> | | [0..1] | | 23 |
| | MeetingDateAndTime | <MtgDtAndTm> | | [1..1] | | 23 |
| | Type | <Tp> | | [1..1] | | 23 |
| | Classification | <Clssfctn> | | [0..1] | | 23 |
| | Location | <Lctn> | | [0..5] | | 23 |
| | Issuer | <Issr> | | [0..1] | | 24 |
| | FinancialInstrumentIdentification | <FinInstrmId> | | [1..1] | | 9 |
| | ISIN | <ISIN> | | [0..1] | | 51 |
| | OtherIdentification | <OthrId> | | [0..*] | | 51 |
| | Description | <Desc> | | [0..1] | | 52 |
| | VoteInstructions | <VoteInstrs> | | [0..*] | | 9 |
| | SingleInstructionIdentification | <SnglInstrId> | | [1..1] | | 13 |
| | AccountIdentification | <AcctId> | | [0..1] | | 13 |
| | AccountOwner | <AcctOwnr> | | [0..1] | | 14 |
| | SubAccountIdentification | <SubAcctId> | | [0..1] | | 14 |
| | RightsHolder | <RghtsHldr> | | [0..250] | | 14 |
| | Proxy | <Prxy> | | [0..1] | | 14 |
| | StandingInstruction | <StgInstr> | | [0..1] | | 15 |
| | ModalityOfCounting | <ModltyOfCntg> | | [1..1] | | 15 |
| | VoteReceiptDateTime | <VoteRctDtTm> | | [0..1] | | 15 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---|------------------------|----|-----------|------------------|------|
| | VotePerResolution | <VotePerRsln> | | [0..1000] | | 16 |
| | VoteInstructionsConfirmationURLAddress | <VoteInstrsConfURLAdr> | | [0..1] | | 10 |
| | SupplementaryData | <SplmtryData> | | [0..*] | | 10 |
| | PlaceAndName | <PlcAndNm> | | [0..1] | | 52 |
| | Envelope | <Envlp> | | [1..1] | | 53 |

2 ISO 20022 Rules

R1 **PaginationRule** ✓

If pagination is present, then VoteExecutionConfirmationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00656
- *Error Text:* VoteExecutionConfirmationIdentification is absent.

3 Message Building Blocks

Note The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2025) - Clients / seev.007 Meeting Vote Execution Confirmation (SR2025) message definition.







3.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 29](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-------------------|-------------|----|--------|------------------|------|
| | PageNumber | <PgNb> | | [1..1] | | 29 |
| | LastPageIndicator | <LastPgInd> | | [1..1] | | 29 |

3.2 VoteExecutionConfirmationIdentification

XML Tag: VoteExctnConfId

Presence: [0..1]

Definition: Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

Datatype: "[Max35Text](#)" on [page 67](#)

3.3 MeetingInstructionIdentification

XML Tag: MtgInstrId

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the vote execution confirmation is provided.

Datatype: "[Max35Text](#)" on [page 67](#)

3.4 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "[MeetingReference10](#)" on page 22 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-----------------------------|--------------|----|--------|------------------|------|
| | MeetingIdentification | <MtgId> | | [1..1] | | 22 |
| | IssuerMeetingIdentification | <IssrMtgId> | | [0..1] | | 23 |
| | MeetingDateAndTime | <MtgDtAndTm> | | [1..1] | | 23 |
| | Type | <Tp> | | [1..1] | | 23 |
| | Classification | <Clssfctn> | | [0..1] | | 23 |
| | Location | <Lctn> | | [0..5] | | 23 |
| | Issuer | <Issr> | | [0..1] | | 24 |

3.5 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Security for which the meeting is organised.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19](#)" on page 50 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------|-----------|----|--------|------------------|------|
| | ISIN | <ISIN> | | [0..1] | | 51 |
| | OtherIdentification | <OthrId> | | [0..*] | | 51 |
| | Description | <Desc> | | [0..1] | | 52 |

3.6 VoteInstructions

XML Tag: VoteInstrs

Presence: [0..*]

Definition: Specifies how a party has voted for each agenda item.

The **VoteInstrs** block contains the following elements (see datatype "[DetailedInstructionStatus19](#)" on page 12 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------------------|----------------|----|-----------|------------------|------|
| | SingleInstructionIdentification | <SnglInstrId> | | [1..1] | | 13 |
| | AccountIdentification | <AcctId> | | [0..1] | | 13 |
| | AccountOwner | <AcctOwnr> | | [0..1] | | 14 |
| | SubAccountIdentification | <SubAcctId> | | [0..1] | | 14 |
| | RightsHolder | <RghtsHldr> | | [0..250] | | 14 |
| | Proxy | <Prxy> | | [0..1] | | 14 |
| | StandingInstruction | <StglInstr> | | [0..1] | | 15 |
| | ModalityOfCounting | <ModltyOfCntg> | | [1..1] | | 15 |
| | VoteReceiptDateTime | <VoteRctDtTm> | | [0..1] | | 15 |
| | VotePerResolution | <VotePerRsltn> | | [0..1000] | | 16 |

3.7 VoteInstructionsConfirmationURLAddress

XML Tag: VoteInstrsConfURLAdr

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

Datatype: "Max2048Text" on page 66







3.8 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 52 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|------------|----|--------|------------------|------|
| | PlaceAndName | <PlcAndNm> | | [0..1] | | 52 |
| | Envelope | <Envlp> | | [1..1] | | 53 |

4 Message Components

Note The following chapter identifies the message components.

4.1 DateAndDateTime1Choice

Definition: Choice between a date or a date and time format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Date | <Dt> | {Or | [1..1] | | 11 |
| | DateTime | <DtTm> | Or} | [1..1] | | 11 |

Used in element(s)

["VoteReceiptDateTime" on page 15](#)

4.1.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Numeric representation of the day of the month and year.

Datatype: ["ISODate" on page 64](#)

4.1.2 DateTime

XML Tag: DtTm







Presence: [1..1]

Definition: Numeric representation of time of the day and the day of the month and year.

Datatype: ["ISODatetime" on page 64](#)

4.2 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-----------------|---------------|----|--------|------------------|------|
| | BirthDate | <BirthDt> | | [1..1] | | 12 |
| | ProvinceOfBirth | <PrvcOfBirth> | | [0..1] | | 12 |
| | CityOfBirth | <CityOfBirth> | | [0..1] | | 12 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|---------------|----|--------|------------------|------|
| | CountryOfBirth | <CtryOfBirth> | | [0..1] | | 12 |

Used in element(s)

"DateAndPlaceOfBirth" on page 37, "DateAndPlaceOfBirth" on page 40

4.2.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 64

4.2.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 67

4.2.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 67

4.2.4 CountryOfBirth

XML Tag: CtryOfBirth







Presence: [0..1]

Definition: Country where a person was born.

