Usage Guideline

seev.008 Meeting Result Dissemination (-SR2025)

OneClearstream - General Meeting Messages (SR2025) - Clients

This document describes a usage guideline restricting the base message **MX seev.008.001.10**. You can also consult this information online.

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Message Functionality

Overview

The Meeting Results (seev.008) are only available if Clearstream Banking has received the Meeting Results (seev.008) from the respective Clearstream Banking market provider (depository, provider or agent).

Clearstream Banking does not perform a reconciliation or validation of received Meeting Results (seev.008) but forwards the message to clients as received from the Clearstream Banking market provider (depository, provider or agent).

Collection Description

Guidelines applicable after Clearstream's Release in 24 November 2025 including changes from the Swift Release (SR2025)

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

Version changes :
v.2 From v.1 : Provision of the Building Number information in the Rights Holder section is no longer mandatory for Registration instructions.

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

Outline

The OneClearstream - General Meeting Messages (SR2025) - Clients / seev.008 Meeting Result Dissemination (SR2025) message is composed of 10 building blocks

  a. Pagination

   Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

  b. MeetingResultDisseminationIdentification

   Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

  c. MeetingResultsDisseminationType

   Type of dissemination report, that is, whether the report is new or a replacement.

  d. PreviousMeetingResultsDisseminationIdentification

   Identification of the previously sent meeting result dissemination message.

  e. MeetingReference

   Set of elements to allow the unambiguous identification of a meeting.

  f. Security

   Securities for which the meeting is organised.

  g. VoteResult

Results per resolution.

h. Participation

Information about the participation to the voting process.

i. AdditionalInformation

Information on where additional information can be received.

j. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1   Restriction summary

This Usage Guideline restricts the seev.008.001.10 message.

Restriction Type	See page
Removed elements	54
Make mandatory	n.a.
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	n.a.
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	n.a.
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

2   Structure

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	MeetingResultDisseminationV10	*<MtgRsltDssmntn>*		[1..1]		
	Pagination	*<Pgntn>*		[0..1]		9
	PageNumber	*<PgNb>*		[1..1]		32
	LastPageIndicator	*<LastPgInd>*		[1..1]		33
	MeetingResultDisseminationIdentification	*<MtgRsltDssmntnId>*		[0..1]		9
	MeetingResultsDisseminationType	*<MtgRsltsDssmntnTp>*		[1..1]		9
	PreviousMeetingResultsDisseminationIdentification	*<PrvsMtgRsltsDssmntnId>*		[0..1]		9
	MeetingReference	*<MtgRef>*		[1..1]		10
	MeetingIdentification	*<MtgId>*		[1..1]		26
	IssuerMeetingIdentification	*<IssrMtgId>*		[0..1]		27
	MeetingDateAndTime	*<MtgDtAndTm>*		[1..1]		27
	Type	*<Tp>*		[1..1]		27
	Classification	*<Clssfctn>*		[0..1]		27
	Location	*<Lctn>*		[0..5]		27
	Issuer	*<Issr>*		[0..1]		28
	Security	*<Scty>*		[1..200]		10
	FinancialInstrumentIdentification	*<FinInstrmId>*		[1..1]		59
	Position	*<Pos>*		[0..1000]		59
	VoteResult	*<VoteRslt>*		[1..1000]		10
	IssuerLabel	*<IssrLabl>*		[1..1]		62
	Description	*<Desc>*		[0..*]		62
	ResolutionStatus	*<RsltnSts>*		[1..1]		63
	SubStatus	*<SubSts>*		[0..1]		63
	For	*<For>*		[0..1]		63
	Against	*<Agnst>*		[0..1]		63
	Abstain	*<Abstn>*		[0..1]		64
	Withhold	*<Wthhld>*		[0..1]		64

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	WithManagement	*<WthMgmt>*		[0..1]		64
	AgainstManagement	*<AgnstMgmt>*		[0..1]		65
	Discretionary	*<Dscrtnry>*		[0..1]		65
	OneYear	*<OneYr>*		[0..1]		65
	TwoYears	*<TwoYrs>*		[0..1]		66
	ThreeYears	*<ThreeYrs>*		[0..1]		66
	NoAction	*<NoActn>*		[0..1]		66
	Blank	*<Blnk>*		[0..1]		67
	Proprietary	*<Prtry>*		[0..4]		67
	Participation	*<Prtcptn>*		[0..1]		11
	TotalNumberOfVotingRights	*<TtlNbOfVtngRghts>*		[0..1]		33
	PercentageOfVotingRights	*<PctgOfVtngRghts>*		[0..1]		33
	TotalNumberOfSecuritiesOutstanding	*<TtlNbOfSctiesOutsdng>*		[0..1]		33
	CalculationDate	*<ClctnDt>*		[0..1]		34
	AdditionalInformation	*<AddtlInf>*		[0..1]		12
	EmailAddress	*<EmailAdr>*		[0..1]		13
	URLAddress	*<URLAdr>*		[0..1]		13
	SupplementaryData	*<SplmtryData>*		[0..*]		12
	PlaceAndName	*<PlcAndNm>*		[0..1]		61
	Envelope	*<Envlp>*		[1..1]		61

3 ISO 20022 Rules

R1 PreviousMeetingResultsDisseminationIdentificationRule ✓

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00429

– *Error Text:* PreviousMeetingResultsDisseminationIdentification should be present.

R2 PaginationRule ✓

If pagination is present, then MeetingResultDisseminationIdentification must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00657

– *Error Text:* MeetingResultDisseminationIdentification is absent.

4 Message Building Blocks

Note	The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2025) - Clients / seev.008 Meeting Result Dissemination (SR2025) message definition.

4.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

The **Pgntn** block contains the following elements (see datatype "Pagination1" on page 32 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	PageNumber	*<PgNb>*		[1..1]		32
	LastPageIndicator	*<LastPgInd>*		[1..1]		33

4.2 MeetingResultDisseminationIdentification

XML Tag: MtgRsltDssmntnId

Presence: [0..1]

Definition: Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 76

4.3 MeetingResultsDisseminationType

XML Tag: MtgRsltsDssmntnTp

Presence: [1..1]

Definition: Type of dissemination report, that is, whether the report is new or a replacement.

Datatype: "NotificationType2Code" on page 81

4.4 PreviousMeetingResultsDissemination-Identification

XML Tag: PrvsMtgRsltsDssmntnId

Presence: [0..1]

Definition: Identification of the previously sent meeting result dissemination message.

Datatype: "Max35Text" on page 76

4.5   MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "MeetingReference10" on page 26 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	*<MtgId>*		[1..1]		26
	IssuerMeetingIdentification	*<IssrMtgId>*		[0..1]		27
	MeetingDateAndTime	*<MtgDtAndTm>*		[1..1]		27
	Type	*<Tp>*		[1..1]		27
	Classification	*<Clssfctn>*		[0..1]		27
	Location	*<Lctn>*		[0..5]		27
	Issuer	*<Issr>*		[0..1]		28

4.6   Security

XML Tag: Scty

Presence: [1..200]

Definition: Securities for which the meeting is organised.

The **Scty** block contains the following elements (see datatype "SecurityPosition22" on page 58 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	*<FinInstrmId>*		[1..1]		59
	Position	*<Pos>*		[0..1000]		59

4.7   VoteResult

XML Tag: VoteRslt

Presence: [1..1000]

Definition: Results per resolution.

The **VoteRslt** block contains the following elements (see datatype "Vote20" on page 61 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	*<IssrLabl>*		[1..1]		62
	Description	*<Desc>*		[0..*]		62
	ResolutionStatus	*<RsltnSts>*		[1..1]		63
	SubStatus	*<SubSts>*		[0..1]		63
	For	*<For>*		[0..1]		63
	Against	*<Agnst>*		[0..1]		63
	Abstain	*<Abstn>*		[0..1]		64
	Withhold	*<Wthhld>*		[0..1]		64
	WithManagement	*<WthMgmt>*		[0..1]		64
	AgainstManagement	*<AgnstMgmt>*		[0..1]		65
	Discretionary	*<Dscrtnry>*		[0..1]		65
	OneYear	*<OneYr>*		[0..1]		65
	TwoYears	*<TwoYrs>*		[0..1]		66
	ThreeYears	*<ThreeYrs>*		[0..1]		66
	NoAction	*<NoActn>*		[0..1]		66
	Blank	*<Blnk>*		[0..1]		67
	Proprietary	*<Prtry>*		[0..4]		67

4.8 Participation

XML Tag: Prtcptn

Presence: [0..1]

Definition: Information about the participation to the voting process.

The **Prtcptn** block contains the following elements (see datatype "Participation6" on page 33 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfVotingRights	*<TtlNbOfVtngRghts>*		[0..1]		33
	PercentageOfVotingRights	*<PctgOfVtngRghts>*		[0..1]		33

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfSecuritiesOut-standing	<TtlNbOfSctiesOutsdng>		[0..1]		33
	CalculationDate	<ClctnDt>		[0..1]		34

4.9 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Information on where additional information can be received.

The **AddtlInf** block contains the following elements (see datatype "CommunicationAddress11" on page 13 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		13
	URLAddress	<URLAdr>		[0..1]		13

4.10 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 60 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		61
	Envelope	<Envlp>		[1..1]		61

5 Message Components

| Note | The following chapter identifies the message components. |

5.1 CommunicationAddress11

Definition: Communication device number or electronic address used for communication.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	EmailAddress	*<EmailAdr>*		[0..1]		13
	URLAddress	*<URLAdr>*		[0..1]		13

Used in element(s)

"AdditionalInformation" on page 12

5.1.1 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 75

5.1.2 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 75

5.2 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	BirthDate	*<BirthDt>*		[1..1]		14
	ProvinceOfBirth	*<PrvcOfBirth>*		[0..1]		14

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	CityOfBirth	*<CityOfBirth>*		[0..1]		14
	CountryOfBirth	*<CtryOfBirth>*		[0..1]		14

Used in element(s)

5.2.1   BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 72

5.2.2   ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 76

5.2.3   CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 76

5.2.4   CountryOfBirth

XML Tag: CtryOfBirth

Presence: [0..1]

Definition: Country where a person was born.

Datatype: "CountryCode" on page 70

5.3   EligiblePosition20

Definition: Information about a securities position and rights holders.

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ǀ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	*<AcctId>*		[0..1]		16
	BlockChainAddressOrWallet	*<BlckChainAdrOrWllt>*		[0..1]		16
	AccountOwner	*<AcctOwnr>*		[0..1]		16
	HoldingBalance	*<HldgBal>*		[0..15]		16
	RightsHolder	*<RghtsHldr>*		[0..250]		17

Rules

R4   HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

R5   AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

– *Error severity:* Warning

– *Error Code:* X00551

– *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R6   AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00565

– *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R7   AccountIdentificationOrBlockChainAddress3Rule ✓

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00566

– *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Guidelines

1. **RightsHolderMultiplicity1Guideline**

   It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

5.3.1 AccountIdentification

XML Tag: AcctId

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 76

5.3.2 BlockChainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 74

5.3.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 38 for details)

🚫 Removed - 🚫 Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		38
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		39

5.3.4 HoldingBalance

XML Tag: HldgBal

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "HoldingBalance14" on page 22 for details)

Removed - ⛔ Partial Removed - ⚠️ Ignored - ◇ Partial Ignored - ◈ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Balance	*<Bal>*		[1..1]		23
	BalanceType	*<BalTp>*		[1..1]		23
	SafekeepingPlace	*<SfkpgPlc>*		[0..1]		23
	Date	*<Dt>*		[0..1]		23

5.3.5   RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "PartyIdentification246Choice" on page 41 for details)

Removed - ⛔ Partial Removed - ◇ Ignored - ◈ Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		41
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		42

5.4   FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

Removed - ⛔ Partial Removed - ◇ Ignored - ◈ Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

Used in element(s)

"Abstain" on page 64, "Against" on page 63, "AgainstManagement" on page 65, "Blank" on page 67, "Discretionary" on page 65, "For" on page 63, "NoAction" on page 66, "OneYear" on page 65, "Quantity" on page 53, "ThreeYears" on page 66, "TotalNumberOfSecuritiesOutstanding" on page 33, "TwoYears" on page 66, "WithManagement" on page 64, "Withhold" on page 64

5.4.1   Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 70

5.4.2   FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 73

5.5   FinancialInstrumentQuantity45Choice

Definition: Choice between formats for the quantity of security.