Datatype: "CountryCode" on page 61

4.3 DetailedInstructionStatus19

Definition: Information about a meeting instruction vote.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------------------|---------------|----|--------|------------------|------|
| | SingleInstructionIdentification | <SnglInstrId> | | [1..1] | | 13 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------------|----------------|----|-----------|------------------|------|
| | AccountIdentification | <AcctId> | | [0..1] | | 13 |
| | AccountOwner | <AcctOwnr> | | [0..1] | | 14 |
| | SubAccountIdentification | <SubAcctId> | | [0..1] | | 14 |
| | RightsHolder | <RghtsHldr> | | [0..250] | | 14 |
| | Proxy | <Prxy> | | [0..1] | | 14 |
| | StandingInstruction | <StglInstr> | | [0..1] | | 15 |
| | ModalityOfCounting | <ModltyOfCntg> | | [1..1] | | 15 |
| | VoteReceiptDateTime | <VoteRctDtTm> | | [0..1] | | 15 |
| | VotePerResolution | <VotePerRsln> | | [0..1000] | | 16 |

Rules

R3 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

Error handling: Undefined

R4 SubAccount1Rule ✓

If SubAccountIdentification is present, then AccountIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

Used in element(s)

"VoteInstructions" on page 9

4.3.1 SingleInstructionIdentification

XML Tag: SnglInstrId

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message for which the confirmation is provided.

Datatype: "Max35Text" on page 67

4.3.2 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the safekeeping account.

Datatype: "Max35Text" on page 67







4.3.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 34 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
| | LegalPerson | <LglPrsn> | {Or | [1..1] | | 34 |
| | NaturalPerson | <NtrlPrsn> | Or} | [1..*] | | 34 |

4.3.4 SubAccountIdentification

XML Tag: SubAcctId

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 67







4.3.5 RightsHolder

XML Tag: RgthsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RgthsHldr** block contains the following elements (see datatype "PartyIdentification246Choice" on page 38 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
| | LegalPerson | <LglPrsn> | {Or | [1..1] | | 38 |
| | NaturalPerson | <NtrlPrsn> | Or} | [1..*] | | 39 |







4.3.6 Proxy

XML Tag: Prxy

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

The **Prxy** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on [page 35](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
| | LegalPerson | <LglPrsn> | {Or | [1..1] | | 35 |
| | NaturalPerson | <NtrlPrsn> | Or} | [1..1] | | 36 |

4.3.7 StandingInstruction

XML Tag: StglInstr

Presence: [0..1]

Definition: Indicates whether standing instructions have been applied or not.

Datatype: "[YesNoIndicator](#)" on [page 75](#)







4.3.8 ModalityOfCounting

XML Tag: ModltyOfCntg

Presence: [1..1]

Definition: Modality through which the votes that have been recorded and counted were received by the issuer, including whether this is ahead of the meeting or at the meeting.

The **ModltyOfCntg** block contains the following elements (see datatype "[ModalityOfCounting1Choice](#)" on [page 25](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 26 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 26 |







4.3.9 VoteReceiptDateTime

XML Tag: VoteRctDtTm

Presence: [0..1]

Definition: Date or date and time at which the votes that have been recorded and counted were received.

The **VoteRctDtTm** block contains the following elements (see datatype "[DateAndDateTime1Choice](#)" on [page 11](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Date | <Dt> | {Or | [1..1] | | 11 |
| | DateTime | <DtTm> | Or} | [1..1] | | 11 |







4.3.10 VotePerResolution

XML Tag: VotePerRsltn

Presence: [0..1000]

Definition: Details of the vote.

The **VotePerRsltn** block contains the following elements (see datatype "Vote19" on page 53 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-------------------|-------------|----|--------|------------------|------|
| | IssuerLabel | <IssrLbl> | | [1..1] | | 53 |
| | Description | <Desc> | | [0..*] | | 54 |
| | SubStatus | <SubSts> | | [0..1] | | 54 |
| | For | <For> | | [0..1] | | 54 |
| | Against | <Agnst> | | [0..1] | | 54 |
| | Abstain | <Abstn> | | [0..1] | | 55 |
| | Withhold | <Withhld> | | [0..1] | | 55 |
| | WithManagement | <WithMgmt> | | [0..1] | | 55 |
| | AgainstManagement | <AgnstMgmt> | | [0..1] | | 56 |
| | Discretionary | <Dscrtnry> | | [0..1] | | 56 |
| | OneYear | <OneYr> | | [0..1] | | 56 |
| | TwoYears | <TwoYrs> | | [0..1] | | 57 |
| | ThreeYears | <ThreeYrs> | | [0..1] | | 57 |
| | NoAction | <NoActn> | | [0..1] | | 57 |
| | Blank | <Blnk> | | [0..1] | | 58 |
| | Proprietary | <Prtry> | | [0..4] | | 58 |
| | Withdrawn | <Wdrwn> | | [0..1] | | 58 |

4.4 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

Used in element(s)

["Abstain" on page 55](#), ["Against" on page 54](#), ["AgainstManagement" on page 56](#), ["Blank" on page 58](#), ["Discretionary" on page 56](#), ["For" on page 54](#), ["NoAction" on page 57](#), ["OneYear" on page 56](#), ["Quantity" on page 50](#), ["ThreeYears" on page 57](#), ["TwoYears" on page 57](#), ["WithManagement" on page 55](#), ["Withhold" on page 55](#)

4.4.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 62](#)

4.4.2 FaceAmount

XML Tag: FaceAmt







Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 64](#)

4.5 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 18 |
| | SchemeName | <SchmeNm> | | [0..1] | | 18 |
| | Issuer | <Issr> | | [1..1] | | 18 |

Used in element(s)

["Proprietary" on page 25](#)

4.5.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 67

4.5.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 67

4.5.3 Issuer

XML Tag: Issr







Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 67

4.6 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 18 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |

Used in element(s)

"Code" on page 49, "Proprietary" on page 21, "Proprietary" on page 26

4.6.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 62

4.6.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 67

4.6.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 67

4.7 GenericIdentification36

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 19 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |

Used in element(s)

"ProprietaryIdentification" on page 30, "ProprietaryIdentification" on page 32

4.7.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 67

4.7.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 67

4.7.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 67

4.8 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 20 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 20 |

Used in element(s)

"Type" on page 29

4.8.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 62

4.8.2 Proprietary

XML Tag: Prtry







Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 67

4.9 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 21 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 21 |

Used in element(s)

["IdentificationType" on page 28](#)

4.9.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: ["TypeOfIdentification4Code" on page 73](#)







4.9.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 18](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 18 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |

4.10 ItemDescription2

Definition: Language, title and textual description in the specified language of an item.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Language | <Lang> | | [1..1] | | 21 |
| | Title | <Titl> | | [0..1] | | 22 |
| | Description | <Desc> | | [0..*] | | 22 |

Used in element(s)

["Description" on page 54](#)

4.10.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 63

4.10.2 Title

XML Tag: Titl

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: "Max1025Text" on page 65

4.10.3 Description

XML Tag: Desc







Presence: [0..*]

Definition: Free textual description of the item in the specified language.