🚫 Removed - ❗🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		18
	FaceAmount	*<FaceAmt>*	Or	[1..1]		18
	DigitalTokenUnit	*<DgtlTknUnit>*	Or}	[1..1]		19

Rules

R8   DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"Quantity" on page 60

5.5.1   Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 70

5.5.2   FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 73

5.5.3 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 75

5.6 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - ⛔ Partial Removed - ◇ Ignored - ◈ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		19
	SchemeName	*<SchmeNm>*		[0..1]		19
	Issuer	*<Issr>*		[1..1]		19

Used in element(s)

"Proprietary" on page 29

5.6.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 76

5.6.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 76

5.6.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 76

5.7   GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		20
	Issuer	*<Issr>*		[1..1]		20
	SchemeName	*<SchmeNm>*		[0..1]		20

Used in element(s)

"Code" on page 53, "Proprietary" on page 25, "Type" on page 22

5.7.1   Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 71

5.7.2   Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 76

5.7.3   SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 76

5.8   GenericIdentification36

Definition: Identification using a proprietary scheme.

Removed - ⊘ Partial Removed - !⊘ Ignored - ◇ Partial Ignored - |◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		21
	Issuer	*<Issr>*		[1..1]		21
	SchemeName	*<SchmeNm>*		[0..1]		21

Used in element(s)

"ProprietaryIdentification" on page 34, "ProprietaryIdentification" on page 36

5.8.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 76

5.8.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 76

5.8.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 76

5.9 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Removed - ⊘ Partial Removed - !⊘ Ignored - ◇ Partial Ignored - |◇ Details below - ⚠
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Type	*<Tp>*		[1..1]		22
	Identification	*<Id>*		[0..1]		22

Used in element(s)

"Proprietary" on page 55

5.9.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 20 for details)

🚫 Removed - ⚠🚫 Partial Removed - 🔷 Ignored - ⚠🔷 Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		20
	Issuer	*<Issr>*		[1..1]		20
	SchemeName	*<SchmeNm>*		[0..1]		20

5.9.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 76

5.10 HoldingBalance14

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

🚫 Removed - ⚠🚫 Partial Removed - 🔷 Ignored - ⚠🔷 Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	*<Bal>*		[1..1]		23
	BalanceType	*<BalTp>*		[1..1]		23
	SafekeepingPlace	*<SfkpgPlc>*		[0..1]		23
	Date	*<Dt>*		[0..1]		23

Used in element(s)

"HoldingBalance" on page 16

5.10.1   Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype <u>"SignedQuantityFormat14" on page 60</u> for details)

🚫 Removed - ⚠🚫 Partial Removed - ◇ Ignored - ⚠◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	*<ShrtLngPos>*		[1..1]		60
	Quantity	*<Qty>*		[1..1]		60

5.10.2   BalanceType

XML Tag: BalTp

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: <u>"SecuritiesEntryType2Code" on page 85</u>

5.10.3   SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype <u>"SafekeepingPlaceFormat42Choice" on page 53</u> for details)

🚫 Removed - ⚠🚫 Partial Removed - ◇ Ignored - ⚠◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*	{Or	[1..1]		54
	Country	*<Ctry>*	Or	[1..1]		54
	DigitalLedgerIdentification	*<DgtlLdgrId>*	Or	[1..1]	🚫	54
	TypeAndIdentification	*<TpAndId>*	Or	[1..1]		55
	Proprietary	*<Prtry>*	Or}	[1..1]		55

5.10.4   Date

XML Tag: Dt

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 72

5.11   IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		24
	Proprietary	*<Prtry>*	Or}	[1..1]		24

Used in element(s)

"Type" on page 32

5.11.1   Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 71

5.11.2   Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 76

5.12   IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

🚫 Removed - 🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		25
	Proprietary	*<Prtry>*	Or}	[1..1]		25

Used in element(s)

5.12.1   Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 88

5.12.2   Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 20 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		20
	Issuer	*<Issr>*		[1..1]		20
	SchemeName	*<SchmeNm>*		[0..1]		20

5.13   ItemDescription2

Definition: Language, title and textual description in the specified language of an item.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Language	*<Lang>*		[1..1]		25
	Title	*<Titl>*		[0..1]		26
	Description	*<Desc>*		[0..*]		26

Used in element(s)

5.13.1   Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 72

5.13.2 Title

XML Tag: Titl

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: "Max1025Text" on page 74

5.13.3 Description

XML Tag: Desc

Presence: [0..*]

Definition: Free textual description of the item in the specified language.

Datatype: "Max8000Text" on page 77

5.14 MeetingReference10

Definition: Identification of a meeting.