Datatype: "Max8000Text" on page 68

4.11 MeetingReference10

Definition: Identification of a meeting.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-----------------------------|---------------|----|--------|------------------|------|
| | MeetingIdentification | <MtglId> | | [1..1] | | 22 |
| | IssuerMeetingIdentification | <IssrMtglId> | | [0..1] | | 23 |
| | MeetingDateAndTime | <MtglDtAndTm> | | [1..1] | | 23 |
| | Type | <Tp> | | [1..1] | | 23 |
| | Classification | <Clssfctn> | | [0..1] | | 23 |
| | Location | <Lctn> | | [0..5] | | 23 |
| | Issuer | <Issr> | | [0..1] | | 24 |

Used in element(s)

"MeetingReference" on page 8

4.11.1 MeetingIdentification

XML Tag: MtglId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: ["Max35Text" on page 67](#)

4.11.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: ["Max35Text" on page 67](#)

4.11.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: ["ISODateTime" on page 64](#)

4.11.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: ["MeetingType4Code" on page 68](#)

4.11.5 Classification

XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype ["MeetingTypeClassification2Choice" on page 24](#) for details)

❌ Removed -
 ⊗ Partial Removed -
 ❖ Ignored -
 ⊗ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 25 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 25 |







4.11.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "[PostalAddress1](#)" on page 45 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
| | AddressType | <AdrTp> | | [0..1] | | 46 |
| | AddressLine | <AdrLine> | | [0..5] | | 46 |
| | StreetName | <StrtNm> | | [0..1] | | 46 |
| | BuildingNumber | <BldgNb> | | [0..1] | | 46 |
| | PostCode | <PstCd> | | [0..1] | | 46 |
| | TownName | <TwnNm> | | [0..1] | | 47 |
| | CountrySubDivision | <CtrySubDvsn> | | [0..1] | | 47 |
| | Country | <Ctry> | | [1..1] | | 47 |







4.11.7 Issr

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.







The **Issr** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 30 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------------|------------|-----|--------|------------------|------|
| | AnyBIC | <AnyBIC> | {Or | [1..1] | | 30 |
| | ProprietaryIdentification | <PrtryId> | Or | [1..1] | | 30 |
| | NameAndAddress | <NmAndAdr> | Or | [1..1] | | 30 |
| | LEI | <LEI> | Or} | [1..1] | | 31 |

4.12 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 25 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Proprietary | <Prtry> | Or} | [1..1] | | 25 |

Used in element(s)

["Classification" on page 23](#)

4.12.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: ["MeetingTypeClassification2Code" on page 69](#)







4.12.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification13" on page 17](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 18 |
| | SchemeName | <SchmeNm> | | [0..1] | | 18 |
| | Issuer | <Issr> | | [1..1] | | 18 |

4.13 ModalityOfCounting1Choice

Definition: Modality of counting as a predefined or proprietary code value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 26 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 26 |

Used in element(s)

["ModalityOfCounting" on page 15](#)

4.13.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Modality of counting expressed as a code.

Datatype: ["ModalityOfCounting1Code" on page 71](#)

4.13.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Modality of counting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 18](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 18 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |

4.14 NameAndAddress5

Definition: Information that locates and identifies a party.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Name | <Nm> | | [1..1] | | 26 |
| | Address | <Adr> | | [0..1] | | 27 |

Used in element(s)

["NameAndAddress" on page 30](#)

4.14.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["Max350Text" on page 66](#)







4.14.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 45 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
| | AddressType | <AdrTp> | | [0..1] | | 46 |
| | AddressLine | <AdrLine> | | [0..5] | | 46 |
| | StreetName | <StrtNm> | | [0..1] | | 46 |
| | BuildingNumber | <BldgNb> | | [0..1] | | 46 |
| | PostCode | <PstCd> | | [0..1] | | 46 |
| | TownName | <TwnNm> | | [0..1] | | 47 |
| | CountrySubDivision | <CtrySubDvsn> | | [0..1] | | 47 |
| | Country | <Ctry> | | [1..1] | | 47 |

4.15 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 27 |
| | IdentificationType | <IdTp> | | [0..1] | | 28 |

Used in element(s)

"Identification" on page 37, "Identification" on page 40

4.15.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 67







4.15.2 IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.







The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 20 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 21 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 21 |

4.16 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 28 |
| | Suffix | <Sfx> | | [0..1] | | 28 |
| | Type | <Tp> | | [1..1] | | 29 |

Used in element(s)

"OtherIdentification" on page 51

4.16.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 67

4.16.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 66







4.16.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.







The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 20 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Code | <Cd> | {Or | [1..1] | | 20 |
| | Proprietary | <Prtry> | Or} | [1..1] | | 20 |

4.17 Pagination¹

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-------------------|-------------|----|--------|------------------|------|
| | PageNumber | <PgNb> | | [1..1] | | 29 |
| | LastPageIndicator | <LastPgInd> | | [1..1] | | 29 |

Used in element(s)

"Pagination" on page 8

4.17.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 68

4.17.2 LastPageIndicator

XML Tag: LastPgInd







Presence: [1..1]

Definition: Indicates the last page.

Datatype: "YesNoIndicator" on page 75

4.18 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------------|------------|-----|--------|------------------|------|
| | AnyBIC | <AnyBIC> | {Or | [1..1] | | 30 |
| | ProprietaryIdentification | <PrtryId> | Or | [1..1] | | 30 |
| | NameAndAddress | <NmAndAdr> | Or | [1..1] | | 30 |
| | LEI | <LEI> | Or} | [1..1] | | 31 |

Used in element(s)

"Issuer" on page 24

4.18.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 61







4.18.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 19 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 19 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |

4.18.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on page 26 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Name | <Nm> | | [1..1] | | 26 |
| | Address | <Adr> | | [0..1] | | 27 |

4.18.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 65

4.19 PartyIdentification198Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------------------|-------------|-----|--------|------------------|------|
| | NationalRegistrationNumber | <NtlRegnNb> | {Or | [1..1] | | 31 |
| | LEI | <LEI> | Or | [1..1] | | 32 |
| | AnyBIC | <AnyBIC> | Or | [1..1] | | 32 |
| | ClientIdentification | <ClnId> | Or | [1..1] | | 32 |
| | ProprietaryIdentification | <PrtryId> | Or} | [1..1] | | 32 |

Used in element(s)

"[Identification](#)" on page 33, "[Identification](#)" on page 42

4.19.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "[Max35Text](#)" on page 67

4.19.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: ["LEIIdentifier" on page 65](#)

4.19.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 61](#)

4.19.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: ["Max50Text" on page 67](#)







4.19.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.







The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36" on page 19](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 19 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |

4.20 PartyIdentification221

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 33 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 33 |
| | Identification | <Id> | | [1..1] | | 33 |

Used in element(s)

"LegalPerson" on page 34, "LegalPerson" on page 35







4.20.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 43 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Name | <Nm> | | [1..1] | | 43 |
| | Address | <Adr> | | [0..1] | | 43 |

4.20.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 66







4.20.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 31 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------------------|-------------|------|--------|------------------|------|
| | NationalRegistrationNumber | <NtlRegnNb> | {Or} | [1..1] | | 31 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------------|-----------|----|--------|------------------|------|
| | LEI | <LEI> | Or | [1..1] | | 32 |
| | AnyBIC | <AnyBIC> | Or | [1..1] | | 32 |
| | ClientIdentification | <ClnId> | Or | [1..1] | | 32 |
| | ProprietaryIdentification | <PrtryId> | Or | [1..1] | | 32 |

4.21 PartyIdentification231Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
| | LegalPerson | <LglPrsn> | {Or | [1..1] | | 34 |
| | NaturalPerson | <NtrlPrsn> | Or} | [1..*] | | 34 |

Used in element(s)

"AccountOwner" on page 14







4.21.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 32 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 33 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 33 |
| | Identification | <Id> | | [1..1] | | 33 |

4.21.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 36 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 36 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 37 |
| | Identification | <Id> | | [1..1] | | 37 |
| | Nationality | <Ntlty> | | [0..1] | | 37 |
| | DateAndPlaceOfBirth | <DtAndPlcOfBirth> | | [0..1] | | 37 |

4.22 PartyIdentification232Choice

Definition: Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
| | LegalPerson | <LglPrsn> | {Or | [1..1] | | 35 |
| | NaturalPerson | <NtrlPrsn> | Or} | [1..1] | | 36 |

Used in element(s)

"Proxy" on page 14

4.22.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 32 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 33 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 33 |
| | Identification | <Id> | | [1..1] | | 33 |







4.22.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..1]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 36 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 36 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 37 |
| | Identification | <Id> | | [1..1] | | 37 |
| | Nationality | <Ntlty> | | [0..1] | | 37 |
| | DateAndPlaceOfBirth | <DtAndPlcOfBirth> | | [0..1] | | 37 |

4.23 PartyIdentification238

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 36 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 37 |
| | Identification | <Id> | | [1..1] | | 37 |
| | Nationality | <Ntlty> | | [0..1] | | 37 |
| | DateAndPlaceOfBirth | <DtAndPlcOfBirth> | | [0..1] | | 37 |

Used in element(s)

"[NaturalPerson](#)" on page 34, "[NaturalPerson](#)" on page 36







4.23.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 44 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | NamePrefix | <NmPrfx> | | [0..1] | | 44 |
| | FirstName | <FrstNm> | | [1..1] | | 44 |
| | Surname | <SrnM> | | [1..1] | | 44 |
| | Address | <Adr> | | [0..1] | | 45 |

4.23.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 66







4.23.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 27 |
| | IdentificationType | <IdTp> | | [0..1] | | 28 |

4.23.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 61







4.23.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.







The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 11 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-----------------|---------------|----|--------|------------------|------|
| | BirthDate | <BirthDt> | | [1..1] | | 12 |
| | ProvinceOfBirth | <PrvcOfBirth> | | [0..1] | | 12 |
| | CityOfBirth | <CityOfBirth> | | [0..1] | | 12 |
| | CountryOfBirth | <CtryOfBirth> | | [0..1] | | 12 |

4.24 PartyIdentification246Choice

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
| | LegalPerson | <LglPrsn> | {Or | [1..1] | | 38 |
| | NaturalPerson | <NtrlPrsn> | Or} | [1..*] | | 39 |

Used in element(s)

"RightsHolder" on page 14







4.24.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification269](#)" on page 41 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--|---------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 41 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 42 |
| | Identification | <Id> | | [0..1] | | 42 |
| | CompanyRegisterShareholderIdentification | <CpnyRegrShrhldrId> | | [0..1] | | 42 |
| | CountryOfIncorporation | <CtryOfIncorptn> | | [0..1] | | 43 |







4.24.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.







The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification250](#)" on page 39 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--|---------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 39 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 40 |
| | Identification | <Id> | | [0..1] | | 40 |
| | Nationality | <Ntlty> | | [0..1] | | 40 |
| | DateAndPlaceOfBirth | <DtAndPlcOfBirth> | | [0..1] | | 40 |
| | CompanyRegisterShareholderIdentification | <CpnyRegrShrhldrId> | | [0..1] | | 41 |

4.25 PartyIdentification250

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--|---------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 39 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 40 |
| | Identification | <Id> | | [0..1] | | 40 |
| | Nationality | <Ntlty> | | [0..1] | | 40 |
| | DateAndPlaceOfBirth | <DtAndPlcOfBirth> | | [0..1] | | 40 |
| | CompanyRegisterShareholderIdentification | <CpnyRegrShrhldrId> | | [0..1] | | 41 |

Used in element(s)

"[NaturalPerson](#)" on page 39







4.25.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 44 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | NamePrefix | <NmPrfx> | | [0..1] | | 44 |
| | FirstName | <FrstNm> | | [1..1] | | 44 |
| | Surname | <SrnM> | | [1..1] | | 44 |
| | Address | <Adr> | | [0..1] | | 45 |