🚫 Removed - 🚫 Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	*<MtgId>*		[1..1]		26
	IssuerMeetingIdentification	*<IssrMtgId>*		[0..1]		27
	MeetingDateAndTime	*<MtgDtAndTm>*		[1..1]		27
	Type	*<Tp>*		[1..1]		27
	Classification	*<Clssfctn>*		[0..1]		27
	Location	*<Lctn>*		[0..5]		27
	Issuer	*<Issr>*		[0..1]		28

Used in element(s)

"MeetingReference" on page 10

5.14.1 MeetingIdentification

XML Tag: MtgId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 76

5.14.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 76

5.14.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 73

5.14.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 78

5.14.5 Classification

XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 28 for details)

🚫 Removed - ‼️🚫 Partial Removed - 🔶 Ignored - ‼️🔶 Partial Ignored - ⚠️ Details below - Ⓜ️ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		29
	Proprietary	*<Prtry>*	Or}	[1..1]		29

5.14.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "PostalAddress1" on page 48 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		49
	AddressLine	<AdrLine>		[0..5]		49
	StreetName	<StrtNm>		[0..1]		49
	BuildingNumber	<BldgNb>		[0..1]		49
	PostCode	<PstCd>		[0..1]		50
	TownName	<TwnNm>		[0..1]		50
	CountrySubDivision	<CtrySubDvsn>		[0..1]		50
	Country	<Ctry>		[1..1]		50

5.14.7 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "PartyIdentification129Choice" on page 34 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		34
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		34
	NameAndAddress	<NmAndAdr>	Or	[1..1]		35
	LEI	<LEI>	Or}	[1..1]		35

5.15 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Proprietary	*<Prtry>*	Or}	[1..1]		29

Used in element(s)

5.15.1   Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 78

5.15.2   Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 19 for details)

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠️ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		19
	SchemeName	*<SchmeNm>*		[0..1]		19
	Issuer	*<Issr>*		[1..1]		19

5.16   NameAndAddress5

Definition: Information that locates and identifies a party.

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠️ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		30
	Address	*<Adr>*		[0..1]		30

Used in element(s)

5.16.1   Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 75

5.16.2   Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 48 for details)

🚫 Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		49
	AddressLine	*<AdrLine>*		[0..5]		49
	StreetName	*<StrtNm>*		[0..1]		49
	BuildingNumber	*<BldgNb>*		[0..1]		49
	PostCode	*<PstCd>*		[0..1]		50
	TownName	*<TwnNm>*		[0..1]		50
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		50
	Country	*<Ctry>*		[1..1]		50

5.17   NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

🚫 Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		31
	IdentificationType	*<IdTp>*		[0..1]		31

Used in element(s)

"Identification" on page 40, "Identification" on page 43

5.17.1   Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 76

5.17.2   IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.

The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 24 for details)

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		25
	Proprietary	*<Prtry>*	Or}	[1..1]		25

5.18   OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		31
	Suffix	*<Sfx>*		[0..1]		32
	Type	*<Tp>*		[1..1]		32

Used in element(s)

"OtherIdentification" on page 58

5.18.1   Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 76

5.18.2   Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 74

5.18.3   Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 24 for details)

🚫 Removed - !🚫 Partial Removed - 🔸 Ignored - !🔸 Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*	{Or	[1..1]		24
	Proprietary	*<Prtry>*	Or}	[1..1]		24

5.19   Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

🚫 Removed - !🚫 Partial Removed - 🔸 Ignored - !🔸 Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	PageNumber	*<PgNb>*		[1..1]		32
	LastPageIndicator	*<LastPgInd>*		[1..1]		33

Used in element(s)

"Pagination" on page 9

5.19.1   PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 77

5.19.2   LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: "YesNoIndicator" on page 89

5.20   Participation6

Definition: Provide information on the level of participation to a shareholder meeting.

🚫 Removed - ⚠️🚫 Partial Removed - ◇ Ignored - ⚠️◇ Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalNumberOfVotingRights	*<TtlNbOfVtngRghts>*		[0..1]		33
	PercentageOfVotingRights	*<PctgOfVtngRghts>*		[0..1]		33
	TotalNumberOfSecuritiesOut-standing	*<TtlNbOfSctiesOutsdng>*		[0..1]		33
	CalculationDate	*<ClctnDt>*		[0..1]		34

Used in element(s)

"Participation" on page 11

5.20.1   TotalNumberOfVotingRights

XML Tag: TtlNbOfVtngRghts

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "Number" on page 81

5.20.2   PercentageOfVotingRights

XML Tag: PctgOfVtngRghts

Presence: [0..1]

Definition: Percentage of rights participating to the vote versus total voting rights.

Datatype: "Percentage14Rate" on page 82

5.20.3   TotalNumberOfSecuritiesOutstanding

XML Tag: TtlNbOfSctiesOutsdng

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as a number of units or a face amount.

The **TtlNbOfSctiesOutsdng** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.20.4   CalculationDate

XML Tag: ClctnDt

Presence: [0..1]

Definition: Date of calculation of the total number of outstanding securities.

Datatype: "ISODate" on page 72

5.21   PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	*<AnyBIC>*	{Or	[1..1]		34
	ProprietaryIdentification	*<PrtryId>*	Or	[1..1]		34
	NameAndAddress	*<NmAndAdr>*	Or	[1..1]		35
	LEI	*<LEI>*	Or}	[1..1]		35

Used in element(s)

"Issuer" on page 28

5.21.1   AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 69

5.21.2   ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 20 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		21
	Issuer	*<Issr>*		[1..1]		21
	SchemeName	*<SchmeNm>*		[0..1]		21

5.21.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5" on page 29 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		30
	Address	*<Adr>*		[0..1]		30

5.21.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 73

5.22 PartyIdentification198Choice

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	*<NtlRegnNb>*	{Or	[1..1]		36

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LEI	*<LEI>*	Or	[1..1]		36
	AnyBIC	*<AnyBIC>*	Or	[1..1]		36
	ClientIdentification	*<ClntId>*	Or	[1..1]		36
	ProprietaryIdentification	*<PrtryId>*	Or}	[1..1]		36

Used in element(s)

5.22.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 76

5.22.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 73

5.22.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Author-ity, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 69

5.22.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 76

5.22.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 20 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		21
	Issuer	*<Issr>*		[1..1]		21
	SchemeName	*<SchmeNm>*		[0..1]		21

5.23   PartyIdentification221

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		37
	EmailAddress	*<EmailAdr>*		[0..1]		37
	Identification	*<Id>*		[1..1]		38

Used in element(s)

"LegalPerson" on page 38

5.23.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 46 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		46
	Address	*<Adr>*		[0..1]		47

5.23.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 75

5.23.3   Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 35 for details)

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	*<NtlRegnNb>*	{Or	[1..1]		36
	LEI	*<LEI>*	Or	[1..1]		36
	AnyBIC	*<AnyBIC>*	Or	[1..1]		36
	ClientIdentification	*<ClntId>*	Or	[1..1]		36
	ProprietaryIdentification	*<PrtryId>*	Or}	[1..1]		36

5.24   PartyIdentification231Choice

Definition: Identification of a party.

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		38
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		39

Used in element(s)

"AccountOwner" on page 16

5.24.1   LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification221" on page 37 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		37
	EmailAddress	*<EmailAdr>*		[0..1]		37
	Identification	*<Id>*		[1..1]		38

5.24.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification238" on page 39 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		40
	EmailAddress	*<EmailAdr>*		[0..1]		40
	Identification	*<Id>*		[1..1]		40
	Nationality	*<Ntlty>*		[0..1]		40
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		41

5.25 PartyIdentification238

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		40
	EmailAddress	*<EmailAdr>*		[0..1]		40
	Identification	*<Id>*		[1..1]		40
	Nationality	*<Ntlty>*		[0..1]		40
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		41

Used in element(s)

"NaturalPerson" on page 39

5.25.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 47 for details)

🚫 Removed - ⛔ Partial Removed - ◇ Ignored - ◈ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NamePrefix	*<NmPrfx>*		[0..1]		47
	FirstName	*<FrstNm>*		[1..1]		48
	Surname	*<Srnm>*		[1..1]		48
	Address	*<Adr>*		[0..1]		48

5.25.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 75

5.25.3   Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 30 for details)

🚫 Removed - ⛔ Partial Removed - ◇ Ignored - ◈ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		31
	IdentificationType	*<IdTp>*		[0..1]		31

5.25.4   Nationality

XML Tag: NtIty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 70

5.25.5   DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 13 for details)

Removed - 🚫 Partial Removed - ⬦ Ignored - ⃟ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	BirthDate	*<BirthDt>*		[1..1]		14
	ProvinceOfBirth	*<PrvcOfBirth>*		[0..1]		14
	CityOfBirth	*<CityOfBirth>*		[0..1]		14
	CountryOfBirth	*<CtryOfBirth>*		[0..1]		14

5.26   PartyIdentification246Choice

Definition: Identification of a party.

Removed - 🚫 Partial Removed - ⬦ Ignored - ⃟ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

| Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	LegalPerson	*<LglPrsn>*	{Or	[1..1]		41
	NaturalPerson	*<NtrlPrsn>*	Or}	[1..*]		42

Used in element(s)

"RightsHolder" on page 17

5.26.1   LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification269" on page 44 for details)

Removed - ⊘ Partial Removed - !⊘ Ignored - ◇ Partial Ignored - !◇ Details below - ⚠ **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		45
	EmailAddress	*<EmailAdr>*		[0..1]		45
	Identification	*<Id>*		[0..1]		45
	CompanyRegisterShareholderIdentification	*<CpnyRegrShrhldrId>*		[0..1]		46
	CountryOfIncorporation	*<CtryOfIncorprtn>*		[0..1]		46

5.26.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification250" on page 42 for details)

Removed - ⊘ Partial Removed - !⊘ Ignored - ◇ Partial Ignored - !◇ Details below - ⚠ **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		43
	EmailAddress	*<EmailAdr>*		[0..1]		43
	Identification	*<Id>*		[0..1]		43
	Nationality	*<Ntlty>*		[0..1]		44
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		44
	CompanyRegisterShareholderIdentification	*<CpnyRegrShrhldrId>*		[0..1]		44

5.27 PartyIdentification250

Definition: Identification of a party.

Removed - ⊘ Partial Removed - !⊘ Ignored - ◇ Partial Ignored - !◇ Details below - ⚠ **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		43
	EmailAddress	*<EmailAdr>*		[0..1]		43
	Identification	*<Id>*		[0..1]		43

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Nationality	*<Ntlty>*		[0..1]		44
	DateAndPlaceOfBirth	*<DtAndPlcOfBirth>*		[0..1]		44
	CompanyRegisterShareholderI-dentification	*<CpnyRegrShrhldrId>*		[0..1]		44

Used in element(s)

"NaturalPerson" on page 42

5.27.1　NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 47 for details)

🚫 Removed - ！🚫 Partial Removed - ◆ Ignored - ！◆ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NamePrefix	*<NmPrfx>*		[0..1]		47
	FirstName	*<FrstNm>*		[1..1]		48
	Surname	*<Srnm>*		[1..1]		48
	Address	*<Adr>*		[0..1]		48

5.27.2　EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 75

5.27.3　Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 30 for details)

🚫 Removed - ！🚫 Partial Removed - ◆ Ignored - ！◆ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		31
	IdentificationType	*<IdTp>*		[0..1]		31

5.27.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "CountryCode" on page 70

5.27.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "DateAndPlaceOfBirth2" on page 13 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	BirthDate	*<BirthDt>*		[1..1]		14
	ProvinceOfBirth	*<PrvcOfBirth>*		[0..1]		14
	CityOfBirth	*<CityOfBirth>*		[0..1]		14
	CountryOfBirth	*<CtryOfBirth>*		[0..1]		14

5.27.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 76

5.28 PartyIdentification269

Definition: Identification of a party.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	*<NmAndAdr>*		[1..1]		45
	EmailAddress	*<EmailAdr>*		[0..1]		45
	Identification	*<Id>*		[0..1]		45
	CompanyRegisterShareholderIdentification	*<CpnyRegrShrhldrId>*		[0..1]		46
	CountryOfIncorporation	*<CtryOfIncorprtn>*		[0..1]		46