4.25.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 66







4.25.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 27 |
| | IdentificationType | <IdTp> | | [0..1] | | 28 |

4.25.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on page 61







4.25.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on [page 11](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-----------------|---------------|----|--------|------------------|------|
| | BirthDate | <BirthDt> | | [1..1] | | 12 |
| | ProvinceOfBirth | <PrvcOfBirth> | | [0..1] | | 12 |
| | CityOfBirth | <CityOfBirth> | | [0..1] | | 12 |
| | CountryOfBirth | <CtryOfBirth> | | [0..1] | | 12 |

4.25.6 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrhldrId







Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "[Max35Text](#)" on [page 67](#)

4.26 PartyIdentification269

Definition: Identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--|---------------------|----|--------|------------------|------|
| | NameAndAddress | <NmAndAdr> | | [1..1] | | 41 |
| | EmailAddress | <EmailAdr> | | [0..1] | | 42 |
| | Identification | <Id> | | [0..1] | | 42 |
| | CompanyRegisterShareholderIdentification | <CpnYRegrShrhldrId> | | [0..1] | | 42 |
| | CountryOfIncorporation | <CtryOfIncorprtn> | | [0..1] | | 43 |

Used in element(s)

"[LegalPerson](#)" on [page 38](#)







4.26.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 43 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Name | <Nm> | | [1..1] | | 43 |
| | Address | <Adr> | | [0..1] | | 43 |

4.26.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 66







4.26.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 31 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------------------|-------------|-----|--------|------------------|------|
| | NationalRegistrationNumber | <NtlRegnNb> | {Or | [1..1] | | 31 |
| | LEI | <LEI> | Or | [1..1] | | 32 |
| | AnyBIC | <AnyBIC> | Or | [1..1] | | 32 |
| | ClientIdentification | <ClntId> | Or | [1..1] | | 32 |
| | ProprietaryIdentification | <PrtryId> | Or} | [1..1] | | 32 |

4.26.4 CompanyRegisterShareholderIdentification

XML Tag: CpnYRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "[Max35Text](#)" on page 67

4.26.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn







Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: "CountryCode" on page 61

4.27 PersonName2

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Name | <Nm> | | [1..1] | | 43 |
| | Address | <Adr> | | [0..1] | | 43 |

Used in element(s)

"NameAndAddress" on page 33, "NameAndAddress" on page 41

4.27.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 66







4.27.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 47 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | AddressType | <AdrTp> | | [0..1] | | 48 |
| | AddressLine | <AdrLine> | | [0..5] | | 48 |
| | StreetName | <StrtNm> | | [0..1] | | 48 |
| | BuildingNumber | <BldgNb> | | [0..1] | | 48 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
| | PostBox | <PstBx> | | [0..1] | | 48 |
| | PostCode | <PstCd> | | [0..1] | | 48 |
| | TownName | <TwnNm> | | [0..1] | | 49 |
| | CountrySubDivision | <CtrySubDvsn> | | [0..1] | | 49 |
| | Country | <Ctry> | | [1..1] | | 49 |

4.28 PersonName3

Definition: Identifies a person via its first name and surname.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | NamePrefix | <NmPrfx> | | [0..1] | | 44 |
| | FirstName | <FrstNm> | | [1..1] | | 44 |
| | Surname | <SrnM> | | [1..1] | | 44 |
| | Address | <Adr> | | [0..1] | | 45 |

Used in element(s)

"NameAndAddress" on page 36, "NameAndAddress" on page 39

4.28.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 72

4.28.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 66

4.28.3 Surname

XML Tag: SrnM

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 66







4.28.4 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.







The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 47 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
| | AddressType | <AdrTp> | | [0..1] | | 48 |
| | AddressLine | <AdrLine> | | [0..5] | | 48 |
| | StreetName | <StrtNm> | | [0..1] | | 48 |
| | BuildingNumber | <BldgNb> | | [0..1] | | 48 |
| | PostBox | <PstBx> | | [0..1] | | 48 |
| | PostCode | <PstCd> | | [0..1] | | 48 |
| | TownName | <TwnNm> | | [0..1] | | 49 |
| | CountrySubDivision | <CtrySubDvsn> | | [0..1] | | 49 |
| | Country | <Ctry> | | [1..1] | | 49 |

4.29 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
| | AddressType | <AdrTp> | | [0..1] | | 46 |
| | AddressLine | <AdrLine> | | [0..5] | | 46 |
| | StreetName | <StrtNm> | | [0..1] | | 46 |
| | BuildingNumber | <BldgNb> | | [0..1] | | 46 |
| | PostCode | <PstCd> | | [0..1] | | 46 |
| | TownName | <TwnNm> | | [0..1] | | 47 |
| | CountrySubDivision | <CtrySubDvsn> | | [0..1] | | 47 |

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Country | <Ctry> | | [1..1] | | 47 |

Used in element(s)

"Address" on page 27, "Location" on page 23

4.29.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 60

4.29.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 68

4.29.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 68

4.29.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 66

4.29.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 66

4.29.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 67

4.29.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 67

4.29.8 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 61

4.30 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
| | AddressType | <AdrTp> | | [0..1] | | 48 |
| | AddressLine | <AdrLine> | | [0..5] | | 48 |
| | StreetName | <StrtNm> | | [0..1] | | 48 |
| | BuildingNumber | <BldgNb> | | [0..1] | | 48 |
| | PostBox | <PstBx> | | [0..1] | | 48 |
| | PostCode | <PstCd> | | [0..1] | | 48 |
| | TownName | <TwnNm> | | [0..1] | | 49 |
| | CountrySubDivision | <CtrySubDvsn> | | [0..1] | | 49 |
| | Country | <Ctry> | | [1..1] | | 49 |

Used in element(s)

"Address" on page 43, "Address" on page 45

4.30.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 60

4.30.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 68

4.30.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 68

4.30.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 66

4.30.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 66

4.30.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 66

4.30.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: ["Max35Text" on page 67](#)

4.30.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: ["Max35Text" on page 67](#)

4.30.9 Country

XML Tag: Ctry

Presence: [1..1]


Definition: Nation with its own government.

Datatype: ["CountryCode" on page 61](#)

4.31 ProprietaryVote2

Definition: Proprietary vote type and quantity voted.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -

 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Code | <Cd> | | [1..1] | | 49 |
| | Quantity | <Qty> | | [1..1] | | 50 |

Used in element(s)

["Proprietary" on page 58](#)






4.31.1 Code


XML Tag: Cd

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

The **Cd** block contains the following elements (see datatype ["GenericIdentification30" on page 18](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -

 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 18 |
| | Issuer | <Issr> | | [1..1] | | 19 |
| | SchemeName | <SchmeNm> | | [0..1] | | 19 |







4.31.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities voted.







The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 16 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.32 SecurityIdentification19

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|---------------------|-----------|----|--------|------------------|------|
| | ISIN | <ISIN> | | [0..1] | | 51 |
| | OtherIdentification | <OthrId> | | [0..*] | | 51 |
| | Description | <Desc> | | [0..1] | | 52 |

Rules

R6 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- Error severity: Fatal
- Error Code: X00192
- Error Text: At least one identification must be present.

R7 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R8 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R9 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R10 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 9

4.32.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 63

4.32.2 OtherIdentification

XML Tag: OthrId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype "OtherIdentification1" on page 28 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
| | Identification | <Id> | | [1..1] | | 28 |
| | Suffix | <Sfx> | | [0..1] | | 28 |
| | Type | <Tp> | | [1..1] | | 29 |

4.32.3 Description

XML Tag: Desc







Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 65

4.33 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|------------|----|--------|------------------|------|
| | PlaceAndName | <PlcAndNm> | | [0..1] | | 52 |
| | Envelope | <Envlp> | | [1..1] | | 53 |

Rules

R11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 10

4.33.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 66

4.33.2 Envelope







XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

4.34 Vote19

Definition: Vote expressed for one resolution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|-------------------|-------------|----|--------|------------------|------|
| | IssuerLabel | <IssrLbl> | | [1..1] | | 53 |
| | Description | <Desc> | | [0..*] | | 54 |
| | SubStatus | <SubSts> | | [0..1] | | 54 |
| | For | <For> | | [0..1] | | 54 |
| | Against | <Agnst> | | [0..1] | | 54 |
| | Abstain | <Abstn> | | [0..1] | | 55 |
| | Withhold | <Wthhld> | | [0..1] | | 55 |
| | WithManagement | <WthMgmt> | | [0..1] | | 55 |
| | AgainstManagement | <AgnstMgmt> | | [0..1] | | 56 |
| | Discretionary | <Dscrtnry> | | [0..1] | | 56 |
| | OneYear | <OneYr> | | [0..1] | | 56 |
| | TwoYears | <TwoYrs> | | [0..1] | | 57 |
| | ThreeYears | <ThreeYrs> | | [0..1] | | 57 |
| | NoAction | <NoActn> | | [0..1] | | 57 |
| | Blank | <Blnk> | | [0..1] | | 58 |
| | Proprietary | <Prtry> | | [0..4] | | 58 |
| | Withdrawn | <Wdrwn> | | [0..1] | | 58 |

Used in element(s)

"VotePerResolution" on page 16

4.34.1 IssuerLabel

XML Tag: IssrLbl

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: ["Max35Text" on page 67](#)







4.34.2 Description

XML Tag: Desc

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

The **Desc** block contains the following elements (see datatype ["ItemDescription2" on page 21](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Language | <Lang> | | [1..1] | | 21 |
| | Title | <Titl> | | [0..1] | | 22 |
| | Description | <Desc> | | [0..*] | | 22 |

4.34.3 SubStatus

XML Tag: SubSts

Presence: [0..1]

Definition: Specifies whether the resolution is a new or an amended resolution.

Datatype: ["ResolutionSubStatus1Code" on page 73](#)







4.34.4 For

XML Tag: For

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

The **For** block contains the following elements (see datatype ["FinancialInstrumentQuantity18Choice" on page 16](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.5 Against

XML Tag: Agnst

Presence: [0..1]

Definition: Number of votes against one resolution.

The **Agnst** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.6 Abstain

XML Tag: Abstn

Presence: [0..1]

Definition: Number of votes expressed as abstain for one resolution.

The **Abstn** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.7 Withhold

XML Tag: Wthhld

Presence: [0..1]

Definition: Number of votes withheld for one resolution.

The **Wthhld** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.8 WithManagement

XML Tag: WthMgmt

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

The **WthMgmt** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.9 AgainstManagement

XML Tag: AgnstMgmt

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

The **AgnstMgmt** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.10 Discretionary

XML Tag: Dscrtnry

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

The **Dscrtnry** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.11 OneYear

XML Tag: OneYr

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

The **OneYr** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.12 TwoYears

XML Tag: TwoYrs

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

The **TwoYrs** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.13 ThreeYears

XML Tag: ThreeYrs

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

The **ThreeYrs** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.14 NoAction

XML Tag: NoActn

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

The **NoActn** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.15 Blank

XML Tag: Blnk

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

The **Blnk** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
| | Unit | <Unit> | {Or | [1..1] | | 17 |
| | FaceAmount | <FaceAmt> | Or} | [1..1] | | 17 |

4.34.16 Proprietary

XML Tag: Prtry

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[ProprietaryVote2](#)" on page 49 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult. | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
| | Code | <Cd> | | [1..1] | | 49 |
| | Quantity | <Qty> | | [1..1] | | 50 |

4.34.17 Withdrawn

XML Tag: Wdrwn

Presence: [0..1]

Definition: Indicates the resolution was withdrawn at the meeting.

Datatype: "YesNoIndicator" on page 75

5 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

5.1 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

| Code | Name | Definition |
|------|-------------|--|
| ADDR | Postal | Address is the complete postal address. |
| BIZZ | Business | Address is the business address. |
| DLVY | DeliveryTo | Address is the address to which delivery is to take place. |
| HOME | Residential | Address is the home address. |
| MLTO | MailTo | Address is the address to which mail is sent. |
| PBOX | POBox | Address is a postal office (PO) box. |

Used in element(s)

["AddressType" on page 46](#), ["AddressType" on page 48](#)

Restricts

["AddressTypeCode" on page 60](#)

5.2 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

| Code | Name | Definition |
|------|-------------|--|
| ADDR | Postal | Address is the complete postal address. |
| BIZZ | Business | Address is the business address. |
| DLVY | DeliveryTo | Address is the address to which delivery is to take place. |
| HOME | Residential | Address is the home address. |
| MLTO | MailTo | Address is the address to which mail is sent. |
| PBOX | POBox | Address is a postal office (PO) box. |

Is restricted by

["AddressType2Code" on page 60](#)

5.3 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R5 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

["AnyBIC" on page 30](#), ["AnyBIC" on page 32](#)

5.4 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R2 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 47, "Country" on page 49, "CountryOfBirth" on page 12, "CountryOfIncorporation" on page 43, "Nationality" on page 37, "Nationality" on page 40