Used in element(s)

"LegalPerson" on page 41

5.28.1   NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName2" on page 46 for details)

🚫 Removed - ❗🚫 Partial Removed - 🔶 Ignored - ❗🔶 Partial Ignored - ⚠️ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		46
	Address	*<Adr>*		[0..1]		47

5.28.2   EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 75

5.28.3   Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "PartyIdentification198Choice" on page 35 for details)

🚫 Removed - ❗🚫 Partial Removed - 🔶 Ignored - ❗🔶 Partial Ignored - ⚠️ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	*<NtlRegnNb>*	{Or	[1..1]		36
	LEI	*<LEI>*	Or	[1..1]		36
	AnyBIC	*<AnyBIC>*	Or	[1..1]		36
	ClientIdentification	*<ClntId>*	Or	[1..1]		36
	ProprietaryIdentification	*<PrtryId>*	Or}	[1..1]		36

5.28.4 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 76

5.28.5 CountryOfIncorporation

XML Tag: CtryOfIncorprtn

Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Datatype: "CountryCode" on page 70

5.29 PersonName2

Definition: Identifies a person via its first name and surname.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	*<Nm>*		[1..1]		46
	Address	*<Adr>*		[0..1]		47

Used in element(s)

"NameAndAddress" on page 37, "NameAndAddress" on page 45

5.29.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 75

5.29.2   Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 50 for details)

🚫 Removed - ⛔ Partial Removed - 🔸 Ignored - 🔶 Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		51
	AddressLine	*<AdrLine>*		[0..5]		51
	StreetName	*<StrtNm>*		[0..1]		51
	BuildingNumber	*<BldgNb>*		[0..1]		51
	PostBox	*<PstBx>*		[0..1]		51
	PostCode	*<PstCd>*		[0..1]		52
	TownName	*<TwnNm>*		[0..1]		52
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		52
	Country	*<Ctry>*		[1..1]		52

5.30   PersonName3

Definition: Identifies a person via its first name and surname.

🚫 Removed - ⛔ Partial Removed - 🔸 Ignored - 🔶 Partial Ignored - ⚠️ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	NamePrefix	*<NmPrfx>*		[0..1]		47
	FirstName	*<FrstNm>*		[1..1]		48
	Surname	*<Srnm>*		[1..1]		48
	Address	*<Adr>*		[0..1]		48

Used in element(s)

"NameAndAddress" on page 40, "NameAndAddress" on page 43

5.30.1   NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 80

5.30.2   FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 75

5.30.3   Surname

XML Tag: Srnm

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 75

5.30.4   Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 50 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		51
	AddressLine	*<AdrLine>*		[0..5]		51
	StreetName	*<StrtNm>*		[0..1]		51
	BuildingNumber	*<BldgNb>*		[0..1]		51
	PostBox	*<PstBx>*		[0..1]		51
	PostCode	*<PstCd>*		[0..1]		52
	TownName	*<TwnNm>*		[0..1]		52
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		52
	Country	*<Ctry>*		[1..1]		52

5.31   PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Removed - 🚫 Partial Removed - ⚠️ Ignored - ◇ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		49
	AddressLine	*<AdrLine>*		[0..5]		49
	StreetName	*<StrtNm>*		[0..1]		49
	BuildingNumber	*<BldgNb>*		[0..1]		49
	PostCode	*<PstCd>*		[0..1]		50
	TownName	*<TwnNm>*		[0..1]		50
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		50
	Country	*<Ctry>*		[1..1]		50

Used in element(s)

"Address" on page 30, "Location" on page 27

5.31.1   AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 68

5.31.2   AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 77

5.31.3   StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 77

5.31.4   BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 74

5.31.5   PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 74

5.31.6   TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 76

5.31.7   CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 76

5.31.8   Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 70

5.32   PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	AddressType	*<AdrTp>*		[0..1]		51
	AddressLine	*<AdrLine>*		[0..5]		51
	StreetName	*<StrtNm>*		[0..1]		51
	BuildingNumber	*<BldgNb>*		[0..1]		51

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	PostBox	*<PstBx>*		[0..1]		51
	PostCode	*<PstCd>*		[0..1]		52
	TownName	*<TwnNm>*		[0..1]		52
	CountrySubDivision	*<CtrySubDvsn>*		[0..1]		52
	Country	*<Ctry>*		[1..1]		52

Used in element(s)

5.32.1   AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 68

5.32.2   AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 77

5.32.3   StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 77

5.32.4   BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 74

5.32.5   PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 74

5.32.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 74

5.32.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 76

5.32.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 76

5.32.9 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 70

5.33 ProprietaryVote2

Definition: Proprietary vote type and quantity voted.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Code	*<Cd>*		[1..1]		53
	Quantity	*<Qty>*		[1..1]		53

Used in element(s)

5.33.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

The **Cd** block contains the following elements (see datatype "GenericIdentification30" on page 20 for details)

🚫 Removed - ⚠️ Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		20
	Issuer	*<Issr>*		[1..1]		20
	SchemeName	*<SchmeNm>*		[0..1]		20

5.33.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities voted.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - ⚠️ Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.34 SafekeepingPlaceFormat42Choice

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - ⚠️ Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*	{Or	[1..1]		54
	Country	*<Ctry>*	Or	[1..1]		54

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	DigitalLedgerIdentification	*<DgtlLdgrId>*	Or	[1..1]	🚫	54
	TypeAndIdentification	*<TpAndId>*	Or	[1..1]		55
	Proprietary	*<Prtry>*	Or}	[1..1]		55

Used in element(s)

"SafekeepingPlace" on page 23

5.34.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6" on page 56 for details)

🚫 Removed - ⚠️🚫 Partial Removed - ◇ Ignored - ⚠️◇ Partial Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	*<SfkpgPlcTp>*		[1..1]		56
	Identification	*<Id>*		[0..1]		56