5.5 **DecimalNumber**

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

| | |
|----------------|----|
| fractionDigits | 17 |
| totalDigits | 18 |

Used in element(s)

"Unit" on page 17

5.6 **Exact4AlphaNumericText**

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

| | |
|---------|----------------|
| pattern | [a-zA-Z0-9]{4} |
|---------|----------------|

Used in element(s)

"Identification" on page 18

5.7 **ExternalFinancialInstrument-
IdentificationType1Code**

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

| | |
|-----------|---|
| maxLength | 4 |
| minLength | 1 |

Used in element(s)

"Code" on page 20

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 63](#)

5.8 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

| | |
|-----------|---|
| maxLength | 4 |
| minLength | 1 |

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 62](#)

5.9 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

| | |
|---------|-----------------------------------|
| pattern | [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1} |
|---------|-----------------------------------|

Used in element(s)

["ISIN" on page 51](#)

5.10 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

Used in element(s)"Language" on page 21

5.11 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)"BirthDate" on page 12, "Date" on page 11

5.12 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)"DateTime" on page 11, "MeetingDateAndTime" on page 23

5.13 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

| | |
|----------------|----|
| fractionDigits | 5 |
| minInclusive | 0 |
| totalDigits | 18 |

Used in element(s)

"FaceAmount" on page 17

5.14 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

| | |
|---------|---------------------------|
| pattern | [A-Z0-9]{18,18}[0-9]{2,2} |
|---------|---------------------------|

Used in element(s)

"LEI" on page 31, "LEI" on page 32

5.15 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.

Type: Text

Format

| | |
|-----------|------|
| maxLength | 1025 |
| minLength | 1 |

Used in element(s)

"Title" on page 22

5.16 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

| | |
|-----------|-----|
| maxLength | 140 |
| minLength | 1 |

Used in element(s)

"Description" on page 52

5.17 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

| | |
|-----------|----|
| maxLength | 16 |
| minLength | 1 |

Used in element(s)

"BuildingNumber" on page 46, "BuildingNumber" on page 48, "PostBox" on page 48, "PostCode" on page 46, "PostCode" on page 48, "Suffix" on page 28

5.18 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

| | |
|-----------|------|
| maxLength | 2048 |
| minLength | 1 |

5.19 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

| | |
|-----------|-----|
| maxLength | 256 |
| minLength | 1 |

Used in element(s)

"EmailAddress" on page 33, "EmailAddress" on page 37, "EmailAddress" on page 40, "EmailAddress" on page 42

5.20 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

| | |
|-----------|-----|
| maxLength | 350 |
| minLength | 1 |

Used in element(s)

"FirstName" on page 44, "Name" on page 26, "Name" on page 43, "PlaceAndName" on page 52, "Surname" on page 44

5.21 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

| | |
|-----------|----|
| maxLength | 35 |
| minLength | 1 |

Used in element(s)

"AccountIdentification" on page 13, "CityOfBirth" on page 12, "CompanyRegisterShareholderIdentification" on page 41, "CompanyRegisterShareholderIdentification" on page 42, "CountrySubDivision" on page 47, "CountrySubDivision" on page 49, "Identification" on page 19, "Identification" on page 27, "Identification" on page 28, "Issuer" on page 18, "Issuer" on page 19, "Issuer" on page 19, "IssuerLabel" on page 53, "IssuerMeetingIdentification" on page 23, "MeetingIdentification" on page 22, "NationalRegistrationNumber" on page 31, "Proprietary" on page 20, "ProvinceOfBirth" on page 12, "SchemeName" on page 18, "SchemeName" on page 19, "SchemeName" on page 19, "SingleInstructionIdentification" on page 13, "SubAccountIdentification" on page 14, "TownName" on page 47, "TownName" on page 49

5.22 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

| | |
|-----------|------------------|
| maxLength | 4 |
| minLength | 1 |
| pattern | [a-zA-Z0-9]{1,4} |

Used in element(s)

"Identification" on page 18

5.23 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

| | |
|-----------|----|
| maxLength | 50 |
| minLength | 1 |

Used in element(s)
["ClientIdentification" on page 32](#)

5.24 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.
Type: Text

Format

| | |
|---------|------------|
| pattern | [0-9]{1,5} |
|---------|------------|

Used in element(s)
["PageNumber" on page 29](#)

5.25 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.
Type: Text

Format

| | |
|-----------|----|
| maxLength | 70 |
| minLength | 1 |

Used in element(s)
["AddressLine" on page 46](#), ["AddressLine" on page 48](#), ["StreetName" on page 46](#), ["StreetName" on page 48](#)

5.26 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.
Type: Text

Format

| | |
|-----------|------|
| maxLength | 8000 |
| minLength | 1 |

Used in element(s)
["Description" on page 22](#)

5.27 MeetingType4Code

Definition: Specifies a type of security holders meeting.
Type: CodeSet

| Code | Name | Definition |
|------|-------------------|--|
| BMET | BondHolderMeeting | Physical meeting of bond holders. |
| CMET | CourtMeeting | Meeting is the result of a legal proceeding. |
| GMET | General | Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated. |
| MIXD | Mixed | Meeting which contains both ordinary and extraordinary resolutions. |
| SPCL | Special | Meeting that takes place as needed that is neither ordinary nor extraordinary. |
| XMET | Extraordinary | Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated. |

Used in element(s)

"Type" on page 23

Restricts

"MeetingTypeV2Code" on page 70

5.28 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

| Code | Name | Definition |
|------|-----------------------------|---|
| AMET | Annual | Meeting held periodically to approve the financial statements and to elect the board members and the auditors. |
| CLAS | Class | Meeting for holders of a specific type of asset, for example, preferred shares, bonds. |
| ISSU | IssuerInitiated | Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting. |
| OMET | Ordinary | Meeting which is planned in the by-laws. |
| VRHI | VotingRightsHolderInitiated | Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting. |

Used in element(s)"Code" on page 25**Restricts**"MeetingTypeClassificationCode" on page 70

5.29 MeetingTypeClassificationCode

Definition: Classifies the meeting type.*Type:* CodeSet

| Code | Name | Definition |
|------|-----------------------------|---|
| AMET | Annual | Meeting held periodically to approve the financial statements and to elect the board members and the auditors. |
| CLAS | Class | Meeting for holders of a specific type of asset, for example, preferred shares, bonds. |
| CORT | Court | Specifies that the meeting is the result of a legal proceeding. |
| ISSU | IssuerInitiated | Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting. |
| OMET | Ordinary | Meeting which is planned in the by-laws. |
| VRHI | VotingRightsHolderInitiated | Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting. |