5.34.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 70

5.34.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 70

Usage Guideline details

- **This element(seev.008.001.10/Security/Position/HoldingBalance/SafekeepingPlace/DigitalLedgerIdentification) is removed.**

5.34.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentifi-cation1" on page 55 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	*<SfkpgPlcTp>*		[1..1]		56
	Identification	*<Id>*		[1..1]		56

5.34.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78" on page 21 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	*<Tp>*		[1..1]		22
	Identification	*<Id>*		[0..1]		22

5.35 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	*<SfkpgPlcTp>*		[1..1]		56
	Identification	*<Id>*		[1..1]		56

Used in element(s)

"TypeAndIdentification" on page 55

5.35.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 83

5.35.2 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: "AnyBICDec2014Identifier" on page 69

5.36 SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

| Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	*<SfkpgPlcTp>*		[1..1]		56
	Identification	*<Id>*		[0..1]		56

Used in element(s)

"Identification" on page 54

5.36.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 84

5.36.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 76

5.37 SecurityIdentification19

Definition: Identification of a security.

Removed - 🚫 Partial Removed - 🔶 Ignored - 🔶 Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ISIN	*</ISIN>*		[0..1]		58
	OtherIdentification	*<OthrId>*		[0..*]		58
	Description	*<Desc>*		[0..1]		58

Rules

R10   DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00192

– *Error Text:* At least one identification must be present.

R11   OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00193

– *Error Text:* At least one identification must be present.

R12   ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00194

– *Error Text:* At least one identification must be present.

R13   DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R14   ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

5.37.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 72

5.37.2 OtherIdentification

XML Tag: OthrId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype "OtherIdentification1" on page 31 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Identification	*<Id>*		[1..1]		31
	Suffix	*<Sfx>*		[0..1]		32
	Type	*<Tp>*		[1..1]		32

5.37.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 74

5.38 SecurityPosition22

Definition: Identification of a security and its balance.

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		59
	Position	<Pos>		[0..1000]		59

Used in element(s)

"Security" on page 10

5.38.1   FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19" on page 56 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		58
	OtherIdentification	<OthrId>		[0..*]		58
	Description	<Desc>		[0..1]		58

5.38.2   Position

XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "EligiblePosition20" on page 14 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]		16
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		16
	AccountOwner	<AcctOwnr>		[0..1]		16
	HoldingBalance	<HldgBal>		[0..15]		16
	RightsHolder	<RghtsHldr>		[0..250]		17

5.39   SignedQuantityFormat14

Definition: Signed quantity of a security for various quantity formats.

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	*<ShrtLngPos>*		[1..1]		60
	Quantity	*<Qty>*		[1..1]		60

Used in element(s)

"Balance" on page 23

5.39.1   ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of securities.

Datatype: "ShortLong1Code" on page 87

5.39.2   Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity45Choice" on page 18 for details)

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		18
	FaceAmount	*<FaceAmt>*	Or	[1..1]		18
	DigitalTokenUnit	*<DgtlTknUnit>*	Or}	[1..1]		19

5.40   SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - !🚫 Partial Removed - 🔶 Ignored - !🔶 Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		61
	Envelope	<Envlp>		[1..1]		61

Rules

R15   SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 12

5.40.1   PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 75

5.40.2   Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

5.41   Vote20

Definition: Vote results for one resolution.

🚫 Removed - ⚠️🚫 Partial Removed - ◇ Ignored - ❗◇ Partial Ignored - ⚠️ Details below - Ⓜ️ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLabl>		[1..1]		62
	Description	<Desc>		[0..*]		62
	ResolutionStatus	<RsltnSts>		[1..1]		63
	SubStatus	<SubSts>		[0..1]		63
	For	<For>		[0..1]		63

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Against	*<Agnst>*		[0..1]		63
	Abstain	*<Abstn>*		[0..1]		64
	Withhold	*<Wthhld>*		[0..1]		64
	WithManagement	*<WthMgmt>*		[0..1]		64
	AgainstManagement	*<AgnstMgmt>*		[0..1]		65
	Discretionary	*<Dscrtnry>*		[0..1]		65
	OneYear	*<OneYr>*		[0..1]		65
	TwoYears	*<TwoYrs>*		[0..1]		66
	ThreeYears	*<ThreeYrs>*		[0..1]		66
	NoAction	*<NoActn>*		[0..1]		66
	Blank	*<Blnk>*		[0..1]		67
	Proprietary	*<Prtry>*		[0..4]		67

Used in element(s)

"VoteResult" on page 10

5.41.1   IssuerLabel

XML Tag: IssrLabl

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 76

5.41.2   Description

XML Tag: Desc

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

The **Desc** block contains the following elements (see datatype "ItemDescription2" on page 25 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Language	*<Lang>*		[1..1]		25
	Title	*<Titl>*		[0..1]		26
	Description	*<Desc>*		[0..*]		26

5.41.3 ResolutionStatus

XML Tag: RsltnSts

Presence: [1..1]

Definition: Acceptance status of the resolution.

Datatype: "ResolutionStatus2Code" on page 82

5.41.4 SubStatus

XML Tag: SubSts

Presence: [0..1]

Definition: Specifies whether the resolution is a new or an amended resolution.

Datatype: "ResolutionSubStatus1Code" on page 83

5.41.5 For

XML Tag: For

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

The **For** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.6 Against

XML Tag: Agnst

Presence: [0..1]

Definition: Number of votes against one resolution.

The **Agnst** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - ！🚫 Partial Removed - ◇ Ignored - ！◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.7 Abstain

XML Tag: Abstn

Presence: [0..1]

Definition: Number of abstention votes.

The **Abstn** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.8 Withhold

XML Tag: Wthhld

Presence: [0..1]

Definition: Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

The **Wthhld** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.9 WithManagement

XML Tag: WthMgmt

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

The **WthMgmt** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below - Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.10 AgainstManagement

XML Tag: AgnstMgmt

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

The **AgnstMgmt** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.11 Discretionary

XML Tag: Dscrtnry

Presence: [0..1]

Definition: Number of votes for which the decision is left to the party that will exercise the voting right.