Is restricted by"MeetingTypeClassification2Code" on page 69

5.30 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.*Type:* CodeSet

| Code | Name | Definition |
|------|----------------------|---|
| AMET | AnnualGeneralMeeting | Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors. |
| BMET | BondHolderMeeting | Physical meeting of bond holders. |
| CLAS | ClassMeeting | Meeting for a class of assets. |
| CMET | CourtMeeting | Meeting is the result of a legal proceeding. |
| GMET | General | Includes annual and ordinary meetings. Statutory meeting(s) usually held at least |

| Code | Name | Definition |
|------|---------------|--|
| | | once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated. |
| MIXD | Mixed | Meeting which contains both ordinary and extraordinary resolutions. |
| OMET | Ordinary | Specifies a meeting that is not planned in the by laws. |
| SPCL | Special | Meeting that takes place as needed that is neither ordinary nor extraordinary. |
| XMET | Extraordinary | Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated. |

Is restricted by

"MeetingType4Code" on page 68

5.31 ModalityOfCounting1Code

Definition: Specifies the modality through which the votes that have been recorded and counted.

Type: CodeSet

| Code | Name | Definition |
|------|---------------------|--|
| EVAM | ElectronicAtMeeting | Electronic vote recorded and counted at the meeting. |
| EVBM | ElectronicBefore | Electronic vote recorded and counted ahead of the meeting. |
| PVAM | PhysicalAtMeeting | Physical attendance with vote at the meeting. |
| PVBM | PaperBefore | Paper vote recorded and counted ahead of the meeting. |

Used in element(s)

"Code" on page 26

Restricts

"ModalityOfCountingCode" on page 71

5.32 ModalityOfCountingCode

Definition: Modality through which the votes that have been recorded and counted.

Type: CodeSet

| Code | Name | Definition |
|------|---------------------|--|
| EVAM | ElectronicAtMeeting | Electronic vote recorded and counted at the meeting. |
| EVBM | ElectronicBefore | Electronic vote recorded and counted ahead of the meeting. |
| PVAM | PhysicalAtMeeting | Physical attendance with vote at the meeting. |
| PVBM | PaperBefore | Paper vote recorded and counted ahead of the meeting. |

Is restricted by

"ModalityOfCounting1Code" on page 71

5.33 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

| Code | Name | Definition |
|------|---------------|---|
| DOCT | Doctor | Title of the person is Doctor or Dr. |
| MADM | Madam | Title of the person is Madam. |
| MIKS | GenderNeutral | Title of the person is gender neutral (Mx). |
| MISS | Miss | Title of the person is Miss. |
| MIST | Mister | Title of the person is Mister or Mr. |

Used in element(s)

"NamePrefix" on page 44

Restricts

"NamePrefixCode" on page 72

5.34 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

| Code | Name | Definition |
|------|---------------|---|
| DOCT | Doctor | Title of the person is Doctor or Dr. |
| MADM | Madam | Title of the person is Madam. |
| MIKS | GenderNeutral | Title of the person is gender neutral (Mx). |
| MISS | Miss | Title of the person is Miss. |
| MIST | Mister | Title of the person is Mister or Mr. |

Is restricted by

["NamePrefix2Code" on page 72](#)

5.35 ResolutionSubStatus1Code

Definition: Specifies the sub-status of a resolution.

Type: CodeSet

| Code | Name | Definition |
|------|-------------------|--|
| AMDR | AmendedResolution | Resolution voted at the meeting was amended. |
| NEWR | NewResolution | Resolution voted at the meeting is new. |

Used in element(s)

["SubStatus" on page 54](#)

Restricts

["ResolutionSubStatusCode" on page 73](#)

5.36 ResolutionSubStatusCode

Definition: Specifies the sub-status of a resolution.

Type: CodeSet

| Code | Name | Definition |
|------|-------------------|--|
| AMDR | AmendedResolution | Resolution voted at the meeting was amended. |
| NEWR | NewResolution | Resolution voted at the meeting is new. |

Is restricted by

["ResolutionSubStatus1Code" on page 73](#)

5.37 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

| Code | Name | Definition |
|------|-------------------------|--|
| ARNU | AlienRegistrationNumber | Number assigned by a government agency to identify foreign nationals. |
| CCPT | PassportNumber | Number assigned by a passport authority. |
| CORP | CorporateIdentification | Number assigned to a corporate entity. |
| CUST | Concat | Number assigned by an issuer to identify a customer via the concatenation of |

| Code | Name | Definition |
|------|--|--|
| | | the birthdate and characters of the first name and surname. |
| DRLC | DriverLicenseNumber | Number assigned to a driver's license. |
| IDCD | IdentityCardNumber | Number assigned by a national authority to an identity card. |
| NRIN | NationalRegistrationIdentificationNumber | National registration identification number. In Singapore this is known as the NRIC. |
| SOCS | SocialSecurityNumber | Number assigned by a social security agency. |
| TXID | TaxIdentificationNumber | Number assigned by a tax authority to an entity. |

Used in element(s)

"Code" on page 21

Restricts

"TypeOfIdentificationCode" on page 74

5.38 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

| Code | Name | Definition |
|------|--|--|
| ARNU | AlienRegistrationNumber | Number assigned by a government agency to identify foreign nationals. |
| CCPT | PassportNumber | Number assigned by a passport authority. |
| CHTY | TaxExemptIdentificationNumber | Number assigned to a tax exempt entity. |
| CORP | CorporateIdentification | Number assigned to a corporate entity. |
| CUST | Concat | Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname. |
| DRLC | DriverLicenseNumber | Number assigned to a driver's license. |
| FIIN | ForeignInvestmentIdentityNumber | Number assigned to a foreign investor (other than the alien number). |
| IDCD | IdentityCardNumber | Number assigned by a national authority to an identity card. |
| NRIN | NationalRegistrationIdentificationNumber | National registration identification number. In Singapore this is known as the NRIC. |
| SOCS | SocialSecurityNumber | Number assigned by a social security agency. |

| Code | Name | Definition |
|------|-------------------------|--|
| TXID | TaxIdentificationNumber | Number assigned by a tax authority to an entity. |

Is restricted by

"TypeOfIdentification4Code" on page 73

5.39 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"LastPageIndicator" on page 29, "StandingInstruction" on page 15, "Withdrawn" on page 58

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