The **Dscrtnry** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.12 OneYear

XML Tag: OneYr

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

The **OneYr** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - ⓘ🚫 Partial Removed - ◇ Ignored - ⓘ◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.13 TwoYears

XML Tag: TwoYrs

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

The **TwoYrs** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.14 ThreeYears

XML Tag: ThreeYrs

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

The **ThreeYrs** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.15 NoAction

XML Tag: NoActn

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

The **NoActn** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

🚫 Removed - !🚫 Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -
Ⓜ Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.16 Blank

XML Tag: Blnk

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

The **Blnk** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 17 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
	Unit	*<Unit>*	{Or	[1..1]		17
	FaceAmount	*<FaceAmt>*	Or}	[1..1]		18

5.41.17 Proprietary

XML Tag: Prtry

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "ProprietaryVote2" on page 52 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	*<XML Tag>*	Or	Mult.	Usage Guidelines	Page
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6   Message Datatypes

Note	The following chapter identifies the datatypes that are used in the message.

6.1   AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

Restricts

6.2   AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

6.3   AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern   [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R9   AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

– *Error severity:* Fatal

– *Error Code:* D00008

– *Error Text:* Invalid BIC.

Used in element(s)

6.4   CorporateActionNotificationTypeCode

Definition: Type of notification.

Type: CodeSet

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

6.5   CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern	[A-Z]{2,2}

Rules

R3   Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

– *Error severity:* Fatal

– *Error Code:* D00004

– *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 50, "Country" on page 52, "Country" on page 54, "CountryOfBirth" on page 14, "CountryOfIncorporation" on page 46, "Nationality" on page 40, "Nationality" on page 44

6.6   DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern	[1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

"DigitalLedgerIdentification" on page 54

6.7   DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17

totalDigits	18

Used in element(s)

6.8 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}

Used in element(s)

6.9 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

Restricts

6.10 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 71

6.11   ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 58

6.12   ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern	[a-z]{2,2}

Used in element(s)

"Language" on page 25

6.13   ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

6.14   ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

6.15   ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

6.16   LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern   [A-Z0-9]{18,18}[0-9]{2,2}

Used in element(s)

"LEI" on page 35, "LEI" on page 36

6.17   Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.

Type: Text

Format

maxLength   1025
minLength   1

Used in element(s)

"Title" on page 26

6.18   Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength   140
minLength   1

Used in element(s)

"BlockChainAddressOrWallet" on page 16, "Description" on page 58

6.19   Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength   16
minLength   1

Used in element(s)

6.20 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

maxLength	2048
minLength	1

Used in element(s)

6.21 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

6.22 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

6.23 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"FirstName" on page 48, "Name" on page 30, "Name" on page 46, "PlaceAndName" on page 61, "Surname" on page 48

6.24   Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"AccountIdentification" on page 16, "CityOfBirth" on page 14, "CompanyRegisterShareholderIdentification" on page 44, "CompanyRegisterShareholderIdentification" on page 46, "CountrySubDivision" on page 50, "CountrySubDivision" on page 52, "Identification" on page 21, "Identification" on page 22, "Identification" on page 31, "Identification" on page 31, "Identification" on page 56, "Issuer" on page 19, "Issuer" on page 20, "Issuer" on page 21, "IssuerLabel" on page 62, "IssuerMeetingIdentification" on page 27, "MeetingIdentification" on page 26, "NationalRegistrationNumber" on page 36, "Proprietary" on page 24, "ProvinceOfBirth" on page 14, "SchemeName" on page 19, "SchemeName" on page 20, "SchemeName" on page 21, "TownName" on page 50, "TownName" on page 52

6.25   Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

"Identification" on page 19

6.26   Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

6.27   Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}

Used in element(s)

6.28   Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

6.29   Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

maxLength	8000
minLength	1

Used in element(s)

"Description" on page 26

6.30   MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

"Type" on page 27

Restricts

"MeetingTypeV2Code" on page 79

6.31   MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.

Code	Name	Definition
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Used in element(s)

"Code" on page 29

Restricts

"MeetingTypeClassificationCode" on page 79

6.32   MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

"MeetingTypeClassification2Code" on page 78

6.33   MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

"MeetingType4Code" on page 78

6.34   NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

"NamePrefix" on page 47

Restricts

6.35   NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

6.36   NotificationType2Code

Definition: Specifies a type of notification.

Type: CodeSet

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

Restricts

6.37   Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

fractionDigits	0
totalDigits	18

Used in element(s)

6.38   Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"PercentageOfVotingRights" on page 33

6.39   ResolutionStatus2Code

Definition: Specifies the status of a resolution in a meeting agenda.

Type: CodeSet

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Used in element(s)

"ResolutionStatus" on page 63

Restricts

"ResolutionStatusCode" on page 82

6.40   ResolutionStatusCode

Definition: Specifies the status of a resolution in a meeting agenda.

Type: CodeSet

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Is restricted by

"ResolutionStatus2Code" on page 82

6.41 ResolutionSubStatus1Code

Definition: Specifies the sub-status of a resolution.

Type: CodeSet

Code	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

Used in element(s)

Restricts

6.42 ResolutionSubStatusCode

Definition: Specifies the sub-status of a resolution.

Type: CodeSet

Code	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

Is restricted by

6.43 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

Restricts

6.44 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

Restricts

6.45 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

6.46   SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
UNBA	UninstructedBalance	Balance of uninstructed position.

Used in element(s)

"BalanceType" on page 23

Restricts

6.47   SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

Code	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType2Code" on page 85

6.48   ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

"ShortLongPosition" on page 60

Restricts

"ShortLongCode" on page 87

6.49   ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 87

6.50   TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

"Code" on page 25

Restricts

"TypeOfIdentificationCode" on page 88

6.51   TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

6.52   YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

Legal Notices

Copyright

Confidentiality

Trademarks and Patents